MINIMUM DATA SET (MDS) - Version 3.0 **RESIDENT ASSESSMENT AND CARE SCREENING** Nursing Home PPS (NP) Item Set

| Sectio | n A | Identification Information |
|------------|--|--|
| A0050. T | Type of Record | |
| Enter Code | 2. Modify ex | record → Continue to A0100, Facility Provider Numbers isting record → Continue to A0100, Facility Provider Numbers e existing record → Skip to X0150, Type of Provider |
| A0100. F | Facility Provider N | lumbers |
| | A. National Provi | der Identifier (NPI): |
| | B. CMS Certificat | ion Number (CCN): |
| | C. State Provider | Number: |
| A0200. T | Гуре of Provider | |
| Enter Code | Type of provider 1. Nursing ho 2. Swing Bed | me (SNF/NF) |
| | Optional State Ass e only if A0200 = 1 | sessment |
| Enter Code | A. Is this assessm | nent for state payment purposes only? |
| A0310. 1 | 1. Yes | nt |
| Enter Code | 01. Admission 02. Quarterly 03. Annual as: 04. Significan 05. Significan | t change in status assessment t correction to prior comprehensive assessment t correction to prior quarterly assessment |
| Enter Code | 01. 5-day sche <u>PPS</u> <u>Unschedu</u> | <mark>d Assessment for a Medicare Part A Stay</mark> eduled assessment I <mark>led Assessment for a Medicare Part A Stay</mark> im Payment Assessment <u>sment</u> |
| Enter Code | E. Is this assessm 0. No 1. Yes | ent the first assessment (OBRA, Scheduled PPS, or Discharge) since the most recent admission/entry or reentry? |
| Enter Code | 11. Discharge | king record assessment- return not anticipated assessment- return anticipated acility tracking record |
| A031 | 0 continued on no | ext page |

Identifier

Date

| Sectio | n A | Identification Information | | | |
|------------|--|---|--------------------|--|--|
| A0310. T | ype of Assessment | - Continued | | | |
| Enter Code | G. Type of discharg 1. Planned 2. Unplanned | e - Complete only if A0310F = 10 or 11 | | | |
| Enter Code | G1. Is this a SNF Part A Interrupted Stay? 0. No 1. Yes | | | | |
| Enter Code | H. Is this a SNF Part 0. No 1. Yes | A PPS Discharge Assessment? | | | |
| A0410. U | Unit Certification or | Licensure Designation | | | |
| Enter Code | Unit is neither Medicare nor Medicaid certified and MDS data is not required by the State Unit is neither Medicare nor Medicaid certified but MDS data is required by the State Unit is Medicare and/or Medicaid certified | | | | |
| A0500. L | egal Name of Resid | lent | | | |
| | A. First name: | | B. Middle initial: | | |
| | C. Last name: | | D. Suffix: | | |
| A0600. S | Social Security and | Medicare Numbers | | | |
| | A. Social Security N | lumber: | | | |
| | B. Medicare numbe | - r: | | | |
| A0700. N | Aedicaid Number - | Enter "+" if pending, "N" if not a Medicaid recipient | | | |
| A0800. C | Gender | | | | |
| Enter Code | 1. Male 2. Female | | | | |
| A0900. E | Birth Date | | | | |
| | – Month | – Day Year | | | |
| A1000. F | ace/Ethnicity | | | | |
| 🔶 Che | ck all that apply | | | | |
| | A. American Indian | or Alaska Native | | | |
| | B. Asian | | | | |
| | C. Black or African | American | | | |
| | D. Hispanic or Latir | 10 | | | |
| | E. Native Hawaiian | or Other Pacific Islander | | | |
| | F. White | | | | |
| | | | | | |

Identifier

| Section A Identification Information | | | | |
|---|---|--|--|--|
| A1100. Language | | | | |
| 0. No \rightarrow Skip 1. Yes \rightarrow Spe | ent need or want an interpreter to communicate with a doctor or health care staff? o to A1200, Marital Status ecify in A1100B, Preferred language letermine → Skip to A1200, Marital Status uage: | | | |
| A1200. Marital Status | | | | |
| Enter Code 2. Married 3. Widowed 4. Separated 5. Divorced | ied | | | |
| A1300. Optional Resident | Items | | | |
| A. Medical record B. Room number: | | | | |
| | a resident prefers to be addressed: ation(s) - put "/" between two occupations: | | | |
| Most Recent Admission/Er A1600. Entry Date | ntry or Reentry into this Facility | | | |
| | | | | |
| Month | Day Year | | | |
| A1700. Type of Entry | | | | |
| Enter Code 1. Admission 2. Reentry | | | | |
| A1800. Entered From | | | | |
| 02. Another n 03. Acute hos 04. Psychiatric 05. Inpatient n 06. ID/DD faci 07. Hospice | c hospital rehabilitation facility | | | |
| A1900. Admission Date (D | Date this episode of care in this facility began) | | | |
| Month | – Day Year | | | |

| Sectio | n A | Identification Information | | | | |
|------------|---|---|--|--|--|--|
| | Discharge Date | | | | | |
| Complete | plete only if A0310F = 10, 11, or 12 | | | | | |
| | _ | _ | | | | |
| | Month | Day Year | | | | |
| A2100. D | Discharge Status | | | | | |
| Complete | only if A0310F = 1 | | | | | |
| Enter Code | | | | | | |
| | | ehabilitation facility | | | | |
| | 06. ID/DD facil | | | | | |
| | 07. Hospice | | | | | |
| | 08. Deceased | Care Hospital (LTCH) | | | | |
| | 99. Other | | | | | |
| A2200. F | Previous Assessme | ent Reference Date for Significant Correction | | | | |
| Complete | e only if A0310A = 0 | 5 or 06 | | | | |
| | - | _ | | | | |
| | Month | Day Year | | | | |
| A2300. A | Assessment Refere | nce Date | | | | |
| | Observation end d | ate: | | | | |
| | _ | _ | | | | |
| | Month | Day Year | | | | |
| A2400. N | Aedicare Stay | | | | | |
| Enter Code | A. Has the residen | t had a Medicare-covered stay since the most recent entry? | | | | |
| | | to B0100, Comatose | | | | |
| | | tinue to A2400B, Start date of most recent Medicare stay | | | | |
| | B. Start date of most recent Medicare stay: | | | | | |
| | _ | _ | | | | |
| | Month | Day Year | | | | |
| | C. End date of mo | st recent Medicare stay - Enter dashes if stay is ongoing: | | | | |
| | _ | - | | | | |
| | Month | Day Year | | | | |

Look back period for all items is 7 days unless another time frame is indicated

| Sectio | n B | Hearing, Speech, and Vision | | |
|------------|---|---|--|--|
| B0100. C | Comatose | | | |
| Enter Code | Persistent vegetative state/no discernible consciousness 0. No → Continue to B0200, Hearing 1. Yes → Skip to G0110, Activities of Daily Living (ADL) Assistance | | | |
| B0200. H | learing | | | |
| Enter Code | Ability to hear (with hearing aid or hearing appliances if normally used) 0. Adequate - no difficulty in normal conversation, social interaction, listening to TV 1. Minimal difficulty - difficulty in some environments (e.g., when person speaks softly or setting is noisy) 2. Moderate difficulty - speaker has to increase volume and speak distinctly 3. Highly impaired - absence of useful hearing | | | |
| B0300. H | learing Aid | | | |
| Enter Code | Hearing aid or other 0. No 1. Yes | r hearing appliance used in completing B0200, Hearing | | |
| B0600. S | peech Clarity | | | |
| Enter Code | 0. Clear speech 1. Unclear spee | ion of speech pattern - distinct intelligible words ch - slurred or mumbled words bsence of spoken words | | |
| B0700. N | Aakes Self Underst | ood | | |
| Enter Code | 0. Understood 1. Usually unde | eas and wants, consider both verbal and non-verbal expression rstood - difficulty communicating some words or finishing thoughts but is able if prompted or given time nderstood - ability is limited to making concrete requests understood | | |
| B0800. A | bility To Understa | nd Others | | |
| Enter Code | 0. Understands 1. Usually unde | bal content, however able (with hearing aid or device if used) - clear comprehension rstands - misses some part/intent of message but comprehends most conversation nderstands - responds adequately to simple, direct communication only understands | | |
| B1000. \ | /ision | | | |
| Enter Code | 0. Adequate - se 1. Impaired - se 2. Moderately in 3. Highly impair | quate light (with glasses or other visual appliances) sees fine detail, such as regular print in newspapers/books es large print, but not regular print in newspapers/books mpaired - limited vision; not able to see newspaper headlines but can identify objects red - object identification in question, but eyes appear to follow objects aired - no vision or sees only light, colors or shapes; eyes do not appear to follow objects | | |
| B1200. C | Corrective Lenses | | | |
| Enter Code | Corrective lenses (co 0. No 1. Yes | ontacts, glasses, or magnifying glass) used in completing B1000, Vision | | |

