## PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:		
Midshipmen Information System (MIDS)		
2. DOD COMPONENT NAME:		3. PIA APPROVAL DATE:
Department of the Navy		02/09/22
United States Naval Academy (USNA)		
SECTION 1: PII DESCRIPTION SI	JMMARY (FOR PUBLIC RELEASE)	
a. The PII is: (Check one. Note: Federal contractors, military family members,	and foreign nationals are included in genera	l public.)
From members of the general public	From Federal employees	
<b>x</b> from both members of the general public and Federal employees	Not Collected (if checked proceed to	Section 4)
b. The PII is in a: (Check one.)		
New DoD Information System	New Electronic Collection	
X Existing DoD Information System	Existing Electronic Collection	
Significantly Modified DoD Information System		
The USNA Midshipmen Information System (MIDS) encompasses al higher education institution academic (e.g. course scheduling and regimanagement needs (e.g. military movement orders, service assignment collected by the system facilitates administration of matriculated studies (SSN), DoD ID Number, date of birth, place of birth, gender, race/eth email address, mailing/home address, religious preference, security clemergency contact, military records, branch of service, rank, medical of disability), law enforcement information (police records, school proaddress, classes or courses taken, cumulative grade point average, clasgraduation date, and transcripts). Midshipmen sponsor information is d. Why is the PII collected and/or what is the intended use of the PII? (e.g. administrative use)	stration, grades, etc) as well as unique att, conduct, personnel evaluations, etc). ents. PII collected are name, other naminicity, citizenship, legal status, personal earance, mother's middle name, marital information (condition codes and waive obtain periods), and education informations rank, type of diploma, year of high so also stored in MIDS.	military service academy student The personal information ares used, Social Security Number al telephone number, personal al status, height and weight, ers), disability information (type ation (high school name and chool graduation or expected
PII is collected to verify, identify, authenticate, and/or data-match for	student administration purposes.	
e. Do individuals have the opportunity to object to the collection of their	PII? X Yes No	
(1) If "Yes," describe the method by which individuals can object to the collection	tion of PII.	
(2) If "No," state the reason why individuals cannot object to the collection of	PII.	
The PII collected is critical in ensuring student files are complete. Refinability to process student administration records or participate in University	- ·	y PII will result in a delay or
f. Do individuals have the opportunity to consent to the specific uses of t	heir PII? Yes X No	
(1) If "Yes," describe the method by which individuals can give or withhold the	eir consent.	
(2) If "No," state the reason why individuals cannot give or withhold their cons	ent.	
Collection, use, and maintenance of the information provided is strict administrative requirements. Consent assumed when applicant comple Midshipman Oath of Office, and participates in Naval Academy program. When an individual is asked to provide PII, a Privacy Act Statement (PA)	etes application (Privacy Act Statement rams.	provided), takes the
provide the actual wording.)	_	(
X    Privacy Advisory	Not Applicable	

