



## U.S. Department of the Interior PRIVACY THRESHOLD ANALYSIS

The DI-4012 Privacy Threshold Analysis (PTA) form helps Department of the Interior (DOI) Privacy Officials determine whether a new or revised Privacy Impact Assessment (PIA) is required in accordance with the E-Government Act, OMB policy, and the [DOI PIA Guide](#), and identify other related compliance requirements for the collection, maintenance, storage, use, processing, sharing or disposal of personally identifiable information (PII) and any activities that may have privacy implications or otherwise impact individual privacy. See the [DOI PTA Guide](#) for guidance on completing the PTA. PTAs must be completed and submitted to the appropriate [Bureau/Office Associate Privacy Officer](#) for review and compliance determination. [Bureau/Office Associate Privacy Officers](#) are responsible for overseeing and managing PTAs for their organizations.

### Section 1. General Information

Question	Response
<b>A. Project, Program or System Name:</b>	Orphaned Well Site Plugging, Remediation, and Restoration Form
<b>B. Bureau or Office:</b>	Office of the Secretary Policy and Environmental Management (PEM)
<b>C. Program or Office:</b>	Office of Environmental Policy and Compliance
<b>D. Project/Program Manager or System Owner:</b>	Name: William Lodder Title: Environmental Cleanup and Liability Management Team Leader Phone: 202-208-6128 E-mail: <a href="mailto:william_lodder@ios.doi.gov">william_lodder@ios.doi.gov</a>
<b>E. Routing Information:</b>	<input checked="" type="checkbox"/> New PTA  <input type="checkbox"/> Updated PTA  Date of Submission: December 2, 2021

## Section 2. Project, Program or System Summary

Question	Response
<p><b>A. General description of the project, program or system.</b></p>	<p>The Interstate Oil and Gas Compact Commission (IOGCC) has created a form to be used to collect data from the states who intend to apply for Formula Grant Funding. This is a one-time collection of information associated with the award of formula grant funds for orphaned well site plugging, remediation, and restoration under the Bipartisan Infrastructure Law.</p>
<p><b>B. What is the technology or format used for the Project, Program or System?</b></p>	<p> <input type="checkbox"/> IT System  <input type="checkbox"/> New Project or Program  <input type="checkbox"/> Website  <input checked="" type="checkbox"/> Web Form, Survey or Information Collection  <input type="checkbox"/> Third-Party Website  <input type="checkbox"/> Social Media Application  <input type="checkbox"/> SharePoint  <input type="checkbox"/> Mobile Application  <input type="checkbox"/> Closed Circuit Television (CCTV)  <input type="checkbox"/> Paper-based Collection  <input type="checkbox"/> Other: <i>Describe.</i> </p>
<p><b>C. Does this project, program or system involve a form, survey or information collection?</b></p>	<p> <input checked="" type="checkbox"/> Yes:                      The IOGCC (non-government organization) has developed the form in order to manage the collection of data for states that will be applying for grant money.   <input type="checkbox"/> No                 </p>
<p><b>D. Is Office of Management and Budget (OMB) approval required for the collection?</b></p>	<p> <input checked="" type="checkbox"/> Yes:                      The U.S. Department of the Interior, Office of Environmental Policy and Compliance, is requesting an emergency clearance for information collection requirements associated with the one-time collection of information associated with the award of formula grant funds for orphaned well site plugging, remediation, and restoration under the Bipartisan Infrastructure Law. OMB approval is pending.   <input type="checkbox"/> No                 </p>

### Section 3. Personally Identifiable Information

Question	Response
<b>A. What categories of individuals does the Project, Program or System collect, maintain, store, use, process, disseminate or dispose of PII? Please select all that apply.</b>	<input type="checkbox"/> Federal employees <input type="checkbox"/> Contractors <input type="checkbox"/> Members of the public <input type="checkbox"/> Other: <i>Describe.</i> <input checked="" type="checkbox"/> This project, program or system does not collect, maintain or process PII
<b>B. What specific information about individuals will be created, collected, used, processed, maintained or disseminated?</b>	The information collected on the form is associated with the states.
<b>C. Is information retrieved by a unique identifier assigned to the individual?</b>	<input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No
<b>D. Is the information covered by a System of Records Notice (SORN)?</b>	<input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No
<b>E. Does the Project, Program or System collect or use Social Security Numbers (SSNs)?</b>	<input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No
<b>Does this Project, Program or System receive or share PII with any other internal DOI programs or systems?</b>	<input type="checkbox"/> Yes: <input checked="" type="checkbox"/> No

Question	Response
<b>G. Does this Project, Program or System receive or share PII with any external (non-DOI) partners or systems?</b>	___ Yes:  <u><b>X</b></u> No
<b>H. Is this external sharing pursuant to new or existing information sharing agreement (Memorandum of Understanding, Memorandum of Agreement, Letters of Intent, etc.)?</b>	___ Yes:  <u><b>X</b></u> No

### Section 4. PTA Review and Recommendation

Question	Response
<b>Privacy Compliance Determination:</b>	<input checked="" type="checkbox"/> PTA is sufficient at this time <input type="checkbox"/> Privacy Impact Assessment (PIA) required <input type="checkbox"/> Adapted PIA required <input type="checkbox"/> System of Records Notice (SORN) required <input type="checkbox"/> Notice of Proposed Rulemaking/Final Rule required <input type="checkbox"/> Computer Matching Notice required <input type="checkbox"/> Computer Matching Agreement required <input type="checkbox"/> Privacy Act Statement required <input type="checkbox"/> Privacy Notice required <input type="checkbox"/> Specialized role-based training required <input type="checkbox"/> Information sharing agreement required <input type="checkbox"/> Further privacy compliance review is required <input type="checkbox"/> Refer for Security Compliance Assessment <input type="checkbox"/> Refer for Information Collection Clearance Review <input checked="" type="checkbox"/> Refer for Records Review <input type="checkbox"/> Other:
<b>Bureau Associate Privacy Officer Comments:</b>	The form does not collect personally identifiable information. The information collected is associated with the states. The information is going to be imported to an Excel spreadsheet and saved on a shared drive. Since this is an internal government operation and the information is not be uploaded to a designated/centralized system a PIA is not required.
<b>Associate Privacy Officer Name:</b>	Danna Mingo
<b>Bureau/Office:</b>	OS Departmental Offices

DOI Privacy Office Comments