Section C

Identifier

Cognitive Patterns

| C0100. Should Brief Interview for Mental Status (C0200-C0500) be Conducted? | |
|--|-----|
| Attempt to conduct interview with all residents | |
| Enter Code 0. No (resident is rarely/never understood) -> Skip to and complete C0700-C1000, Staff Assessment for Mental Status | |
| 1. Yes → Continue to C0200, Repetition of Three Words | |
| | |
| Brief Interview for Mental Status (BIMS) | |
| C0200. Repetition of Three Words | |
| Ask resident: "I am going to say three words for you to remember. Please repeat the words after I have said all thr | ee. |
| The words are: sock , blue , and bed . Now tell me the three words." | |
| Number of words repeated after first attempt | |
| 0. None 1. One | |
| 2. Two | |
| 3. Three | |
| After the resident's first attempt, repeat the words using cues ("sock, something to wear; blue, a color; bed, a piece | |
| of furniture"). You may repeat the words up to two more times. | |
| C0300. Temporal Orientation (orientation to year, month, and day) | |
| Ask resident: "Please tell me what year it is right now." | |
| Enter Code A. Able to report correct year | |
| 0. Missed by > 5 years or no answer | |
| 1. Missed by 2-5 years | |
| 2. Missed by 1 year 3. Correct | |
| Ask resident: "What month are we in right now?" | |
| Enter Code B. Able to report correct month | |
| 0. Missed by > 1 month or no answer | |
| 1. Missed by 6 days to 1 month | |
| 2. Accurate within 5 days | |
| Ask resident: "What day of the week is today?" | |
| Enter Code C. Able to report correct day of the week | |
| 0. Incorrect or no answer | |
| 1. Correct C0400. Recall | |
| Ask resident: "Let's go back to an earlier question. What were those three words that I asked you to repeat?" | |
| If unable to remember a word, give cue (something to wear; a color; a piece of furniture) for that word. | |
| Enter Code A. Able to recall "sock" | |
| 0. No - could not recall | |
| 1. Yes, after cueing ("something to wear") | |
| 2. Yes, no cue required | |
| Enter Code B. Able to recall "blue" | |
| 0. No - could not recall | |
| Yes, after cueing ("a color") Yes, no cue required | |
| | |
| Enter Code C. Able to recall "bed" 0. No - could not recall | |
| 1. Yes, after cueing ("a piece of furniture") | |
| 2. Yes, no cue required | |
| C0500. BIMS Summary Score | |
| Enter Score Add scores for questions C0200-C0400 and fill in total score (00-15) | |
| Enter 99 if the resident was unable to complete the interview | |
| | |

| Sectio | n C | Cognitive Patterns | | | |
|---|--|--|--|--|--|
| C0600. | Should the Staff As | sessment for Mental Status (C0700 - C1000) be Conducted? | | | |
| Enter Code | Enter Code 0. No (resident was able to complete Brief Interview for Mental Status) → Skip to C1310, Signs and Symptoms of Delirium 1. Yes (resident was unable to complete Brief Interview for Mental Status) → Continue to C0700, Short-term Memory OK | | | | |
| Staff Ass | essment for Mental | Status | | | |
| Do not cor | nduct if Brief Interview | for Mental Status (C0200-C0500) was completed | | | |
| C0700. S | hort-term Memory | ок | | | |
| Enter Code | Seems or appears to recall after 5 minutes 0. Memory OK 1. Memory problem | | | | |
| C0800. L | ong-term Memory | ок | | | |
| Enter Code | Seems or appears to 0. Memory OK 1. Memory prob | blem | | | |
| - | /lemory/Recall Abili | | | | |
| ↓ Che | | nt was normally able to recall | | | |
| | A. Current season | | | | |
| | B. Location of own | room | | | |
| | C. Staff names and | faces | | | |
| | D. That he or she is | in a nursing home/hospital swing bed | | | |
| | Z. None of the abov | /e were recalled | | | |
| C1000. C | Cognitive Skills for [| Daily Decision Making | | | |
| Enter Code | Enter Code Made decisions regarding tasks of daily life 0. Independent - decisions consistent/reasonable 1. Modified independence - some difficulty in new situations only 2. Moderately impaired - decisions poor; cues/supervision required 3. Severely impaired - never/rarely made decisions | | | | |
| Delirium | | | | | |
| | inne and Comptaint | a of Delivium (from CAMa) | | | |
| | | s of Delirium (from CAM©) rview for Mental Status or Staff Assessment, and reviewing medical record | | | |
| | Onset Mental Status C | | | | |
| Enter Code | | an acute change in mental status from the resident's baseline? | | | |
| | | ↓ Enter Codes in Boxes | | | |
| Coding: | | B. Inattention - Did the resident have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said? | | | |
| 1. Beha | vior not present vior continuously | C. Disorganized Thinking - Was the resident's thinking disorganized or incoherent (rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject)? | | | |
| present, does not fluctuate 2. Behavior present, fluctuates (comes and | | D. Altered Level of Consciousness - Did the resident have altered level of consciousness, as indicated by any of the following criteria? | | | |
| | | vigilant - startled easily to any sound or touch | | | |
| | , changes in severity) | lethargic - repeatedly dozed off when being asked questions, but responded to voice or touch stuporous - very difficult to arouse and keep aroused for the interview comatose - could not be aroused | | | |
| Confusion As | ssessment Method. ©1988, | 2003, Hospital Elder Life Program. All rights reserved. Adapted from: Inouye SK et al. Ann Intern Med. 1990; 113:941-8. Used with permission. | | | |

Resident

Identifier

| Section D | Mood | | | | |
|--|---|------------------|---------------|--|--|
| D0100, Should Resident M | lood Interview be Conducted? - Attempt to conduct interview with a | ll residents | | | |
| | · | | | | |
| Enter Code 0. No (resident is rarely/never understood) → Skip to and complete D0500-D0600, Staff Assessment of Resident Mood (PHQ-9-OV) | | | | | |
| 1. Yes → Cont | inue to D0200, Resident Mood Interview (PHQ-9©) | | | | |
| | | | | | |
| D0200. Resident Mood I | | | | | |
| | <i>last 2 weeks, have you been bothered by any of the following p</i> (yes) in column 1, Symptom Presence. | broblems? | | | |
| | re resident: "About how often have you been bothered by this?" | | | | |
| | card with the symptom frequency choices. Indicate response in colu | mn 2, Symptom Fr | equency. | | |
| 1. Symptom Presence | 2. Symptom Frequency | _ | | | |
| 0. No (enter 0 in column | | 1. Symptom | 2. Symptom | | |
| 1. Yes (enter 0-3 in colun | | Presence | Frequency | | |
| No response (leave co blank) | 3. 12-14 days (nearly every day) | | | | |
| | 5. 12-14 days (hearly every day) | ↓ Enter Score | es in Boxes 🖌 | | |
| A. Little interest or pleasur | e in doing things | | | | |
| B. Feeling down, depressed | l, or hopeless | | | | |
| C. Trouble falling or stayin | C. Trouble falling or staying asleep, or sleeping too much | | | | |
| D. Feeling tired or having l | ittle energy | | | | |
| E. Poor appetite or overea | ting | | | | |
| F. Feeling bad about yourself - or that you are a failure or have let yourself or your family down | | | | | |
| G. Trouble concentrating on things, such as reading the newspaper or watching television | | | | | |
| H. Moving or speaking so slowly that other people could have noticed. Or the opposite - being so fidgety or restless that you have been moving around a lot more than usual | | | | | |
| I. Thoughts that you would be better off dead, or of hurting yourself in some way | | | | | |
| D0300. Total Severity Sc | ore | | | | |
| | frequency responses in Column 2, Symptom Frequency. Total score o complete interview (i.e., Symptom Frequency is blank for 3 or more i | | 00 and 27. | | |
| | | | | | |

Resident

| Section D Mood | | | | | |
|--|---|--------------------|---------------|--|--|
| Do not conduct if Resident Mood | D0500. Staff Assessment of Resident Mood (PHQ-9-OV*) Do not conduct if Resident Mood Interview (D0200-D0300) was completed | | | | |
| | resident have any of the following problems or behaviors? | | | | |
| | es) in column 1, Symptom Presence. m Frequency, and indicate symptom frequency. | | | | |
| 1. Symptom Presence 0. No (enter 0 in column 2) 1. Yes (enter 0-3 in column 2)2. Symptom Frequency 0. Never or 1 day 1. 2-6 days (several days) 2. 7-11 days (half or more of the days)1. 1. Symptom | | | | | |
| | 3. 12-14 days (nearly every day) | Enter Score | es in Boxes 🖌 | | |
| A. Little interest or pleasure i | n doing things | | | | |
| B. Feeling or appearing dowr | n, depressed, or hopeless | | | | |
| C. Trouble falling or staying a | asleep, or sleeping too much | | | | |
| D. Feeling tired or having little energy | | | | | |
| E. Poor appetite or overeating | | | | | |
| F. Indicating that s/he feels bad about self, is a failure, or has let self or family down | | | | | |
| G. Trouble concentrating on | G. Trouble concentrating on things, such as reading the newspaper or watching television | | | | |
| H. Moving or speaking so slowly that other people have noticed. Or the opposite - being so fidgety or restless that s/he has been moving around a lot more than usual | | | | | |
| I. States that life isn't worth living, wishes for death, or attempts to harm self | | | | | |
| J. Being short-tempered, easily annoyed | | | | | |
| D0600. Total Severity Score | | | | | |
| Enter Score Add scores for all free | equency responses in Column 2, Symptom Frequency. Total score must be | between 00 and 30. | | | |

| Section E Behavior | | | | |
|--|------------------------------|-------------|--|--|
| E0100. Potential Indicator | s of Psychosis | | | |
| Check all that apply | | | | |
| A. Hallucinations | (perceptual experiences in t | the absend | e of real external sensory stimuli) | |
| B. Delusions (misc | onceptions or beliefs that a | re firmly h | eld, contrary to reality) | |
| Z. None of the abo | ove | | | |
| Behavioral Symptoms | | | | |
| E0200. Behavioral Sympto | om - Presence & Frequei | ncy | | |
| Note presence of symptoms a | nd their frequency | | | |
| | | Enter C | odes in Boxes | |
| Coding: | | A. | Physical behavioral symptoms directed toward others (e.g., hitting, kicking, pushing, scratching, grabbing, abusing others sexually) | |
| Behavior fot exhibited Behavior of this type oc Behavior of this type oc | | В. | Verbal behavioral symptoms directed toward others (e.g., threatening others, screaming at others, cursing at others) | |
| Behavior of this type occurred 4 to 0 days, but less than daily Behavior of this type occurred daily | | С. | Other behavioral symptoms not directed toward others (e.g., physical symptoms such as hitting or scratching self, pacing, rummaging, public sexual acts, disrobing in public, throwing or smearing food or bodily wastes, or verbal/vocal symptoms like screaming, disruptive sounds) | |
| E0800. Rejection of Care - | Presence & Frequency | | | |
| Did the resident reject evaluation or care (e.g., bloodwork, taking medications, ADL assistance) that is necessary to achieve the resident's goals for health and well-being? Do not include behaviors that have already been addressed (e.g., by discussion or care planning with the resident or family), and determined to be consistent with resident values, preferences, or goals. Enter Code 0. Behavior not exhibited 1. Behavior of this type occurred 1 to 3 days 2. Behavior of this type occurred 4 to 6 days, but less than daily 3. Behavior of this type occurred daily | | | | |
| E0900. Wandering - Prese | nce & Frequency | | | |
| 2. Behavior of | | | ss than daily | |

