**FDA DOCUMENTATION FOR THE GENERIC CLEARANCE,**

**“Testing Communications on Drugs”
(0910-0695)**

**TITLE OF INFORMATION COLLECTION:** Rapid Message Testing with Consumers — Terminology Routinely Used in CDER Communications

**DESCRIPTION OF THIS SPECIFIC COLLECTION**

1. **Statement of need:**

The Plain Writing Act of 2010[[1]](#footnote-1) requires all federal agencies to use plain language whenever they communicate with the public. FDA’s Center for Drug Evaluation and Research (CDER) has the responsibility of communicating to the public about the risks and benefits of the products it regulates including important information such as clinical trial results, drug approvals, and new and emerging drug safety issues. CDER communications are distributed to more than a million listserv subscribers and social media followers and viewed many thousands of times on the FDA website alone. CDER communicators make extraordinary efforts to translate cutting-edge science and regulatory language into information that is understandable to the public, yet communications science tells us that we must test messages with our intended audiences to help ensure they are meeting their objectives.

FDA’s regulatory scientists, lawyers, and other subject matter experts are sometimes hesitant to use plain language alternatives proposed by CDER’s Office of Communications to replace the technical terminology they traditionally use as they worry that it is less precise. As a result, the purpose of this project is to conduct testing of 20 commonly used technical regulatory terms and their plain language alternatives to determine comprehension by lay health consumers. FDA plans to test these technical terms and plain language alternatives using cognitive interviews with a small sample of 30 U.S. adults drawn from a diverse consumer panel.

We will elicit feedback on the terminology by showing participants a series of sentences, one at a time, that are examples of how the terms or phrases might be used in CDER communications to the public. Participants will see either the technical or plain language version of each sentence, and then answer questions about their comprehension of the information and suggested improvements to the wording. Through testing, we seek to obtain evidence about optimal wording choices for future CDER communications that will be better understood by consumers and patients.

This data collection is the 25th in a series of FDA rapid message tests submitted to OMB under generic clearance. These projects are part of FDA’s effort to make target audience testing part of its routine communication development processes. This project is in keeping with the spirit of the 2015 Executive Order[[2]](#footnote-2) to improve how information is presented to consumers by applying behavioral science insights, and it meets repeated calls from FDA’s Risk Communication and Advisory Committee to conduct message testing with targeted samples of the general public.

1. **Intended use of information:**

FDA’s contractor Westat will test the terminology with a small sample of target audience members to ensure the message meets its objectives without causing unintended negative effects. FDA’s Risk Communication and Advisory Committee includes renowned experts and researchers in social sciences, marketing, health literacy, and related fields. From its very first meeting in 2008, the Committee has consistently advised and reaffirmed that testing communications with the target audience is necessary for FDA, and that using small samples is an effective approach for testing and communicating in a timely manner. In fact, research has shown that “saturation,” or the point at which no new information or themes are observed, can occur with as few as 12 interviews, as described in Guest et al (2006).

FDA will use the collected interview data to refine its messaging by improving the comprehensibility for a higher public health impact. Specifically, FDA is asking Westat to gain insight to the following questions:

* Do participants understand the key words/phrases? If not, how does this inhibit their understanding of the messages?
* What suggestions do participants have for replacing and/or defining difficult to understand words/phrases?

The data collected will not be statistically representative of the target audience population. Therefore, the data will not be used for making policy or regulatory decisions.

1. **Description of respondents:**

We will conduct 30 45-minute interviews with U.S. adults. Westat has partnered with Plaza Research, a recruitment specialist to recruit respondents from its general population panel. Plaza Research tracks and stores all database member activity and assigns a unique ID number which stays with the member throughout their entire membership. These tracking records consist of profile information provided during enrollment, profile updates, and past focus group or in-depth interview involvement. Plaza Research monitors the quality of their data through various quality checks to save time and provide confidence in data accuracy. These quality checks include individual vetting of contact information, and review of enrollment data, as well as review of screener questions, rescreening of participants before participation, and client feedback on past focus group and interview response.