Individuals are notified by an Agency Disclosure Notice and a Privacy Act Statement. PRIVACY ACT STATEMENT (paper and electronic): AUTHORITY: 10 U.S.C. § 503, Enlistments: recruiting campaigns; compilation of directory information; 10 U.S.C. § 2005, Advanced Education Assistance: Active Duty Agreement; Reimbursement Requirements; 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8454, Midshipmen: number; 10 U.S.C. 8456, Midshipmen: nomination and selection to fill vacancies; 10 U.S.C. 347, International engagement authorities for service academies; 10 U.S.C. 8458, Midshipmen: qualifications for admission; 10 U.S.C. 8459, Midshipmen: agreement for length of service; 10 U.S.C. 8461, Midshipmen: dismissal for best interests of the service; 10 U.S.C. 8462, Midshipmen: discharge for unsatisfactory conduct or inaptitude; 10 U.S.C. 8463, Midshipmen: discharge for deficiency; DoDI 1322.22, Service Academies; OPNAVINST 1531.6D, Nomination and Admission of Citizens of Foreign Countries to the U.S. Naval Academy; E.O. 9397 (SSN), as amended, and N01531-1. PURPOSE: Applicant files contain information used for personal information verification and to evaluate/determine competitive standing and eligibility for appointments to the Naval Academy. Midshipmen records consist of academic, military, and physical records used to track each student's progress at the Naval Academy. ROUTINE USES: Information will be used by the United States Naval Academy faculty and staff for executing all aspects of the mission of the Academy. Candidate information is shared with Blue and Gold Officers assisting with the admission process. Information may also be shared within the DoD pursuant to a valid need to know and outside the DoD pursuant to a routine use authorized by N01531-1. DISCLOSURE: Voluntary; however, failure to provide the required information may result in a delay or inability to process the respondent's application or allow for the continued enrollment of a midshipman at the Naval Academy. AGENCY DISCLOSURE NOTICE: The public reporting burden for this collection of information, 0703-0036, is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or burden reduction suggestions to the Department of Defense, Washington Headquarters Services, at whs.mc-alex.esd.mbx.dd-dod-information-collections@mail.mil. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? (Check all that apply) Designated Military, USNA Civilians, and USNA Within the DoD Component Specify. Contractors associated with USNA programs Navy, DoDMERB Other DoD Components (i.e. Army, Navy, Air Force) Specify. Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) Specify. Congressional Staffs State and Local Agencies Specify. Contracted with The Coleman Group, Inc The following FAR clauses are included contract N0018920F0877 and the Subcontractor Agreement by Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy Specify. clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, - 52.224-1, Privacy Act Notification Privacy Act, and FAR 39.105 are included in the contract.) - 52.224-2, Privacy Act - 52.224-3, Privacy Training - 52.239-1, Privacy and Security Safeguards. Commercial providers, U.S. and foreign colleges, foreign Other (e.g., commercial providers, colleges). Specify. military host commands i. Source of the PII collected is: (Check all that apply and list all information systems if applicable) Individuals Databases **Existing DoD Information Systems** Commercial Systems Other Federal Information Systems PII is directly input to the system from a variety of electronic and manual sources (e.g. DoD Medical Examination Review Board, Educational Testing Service, Secondary/Post-Secondary schools (various), Law Enforcement Agencies (various), Navy Schools Training Command (NSTC), Navy ROTC, Bank of America, etc.). Data is stored in a single enterprise database encompassing the following information systems under ACADEMIC AND PROFESSIONALLY ACCREDITED ENTERPRISE EDUCATION ENCLAVE (AEEE) internally Admissions Information System (AIS),