Functional Status Section G

G0110. Activities of Daily Living (ADL) Assistance

Refer to the ADL flow chart in the RAI manual to facilitate accurate coding

Instructions for Rule of 3

- When an activity occurs three times at any one given level, code that level.
- When an activity occurs three times at multiple levels, code the most dependent, exceptions are total dependence (4), activity must require full assist every time, and activity did not occur (8), activity must not have occurred at all. Example, three times extensive assistance (3) and three times limited assistance (2), code extensive assistance (3).
- When an activity occurs at various levels, but not three times at any given level, apply the following:
- When there is a combination of full staff performance, and extensive assistance, code extensive assistance.

If none of the above are met, code supervision.

1. ADL Self-Performance

Code for resident's performance over all shifts - not including setup. If the ADL activity occurred 3 or more times at various levels of assistance, code the most dependent - except for total dependence, which requires full staff performance every time

Coding:

Activity Occurred 3 or More Times

- 0. Independent no help or staff oversight at any time
- 1. Supervision oversight, encouragement or cueing
- 2. Limited assistance resident highly involved in activity; staff provide guided maneuvering of limbs or other non-weight-bearing assistance
- 3. Extensive assistance resident involved in activity, staff provide weight-bearing support
- 4. Total dependence full staff performance every time during entire 7-day period

Activity Occurred 2 or Fewer Times

- 7. Activity occurred only once or twice activity did occur but only once or twice
- 8. Activity did not occur activity did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period
- positions body while in bed or alternate sleep furniture B. Transfer - how resident moves between surfaces including to or from: bed, chair, wheelchair, standing position (excludes to/from bath/toilet)
- C. Walk in room how resident walks between locations in his/her room

D. Walk in corridor - how resident walks in corridor on unit

- E. Locomotion on unit how resident moves between locations in his/her room and adjacent corridor on same floor. If in wheelchair, self-sufficiency once in chair
- F. Locomotion off unit how resident moves to and returns from off-unit locations (e.g., areas set aside for dining, activities or treatments). If facility has only one floor, how resident moves to and from distant areas on the floor. If in wheelchair, self-sufficiency once in chair
- G. Dressing how resident puts on, fastens and takes off all items of clothing, including donning/removing a prosthesis or TED hose. Dressing includes putting on and changing pajamas and housedresses
- H. Eating how resident eats and drinks, regardless of skill. Do not include eating/drinking during medication pass. Includes intake of nourishment by other means (e.g., tube feeding, total parenteral nutrition, IV fluids administered for nutrition or hydration)
- Toilet use how resident uses the toilet room, commode, bedpan, or urinal; transfers on/off toilet; cleanses self after elimination; changes pad; manages ostomy or catheter; and adjusts clothes. Do not include emptying of bedpan, urinal, bedside commode, catheter bag or ostomy bag
- J. Personal hygiene - how resident maintains personal hygiene, including combing hair, brushing teeth, shaving, applying makeup, washing/drying face and hands (excludes baths and showers)

2. ADL Support Provided

Code for **most support provided** over all shifts; code regardless of resident's selfperformance classification

Coding:

- 0. No setup or physical help from staff
- 1. Setup help only

1.

Self-Performance

- One person physical assist
- Two+ persons physical assist
- 8. ADL activity itself did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period

2.

Support

Enter Codes in Boxes A. Bed mobility - how resident moves to and from lying position, turns side to side, and

[•] When there is a combination of full staff performance, weight bearing assistance and/or non-weight bearing assistance code limited assistance (2).

| Section G Functional Status | | | | |
|---|----------------------------------|---|--|--|
| G0120. Bathing | | | | |
| dependent in self-performance | and support | ransfers in/out of tub/shower (excludes washing of back and hair). Code for most | | |
| Enter Code A. Self-performance 0. Independent - no help provided 1. Supervision - oversight help only 2. Physical help limited to transfer only 3. Physical help in part of bathing activity 4. Total dependence 8. Activity itself did not occur or family and/or non-facility staff provided care 100% of the time for that activity over the entire 7-day period | | | | |
| Enter Code B. Support provide (Bathing support | | i0110 column 2, ADL Support Provided, above) | | |
| G0300. Balance During Tra | | | | |
| After observing the resident, coo | de the following walking and | I transition items for most dependent | | |
| | - | Enter Codes in Boxes | | |
| Coding: | | A. Moving from seated to standing position | | |
| O. Steady at all times 1. Not steady, but <u>able</u> to standard | tabilize without staff | B. Walking (with assistive device if used) | | |
| assistance 2. Not steady, <u>only able</u> to stabilize with staff assistance 8. Activity did not occur | | C. Turning around and facing the opposite direction while walking | | |
| | | D. Moving on and off toilet | | |
| | | E. Surface-to-surface transfer (transfer between bed and chair or wheelchair) | | |
| G0400. Functional Limitati | on in Range of Motion | | | |
| Code for limitation that interfe | red with daily functions or plac | | | |
| Coding: | | Enter Codes in Boxes | | |
| 0. No impairment 1. Impairment on one side 2. Impairment on both sides | | A. Upper extremity (shoulder, elbow, wrist, hand) | | |
| | | B. Lower extremity (hip, knee, ankle, foot) | | |
| G0600. Mobility Devices | | | | |
| Check all that were normally used | | | | |
| A. Cane/crutch | | | | |
| B. Walker | | | | |
| C. Wheelchair (mar | າual or electric) | | | |
| D. Limb prosthesis | ; | | | |
| Z. None of the abo | ve were used | | | |

| Section GG | Functional Abilities and Goals - Admission (Start of SNF PPS Stay) |
|------------|--|
| | |

| GG0100. Prior Functioning: Everyday Activities. Indicate the resident's usual ability with everyday activities prior to the current illness, exacerbation, or injury Complete only if A0310B = 01 | | | | |
|--|---|--|--|--|
| Coding: 3. Independent - Resident completed the activities by him/herself, with or without an assistive device, with no assistance from a helper. 2. Needed Some Help - Resident needed partial | Enter Codes in Boxes A. Self-Care: Code the resident's need for assistance with bathing, dressing, using the toilet, or eating prior to the current illness, exacerbation, or injury. B. Indoor Mobility (Ambulation): Code the resident's need for assistance with walking from room to room (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury. | | | |
| assistance from another person to complete activities. 1. Dependent - A helper completed the activities for the resident. 8. Unknown. 9. Not Applicable. | C. Stairs: Code the resident's need for assistance with internal or external stairs (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury. D. Functional Cognition: Code the resident's need for assistance with planning | | | |
| GG0110. Prior Device Use. Indicate devices and a Complete only if A0310B = 01 | regular tasks, such as shopping or remembering to take medication prior to the current illness, exacerbation, or injury. | | | |
| Check all that apply | | | | |
| B. Motorized wheelchair and/or scooter | | | | |
| C. Mechanical lift | | | | |
| | | | | |
| Z. None of the above | | | | |

Section GG Functional Abilities and Goals - Admission (Start of SNF PPS Stay)

GG0130. Self-Care (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B)

Complete only if A0310B = 01

Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Resident completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. Partial/moderate assistance Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. Substantial/maximal assistance Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

| 1. Admission | 2. Discharge | |
|-----------------|----------------------|--|
| Performance | Goal s in Boxes ↓ | |
| | | A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident. |
| | | B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment. |
| | | C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment. |
| | | E. Shower/bathe self: The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower. |
| | | F. Upper body dressing: The ability to dress and undress above the waist; including fasteners, if applicable. |
| | | G. Lower body dressing: The ability to dress and undress below the waist, including fasteners; does not include footwear. |
| | | H. Putting on/taking off footwear: The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable. |

Section GG Functional Abilities and Goals - Admission (Start of SNF PPS Stay)

| GG0170. | Mobility | (Assessment p | period is days 1 | through 3 of the | SNF PPS Stay | starting with A2400B) |
|---------|----------|---------------|------------------|------------------|--------------|-----------------------|
|---------|----------|---------------|------------------|------------------|--------------|-----------------------|

Complete only if A0310B = 01

Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Resident completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. Partial/moderate assistance Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. Substantial/maximal assistance Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

| 1. Admission Performance | 2. Discharge Goal | |
|--------------------------------|-------------------------|---|
| 🗼 Enter Code | s in Boxes ↓ | |
| | | A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed. |
| | | B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed. |
| | | C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support. |
| | | D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed. |
| | | E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair). |
| | | F. Toilet transfer: The ability to get on and off a toilet or commode. |
| | | G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt. |
| | | I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space. If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170M, 1 step (curb) |
| | | J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns. |
| | | K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space. |

Section GG Functional Abilities and Goals - Admission (Start of SNF PPS Stay)

| GG0170. | Mobility (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B) - | Continued |
|----------|---|-----------|
| Complete | only if A0310B = 01 | |

Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Resident completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. Partial/moderate assistance Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. Substantial/maximal assistance Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

| 1. Admission Performance | 2. Discharge Goal | |
|--------------------------------|-------------------------|---|
| Enter Code | | |
| | | L. Walking 10 feet on uneven surfaces: The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel. |
| | | M. 1 step (curb): The ability to go up and down a curb and/or up and down one step. If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object |
| | | N. 4 steps: The ability to go up and down four steps with or without a rail. If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object |
| | | 0. 12 steps: The ability to go up and down 12 steps with or without a rail. |
| | | P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor. |
| | | Q1. Does the resident use a wheelchair and/or scooter? 0. No → Skip to GG0130, Self Care (Discharge) 1. Yes → Continue to GG0170R, Wheel 50 feet with two turns |
| | | R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns. |
| | | RR1. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized |
| | | S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space. |
| | | SS1. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized |

Section GG Functional Abilities and Goals - Discharge (End of SNF PPS Stay)

GG0130. Self-Care (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C)

Complete only if A0310G is not = 2 and A0310H = 1 and A2400C minus A2400B is greater than 2 and A2100 is not = 03

Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Resident completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. Partial/moderate assistance Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. Substantial/maximal assistance Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

| 3. Discharge Performance Enter Codes in Boxes | |
|--|--|
| ↓ ↓ | |
| | A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident. |
| | B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment. |
| | C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment. |
| | E. Shower/bathe self: The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower. |
| | F. Upper body dressing: The ability to dress and undress above the waist; including fasteners, if applicable. |
| | G. Lower body dressing: The ability to dress and undress below the waist, including fasteners; does not include footwear. |
| | H. Putting on/taking off footwear: The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable. |

Section GG Functional Abilities and Goals - Discharge (End of SNF PPS Stay)

GG0170. Mobility (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C)

Complete only if A0310G is not = 2 and A0310H = 1 and A2400C minus A2400B is greater than 2 and A2100 is not = 03

Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Resident completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. Partial/moderate assistance Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. Substantial/maximal assistance Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

| 3. Discharge Performance Enter Codes in Boxes | |
|--|--|
| ↓ ↓ | |
| | A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed. |
| | B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed. |
| | C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed with feet flat on the floor, and with no back support. |
| | D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed. |
| | E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair). |
| | F. Toilet transfer: The ability to get on and off a toilet or commode. |
| | G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/ close door or fasten seat belt. |
| | I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space. |
| | |
| | If discharge performance is coded 07, 09, 10, or 88 |
| | J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns. |
| | K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space. |

Section GG Functional Abilities and Goals - Discharge (End of SNF PPS Stay)

GG0170. Mobility (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C) - Continued Complete only if A0310G is not = 2 and A0310H = 1 and A2400C minus A2400B is greater than 2 and A2100 is not = 03

Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.

Coding:

Safety and **Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

Activities may be completed with or without assistive devices.

- 06. Independent Resident completes the activity by him/herself with no assistance from a helper.
- 05. Setup or clean-up assistance Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. Partial/moderate assistance Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. Substantial/maximal assistance Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

- 07. Resident refused
- 09. Not applicable Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
- 88. Not attempted due to medical condition or safety concerns

| 3. | | | | |
|----------------------|---|--|--|--|
| Discharge | | | | |
| Performance | | | | |
| Enter Codes in Boxes | | | | |
| | L. Walking 10 feet on uneven surfaces: The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel. | | | |
| | M. 1 step (curb): The ability to go up and down a curb and/or up and down one step. If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object | | | |
| | N. 4 steps: The ability to go up and down four steps with or without a rail. | | | |
| | If discharge performance is coded 07, 09, 10, or 88 🔶 Skip to GG0170P, Picking up object | | | |
| | O. 12 steps: The ability to go up and down 12 steps with or without a rail. | | | |
| | P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor. | | | |
| | Q3. Does the resident use a wheelchair and/or scooter? | | | |
| | 0. No -> Skip to H0100, Appliances | | | |
| | 1. Yes → Continue to GG0170R, Wheel 50 feet with two turns | | | |
| | R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns. | | | |
| | RR3. Indicate the type of wheelchair or scooter used. 1. Manual 2. Motorized | | | |
| | S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space. | | | |
| | SS3. Indicate the type of wheelchair or scooter used. 1. Manual | | | |
| | 2. Motorized | | | |

Date

| Sectio | n H | Bladder and Bowel | | | |
|--------------------------------|--|--|--|--|--|
| H0100. A | H0100. Appliances | | | | |
| 🔶 Che | eck all that apply | | | | |
| | A. Indwelling cathe | t er (including suprapubic catheter and nephrostomy tube) | | | |
| | B. External cathete | r | | | |
| | C. Ostomy (includin | g urostomy, ileostomy, and colostomy) | | | |
| | D. Intermittent cath | heterization | | | |
| | Z. None of the abov | /e | | | |
| H0200. L | Urinary Toileting Pr | ogram | | | |
| Enter Code | admission/entry of 0. No → Skip t 1. Yes → Cont | vileting program (e.g., scheduled toileting, prompted voiding, or bladder training) been attempted on for reentry or since urinary incontinence was noted in this facility? No H0300, Urinary Continence tinue to H0200C, Current toileting program or trial etermine — Continue to H0200C, Current toileting program or trial | | | |
| Enter Code | | program or trial - Is a toileting program (e.g., scheduled toileting, prompted voiding, or bladder training) currently nage the resident's urinary continence? | | | |
| H0300. L | Urinary Continence | | | | |
| Enter Code | 0. Always contin 1. Occasionally 2. Frequently in 3. Always incom | - Select the one category that best describes the resident nent incontinent (less than 7 episodes of incontinence) icontinent (7 or more episodes of urinary incontinence, but at least one episode of continent voiding) tinent (no episodes of continent voiding) ident had a catheter (indwelling, condom), urinary ostomy, or no urine output for the entire 7 days | | | |
| H0400. E | Bowel Continence | | | | |
| Enter Code | 0. Always contin 1. Occasionally 2. Frequently in 3. Always incom | Select the one category that best describes the resident nent incontinent (one episode of bowel incontinence) icontinent (2 or more episodes of bowel incontinence, but at least one continent bowel movement) tinent (no episodes of continent bowel movements) ident had an ostomy or did not have a bowel movement for the entire 7 days | | | |
| H0500. Bowel Toileting Program | | | | | |
| Enter Code | Is a toileting program 0. No 1. Yes | m currently being used to manage the resident's bowel continence? | | | |

| Sectio | n I Active Diagnoses |
|------------|--|
| | dicate the resident's primary medical condition category e only if A0310B = 01 or 08 |
| Enter Code | Indicate the resident's primary medical condition category that best describes the primary reason for admission 01. Stroke 02. Non-Traumatic Brain Dysfunction 03. Traumatic Spinal Cord Dysfunction 04. Non-Traumatic Spinal Cord Dysfunction 05. Traumatic Spinal Cord Dysfunction 06. Progressive Neurological Conditions 07. Other Neurological Conditions 08. Amputation 09. Hip and Knee Replacement 10. Fractures and Other Multiple Trauma 11. Other Orthopedic Conditions 12. Debility, Cardiorespiratory Conditions 13. Medically Complex Conditions 10. Other Conditions 10. Strate Conditions 10. Strate Conditions 10. Strate Conditions 10. Code |

- •

_

| Sect | IONI | Active Diagnoses |
|--------|------------|---|
| Active | e Diagn | oses in the last 7 days - Check all that apply |
| Diagno | oses liste | d in parentheses are provided as examples and should not be considered as all-inclusive lists |
| | Cancer | |
| | | Cancer (with or without metastasis) |
| | | Circulation |
| | | Anemia (e.g., aplastic, iron deficiency, pernicious, and sickle cell) |
| | | Coronary Artery Disease (CAD) (e.g., angina, myocardial infarction, and atherosclerotic heart disease (ASHD)) |
| | | Heart Failure (e.g., congestive heart failure (CHF) and pulmonary edema) |
| | 10700. | Hypertension |
| | 10800. | Orthostatic Hypotension |
| | 10900. | Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD) |
| | | intestinal |
| | | Ulcerative Colitis, Crohn's Disease, or Inflammatory Bowel Disease |
| | | urinary |
| | | Renal Insufficiency, Renal Failure, or End-Stage Renal Disease (ESRD) |
| | | Neurogenic Bladder |
| | | Obstructive Uropathy |
| | Infectio | |
| | | Multidrug-Resistant Organism (MDRO) |
| | | Pneumonia |
| | | Septicemia |
| | 12200. | Tuberculosis |
| | 12300. | Urinary Tract Infection (UTI) (LAST 30 DAYS) |
| | 12400. | Viral Hepatitis (e.g., Hepatitis A, B, C, D, and E) |
| | 12500. | Wound Infection (other than foot) |
| | Metab | |
| | 12900. | Diabetes Mellitus (DM) (e.g., diabetic retinopathy, nephropathy, and neuropathy) |
| | 13100. | Hyponatremia |
| | 13200. | Hyperkalemia |
| | 13300. | Hyperlipidemia (e.g., hypercholesterolemia) |
| _ | | oskeletal |
| | 13900. | Hip Fracture - any hip fracture that has a relationship to current status, treatments, monitoring (e.g., sub-capital fractures, and fractures of the trochanter and femoral neck) |
| | 14000. | Other Fracture |
| | Neurol | |
| | | Alzheimer's Disease |
| | | Aphasia |
| | 14400. | Cerebral Palsy |
| | 14500. | Cerebrovascular Accident (CVA), Transient Ischemic Attack (TIA), or Stroke |
| | 14800. | Non-Alzheimer's Dementia (e.g. Lewy body dementia, vascular or multi-infarct dementia; mixed dementia; frontotemporal dementia such as Pick's disease; and dementia related to stroke, Parkinson's or Creutzfeldt-Jakob diseases) |
| | 14900. | Hemiplegia or Hemiparesis |
| | 15000. | Paraplegia |
| | 15100. | Quadriplegia |
| | 15200. | Multiple Sclerosis (MS) |
| | 15250. | Huntington's Disease |
| | 15300. | Parkinson's Disease |
| | 15350. | Tourette's Syndrome |
| | | Seizure Disorder or Epilepsy |
| | | Traumatic Brain Injury (TBI) |
| | | |

