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U.S. DEPARTMENT OF AGRICULTURE FOOD SAFETY AND INSPECTION SERVICE <b>NONCOMPLIANCE RECORD</b>		TYPE OF NONCOMPLIANCE <input type="checkbox"/> Food Safety <input type="checkbox"/> Other Consumer Protection	
1. DATE	2. RECORD NO.	3. ESTABLISHMENT NO.	
4. TO (Name and Title)		5. PERSONNEL NOTIFIED	
6. RELEVANT REGULATION(S)			
7. RELEVANT SECTION/PAGE OF ESTABLISHMENT PROCEDURE/PLAN ➔		HACCP	SOP
8. ISP CODE			

9. NONCOMPLIANCE CLASSIFICATION INDICATORS							
PLANT PROCESS	A. <input type="checkbox"/>	SSOP	<input type="checkbox"/> Monitoring	<input type="checkbox"/> Corrective Action	<input type="checkbox"/> Recordkeeping	<input type="checkbox"/> Implementation	
	B. <input type="checkbox"/>	HACCP	<input type="checkbox"/> Monitoring	<input type="checkbox"/> Corrective Action	<input type="checkbox"/> Recordkeeping	<input type="checkbox"/> Plant Verification	
C. <input type="checkbox"/>	PRODUCT	<input type="checkbox"/> Economic	<input type="checkbox"/> Misbranding	<input type="checkbox"/> Protocol			
D. <input type="checkbox"/>	FACILITY	<input type="checkbox"/> Lighting	<input type="checkbox"/> Structural	<input type="checkbox"/> Outside Premises	<input type="checkbox"/> Product Based		
E. <input type="checkbox"/>	E. COLI	<input type="checkbox"/> Other					

10. DESCRIPTION OF NONCOMPLIANCE:

Text

Text Field

Drop-down List

11. SIGNATURE OF INSPECTION PROGRAM EMPLOYEE

*You are hereby advised of your right to appeal this decision as delineated by 306.5 and/or 381.35 of 9 CFR.*

12. PLANT MANAGEMENT RESPONSE: (Immediate action(s)):

  
  

13. PLANT MANAGEMENT RESPONSE (further planned action(s)):

  
  

**This document serves as written notification that your failure to comply with regulatory requirement(s) could result in additional regulatory or administrative action.**

14. SIGNATURE OF PLANT MANAGEMENT	15. DATE
16. VERIFICATION SIGNATURE OF INSPECTION PROGRAM EMPLOYEE	17. DATE

## NONCOMPLIANCE CLASSIFICATION INDICATORS GUIDE

ACTIVITY	ELEMENT	INDICATOR
Sanitation	Pre-operational Operational	Corrective Action, Recordkeeping, Monitoring, Implementation
	Procedure Review	Basic - results are reported by procedure
HACCP	Hazard Analysis / Plan / Validation / Recordkeeping /Reassessment - Basic	Basic - results are reported by procedure
HACCP - Elements B-J	Observation Records Review	Corrective Action; Recordkeeping; Plant Verification; Monitoring
Microbiological Testing - FSIS	Directed Sampling - e.g., Listeria, Salmonella, E. Coli	Performed
Microbiological Testing - Establishment	E. COLI Written Specimen Absence of Testing Recording Test Results	Basic - results are reported by procedure
	Sample Collection Sample Analysis Records of Test	<u>Other</u>
Sampling	Salmonella	No Indicator - results reported as performed
	Economic	
	Directed	
	Residue	No Indicator - results reported by procedure
Other Inspection Requirements	Export	Misbranding or No Indicator - results reported by procedure
	Custom Exempt / Retail Facilities and Equipment Condemned and Inedible Sewage Water Pest and Rodent Control	Lighting Structural Outside Premises Product Based
Economic / Wholesomeness	% Yield / Shrink X% Solution MSS / MSP / PDBFT / PDPFT / etc. Batter / Breeding Product Standards CN / Grade Labeling / etc. Net Weight General Labeling Finished Product Standards / AQL / Boneless Meat, etc.	Economic Misbranding Protocol

U.S. DEPARTMENT OF AGRICULTURE  
FOOD SAFETY AND INSPECTION SERVICE

**NONCOMPLIANCE RECORD  
CONTINUATION SHEET**

TYPE OF NONCOMPLIANCE

Food Safety

Other Consumer Protection

1. DATE	2. RECORD NO.	3. ESTABLISHMENT NO.		
4. TO <i>(Name and Title)</i>		5. PERSONNEL NOTIFIED		
6. RELEVANT REGULATION(S)				
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10. DESCRIPTION OF NONCOMPLIANCE:				

11. SIGNATURE OF INSPECTION PROGRAM EMPLOYEE	12. DATE
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