

Must be completed and signed by LENDER



OMB Control Number 3245-0365
Expiration Date XX/XX/XXXX

Date: Click here to enter a date.
Lender's Legal Name Click or tap here to enter text.
FIRS Click or tap here to enter text.

Point of Contact Information:

Name Click or tap here to enter text.
Title Click or tap here to enter text.
Email Address Click or tap here to enter text.
Phone Number Click or tap here to enter text.

Lenders seeking to obtain delegated authority are required to submit the information requested below to SBA. In making its decision to grant delegated authority, SBA considers criteria contained in 13 CFR 120.440. In conjunction with this, SBA considers whether, in SBA's discretion, the Lender is in good standing with SBA as defined in 13 CFR § 120.420(f) and with its state regulator, as applicable; and if applicable, is considered Satisfactory by its Federal Financial Institution Regulator (as determined by SBA and based on, for example, information in published orders/agreements and call reports) (13 CFR § 120.410(e)). The information assists SBA in its evaluation of the Lender's ability to evaluate, process, close, disburse, service, liquidate, and litigate SBA loans; whether the lender has satisfactory SBA performance; is in compliance with SBA Loan Program Requirements, or exhibits other risk factors. Submission of this information is required to obtain delegated authority.

****Please note, in general, for information that has already been provided by a Lender but is unchanged, the Lender may certify that the information was already provided and is unchanged in lieu of resubmitting the information. The certification that must accompany this document submission must also state to whom and on what date the information was provided to SBA.**

The Lender is requesting the following Delegated Authority(ies)

- SBA Express Export Express PLP PLP-EWCP

(Lender Name)(FIRS#) Please note: This form must be filled out by the Lender and returned to the local SBA District Office along with all applicable attachments.

PLEASE NOTE: You are not required to respond to any collection of information unless it displays a currently valid OMB Approval number. The number for this collection of information is 3245-0365. The total estimated time to respond to this collection of information, including gathering and maintaining the data needed, and completing and reviewing the collection of information, is 150 minutes. You may send comments or questions regarding this estimated time or any other aspect of this collection of information including suggestions for reducing the time or other burden to: Director, Records Management Division, 409 Third Street, S.W., Washington D. C. 20416, and Desk Officer for the Small Business Administration, Office of Management and Budget, New Executive Office Building, Room 10202, Washington DC 20503. **PLEASE DO NOT SEND FORMS TO THESE ADDRESSES.**

The Request is (select all that apply): Nomination Additional Authority Reinstatement

Must be completed for ALL Delegated Authority Requests	Attached
<p>Signed and dated letter, on the Lender's letterhead, supporting compliance with SBA SOP 50 10 6, Part 1, certification statement: Attach a written statement that to the best of its knowledge, the Lender has satisfactory: (a) financial condition (i.e., is deemed well-capitalized based on size of entity, has sufficient liquid assets, etc.); (b) small business credit administration policies, procedures, and practices that it continues to adhere to in its operations; and (c) small business servicing policies, procedures, and practices that it continues to adhere to in its operations. See SOP 50 10 6, Part 1 for guidance. If the Lender operates under a regulatory order, agreement, or enforcement action concerning weaknesses in its loan administration (processing, underwriting and servicing), management, or capital please state, as appropriate, whether the Lender is complying with the instructions as prescribed in the agreement/order.</p>	<input type="checkbox"/>
<p>Statement and/or Documentation that reflects 7(a) training obtained. The information should identify the topic, date, and attendees and must support that the Lender has been trained on current 7(a) origination, servicing, liquidation, and litigation requirements. This includes, but is not limited to, training that incorporates statutes, regulations, SOPs, and Notices as well as training on SBA's Capital Access Financial System (most notably E-Tran).</p>	<input type="checkbox"/>