Date

| Sect | ion l | Active Diagnoses | |
|--------|---|---|---------------------------------------|
| | | oses in the last 7 days - Check all that apply | |
| Diagno | ses liste | d in parentheses are provided as examples and should not be considered as all-inclusive lists | |
| | | Malnutrition (protein or calorie) or at risk for malnutrition | |
| | | tric/Mood Disorder | |
| | - | Anxiety Disorder | |
| | | Depression (other than bipolar) | |
| | | Bipolar Disorder | |
| | 15950. | Psychotic Disorder (other than schizophrenia) | |
| | 16000. | Schizophrenia (e.g., schizoaffective and schizophreniform disorders) | |
| | l6100. | Post Traumatic Stress Disorder (PTSD) | |
| | Pulmo | ary | |
| | 16200. | Asthma, Chronic Obstructive Pulmonary Disease (COPD), or Chronic Lung Disease (e.g., ch diseases such as asbestosis) | ronic bronchitis and restrictive lung |
| | 16300. | Respiratory Failure | |
| | Other | | |
| | Enter di A B C D E F G | Additional active diagnoses agnosis on line and ICD code in boxes. Include the decimal for the code in the appropriate box. | |
| | J | | |

| Sectio | n J | Health Conditions |
|-------------|----------------------------------|--|
| J0100. Pa | ain Management - 🤇 | Complete for all residents, regardless of current pain level |
| At any time | e in the last 5 days, has | ; the resident: |
| Enter Code | A. Received schedu | led pain medication regimen? |
| | 0. No | |
| | 1. Yes | |
| Enter Code | B. Received PRN pa | in medications OR was offered and declined? |
| | 0. No | |
| | 1. Yes | |
| Enter Code | C. Received non-me | edication intervention for pain? |
| | 0. No | |
| | 1. Yes | |
| | | |

J0200. Should Pain Assessment Interview be Conducted?

Attempt to conduct interview with all residents. If resident is comatose, skip to J1100, Shortness of Breath (dyspnea)

Enter Code

0. No (resident is rarely/never understood) → Skip to and complete J0800, Indicators of Pain or Possible Pain
 1. Yes → Continue to J0300, Pain Presence

| Pain As | ssessment Interview |
|---------------|--|
| J0300. I | Pain Presence |
| Enter Code | |
| | 0. No → Skip to J1100, Shortness of Breath |
| | Yes → Continue to J0400, Pain Frequency Unable to answer → Skip to J0800, Indicators of Pain or Possible Pain |
| 10400 | Pain Frequency |
| JU400. I | Ask resident: "How much of the time have you experienced pain or hurting over the last 5 days?" |
| Enter Code | |
| | 2. Frequently |
| | 3. Occasionally |
| | 4. Rarely |
| | 9. Unable to answer |
| J0500. F | Pain Effect on Function |
| | A. Ask resident: "Over the past 5 days, has pain made it hard for you to sleep at night?" |
| Enter Code | 0. No |
| | 1. Yes |
| | 9. Unable to answer |
| Enter Code | B. Ask resident: "Over the past 5 days, have you limited your day-to-day activities because of pain?" |
| Linter Code | 0. NO |
| | 1. Yes |
| | 9. Unable to answer |
| J0600. I | Pain Intensity - Administer ONLY ONE of the following pain intensity questions (A or B) |
| Faster Dating | A. Numeric Rating Scale (00-10) |
| Enter Rating | Ask resident: Preuse rate your worst pain over the last 5 days on a zero to ten scale, with zero being no pain and te |
| | as the worst pain you can imagine." (Show resident 00 -10 pain scale) |
| | Enter two-digit response. Enter 99 if unable to answer. |
| Enter Code | B. Verbal Descriptor Scale |
| | Ask resident: " <i>Please rate the intensity of your worst pain over the last 5 days</i> ." (Show resident verbal scale) 1. Mild |
| | 2. Moderate |
| | 3. Severe |
| | 4. Very severe, horrible |
| | 9. Unable to answer |
| | |

Health Conditions Section J

J0700. Should the Staff Assessment for Pain be Conducted?

Enter Code

0. No (J0400 = 1 thru 4) - Skip to J1100, Shortness of Breath (dyspnea)

1. Yes (J0400 = 9) - Continue to J0800, Indicators of Pain or Possible Pain

Staff Assessment for Pain

| J0800. Ind | J0800. Indicators of Pain or Possible Pain in the last 5 days | |
|---|---|--|
| 🗼 Chec | 🗼 Check all that apply | |
| | A. Non-verbal sounds (e.g., crying, whining, gasping, moaning, or groaning) | |
| | B. Vocal complaints of pain (e.g., that hurts, ouch, stop) | |
| | C. Facial expressions (e.g., grimaces, winces, wrinkled forehead, furrowed brow, clenched teeth or jaw) | |
| | D. Protective body movements or postures (e.g., bracing, guarding, rubbing or massaging a body part/area, clutching or holding a body part during movement) | |
| | Z. None of these signs observed or documented | |
| J0850. Frequency of Indicator of Pain or Possible Pain in the last 5 days | | |
| | | |

Frequency with which resident complains or shows evidence of pain or possible pain Enter Code

- 1. Indicators of pain or possible pain observed 1 to 2 days
- 2. Indicators of pain or possible pain observed 3 to 4 days
- 3. Indicators of pain or possible pain observed daily

| Other Health Conditions | | | |
|--------------------------------------|--|--|--|
| J1100. Shortness of Breath (dyspnea) | | | |
| 🗼 Che | ↓ Check all that apply | | |
| | A. Shortness of breath or trouble breathing with exertion (e.g., walking, bathing, transferring) | | |
| | B. Shortness of breath or trouble breathing when sitting at rest | | |
| | C. Shortness of breath or trouble breathing when lying flat | | |
| | Z. None of the above | | |
| J1400. P | rognosis | | |
| Enter Code | Does the resident have a condition or chronic disease that may result in a life expectancy of less than 6 months? (Requires physician documentation) 0. No 1. Yes | | |
| J1550. P | roblem Conditions | | |
| Check all that apply | | | |
| | A. Fever | | |
| | B. Vomiting | | |
| | C. Dehydrated | | |
| | D. Internal bleeding | | |
| | Z. None of the above | | |

Resident

| Section J | Health Conditions |
|---|--|
| J1700. Fall History on Admi | |
| Complete only if A0310A = 01 | |
| | ave a fall any time in the last month prior to admission/entry or reentry? |
| 0. No 1. Yes | |
| 9. Unable to det | ermine |
| Enter Code B. Did the resident h | ave a fall any time in the last 2-6 months prior to admission/entry or reentry? |
| 0. No | |
| 1. Yes | |
| 9. Unable to det | |
| | ave any fracture related to a fall in the 6 months prior to admission/entry or reentry? |
| 0. No 1. Yes | |
| 9. Unable to det | rermine |
| J1800. Any Falls Since Adm | ission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent |
| Enter Code Has the resident had recent? | any falls since admission/entry or reentry or the prior assessment (OBRA or Scheduled PPS), whichever is more |
| | o J2000, Prior Surgery |
| | tinue to J1900, Number of Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS) |
| | e Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent |
| | ↓ Enter Codes in Boxes |
| | A. No injury - no evidence of any injury is noted on physical assessment by the nurse or primary |
| | care clinician; no complaints of pain or injury by the resident; no change in the resident's |
| Coding: | behavior is noted after the fall |
| 0. None | D. Internet material alignment of the second s |
| 1. One | B. Injury (except major) - skin tears, abrasions, lacerations, superficial bruises, hematomas and |
| 2. Two or more | sprains; or any fall-related injury that causes the resident to complain of pain |
| | C. Major injury - bone fractures, joint dislocations, closed head injuries with altered |
| | consciousness, subdural hematoma |
| | |
| J2000. Prior Surgery - Comp | ete only if A0310B = 01 |
| Enter Code Did the resident have | major surgery during the 100 days prior to admission ? |
| 0. No | |
| 1. Yes 8. Unknown | |
| o. Unknown | |
| J2100. Recent Surgery Requ | uiring Active SNF Care - Complete only if A0310B = 01 or 08 |
| | a major surgical procedure during the prior inpatient hospital stay that requires active care during the SNF stay? |
| 0. No | |
| 1. Yes 8. Unknown | |
| | |

