

Individuals are notified by an Agency Disclosure Notice and a Privacy Act Statement.

PRIVACY ACT STATEMENT (paper and electronic):

AUTHORITY: 10 U.S.C. § 503, Enlistments: recruiting campaigns; compilation of directory information; 10 U.S.C. § 2005, Advanced Education Assistance: Active Duty Agreement; Reimbursement Requirements; 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8454, Midshipmen: number; 10 U.S.C. 8456, Midshipmen: nomination and selection to fill vacancies; 10 U.S.C. 347, International engagement authorities for service academies; 10 U.S.C. 8458, Midshipmen: qualifications for admission; 10 U.S.C. 8459, Midshipmen: agreement for length of service; 10 U.S.C. 8461, Midshipmen: dismissal for best interests of the service; 10 U.S.C. 8462, Midshipmen: discharge for unsatisfactory conduct or inaptitude; 10 U.S.C. 8463, Midshipmen: discharge for deficiency; DoDI 1322.22, Service Academies; OPNAVINST 1531.6D, Nomination and Admission of Citizens of Foreign Countries to the U.S. Naval Academy; E.O. 9397 (SSN), as amended, and N01531-1.

PURPOSE: Applicant files contain information used for personal information verification and to evaluate/determine competitive standing and eligibility for appointments to the Naval Academy. Midshipmen records consist of academic, military, and physical records used to track each student's progress at the Naval Academy.

ROUTINE USES: Information will be used by the United States Naval Academy faculty and staff for executing all aspects of the mission of the Academy. Candidate information is shared with Blue and Gold Officers assisting with the admission process. Information may also be shared within the DoD pursuant to a valid need to know and outside the DoD pursuant to a routine use authorized by N01531-1.

DISCLOSURE: Voluntary; however, failure to provide the required information may result in a delay or inability to process the respondent's application or allow for the continued enrollment of a midshipman at the Naval Academy.

AGENCY DISCLOSURE NOTICE: The public reporting burden for this collection of information, 0703-0036, is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or burden reduction suggestions to the Department of Defense, Washington Headquarters Services, at whs.mc-alex.esd.mbx.dd-dod-information-collections@mail.mil. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?

(Check all that apply)

- | | | |
|---|----------|--|
| <input checked="" type="checkbox"/> Within the DoD Component | Specify. | Designated Military, USNA Civilians, and USNA Contractors associated with USNA programs |
| <input checked="" type="checkbox"/> Other DoD Components (i.e. Army, Navy, Air Force) | Specify. | Navy, DoDMERB |
| <input type="checkbox"/> Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) | Specify. | |
| <input checked="" type="checkbox"/> State and Local Agencies | Specify. | Congressional Staffs |
| <input checked="" type="checkbox"/> Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) | Specify. | Contracted with The Coleman Group, Inc
The following FAR clauses are included contract N0018920F0877 and the Subcontractor Agreement by reference:
- 52.224-1, Privacy Act Notification
- 52.224-2, Privacy Act
- 52.224-3, Privacy Training
- 52.239-1, Privacy and Security Safeguards. |
| <input checked="" type="checkbox"/> Other (e.g., commercial providers, colleges). | Specify. | Commercial providers, U.S. and foreign colleges, foreign military host commands |

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- | | |
|--|--|
| <input checked="" type="checkbox"/> Individuals | <input checked="" type="checkbox"/> Databases |
| <input checked="" type="checkbox"/> Existing DoD Information Systems | <input checked="" type="checkbox"/> Commercial Systems |
| <input type="checkbox"/> Other Federal Information Systems | |

PII is directly input to the system from a variety of electronic and manual sources (e.g. DoD Medical Examination Review Board, Educational Testing Service, Secondary/Post-Secondary schools (various), Law Enforcement Agencies (various), Navy Schools Training Command (NSTC), Navy ROTC, Bank of America, etc.).

Data is stored in a single enterprise database encompassing the following information systems under ACADEMIC AND PROFESSIONALLY ACCREDITED ENTERPRISE EDUCATION ENCLAVE (AEEE) internally
Admissions Information System (AIS),
Blue and Gold Information System (BGIS),

Naval Academy Preparatory School Scholastic Tracking and Accountability System (NSTAR)

Other DoD IS:

DODMERB

NAVAL EDUCATION AND TRAINING FUTURE OFFICER AND CITIZENSHIP USER SYSTEM (NETFOCUS)

NAVY PERSONNEL AND PAY (NP2)

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- | | |
|---|---|
| <input checked="" type="checkbox"/> E-mail | <input checked="" type="checkbox"/> Official Form (Enter Form Number(s) in the box below) |
| <input checked="" type="checkbox"/> In-Person Contact | <input checked="" type="checkbox"/> Paper |
| <input checked="" type="checkbox"/> Fax | <input checked="" type="checkbox"/> Telephone Interview |
| <input checked="" type="checkbox"/> Information Sharing - System to System | <input checked="" type="checkbox"/> Website/E-Form |
| <input type="checkbox"/> Other (If Other, enter the information in the box below) | |

USNA 1531-3 (Candidate Application main web based), USNA forms 1110/7, 5500/1, 5710/2, 5710/1, 1110/21, 1110/22, 1110/5, 1110/4, 1531/11, 1531/12, and 1531-5.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

- Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

SORN N01531-X: draft submitted to DPCLTD covering midshipmen sponsor records. Date submitted May 14, 2021.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Permanent Records: Cutoff upon class graduation. Transfer to approved local NARA affiliated archive when business use ceases.

Temporary Records: Destroy when business use ceases.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
- (2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).
 - (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
 - (b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
 - (c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

SORN N01531-1, authorities: 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 8454, Midshipmen: number; 10 U.S.C. 8456, Midshipmen: nomination and selection to fill vacancies; 10 U.S.C. 347, International engagement authorities for service academies; 10 U.S.C. 8458, Midshipmen: qualifications for admission; 10 U.S.C. 8459, Midshipmen: agreement for length of service; 10 U.S.C. 8461, Midshipmen:

dismissal for best interests of the service; 10 U.S.C. 8462, Midshipmen: discharge for unsatisfactory conduct or inaptitude; 10 U.S.C. 8463, Midshipmen: discharge for deficiency; DoDI 1322.22, Service Academies; OPNAVINST 1531.6D, Nomination and Admission of Citizens of Foreign Countries to the U.S. Naval Academy; E.O. 9397 (SSN), as amended, and N01531-1.

SORN OPM GOVT-1, General Personnel Records, December 11, 2012, 77 FR 79694; modification published November 30, 2015, 80 FR 74815, authorities: 5 U.S.C. 1302, 2951, 3301, 3372, 4118, 8347, and Executive Orders 9397, as amended by 13478, 9830, and 12107.

New SORN N01531-X: authorities: 10 U.S.C. 5013, Secretary of the Navy; 10 U.S.C. 5041, Headquarters, Marine Corps; 10 U.S.C. 8458, Midshipmen: Qualifications for Admission; 10 U.S.C. §10141, Ready Reserve; Standby Reserve; Retired Reserve: placement and status of members; training categories; 10 USC 10212, Gratuitous services of officers: authority to accept; DoDI 1322.22, Service Academies; SECNAVINST 1650.1J, Department of the Navy Military Awards Policy; OPNAVINST 1531.2C, The Naval Academy Information Program (NAIP).

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
- (2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
- (3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

OMB for Candidate Application and related forms Number 0703-0036 (expired pending renewal).
OMB for Sponsor Application Number 00703-0054 (exp 9/30/2022).