**AEM Designer** 

Blue and Gold Information System (BGIS),

Naval Academy Preparatory School Scholastic Tracking and Accounta	bility System (NSTAR)		
Other DoD IS:			
DODMERB NAVAL EDUCATION AND TRAINING FUTURE OFFICER AND CITIZENSHIP USER SYSTEM (NETFOCUS)			
NAVY PERSONNEL AND PAY (NP2)	CHIZENSHII USEK STSTEM (NETFOCUS)		
j. How will the information be collected? (Check all that apply and list all Office	al Form Numbers if applicable)		
X E-mail	X Official Form (Enter Form Number(s) in the box below)		
In-Person Contact	X Paper		
X Fax	Telephone Interview		
Information Sharing - System to System	Website/E-Form		
Other (If Other, enter the information in the box below)			
USNA 1531-3 (Candidate Application main web based), USNA forms 1531/11, 1531/12, and 1531-5.	1110/7, 5500/1, 5710/2, 5710/1, 1110/21, 1110/22, 1110/5, 1110/4,		
k. Does this DoD Information system or electronic collection require a Priv	acy Act System of Records Notice (SORN)?		
A Privacy Act SORN is required if the information system or electronic collection is retrieved by name or other unique identifier. PIA and Privacy Act SORN inform X Yes No			
If "Yes," enter SORN System Identifier N01531-1 and OPM GOVT-1/Ne	w SOR		
SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Compo Privacy/SORNs/ or	onent Privacy Office for additional information or http://dpcld.defense.gov/		
If a SORN has not yet been published in the Federal Register, enter date of sub Division (DPCLTD). Consult the DoD Component Privacy Office for this date	mission for approval to Defense Privacy, Civil Liberties, and Transparency		
If "No," explain why the SORN is not required in accordance with DoD Regulation	on 5400.11-R: Department of Defense Privacy Program.		
SORN N01531-X: draft submitted to DPCLTD covering midshipmen s	ponsor records. Date submitted May 14, 2021.		
I. What is the National Archives and Records Administration (NARA) approve for the system or for the records maintained in the system?	ed, pending or general records schedule (GRS) disposition authority		
(1) NARA Job Number or General Records Schedule Authority.	NU-2015-0001-0008/DAA-GRS-2016-0016-0001		
(2) If pending, provide the date the SF-115 was submitted to NARA.	July 14, 2021		
(3) Retention Instructions.			
Permanent Records: Cutoff upon class graduation. Transfer to approved Temporary Records: Destroy when business use ceases.	local NARA affiliated archive when business use ceases.		
m. What is the authority to collect information? A Federal law or Executiv records. For PII not collected or maintained in a system of records, the requirements of a statue or Executive Order.	e Order must authorize the collection and maintenance of a system of collection or maintenance of the PII must be necessary to discharge the		
<ul><li>(1) If this system has a Privacy Act SORN, the authorities in this PIA and the</li><li>(2) If a SORN does not apply, cite the authority for this DoD information systems (If multiple authorities are cited, provide all that apply).</li></ul>			
(a) Cite the specific provisions of the statute and/or EO that authorizes the	ne operation of the system and the collection of PII.		
(b) If direct statutory authority or an Executive Order does not exist, indi- operation or administration of a program, the execution of which will			
(c) If direct or indirect authority does not exist, DoD Components can us the primary authority. The requirement, directive, or instruction imple			
SORN N01531-1, authorities: 10 U.S.C. 5013, Secretary of the Navy; nomination and selection to fill vacancies; 10 U.S.C. 347, International			

Midshipmen: qualifications for admission; 10 U.S.C. 8459, Midshipmen: agreement for length of service; 10 U.S.C. 8461, Midshipmen:

dismissal for best interests of the service; 10 U.S.C. 8462, Midshipmen: discharge for unsatisfactory conduct or inaptitude; 10 U.S.C. 8463, Midshipmen: discharge for deficiency; DoDI 1322.22, Service Academies; OPNAVINST 1531.6D, Nomination and Admission of Citizens of Foreign Countries to the U.S. Naval Academy; E.O. 9397 (SSN), as amended, and N01531-1.
SORN OPM GOVT-1, General Personnel Records, December 11, 2012, 77 FR 79694; modification published November 30, 2015, 80 FR 74815, authorities: 5 U.S.C. 1302, 2951, 3301, 3372, 4118, 8347, and Executive Orders 9397, as amended by 13478, 9830, and 12107.
New SORN N01531-X: authorities: 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 5041, Headquarters, Marine Corps; 10 U.S.C. 8458, Midshipmen: Qualifications for Admission; 10 U.S.C. §10141, Ready Reserve; Standby Reserve; Retired Reserve: placement and status of members; training categories; 10 USC 10212, Gratuitous services of officers: authority to accept; DoDI 1322.22, Service Academies; SECNAVINST 1650.1J, Department of the Navy Military Awards Policy; OPNAVINST 1531.2C, The Naval Academy Information Program (NAIP).
n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?
Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.
X Yes No Pending
<ul> <li>(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.</li> <li>(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."</li> <li>(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.</li> </ul>
OMB for Candidate Application and related forms Number 0703-0036 (expired pending renewal).  OMB for Sponsor Application Number 00703-0054 (exp 9/30/2022).

DD FORM 2930, JUN 2017