| Sect | ion J Health Conditions |
|-------|--|
| Surgi | cal Procedures - Complete only if J2100 = 1 |
| Ļ | Check all that apply |
| | Major Joint Replacement |
| | J2300. Knee Replacement - partial or total |
| | J2310. Hip Replacement - partial or total |
| | J2320. Ankle Replacement - partial or total |
| | J2330. Shoulder Replacement - partial or total |
| | Spinal Surgery |
| | J2400. Involving the spinal cord or major spinal nerves |
| | J2410. Involving fusion of spinal bones |
| | J2420. Involving lamina, discs, or facets |
| | J2499. Other major spinal surgery |
| | Other Orthopedic Surgery |
| | J2500. Repair fractures of the shoulder (including clavicle and scapula) or arm (but not hand) |
| | J2510. Repair fractures of the pelvis, hip, leg, knee, or ankle (not foot) |
| | J2520. Repair but not replace joints |
| | J2530. Repair other bones (such as hand, foot, jaw) |
| | J2599. Other major orthopedic surgery |
| | Neurological Surgery |
| | J2600. Involving the brain, surrounding tissue or blood vessels (excludes skull and skin but includes cranial nerves) |
| | J2610. Involving the peripheral or autonomic nervous system - open or percutaneous |
| | J2620. Insertion or removal of spinal or brain neurostimulators, electrodes, catheters, or CSF drainage devices |
| | J2699. Other major neurological surgery |
| | Cardiopulmonary Surgery |
| | J2700. Involving the heart or major blood vessels - open or percutaneous procedures |
| | J2710. Involving the respiratory system, including lungs, bronchi, trachea, larynx, or vocal cords - open or endoscopic |
| | J2799. Other major cardiopulmonary surgery |
| _ | Genitourinary Surgery |
| | J2800. Involving male or female organs (such as prostate, testes, ovaries, uterus, vagina, external genitalia) |
| | J2810. Involving the kidneys, ureters, adrenal glands, or bladder - open or laparoscopic (includes creation or removal of |
| | nephrostomies or urostomies) |
| | J2899. Other major genitourinary surgery |
| | Other Major Surgery |
| | J2900. Involving tendons, ligaments, or muscles |
| | J2910. Involving the gastrointestinal tract or abdominal contents from the esophagus to the anus, the biliary tree, gall bladder, liver, |
| | pancreas, or spleen - open or laparoscopic (including creation or removal of ostomies or percutaneous feeding tubes, or hernia repair) |
| | J2920. Involving the endocrine organs (such as thyroid, parathyroid), neck, lymph nodes, or thymus - open |
| | J2930. Involving the breast |
| | J2940. Repair of deep ulcers, internal brachytherapy, bone marrow or stem cell harvest or transplant |
| | J5000. Other major surgery not listed above |

| Section K Swallowing/Nutritional Status | | |
|--|-------------------------------|---------------------------|
| K0100. Swallowing Disorder | | |
| Signs and symptoms of possible swallowing disorder | | |
| Check all that apply | | |
| A. Loss of liquids/solids from mouth when eating or drinking | | |
| B. Holding food in mouth/cheeks or residual food in mouth after meals | | |
| C. Coughing or choking during meals or when swallowing medications | | |
| D. Complaints of difficulty or pain with swallowing | | |
| Z. None of the above | | |
| K0200. Height and Weight - While measuring, if the number is X.1 - X.4 round down; X.5 or greater | ater round up | |
| A. Height (in inches). Record most recent height measure since the most recent admission | on/entry or reentry | |
| B. Weight (in pounds). Base weight on most recent measure in last 30 days; measure wei facility practice (e.g., in a.m. after voiding, before meal, with shoes off, etc.) | ght consistently, accor | ding to standard |
| K0300. Weight Loss | | |
| Enter Code Loss of 5% or more in the last month or loss of 10% or more in last 6 months 0. No or unknown 0. 1. Yes, on physician-prescribed weight-loss regimen 2. Yes, not on physician-prescribed weight-loss regimen | | |
| K0310. Weight Gain | | |
| Enter Code Gain of 5% or more in the last month or gain of 10% or more in last 6 months 0. No or unknown 0. Yes, on physician-prescribed weight-gain regimen 2. Yes, not on physician-prescribed weight-gain regimen | | |
| K0510. Nutritional Approaches | | |
| Check all of the following nutritional approaches that were performed during the last 7 days | 1 | I |
| While NOT a Resident Performed while NOT a resident of this facility and within the last 7 days. Only check column 1 if resident entered (admission or reentry) IN THE LAST 7 DAYS. If resident last entered 7 or more days ago, leave column 1 blank While a Resident | 1. While NOT a Resident | 2. While a Resident |
| Performed <i>while a resident</i> of this facility and within the <i>last 7 days</i> | 🗼 Check all 🕯 | that apply 🖌 |
| A. Parenteral/IV feeding | | |
| B. Feeding tube - nasogastric or abdominal (PEG) | | |
| C. Mechanically altered diet - require change in texture of food or liquids (e.g., pureed food, thickened liquids) | | |
| D. Therapeutic diet (e.g., low salt, diabetic, low cholesterol) | | |
| Z. None of the above | | |

| Section K | Swallowing/Nutritional Status | | |
|---|---|--------------------------------|-------------------------------|
| K0710. Percent Intak | e by Artificial Route - Complete K0710 only if Column 1 and/or Co | olumn 2 are checked for K0510A | and/or K0510B |
| While a Resident Performed while a resident of this facility and within the last 7 days During Entire 7 Days Performed during the entire last 7 days | | 2. While a Resident | 3. During Entire 7 Days |
| | | 🔶 Ente | r Codes 🖌 |
| A. Proportion of total c 1. 25% or less 2. 26-50% 3. 51% or more | alories the resident received through parenteral or tube feeding | | |
| B. Average fluid intake 1. 500 cc/day or less 2. 501 cc/day or mo | | | |

| Section L | | Oral/Dental Status | |
|-----------|----------------------|---|--|
| L0200. D | L0200. Dental | | |
| 🔶 Che | ck all that apply | | |
| | A. Broken or loosel | y fitting full or partial denture (chipped, cracked, uncleanable, or loose) | |
| | F. Mouth or facial p | ain, discomfort or difficulty with chewing | |

Section M

Skin Conditions

Report based on highest stage of existing ulcers/injuries at their worst; do not "reverse" stage

| M0100. Determination of Pressure Ulcer/Injury Risk | |
|--|--|
| 🔶 Che | ck all that apply |
| | A. Resident has a pressure ulcer/injury, a scar over bony prominence, or a non-removable dressing/device |
| | B. Formal assessment instrument/tool (e.g., Braden, Norton, or other) |
| | C. Clinical assessment |
| | Z. None of the above |
| M0150. Risk of Pressure Ulcers/Injuries | |
| Enter Code | Is this resident at risk of developing pressure ulcers/injuries? |
| | 0. No |
| | 1. Yes |
| M0210. U | Jnhealed Pressure Ulcers/Injuries |
| Enter Code | Does this resident have one or more unhealed pressure ulcers/injuries? |
| | 0. No -> Skip to M1030, Number of Venous and Arterial Ulcers |
| | 1. Yes → Continue to M0300, Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage |

| Sectio | n M Skin Conditions |
|--------------|---|
| M0300. | Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage |
| | A. Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues |
| Enter Number | 1. Number of Stage 1 pressure injuries |
| | B. Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister |
| Enter Number | 1. Number of Stage 2 pressure ulcers - If 0 → Skip to M0300C, Stage 3 |
| Enter Number | 2. Number of <u>these</u> Stage 2 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry |
| Enter Number | C. Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling |
| | 1. Number of Stage 3 pressure ulcers - If 0 → Skip to M0300D, Stage 4 |
| Enter Number | Number of <u>these</u> Stage 3 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry |
| | D. Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling |
| Enter Number | 1. Number of Stage 4 pressure ulcers - If 0 → Skip to M0300E, Unstageable - Non-removable dressing/device |
| Enter Number | 2. Number of <u>these</u> Stage 4 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry |
| | E. Unstageable - Non-removable dressing/device: Known but not stageable due to non-removable dressing/device |
| Enter Number | Number of unstageable pressure ulcers/injuries due to non-removable dressing/device - If 0 |
| Enter Number | 2. Number of <u>these</u> unstageable pressure ulcers/injuries that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry |
| | F. Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar |
| Enter Number | 1. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar - If 0 → Skip to M0300G, Unstageable - Deep tissue injury |
| Enter Number | 2. Number of <u>these</u> unstageable pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry |
| | G. Unstageable - Deep tissue injury: |
| Enter Number | Number of unstageable pressure injuries presenting as deep tissue injury - If 0 -> Skip to M1030, Number of Venous and Arterial Ulcers |
| Enter Number | 2. Number of <u>these</u> unstageable pressure injuries that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry |

| Section M | | Skin Conditions | | |
|---|--|--|--|--|
| M1030. Number of Venous and Arterial Ulcers | | | | |
| Enter Numbe | Enter the total number of venous and arterial ulcers present | | | |
| M1040. | . Other Ulcers, Woun | ids and Skin Problems | | |
| ↓ (| Check all that apply | | | |
| | Foot Problems | | | |
| | A. Infection of the f | ioot (e.g., cellulitis, purulent drainage) | | |
| | B. Diabetic foot ulc | er(s) | | |
| | C. Other open lesio | n(s) on the foot | | |
| | Other Problems | | | |
| | D. Open lesion(s) ot | ther than ulcers, rashes, cuts (e.g., cancer lesion) | | |
| | E. Surgical wound(| 5) | | |
| | F. Burn(s) (second o | r third degree) | | |
| | G. Skin tear(s) | G. Skin tear(s) | | |
| | H. Moisture Associa | ated Skin Damage (MASD) (e.g., incontinence-associated dermatitis [IAD], perspiration, drainage) | | |
| | None of the Above | | | |
| | Z. None of the above | /e were present | | |
| M1200. | . Skin and Ulcer/Inju | ry Treatments | | |
| • | Check all that apply | | | |
| | A. Pressure reducin | ng device for chair | | |
| | B. Pressure reducin | g device for bed | | |
| | C. Turning/repositi | oning program | | |
| | D. Nutrition or hydr | ration intervention to manage skin problems | | |
| | E. Pressure ulcer/in | jury care | | |
| | F. Surgical wound o | are | | |
| | G. Application of nonsurgical dressings (with or without topical medications) other than to feet | | | |
| | H. Applications of a | pintments/medications other than to feet | | |
| | I. Application of dr | ressings to feet (with or without topical medications) | | |
| | Z. None of the above | /e were provided | | |