Required if Requesting PLP	Attached
<p>Signed and dated letter, on the Lender's letterhead, supporting compliance with SBA SOP 50 10 6, Part 1, Section A, Chapter 1, Paragraph E.2.c.ii., which includes:</p> <ol style="list-style-type: none"> a. Legal name and address of Lender; b. Legal name of any holding company of Lender; c. Name, title, address, phone number, email address, and fax number for contact person at Lender; d. Lender's Lead District Office; e. A copy of the Lender's SBA Form 750 and 750B, (if applicable); f. If Lender was previously a PLP Lender, an explanation of why the Lender left the Preferred Lenders Program; g. A description of the Lender's history, organization, and management, including: <ol style="list-style-type: none"> i. When the Lender was chartered; ii. Any recent mergers or acquisitions; iii. Personnel who will be in charge of PLP loan activities for the Lender, have PLP loan approval authority, and their experience with the Lender, in the industry, and with SBA loans, including any training they have received; iv. Where and how PLP loans will be processed, closed, serviced, and liquidated; and h. A good standing/satisfactory statement (as described in SOP 50 10 6, Part 1, Section A, Chapter 1, Paragraph E.1.a.v.a.). 	<input type="checkbox"/>

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Statement to support that the Lender has fully disbursed at least 10 7(a) SBA loans within the past 24 months (acquired and PPP loans do not count towards the required minimum).	<input type="checkbox"/>
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Required if Requesting SBA Express	Attached
<p>If the Lender has less than three (3) years of 7(a) SBA lending experience, the package must document twenty (20) small commercial loans of \$350,000 or less in its portfolio at its most recent fiscal year end.</p> <ul style="list-style-type: none"> • Three years of SBA lending experience is described as having disbursed non-delegated SBA 7(a) loans within the previous 36 months. OCRM must be able to confirm these loans. 	<input type="checkbox"/>

Required if Requesting Export Express	Attached
Lenders that currently have SBA Express authority that would like to make Export Express loans must submit a request to SBA. The request should be submitted to the Lender's Lead District Office or SBA Export Finance Managers the lending unit works with on Export Express loans.	<input type="checkbox"/>
Existing 7(a) Lenders that do not have SBA Express authority and would like to participate in the Export Express Program must submit a request to its Lead District Office or USEAC.	<input type="checkbox"/>

If Requesting PLP-EWCP	Attached
<p>Please direct your request to your local Export Finance Manager for processing. Application requests should include the following elements:</p> <ol style="list-style-type: none"> Legal name and address of Lender; Address, city, and state where Lender's EWCP underwriting will be performed; Name, title, telephone and fax numbers and email address of the lending unit's primary contact for the EWCP program; A copy of the Lender's SBA Form 750 and/or SBA Form 750EX (if the Lender has an SBA Form 750 that was executed before July 2019, it must provide both SBA Forms 750 and 750EX; if the Lender executed the July 2019 version of SBA Form 750, then only that agreement is needed); Identification of the SBA Export Finance Managers the lending unit works with on EWCP loans (A complete listing of Export Finance Managers and USEAC locations may be found at https://www.sba.gov/article/2017/nov/01/list-useacs-sba-staff); A description of the lending unit's experience in international trade lending, including its level of EWCP lending over the last 2 years, Export-Import Bank ("Ex-Im") lending activity over the same 2-year period, and identification of any form of delegated lender authority with Ex-Im Bank or other trade finance agencies; Identification of personnel in charge of EWCP lending and 	

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<p>explanation of their experience in export trade finance for small concerns; and</p> <p>h. Documentation supporting the bank’s delegation of authority to the contact person filing this PLP expansion request.</p>	
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Please check below if:

- Additional information/background is being provided to support the request.
- Additional documentation, in addition to what is required by SBA Loan Program Requirements, is being provided.

By signing this form and submitting the information to the Lead District Office you are officially submitting a request for the specified delegated authority(ies).

Signature of Lender Official

Click or tap to enter a date.

Date

Printed Name of Lender Official

Printed Title of Lender Official

SBA Form [2509] (Previous editions obsolete)

End of Document

(Lender Name)(FIRS#) Please note: This form must be filled out by the Lender and returned to the local SBA District Office along with all applicable attachments.