We will use a participant screener to recruit a mix of 15 consumers who have taken a prescription medicine in the past 30 days and 15 consumers who have not. We will primarily recruit lower education consumers for feedback on literacy and comprehension. To the extent possible, the participant pool will be diverse in terms of gender, age, race/ethnicity, and geography.

1. **Date(s) to be Conducted:**

We plan to conduct interviews in October 2021.

1. **How the Information is being collected:**

We will conduct all interviews remotely using telephone and screen sharing technology with participants on web-enabled devices such as desktop computers, laptops, or tablets. We will ensure that any materials provided to the participants for the test are compatible with these devices.

For each 45-minute interview, a trained interviewer will lead the discussion using a semi-structured interview guide that ensures consistency in major topics but allows flexibility in probing each participant on particular questions.

Note takers will chart their findings into a standardized reporting template so that all notes are organized in a consistent manner. Interviewers will review the notes to ensure accuracy. With the consent of participants, we will audio record each interview.

FDA staff will have the ability to listen to the interview sessions, and this will be made known to participants as part of the informed consent.

1. **Confidentiality of Respondents:**

We will provide all respondents with informed consent language that ensures they understand the project purpose, that their participation is voluntary, and that their responses will be kept secure to the extent permitted by law. As part of the consent procedure, respondents will be asked whether they allow audio recording of the interview. Recording will not begin before participants have had the opportunity to ask for any clarification and provide consent. Participants will be asked to again confirm their consent when recording begins. Participants who do not allow audio recording will not be invited to participate in the interview.

No participant’s identifiable information such as name will be included in the interview notes. All interview materials will be stored on a secure network drive, which will only be accessible to individuals granted access to work on the project. Interview notes will be zipped electronically and password-protected for email or secure file transfer delivery. Prior to forwarding any data to FDA, Westat will destroy all names and contact information of participants to protect their personal identity. Additionally, the interview notes and interpretive report delivered to FDA after message testing will omit all information that could be used to identify respondents.

All electronic data storage media that contain confidential, private, or proprietary information will be maintained within secure areas. Data collected in hard copy will be kept in locked cabinets when not in use.

FDA’s Institutional Review Board (IRB) reviewed this study and determined it is exempt from the requirements of 45 CFR §46.101b(2).

1. **Amount and justification for any proposed incentive**

For this project, Plaza Research will provide $50 incentives to participants at the end of each 45-minute interview in the form of a check. Plaza Research uses a “by-invitation-only” recruitment methodology and incentivizes panelists for any participation to maintain a quality filled panel. Panel members do not volunteer their time. Plaza’s incentive scale is based on set time increments and is applied equally across all study topics, sponsors, and data collection modes. The table below details the previous incentives approved by OMB for this series of rapid message tests with consumers and healthcare professionals (HCPs).