| Section N Medications | | |
|--|---|--|
| N0300. Injections | | |
| Enter Days | Record the number of days that injections of any type were received during the last 7 days or since admission/entry or reentry if less than 7 days. If 0 -> Skip to N0410, Medications Received | |
| N0350. I | nsulin | |
| Enter Days | A. Insulin injections - Record the number of days that insulin injections were received during the last 7 days or since admission/entry or reentry if less than 7 days | |
| Enter Days | B. Orders for insulin - Record the number of days the physician (or authorized assistant or practitioner) changed the resident's insulin orders during the last 7 days or since admission/entry or reentry if less than 7 days | |
| N0410. I | Nedications Received | |
| | he number of DAYS the resident received the following medications by pharmacological classification, not how it is used, during the s or since admission/entry or reentry if less than 7 days. Enter "0" if medication was not received by the resident during the last 7 days | |
| Enter Days | A. Antipsychotic | |
| Enter Days | B. Antianxiety | |
| Enter Days | C. Antidepressant | |
| Enter Days | D. Hypnotic | |
| Enter Days | E. Anticoagulant (e.g., warfarin, heparin, or low-molecular weight heparin) | |
| Enter Days | F. Antibiotic | |
| Enter Days | G. Diuretic | |
| Enter Days | H. Opioid | |
| N2001. D | Prug Regimen Review - Complete only if A0310B = 01 | |
| Enter Code | Did a complete drug regimen review identify potential clinically significant medication issues? 0. No - No issues found during review 1. Yes - Issues found during review | |
| N2002 A | 9. NA - Resident is not taking any medications | |
| | Nedication Follow-up - Complete only if N2001 =1 | |
| Enter Code | Did the facility contact a physician (or physician-designee) by midnight of the next calendar day and complete prescribed/ recommended actions in response to the identified potential clinically significant medication issues? 0. No 1. Yes | |
| N2005. Medication Intervention - Complete only if A0310H = 1 | | |
| Enter Code | Did the facility contact and complete physician (or physician-designee) prescribed/recommended actions by midnight of the next calendar day each time potential clinically significant medication issues were identified since the admission? 0. No 1. Yes 9. NA - There were no potential clinically significant medication issues identified since admission or resident is not taking any medication. | |
| | medications | |

| Sectio | Section O Special Treatments, Procedures, and Programs | | | | | |
|--|--|---|----------------------|----------------|--|--|
| O0100. Special Treatments, Procedures, and Programs | | | | | | |
| Check all c | of the following treatm | nents, procedures, and programs that were performed during the last 14 day | 'S | | | |
| Perfor reside ago, le | While NOT a Resident Performed while NOT a resident of this facility and within the last 14 days. Only check column 1 if resident entered (admission or reentry) IN THE LAST 14 DAYS. If resident last entered 14 or more days ago, leave column 1 blank While a Resident Resident | | | | | |
| Perfor | med while a resident | of this facility and within the <i>last 14 days</i> | 🗼 Check all 🕯 | that apply ↓ | | |
| Cancer Tr | eatments | | | | | |
| A. Chem | otherapy | | | | | |
| B. Radiat | tion | | | | | |
| Respirato | ry Treatments | | | | | |
| C. Oxyge | en therapy | | | | | |
| D. Suctio | ning | | | | | |
| E. Trache | eostomy care | | | | | |
| | - | ntor (ventilator or respirator) | | | | |
| Other | | | | | | |
| H. IV med | lications | | | | | |
| I. Transf | | | | | | |
| | | | | | | |
| J. Dialys | is | | | | | |
| K. Hospie | ce care | | | | | |
| M. Isolat precau | - | active infectious disease (does not include standard body/fluid | | | | |
| O0250. I | nfluenza Vaccine - | Refer to current version of RAI manual for current influenza vaccinati | on season and repo | orting period | | |
| Enter Code | A. Did the resident | receive the influenza vaccine in this facility for this year's influenza vaccina | ation season? | | | |
| | | to O0250C, If influenza vaccine not received, state reason ntinue to O0250B, Date influenza vaccine received | | | | |
| | B. Date influenza v | vaccine received \longrightarrow Complete date and skip to O0300A, Is the resident's Pn | eumococcal vaccinati | on up to date? | | |
| | | _ | | | | |
| | Month | Day Year | | | | |
| Enter Code C. If influenza vaccine not received, state reason: 1. Resident not in this facility during this year's influenza vaccination season 2. Received outside of this facility 3. Not eligible - medical contraindication 4. Offered and declined 5. Not offered 6. Inability to obtain influenza vaccine due to a declared shortage | | | | | | |
| | 9. None of the above | | | | | |
| O0300. Pneumococcal Vaccine | | | | | | |
| Enter Code | | Pneumococcal vaccination up to date? | | | | |
| | | inue to O0300B, If Pneumococcal vaccine not received, state reason to O0400, Therapies | | | | |
| Enter Code | | l vaccine not received, state reason: | | | | |
| | 1. Not eligible - medical contraindication | | | | | |
| | 2. Offered and 3. Not offered | uecimeu | | | | |

| Section O | Special Treatments, Procedures, and Programs |
|-------------------------|---|
| O0400. Therapies | |
| | A. Speech-Language Pathology and Audiology Services |
| Enter Number of Minutes | 1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days |
| Enter Number of Minutes | Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days |
| Enter Number of Minutes | 3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days |
| | If the sum of individual, concurrent, and group minutes is zero, |
| Enter Number of Minutes | 3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions in the last 7 days |
| Enter Number of Days | 4. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days |
| | 5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started 6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing |
| | |
| | Month Day Year Month Day Year B. Occupational Therapy Image: Comparison of the state of the |
| Enter Number of Minutes | Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days |
| Enter Number of Minutes | Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days |
| Enter Number of Minutes | 3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days |
| | If the sum of individual, concurrent, and group minutes is zero, |
| Enter Number of Minutes | 3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions in the last 7 days |
| Enter Number of Days | 4. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days |
| | 5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started 6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing |
| | A A A A A A A A A A A A A A A A A A A |
| O0400 continu | led on next page |

| Section O | Special Treatments, Procedures, and Programs |
|-------------------------|---|
| O0400. Therapies | - Continued |
| | C. Physical Therapy |
| Enter Number of Minutes | 1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days |
| Enter Number of Minutes | Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days |
| Enter Number of Minutes | 3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days |
| | If the sum of individual, concurrent, and group minutes is zero, -> skip to O0400C5, Therapy start date |
| Enter Number of Minutes | 3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions in the last 7 days |
| Enter Number of Days | 4. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days |
| | 5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started 6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing |
| | Month Day Year Month Day Year |
| Enter Number of Days | D. Respiratory Therapy |
| | 2. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days |
| | E. Psychological Therapy (by any licensed mental health professional) |
| Enter Number of Days | 2. Days - record the number of days this therapy was administered for at least 15 minutes a day in the last 7 days |
| O0420. Distinct C | alendar Days of Therapy |
| Enter Number of Days | Record the number of calendar days that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least 15 minutes in the past 7 days. |

| Section O | Special Treatments, Procedures, and Programs | |
|-------------------------|---|--|
| O0425. Part A The | rapies | |
| Complete only if A | 310H = 1 | |
| | A. Speech-Language Pathology and Audiology Services | |
| Enter Number of Minutes | Individual minutes - record the total number of minutes this therapy was administered to the resident individual since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| Enter Number of Minutes | Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| Enter Number of Minutes | 3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| | If the sum of individual, concurrent, and group minutes is zero, 🔶 skip to O0425B, Occupational Therapy | |
| Enter Number of Minutes | 4. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| Enter Number of Days | Days - record the number of days this therapy was administered for at least 15 minutes a day since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| | B. Occupational Therapy | |
| Enter Number of Minutes | 1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| Enter Number of Minutes | Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| Enter Number of Minutes | 3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| | If the sum of individual, concurrent, and group minutes is zero, -> skip to 00425C, Physical Therapy | |
| Enter Number of Minutes | 4. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| Enter Number of Days | Days - record the number of days this therapy was administered for at least 15 minutes a day since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| | C. Physical Therapy | |
| Enter Number of Minutes | 1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| Enter Number of Minutes | Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| Enter Number of Minutes | 3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| | If the sum of individual, concurrent, and group minutes is zero, \rightarrow skip to O0430, Distinct Calendar Days of Part A Therapy | |
| Enter Number of Minutes | 4. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| Enter Number of Days | Days - record the number of days this therapy was administered for at least 15 minutes a day since the start date of the resident's most recent Medicare Part A stay (A2400B) | |
| O0430. Distinct C | alendar Days of Part A Therapy | |
| Complete only if A | | |
| Enter Number of Days | Record the number of calendar days that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least 15 minutes since the start date of the resident's most recent Medicare Part A stay (A2400B) | |

| Section O | | Special Treatments, Procedures, and Programs | |
|-------------------|--|--|--|
| 00500. R | lestorative Nursin | g Programs | |
| | number of days eac none or less than 15 n | h of the following restorative programs was performed (for at least 15 minutes a day) in the last 7 calendar days: ninutes daily) | |
| Number of Days | Technique | | |
| | A. Range of motio | on (passive) | |
| | B. Range of motio | n (active) | |
| | C. Splint or brace | assistance | |
| Number of Days | Training and Skill F | Practice In: | |
| | D. Bed mobility | | |
| | E. Transfer | | |
| | F. Walking | | |
| | G. Dressing and/o | r grooming | |
| | H. Eating and/or s | wallowing | |
| | I. Amputation/pro | ostheses care | |
| | J. Communication | n de la constante de | |
| 00600. P | hysician Examina | tions | |
| Enter Days | Over the last 14 day | s, on how many days did the physician (or authorized assistant or practitioner) examine the resident? | |
| 00700. P | hysician Orders | | |
| Enter Days | Over the last 14 day | s, on how many days did the physician (or authorized assistant or practitioner) change the resident's orders? | |

