

ETA 9128 - REEMPLOYMENT SERVICES AND ELIGIBILITY ASSESSMENT WORKLOAD

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A. Facsimile of Form

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STATE	REGION	REPORT FOR PERIOD ENDING
1	Number of Claimants Scheduled for Their First DESE A	
1a	Scheduled Initial DESE A's Determined Ineligible for Participation	
2	Number of DESE A's Scheduled	
2a	Initial Scheduled	
2b	Subsequent Scheduled	
3	Number of DESE A's Completed	
3a	Initial Completed	
3b	Subsequent Completed	
4	Number Departing for Reemployment Services or Training	
5	Number Departing for Reemployment Services	
6	Number Departing for Training	
7	Number of Completed DESE A's Resulting in A Disqualification or Overpayment	
8	Number of Disqualifications for Separation Issues	
9	Number of Disqualifications for Able And Available Issues	
10	Number of Disqualifications for Disqualifying Income	
11	Number of Disqualifications for Refusal of Suitable Work	
12	Number of Disqualifications for Other Issues	
13	Number of Completed DESE A's Resulting in An Overpayment	
14	Dollar Amount of Overpayments Established	
15	Number of DESE A's for Which The Claimant Failed to Depart	
15a	Initial Claimant Failed to Depart	
15b	Subsequent Claimant Failed to Depart	
16	Number of DESE A's Rescheduled Without Disqualification	
17	Number Disqualified for Failure to Depart under Departing Requirements	
18	Number Disqualified for Failure to Depart under Issues Other Than Departing Requirements	
19	Number of Overpayments for Failure to Depart	
20	Dollar Amount of Overpayments for Failure to Depart	
21	Number Who Failed to Depart and Were Not Disqualified or Rescheduled	
22	Number Who Failed to Depart Who Returned to Work	

Comments:

OMB No.: 1205-0456

OMB Expiration Date: [9/30/2022](#)

Estimated Average Response Time: 15 minutes

OMB Burden Statement: These reporting instructions have been approved under the Paperwork reduction Act of 1995. Persons are not required to respond to this collection of information unless it displays a valid OMB control number. Public reporting burden for this collection of information includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Submission is required to obtain or retain benefits under SSA 303(a) (6). Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Unemployment Insurance, Room S-4524, 200 Constitution Ave., NW, Washington, DC, 20210.

B. Purpose

The ETA 9128 report provides quarterly information on the Reemployment Services and Eligibility Assessment (RESEA) activities of claimants who are most likely to exhaust their Unemployment Insurance (UI) benefits and are selected to participate in the RESEA program. RESEAs provide in-person service in American Job Centers for claimants including the development of an individualized work search plan, provision of appropriate labor market information (LMI) and reemployment services as appropriate. The data on this report allows for evaluation and monitoring of the RESEA program.

C. Due Date and Transmittal

The electronically submitted report is due in the ETA National Office on the 20th of the second month following the quarter of reference (May 20, August 20, November 20, and February 20).

D. General Reporting Instructions

Data on this report deals with individuals who are receiving regular UI and Unemployment Compensation for Federal Employees (UCFE) and who are selected for an RESEA. Extended benefits programs are not included in this report. State Workforce Agencies (SWAs) should ensure that systems are in place to collect information on service referrals.

Data are reported for the quarter in which the activity occurred. The activity being reported could occur in one or more quarters after the quarter in which the individual received a RESEA.

Individuals are counted only once in any single service category for each RESEA as either referred and reporting to reemployment services and/or training.

E. Item by Item Instructions

1. Number of claimants scheduled for their first RESEA. Enter the number of claimants who were scheduled for the first RESEA of their current benefit year during the report quarter. If the claimant failed to report for the first RESEA of the current benefit year and has been rescheduled, the rescheduled RESEA should not be counted in this element.

1a. **Scheduled Initial RESEAs Determined Ineligible for Participation.** Enter the number of scheduled RESEAs from Item #1 that were later identified as being incorrectly selected or ineligible for RESEA participation before the initial RESEA meeting occurs. The identification of claimants determined to be incorrectly selected or ineligible for RESEA will depend on state specific UI and RESEA program requirements. Common examples include instances where a claimant is in approved training; receiving UC benefits other than regular UI, such as extended benefits; has a return to work date; secures work only through a union hiring hall; was disqualified from benefits for a non-related eligibility issue; or the claim has

been determined to be fraudulent as a result of identity fraud. Once an individual is counted in this line item, they should not be included in any other subsequent items on the report.

2. **Number of RESEAs scheduled.** Enter the number of RESEAs for which an official notice was sent to the claimant instructing the claimant to report to the American Job Center. This number includes both those scheduled RESEAs for which the claimant reported as directed, which are reported in item 3, and those scheduled RESEAs for which the claimant failed to report, which are reported in item 15. It also includes rescheduled RESEAs for those claimants who failed to report. It does not include those RESEAs for which the claimant cancelled in advance and which were rescheduled with no disqualification.

2a. Enter the number of RESEAs for which an official notice was sent to the claimant instructing the claimant to report to RESEA services. This number includes both those scheduled initial RESEAs for which the claimant reported as directed, which are reported in line 3a, and those scheduled Initial RESEAs for which the claimant failed to report, which are reported in line 15a. It also includes rescheduled initial RESEAs for those claimants who failed to report. It does not include those initial RESEAs for which the claimant cancelled in advance and which were rescheduled with no disqualification. **Initial Scheduled**

2b . **Subsequent Scheduled.** Enter the number of subsequent RESEAs for which the claimant was instructed to report for additional RESEA services. This number includes both those scheduled subsequent RESEAs for which the claimant reported as directed, which are reported in line 3b, and those scheduled subsequent RESEAs for which the claimant failed to report, which are reported in line 15b. It also includes rescheduled subsequent RESEAs for those claimants who failed to report for their subsequent RESEA. It does not include those subsequent RESEAs for which the claimant cancelled in advance and which were rescheduled with no disqualification.

