



FEDERAL HOUSING FINANCE AGENCY
Federal Home Loan Bank Independent Director
Annual Certification Form

FHFA Form #130
(04/2022)

INSTRUCTIONS

The Federal Home Loan Bank of _____ (Bank) is required by law to solicit information from its incumbent directors annually to verify that each director remains in compliance with the applicable statutory and regulatory eligibility requirements. Your responses to the questions on this **Federal Home Loan Bank Independent Director Annual Certification Form** will assist the Bank in verifying that you continue to meet the eligibility requirements that apply to the independent directorship in which you are currently serving.

Please complete and execute this Form and return it to the Bank on or before _____.

If you fail to submit a completed and executed Form by that date, or if you submit a Form that does not adequately demonstrate that you continue to meet all applicable eligibility requirements, the Bank may determine that you are ineligible to serve, in which case the Bank would be required by law to declare your directorship vacant.

You are eligible to serve as an independent director of the Bank only if you meet all of the following requirements:

- You are a citizen of the United States.
- You are a *bona fide* resident of the Bank District, as determined by meeting either one of the following two sets of criteria:
 - Your principal residence is located in the Bank District; or
 - You both:
 - Own or lease in your own name a residence in the Bank District; and
 - Are employed in a voting state in the Bank District.
- Neither you nor your spouse are:
 - An officer of any Federal Home Loan Bank; or
 - An officer, employee, or director of any member of, or recipient of advances from, the Bank. For purposes of this prohibition:
 - “Advances” includes any form of lending, regardless of whether it is denominated as an “advance”; and
 - “Member” and “recipient of advances” include the institution itself and the institution’s holding company, except where the assets of all members or all recipients of advances constitute less than 35 percent of the assets of the holding company, on a consolidated basis.

These eligibility requirements may be found in sections 7(a) and (b) of the Federal Home Loan Bank Act, 12 U.S.C. 1427(a) and (b), and in Federal Housing Finance Agency regulations at 12 CFR 1261.5(c) and 1261.10.

PERSONAL INFORMATION

Please provide your personal information as indicated in Questions 1 – 4.

1. Full Name:

2. Other Names Used or Known by:

3. Contact Information:

Phone Number:

Home:

Office:

Cell:

Email Address:

Mailing Address:

Number/Street (or PO Box)

City

State

ZIP Code

4. Current Employment, if applicable:

Current Employer:

Your Title:

Your Employment Address:

Number/Street (or PO Box)

City

State

ZIP Code

ELIGIBILITY REQUIREMENTS

Please answer Questions 5 – 8, regarding your eligibility to serve as an independent director in full.

Citizenship and Residency

You must meet the legal requirements as to U.S. citizenship and Bank District residency to be eligible for nomination for an independent directorship.

5. Citizenship.

Are you a citizen of the United States?

Yes

No

6. Residency.

A. Do you own or lease a residence within the Bank District?

Yes

No

If you answered No to Question 6A, you do not meet the residency requirement and are ineligible to serve as an independent director.

B. If you answered Yes to Question 6A, please provide the street address of your residence within the Bank District

Address:

Number/Street

City

State

ZIP Code

C. Is the address provided in response to Question 6B your principal residence?

Yes

No

If you answered Yes to Question 6C, you meet the residency requirement and may skip to Question 7.

If you answered No to Question 6C, you may still meet the residency requirement if you are employed within the Bank District. Please continue with Question 6D to indicate your in-District employment status.

D. Are you employed within the Bank District?

Yes

No

If you answered No to Questions 6C and 6D, you do not meet the residency requirement and are ineligible to serve as an independent director.

E. If you answered Yes to Question 6D, please identify your in-District employer:

Check if your in-District employment information is the same as that entered in response to Question 4.

Check if your in-District employment information is different from that entered in response to Question 4, then provide the following information:

Name of Your In-District Employer:

Your Title:

Your Employment Address:

Number/Street

City

State

ZIP Code

Independence

The information you provide below will enable the Bank to determine whether you continue to meet the independence requirements. You may be nominated if you do not currently meet the independence requirements, but you must agree as part of the certification at the end of this Form that you and your spouse will relinquish any positions that the Bank determines to be prohibited under those requirements. If elected, you may not be seated as an independent director so long as you or your spouse hold any such prohibited positions and, once seated, would become ineligible to continue to serve as an independent director if you or your spouse were to take any such prohibited positions.

7. Employment by a Federal Home Loan Bank.

A. Are you or your spouse an officer or employee of any Federal Home Loan Bank?

Yes

No

B. If you answered Yes to Question 7A, please provide the following information for each such position held by you or your spouse:

Name of the Person Holding the Position:

Federal Home Loan Bank of:

Title:

Date Position Began:

8. Employment by a Bank Member, Housing Associate, or Holding Company.

A. Are you or your spouse an officer, director, or employee of a member of the Bank, an entity certified as a housing associate of the Bank, or a holding company that controls one or more members or housing associates of the Bank? Yes No

B. If you answered Yes to Question 8A, please provide the following information for each such position held by you or your spouse:

Name of the Person Holding the Position:

Name of the Employer:

Choose the appropriate response below to indicate whether the employer is:

- a member
- a holding company of a member
- a housing associate
- a holding company of a housing associate

Title:

Date Position Began:

If the employer is a holding company:

- Indicate the total assets of the holding company;
- Indicate the total assets of each member or housing associate of the Bank controlled by the holding company; and
- Provide, or direct the Bank to, documentation to support those amounts.

Note if more space needed for answer to Question 8, continue typing response onto page 5.

Continuation of Answer to Question 8

By executing this Form, you are certifying that the information you have provided is true, correct, and complete to the best of your knowledge and that you understand that you have a continuing obligation to inform the Bank of any facts that may call into question your eligibility or ability to serve as a Bank director. You further acknowledge that the Bank and the Federal Housing Finance Agency may perform a background check on you, including without limitation regarding any information disclosed herein.

Signature/Date:

Reminder: Apply your electronic signature above here. If you physically sign, please include date.

Privacy Act Statement: In accordance with the Privacy Act (5 U.S.C. 552a), the following notice is provided. This information is solicited under authority of 12 U.S.C. 1427(a) and (b) and 12 CFR 1261.5, 1261.7, and 1261.10 to 1261.13. Furnishing the information on this Form is voluntary, but failure to do so may result in your not meeting the statutory and regulatory eligibility requirements to continue to serve as a Federal Home Loan Bank independent director. The purpose of this information is to facilitate the timely determination of your eligibility to continue to serve as an independent director. Information may be disclosed in accordance with the routine uses identified in FHFA-System of Records Notice FHFA-8 Federal Home Loan Bank Directors, which may be found at [here](#).

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