| Section P | Restraints and Alarms | | |
|---|-----------------------|---|--|
| P0100. Physical Restraints | | | |
| | | device, material or equipment attached or adjacent to the resident's body that ment or normal access to one's body | |
| | + | Enter Codes in Boxes | |
| | | Used in Bed | |
| | | A. Bed rail | |
| | | B. Trunk restraint | |
| | | C. Limb restraint | |
| Coding: 0. Not used 1. Used less than daily | | D. Other | |
| 2. Used daily | | Used in Chair or Out of Bed | |
| | | E. Trunk restraint | |
| | | F. Limb restraint | |
| | | G. Chair prevents rising | |
| | | H. Other | |

| Section Q Participation in Assessment and Goal Setting | | |
|--|--|--|
| Q0100. P | Participation in Assessment | |
| Enter Code | A. Resident participated in assessment 0. No 1. Yes | |
| Enter Code | B. Family or significant other participated in assessment 0. No 1. Yes 9. Resident has no family or significant other | |
| Enter Code | C. Guardian or legally authorized representative participated in assessment 0. No 1. Yes 9. Resident has no guardian or legally authorized representative | |
| Q0300. F | Resident's Overall Expectation | |
| Complete | only if A0310E = 1 | |
| Enter Code | A. Select one for resident's overall goal established during assessment process Expects to be discharged to the community Expects to remain in this facility Expects to be discharged to another facility/institution Unknown or uncertain | |
| Enter Code | B. Indicate information source for Q0300A 1. Resident 2. If not resident, then family or significant other 3. If not resident, family, or significant other, then guardian or legally authorized representative 9. Unknown or uncertain | |
| Q0400. D | Discharge Plan | |
| Enter Code | A. Is active discharge planning already occurring for the resident to return to the community? 0. No 1. Yes → Skip to Q0600, Referral | |

| Section Q | | Participation in Assessment and Goal Setting | |
|------------|--|--|--|
| | 0490. Resident's Preference to Avoid Being Asked Question Q0500B omplete only if A0310A = 02, 06, or 99 | | |
| Enter Code | 0. No | s clinical record document a request that this question be asked only on comprehensive assessments? | |
| Q0500. I | Return to Commu | nity | |
| Enter Code | respond): "Do y | nt (or family or significant other or guardian or legally authorized representative if resident is unable to understand or you want to talk to someone about the possibility of leaving this facility and returning to live and ces in the community?" r uncertain | |
| Q0550. I | Resident's Prefere | nce to Avoid Being Asked Question Q0500B Again | |
| Enter Code | respond) want t assessments.) | ent (or family or significant other or guardian or legally authorized representative if resident is unable to understand or to be asked about returning to the community on <u>all</u> assessments? (Rather than only on comprehensive ocument in resident's clinical record and ask again only on the next comprehensive assessment | |
| Enter Code | Resident If not resider | nation source for Q0550A nt, then family or significant other nt, family or significant other, then guardian or legally authorized representative above | |
| Q0600. I | Referral | | |
| Enter Code | 0. No - referral | is or may be needed (For more information see Appendix C, Care Area Assessment Resources #20) | |

| Section X | Correction Request | | | |
|--|--|--|--|--|
| Identification of Record to I section, reproduce the informati | Complete Section X only if A0050 = 2 or 3 Identification of Record to be Modified/Inactivated - The following items identify the existing assessment record that is in error. In this section, reproduce the information EXACTLY as it appeared on the existing erroneous record, even if the information is incorrect. This information is necessary to locate the existing record in the National MDS Database. | | | |
| X0150. Type of Provider (A | 0200 on existing record to be modified/inactivated) | | | |
| Enter Code 1. Nursing hom 2. Swing Bed | e (SNF/NF) | | | |
| X0200. Name of Resident (/ | A0500 on existing record to be modified/inactivated) | | | |
| A. First name: C. Last name: | | | | |
| X0300. Gender (A0800 on e | xisting record to be modified/inactivated) | | | |
| Enter Code 1. Male 2. Female | | | | |
| X0400. Birth Date (A0900 o | n existing record to be modified/inactivated) | | | |
| Month | – Day Year | | | |
| X0500. Social Security Nun | nber (A0600A on existing record to be modified/inactivated) | | | |
| - | | | | |
| X0570. Optional State Asse | essment (A0300A on existing record to be modified/inactivated) | | | |
| Enter Code 0. No 1. Yes | nt for state payment purposes only? | | | |
| X0600. Type of Assessment | t (A0310 on existing record to be modified/inactivated) | | | |
| 01. Admission a 02. Quarterly re 03. Annual asse 04. Significant 05. Significant | change in status assessment correction to prior comprehensive assessment correction to prior quarterly assessment | | | |
| 01. 5-day sched | Assessment for a Medicare Part A Stay Iuled assessment ed Assessment for a Medicare Part A Stay Payment Assessment nent | | | |
| 11. Discharge a 12. Death in fac 99. None of the | ng record issessment- return not anticipated issessment- return anticipated cility tracking record e above | | | |
| Enter Code 0. No 1. Yes | A PPS Discharge Assessment? | | | |

| Section X | | Correction Request | | |
|---|---|--|--|--|
| X0700. Date on existing record to be modified/inactivated - Complete one only | | | | |
| | A. Assessment Reference Date (A2300 on existing record to be modified/inactivated) - Complete only if X0600F = 99 | | | |
| | _ | _ | | |
| | Month Day Year | | | |
| | B. Discharge Date (| A2000 on existing record to be modified/inactivated) - Complete only if X0600F = 10, 11, or 12 | | |
| | _ | _ | | |
| | | Day Year | | |
| | C. Entry Date (A160 | 0 on existing record to be modified/inactivated) - Complete only if X0600F = 01 | | |
| | - | _ | | |
| | | Day Year | | |
| | | on - Complete this section to explain and attest to the modification/inactivation request | | |
| X0800. C | Correction Number | | | |
| Enter Number | Enter the number of | f correction requests to modify/inactivate the existing record, including the present one | | |
| X0900 F | Reasons for Modific | ation - Complete only if Type of Record is to modify a record in error (A0050 = 2) | | |
| | eck all that apply | | | |
| | A. Transcription er | ror | | |
| | B. Data entry error | | | |
| | C. Software produc | t error | | |
| | D. Item coding error | | | |
| | Z. Other error requ If "Other" checked | | | |
| X1050. F | Reasons for Inactiva | ntion - Complete only if Type of Record is to inactivate a record in error (A0050 = 3) | | |
| 🕹 Che | eck all that apply | | | |
| | A. Event did not oc | cur | | |
| | Z. Other error requ | | | |
| | If "Other" checked | d, please specify: | | |
| X1100. F | N Assessment Coo | rdinator Attestation of Completion | | |
| | A. Attesting individ | lual's first name: | | |
| | | | | |
| | B. Attesting individ | lual's last name: | | |
| | _ | | | |
| | | | | |
| | C. Attesting individ | lual's title: | | |
| | D. Signature | | | |
| | E. Attestation date | | | |
| | | | | |
| | Month | Day Year | | |
| | | | | |

| Section Z | Assessment Administration | | | | |
|--|--------------------------------|--|--|--|--|
| Z0100. Medicare Part A Billing | | | | | |
| A. Medicare Part A | A. Medicare Part A HIPPS code: | | | | |
| B. Version code: | B. Version code: | | | | |
| Z0200. State Medicaid Billing (if required by the state) | | | | | |
| A. Case Mix group: | | | | | |
| B. Version code: | | | | | |
| Z0250. Alternate State Medicaid Billing (if required by the state) | | | | | |
| A. Case Mix group: | | | | | |
| B. Version code: | | | | | |
| Z0300. Insurance Billing | | | | | |
| A. Billing code: | | | | | |
| B. Billing version: | | | | | |

Resident

Identifier

| Section Z Assessment Administration | | | | | | |
|--|--|-------|----------|---------------------------|--|--|
| Z0400. Signature of Persons Completing the Assessment or Entry/Death Reporting | | | | | | |
| | I certify that the accompanying information accurately reflects resident assessment information for this resident and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for ensuring that residents receive appropriate and quality care, and as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that I may be personally subject to or may subject my organization to substantial criminal, civil, and/or administrative penalties for submitting false information. I also certify that I am authorized to submit this information by this facility on its behalf. | | | | | |
| | Signature | Title | Sections | Date Section Completed | | |
| | Α. | | | | | |
| | В. | | | | | |
| | С. | | | | | |
| | D. | | | | | |
| | Ε. | | | | | |
| | F. | | | | | |
| | G. | | | | | |
| | H. | | | | | |
| | l. | | | | | |
| | J. | | | | | |
| | К. | | | | | |
| | L. | | | | | |
| Z0500. Signature of RN Assessment Coordinator Verifying Assessment Completion | | | | | | |
| | A. Signature: B. Date RN Assessment Coordinator signed assessment as complete: | | | | | |
| | Month Day Year | | | | | |

Legal Notice Regarding MDS 3.0 - Copyright 2011 United States of America and interRAI. This work may be freely used and distributed solely within the United States. Portions of the MDS 3.0 are under separate copyright protections; Pfizer Inc. holds the copyright for the PHQ-9; Confusion Assessment Method. © 1988, 2003, Hospital Elder Life Program. All rights reserved. Adapted from: Inouye SK et al. Ann Intern Med. 1990; 113:941-8. Both Pfizer Inc. and the Hospital Elder Life Program, LLC have granted permission to use these instruments in association with the MDS 3.0.