3. **Number of RESEAs completed.** Enter the number of completed RESEAs to which the claimant reported as directed. Include RESEAs that were conducted for claimants who were rescheduled for an RESEA after missing an appointment. The sum of item 3 and item 15 should equal item 2 over a series of calendar quarters as claimants may be scheduled in one quarter and report in a subsequent quarter.

3a. Enter the number of completed RESEAs to which the claimant **Initial completed** reported as directed. Include RESEAs that were conducted for claimants who were rescheduled for an RESEA after missing an appointment.

3b. Subsequent completed. Enter the number of completed subsequent RESEAs to which the claimant reported as directed. Include Subsequent RESEAs that were conducted for claimants who were rescheduled for a subsequent RESEA after missing an appointment.

4. Number reporting for reemployment services or training. Enter the number of claimants reporting for reemployment services or training as a direct result of a RESEA. Each RESEA should result in a referral to reemployment services or training. A claimant should be reported in this item for each completed RESEA when s/he reports to reemployment services or training. For each RESEA, claimants should be reported only once in items 5 or 6 below, not in both categories. Item 4 is the sum of item 5 and item 6. If the claimant reported to both reemployment services and training the claimant should be counted in item 6 and not in item 5.
5. Number reporting for reemployment services. Enter the number of claimants reported in item 4 who reported for reemployment services, but not training, as a direct result of referral from a RESEA.
6. Number reporting for training. Enter the number of claimants reported in item 4 who reported for training as a direct result of a referral from a RESEA.
7. Number of completed RESEAs resulting in a disqualification or overpayment. Enter the number of completed RESEAs which result in a disqualification or an overpayment is established. RESEAs for which the claimant was both disqualified and had an overpayment should only be counted once for each RESEA. Likewise, RESEAs for which there were multiple disqualifications should only be counted once in this item. The disqualification or overpayment must be the direct result of the completed RESEA.
8. Number of disqualifications for separation issues. Enter the number of disqualifications that were issued based on separation issues that were identified during an RESEA.
9. Number of disqualifications for able and available issues. Enter the number of disqualifications that were issued based on able and available issues that were identified during an RESEA.
10. Number of disqualifications for disqualifying income. Enter the number of disqualifications that were issued based on disqualifying income that was identified during an RESEA.
11. Number of disqualifications for refusal of suitable work. Enter the number of disqualifications that were issued based on the refusal of suitable work or refusal of a referral to suitable work that was identified during an RESEA.
12. Number of disqualifications for other issues. Enter the number of disqualifications that were issued based on an issue that was identified during an RESEA and is not covered in items 8 through 11.
13. Number of completed RESEAs resulting in an overpayment. Enter the number of completed RESEAs in which an overpayment was identified as a direct result of the RESEA and officially established.
14. Dollar amount of overpayments established. Enter the total dollar amount of overpayments included in item 13.

15. Number of RESEAs for which the claimant failed to report. Enter the total of lines 15a and 15b. Initial RESEAs counted in this item should also be counted in items 16, 17, 18, or 21. The sum of item 15a and item 3a should equal item 2a over a series of calendar quarters as claimants may be scheduled in one quarter and fail to report in a subsequent quarter.

15a. Initial Claimant Failed to Report. Enter the number of initial RESEAs for which a claimant was scheduled to report and failed to do so. This number includes those claimants who were sent an official notice to report for an Initial RESEA and who did not report as directed. It includes claimants who failed to report and who were subsequently rescheduled for an Initial RESEA at a different time. It does not include Initial RESEAs that were cancelled in advance by the claimant and for which no disqualification was issued.

15b Enter the number of Subsequent RESEAs for which a claimant was scheduled to report and failed to do so. This number includes those claimants who were notified to report for a Subsequent RESEA and who did not report as directed. It includes claimants who failed to report and who were subsequently rescheduled for a Subsequent RESEA at a different time. It does not include Subsequent RESEAs that were cancelled in advance by the claimant and for which no disqualification was issued. **Subsequent Claimant Failed to Report.** .

16. Number of RESEAs rescheduled without disqualification. Enter the number of RESEAs for which a claimant failed to report which were rescheduled without disqualification. The rescheduled RESEAs should also be counted in item 2.

17. Number disqualified for failure to report under reporting requirements. Enter the number of RESEAs for which the claimant failed to report and for which the claimant was disqualified for failing to meet the reporting requirements of state law.

18. Number disqualified for failure to report under issues other than reporting requirements. Enter the number of RESEAs for which the claimant failed to report and for which the claimant was disqualified for an issue other than the failure to report.

19. Number of overpayments for failure to report. Enter the number of RESEAs, counted in item 15, for which an overpayment was established due to the failure to report for the RESEA.

20. Dollar amount of overpayments for failure to report. Enter the total dollar amount of overpayments established in item 19 above.

21. Number who failed to report and were not disqualified or rescheduled. Enter the number of RESEAs for which the claimant failed to report and was neither disqualified nor rescheduled. Claimants who were rescheduled and counted in item 16 should not be counted in item 21.

22. Number who failed to report who returned to work. Enter the number of claimants who failed to report, reported in item 21 above, as a result of having returned to work. This information should be obtained from the National Directory of New Hires and may be augmented with information from other sources.