

 **UNITED STATES DEPARTMENT OF LABOR**

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**Employment and Training Administration**

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OMB Control Number: 1205-0342  
Expires: 9/30/2022

## Petition for Trade Adjustment Assistance (TAA) for Workers and Alternative Trade Adjustment Assistance (ATAA)

**NOTE: The "back" button does not work in this application. If you wish to modify information that you have provided on previous screens, you will be given the opportunity to review and edit all of the information you have entered through a special edit screen which will appear at the end of this application.**

Please print and read before you begin.

Printer Friendly Version: Click [here](#) to print both pages of the instructions. To view the printer-friendly version you must have the Adobe Acrobat Reader installed on your computer. You can download a free copy of Adobe Acrobat from the [Adobe Website](#).

If the user has not downloaded a copy of the Adobe Acrobat Reader, he/she may not be able to view the PDF.

### INSTRUCTIONS FOR COMPLETING THE FORM

**Background.** The TAA for Workers program (TAA Program) is authorized under Title II of the Trade Act of 1974, as amended (19 U.S.C. § 2271 et seq.) (the Act). The TAA Program provides workers who have been adversely affected by foreign trade with opportunities to obtain skills, credentials, resources, and support necessary to become reemployed. The TAA Program offers the following benefits and services to eligible workers: employment and case management services, training, out of area job search and relocation allowances, income support through Trade Readjustment Allowances, and the Alternative Trade Adjustment Assistance benefit for workers aged 50 or older who find qualifying reemployment. Filing a petition is the first step in qualifying for TAA Program benefits and services. In response to the filing of a Petition, the Department of Labor (Department) conducts an investigation to determine whether foreign trade contributed importantly to the workers' job separation or threat of job separation. After the investigation, the Department determines worker group eligibility to apply for TAA Program benefits and services. A state workforce agency representative will notify workers in a certified group of the determination, at which time the individual workers may apply for benefits and services at a local American Job Center. For a worker to be eligible for benefits and services, the worker must be part of a worker group that has been certified under a petition filed with the Department. Additional information is available on our website at: <https://www.dol.gov/agencies/eta/tradeact/>.

**Filing Instructions.** A petition for certification of eligibility to apply for adjustment assistance for a group of workers must be filed simultaneously with the Secretary of Labor and with the Governor of the State in which such workers' firm is located. Information provided on the petition form will be used for the purposes of determining worker group eligibility, and providing notice to the general public that the petition has been filed and whether the worker group is eligible to apply for TAA Program benefits and services. A valid petition form, including attachments, is treated as a public document.

Amendments to active existing TAA certifications will be investigated based on the information provided by the petitioner(s) on the TAA petition form. If the petitioners would like the Department to consider whether an amendment to an existing certification is appropriate, the petitioners should include in the petition any information they would like considered and can include a statement that they believe that an amendment would be appropriate. Identifying a petition as an amendment request does not preclude or limit a full investigation of the petition.

**Who May File a Petition?**

- A group of two or more workers from the same firm; a union or other duly authorized representative of such workers; the firm(s) of such workers; American Job Center operators or partners, including State workforce officials, employment security agencies, or dislocated worker unit and rapid response team members.

**How to File a Valid Petition:**

- The following information must be completed for a petition to be considered valid: (1) the name and contact information for each petitioner; (2) the name of the firm employing the group of workers; (3) the address of the location(s) where the group of workers who have been totally or partially separated or threatened with separation report to work (for a remote worker, the address of the location to which they report); (4) the name and contact information of an official within the employer firm or an individual authorized to provide information regarding the operation of the workers' firm; (5) the article produced or service supplied by the workers' firm; (6) the actual or approximate date on which total or partial separations are threatened to occur or did occur; (7) the actual or estimated total number of workers who have been or may be separated; and (8) every petition shall be signed and dated by at least two individuals of the petitioning group, or by an official of a certified or recognized union or other duly authorized representative, or by a representative of one of the organizations listed in the Who May File a Petition section above. Required items are indicated on the Petition Form with an asterisk (\*).

**How to File a Petition with the U.S. Department of Labor:**

There are three methods to file a petition with the Department. Please submit the petition using only one of the methods below; submitting the same petition using multiple methods will not speed the investigation process and may slow the process.

- The most effective way to file a Petition is to complete the Petition form online at <https://www.etareports.doleta.gov/petition>.  
**FILING ONLINE IS STRONGLY ENCOURAGED; OR**
- Fax the completed Petition form to (202) 693-3584, (202) 693-3585, (202) 693-3986; **OR**
- Mail the completed Petition form to:  
U.S. Department of Labor  
Office of Trade Adjustment Assistance  
200 Constitution Ave N.W., Room N-5428  
Washington, D.C. 20210

**To File with the State TAA Coordinator or the State Dislocated Worker Unit or State Workforce Agency (SWA):**

- Use the contact information below to find the appropriate SWA filing address. If this Petition includes worker separations in different states, copies of this completed Petition Form must be filed with each state with worker separations.  
**Toll-Free Helpline:** 1-877-US2-JOBS (TTY) 1-877-889-5627  
**Internet:** <https://www.dol.gov/agencies/eta/tradeact/contact/states/>, OR  
<http://www.careeronestop.org>

**For Filing Assistance:**

- A worker may contact their local American Job Center or their State Dislocated Worker Unit or State Workforce Agency for assistance in preparing a Petition or to request submission of a petition on the workers' behalf. The contact information can be obtained using the telephone numbers or internet addresses provided above. For any questions about filing a Petition, a petitioner may also contact the Office of Trade Adjustment Assistance hotline number at (888) 365-6822.