| **Project #** | **Communication Tested** | **Population** | **Interview Length/Incentive** | **OMB approval date** |
| --- | --- | --- | --- | --- |
| 1 | Clinical Trials Brochure | Consumers | 45 min/$50 | 8/4/2017 |
| 2 | Caregiver Tipsheet | Consumers | 30 min/$35 | 9/26/2017 |
| 3  | Public Service Announcement Video about Generic Drugs | Consumers | 30 min/$35 | 10/25/2017 |
| 4 | Opioid Analgesics Patient Counseling Guide | Consumers | 45 min/$50 | 11/27/2017 |
| 5 | Vaccines and Seniors Brochure | Consumers | 30 min/$35 | 5/10/2018 |
| 6  | Public Service Announcements about Safe Disposal of Opioids  | Consumers | 30 min/$35 | 7/26/2018 |
| 7 | Nicotine Dialogue Campaign Branding | Consumers | 30 min/$35 | 8/23/2018 |
| 8 | Testosterone Medication Guide | Consumers | 45 min/$50 | 10/12/2018 |
| 9 | Asthma Fact Sheet | Consumers | 30 min/$35 | 2/12/2019 |
| 10 | Transmucosal Immediate Release Fentanyl Risk Evaluation Mitigation Strategy Program Patient-Prescriber Agreement Form | Consumers | 45 min/$50 | 4/4/2019 |
| 11 | BeSafeRx Campaign Messages | Consumers | 45 min/$50 | 5/17/2019 |
| 12 | Safe Drug Disposal Notecard | Consumers | 30 min/$35 | 6/28/2019 |
| 13 | Medical Countermeasures | Consumers | 45 min/$50 | 9/10/2019 |
| 14 | Warnings on Opioid Packaging | Consumers | 30 min/$35 | 10/22/2019 |
| 15 | Messages About Cannabidiol (CBD) | Consumers | 30 min/$35 | 1/2/2020 |
| 16 | FDA’s Purple Book Website | Consumers | 45 min/$50 | 1/16/2020 |
| 17 | Storyboards about Safe Disposal of Opioids and Other Medicines | Consumers | 45 min/$50 | 4/14/2020 |
| 18 | Medication Guide Template for Buprenorphine Products | Consumers | 30 min/$35 | 5/13/2020 |
| 19 | Retest Warnings on Opioid Packaging | Consumers | 30 min/$35 | 6/19/2020 |
| 20 | Search and Rescue Website | HCPs | 45 min/$100 (primary care) or $150 (specialists) | 9/23/2020 |
| 21 | Drug Safety Communications About Misuse and Abuse of Over-the-Counter Medications | Consumers | 45 min/$50 | 12/9/2020 |
| 22 | Additional Testing of Drug Safety Communications About Misuse and Abuse of Over-the-Counter Medications | Consumers | 45 min/$50 | 3/12/2021 |
| 23 | Drug Safety Communication (DSC) Landing Page and Format | Consumers | 45 min/$50 | 4/14/2021 |
| 24 | Children’s Cough and Cold Consumer Update | Consumers andHCPs | 45 min/$5045 min/$100 (primary care) or $150 (specialists) | 6/3/2021 |

1. **Questions of a Sensitive Nature**

We do not anticipate asking any sensitive questions in the interviews. Instead, the questions will focus on individuals’ reactions to the messages and materials.

Nevertheless, respondents will be told that they may skip any question that they do not want to answer or may stop participating at any time.

1. **Description of Statistical Methods**

We do not plan to use formal statistical methods in this study but rather qualitative analysis methods. Our analysis approach is based on the Framework method, as described in Spencer et al (2003). Framework is a matrix-based approach to data management, which facilitates both case and theme-based analysis. The Framework method allows for data reduction through summarization and synthesis yet retains links to original data, in this case the interview notes. We will use the qualitative analysis software NVivo, which has included a Framework functionality since 2011. The software will allow us to import interview notes, create links between the notes and the Framework matrices, and develop new queries or matrices as needed.

The Framework method will allow us to recognize patterns within the data. Findings will be supported with verbatim participant quotes and grounded in accepted principles of health communications.

# Bibliography

Guest, G., Bunce, A., & Johnson, L. (2006). How many interviews are enough? An experiment with data saturation and variability. Field methods, 18(1), 59-82.

Spencer, L., Ritchie, J., & O'Connor, W. (2003). Analysis practices, principles and processes. In *Qualitative research practice.* London: Sage Publications.

**BURDEN HOUR COMPUTATION** *(Number of responses (X) estimated response or participation time in minutes (/60) = annual burden hours):*

|  |  |  |  |
| --- | --- | --- | --- |
| **Type/Category of Respondent** | **No. of Respondents** | **Participation Time (minutes)** | **Burden****(hours)** |
| Screener | 1500 | 3 | 75 |
| Interviews | 30 | 45 | 23 |
|  | **Total** | **98** |

**REQUESTED APPROVAL DATE: October 4, 2021**

**NAME OF PRA ANALYST & PROGRAM CONTACT:**

Ila S. Mizrachi

Paperwork Reduction Act Staff

Ila.Mizrachi@fda.hhs.gov

(301)796-7726

Brian Lappin

CDER/Office of Communications

Brian.Lappin@fda.hhs.gov

(301)796-9126

**FDA CENTER:** Center for Drug Evaluation and Research (FDA/CDER)

1. <https://www.govinfo.gov/app/details/PLAW-111publ274/summary> [↑](#footnote-ref-1)
2. <https://obamawhitehouse.archives.gov/the-press-office/2015/09/15/executive-order-using-behavioral-science-insights-better-serve-american> [↑](#footnote-ref-2)