**To Check on Filing Status:**

- To check the status of your petition, please visit: <https://www.dol.gov/agencies/eta/tradeact/>.

**Public Burden Statement** Persons are not required to respond to this collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. Responding is required to obtain or maintain benefits (19 U.S.C. 2271). Public reporting burden for this collection is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, completing and reviewing the collection of information, and a potential review by a state official. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden of this collection, to the U.S. Department of Labor at the address provided above (Paperwork Reduction Project 1205-0342).

\* = required fields

\*\* = May be required for some petitioners

## Petition for Trade Adjustment Assistance (TAA) for Workers and Alternative Trade Adjustment Assistance (ATAA)

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### Before You Start

The system session will terminate after 15 minutes of inactivity. In order to ensure your privacy, the information that you enter on this online Petition Form is not saved. Please make sure you have all the required information available before you begin.

### Required Information


The online petition will not be able to save a partially completed petition. Please use this checklist to make sure you have all the information before beginning the online petition process:

- Petitioner(s) name and contact information, including current address and telephone
- Approximate or actual date of worker separation
- Employer name and contact information, including address and telephone
- Contact information for two current company contacts, including name, title, and telephone

### Helpful Tips for Online Filing

The online petition is an electronically submitted form that is identical to the Petition Forms available [here](#) for download. This electronic form does not replace the paper petition; rather, it provides an alternate, paperless option for the convenience of those who prefer it. Filing electronically will not impact the investigative process or final determination on petition.

Once you begin the online filing process, several screens will appear one in sequence to guide you through the petition. Note that the "back" button has been disabled and you will not be able to return to previous screens until the entire petition is completed. At the completion of the petition, a final edit screen will appear that will allow you to edit any section(s) of the petition that you have completed. Once you have reviewed and corrected all sections of the petition, you will have the option to transmit the petition to OTAA and the relevant State agency.

Throughout the online petition, there will be  next to each section. Clicking or hovering on these tags will open windows that provide additional description of the information that is required.

If you are providing supporting information, the online petition will allow you to attach up to 3 documents. These documents must be in MS Word, MS Excel, or .pdf format and less than 2 MB each in size. For additional attachments, please print and submit the completed petition together with relevant attachments by fax to (202) 693-3585.

If you have any questions, please contact the U.S. Department of Labor in Washington D.C. at 202-693-3560 or 1-888-365-6822.

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ETA-9042A-1

Created: February 02, 2006

Updated: September 21, 2020

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**Employment and Training Administration**

U.S. Department of Labor | Frances Perkins Building, 200 Constitution Ave., NW, Washington, DC 20210  
[www.doleta.gov](http://www.doleta.gov) | Telephone: 1-877-US-2JOBS | TTY: 1-877-889-5627 | Fax: 1-202-693-2726 | [Contact Us](#)

### Petition for Trade Adjustment Assistance (TAA) for Workers and Alternative Trade Adjustment Assistance (ATAA)

**NOTE: The "back" button does not work in this application. If you wish to modify information that you have provided on previous screens, you will be given the opportunity to review and edit all of the information you have entered through a special edit screen which will appear at the end of this application.**

#### Section 1. Petitioner Information

Please provide petitioner information below. A company, state workforce, American Job Center, union or duly authorized official will generally complete all information in column 1 (Note: a union official completing this petition form should provide the name of the union.) Otherwise, two workers from the same firm completing this Petition Form must complete fields under both Petitioner 1 and Petitioner 2 headings.

How many petitioners are filling out this form?

##### Petitioning Worker 1

a) Name (First, Last) \*

b) Title \*\*

c) Street Address \*

City, State, Zip Code  --

d) Phone - Main \*  (xxx-xxx-xxxx)

e) Phone - Alternate  (xxx-xxx-xxxx)

f) E-mail

g) Petitioner Type \* (Please select one)  Two Workers  Company Official  Union Official  State Workforce Office  American Job Center  Other Duly Authorized Representative

##### Petitioning Worker 2

a) Name (First, Last) \*

b) Title \*\*

c) Street Address \*

City, State, Zip Code  --

d) Phone - Main \*  (xxx-xxx-xxxx)

e) Phone - Alternate  (xxx-xxx-xxxx)

f) E-mail

g) Petitioner Type \* (Please select one)  Two Workers  Company Official  Union Official  State Workforce Office  American Job Center  Other Duly Authorized Representative

\* = required fields  
\*\* = May be required for some petitioners

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### Petition for Trade Adjustment Assistance (TAA) for Workers and Alternative Trade Adjustment Assistance (ATAA)

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#### Section 2. Attestation of Information

The information you provide on this petition form will be used for the purposes of determining worker group eligibility and providing notice to petitioners, workers, and the general public that the petition has been filed and subsequently whether the worker group is determined to be eligible to apply for TAA benefits and services. Knowingly making a false statement of a material fact knowing it to be false or knowingly failing to disclose a material fact on this form is a Federal offense (19 U.S.C. § 2316). For the petition to be valid, the petitioner(s) listed in Section 1 - Petitioner Information must sign and date below, attesting to the fact that they are authorized to file a petition.

**"Under penalty of law, I declare that to the best of my knowledge and belief the information I have provided is true, correct and complete."**

**I Agree**

- a) Signature  [s] test test
- b) Name (Print)  test test
- c) Date of Petition  [The petition date will be recorded as the date that the petition is transmitted electronically via website to OTAA.]

\* = required fields  
\*\* = May be required for some petitioners

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### Petition for Trade Adjustment Assistance (TAA) for Workers and Alternative Trade Adjustment Assistance (ATAA)

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#### Section 3. Firm Employing the Group of Workers

Please provide information on the firm employing the group of workers on whose behalf the petition is being filed. Complete items (a) to (e) regarding the employing firm.

##### Employer (Firm) \*

- a) Name of Employer Firm - \*
- b) U.S. Headquarters Street Address -  
City, State, Zip -
- c) Phone - (xxx-xxx-xxxx)
- d) Website (if known)
- e) Describe the article produced or service supplied by this firm - \*\*  
Max 50 Characters Allowed

#### Section 4. Group of Workers

Please provide information on the location where the group of workers (who are filing the petition or on whose behalf the petition is being filed) report to work or physically work. Workers may apply only on behalf of workers at the same location at which both petitioning workers' are employed. A company official may apply on behalf of workers at any location at which the company employs a group of workers. A union may apply only on behalf of workers at a location where the union represents bargaining unit workers. A duly authorized representative may apply only on behalf of the workers at the same location at which the worker(s) who the petitioner represents are employed.

If you choose to file on behalf of a group of workers at more than one location, please attach additional sheets as necessary.

- a) Name of Firm - \*
- b) Street Address -  
City, State, Zip -
- c) Phone - (xxx-xxx-xxxx)
- d) How many workers have been or may be separated? \*
- e) When did worker separations occur or when are separations threatened to occur? (Estimated date may be listed if exact date is not known.) \*\* (mm/dd/yyyy)
- f) Work activities of the group of workers on whose behalf the petition is filed. \*  
Max 50 Characters Allowed
- g) Is the firm or any part of the firm closing (if known)? \*\* \*

\* = required fields  
\*\* = May be required for some petitioners

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### Petition for Trade Adjustment Assistance (TAA) for Workers and Alternative Trade Adjustment Assistance (ATAA)

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#### Section 5. Trade Effects of Separations

1. To the best of your knowledge, please summarize below the reasons why you believe that foreign trade contributed importantly to worker separations that have occurred, may occur, or are threatened to occur at the workers' firm (identified within Sec. 4a and 4b) as articulated under Sec. 222 of the Act or summarize the reasons you are requesting to amend an existing and active certification. (Examples: Articles or services have been / are being shifted to a foreign country; acquisition of articles or services from a foreign country; firm and/or customer imports of articles or services from a foreign country; loss of business with a firm that employed a worker group now determined to be eligible to apply for TAA; an affirmative finding by the International Trade Commission.) \*

Max 500 Characters Allowed

2. If you possess any additional information or documents that you believe may assist in the determination of whether the group of workers is eligible for TAA benefits, submit that information as an attachment to the Petition Form. Check the box below if you have attached any additional information or supporting documents. [Click to Add \(Attach\) Documents.](#)

I have attached  (No of pages) of additional information or supporting documents.

#### Section 6. Company Contact Information

Provide contact information for one or more company officials, one of whom should be a supervisor of the group of workers or someone authorized to provide information regarding the group of workers' firm. (Example: Legal counsel, bankruptcy trustee, etc.). Either separately or together, these officials should be familiar with the operations of the group of workers' firm.

##### Official 1

a) Name of Official 1 (First, Last) \*

b) Title of Official 1 \*

c) Official's Firm Name 1

d) Street Address \*

City, State, Zip \*

e) Phone - Main \*

f) Phone - Alternate

g) Fax

h) E-mail

##### Official 2

a) Name of Official 2 (First, Last)

b) Title of Official 2

c) Official's Firm Name 2

d) Street Address

City, State, Zip

e) Phone - Main

f) Phone - Alternate

g) Fax

h) E-mail

\* = required fields  
\*\* = May be required for some petitioners

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#### Petition Review and Affirmation of Information

Before submitting your petition, please take a moment to review the information that you have entered. Please note that you may not edit information directly onto this screen. You may return to any previous section to revise content by clicking EDIT. Once you complete your revisions, you may select continue to return to this Petition Review Screen.

[Printer-Friendly Version](#)

To view the printer friendly version you must have Adobe Acrobat Reader installed on your computer. If you do not have a copy of Acrobat Reader installed, you can download a free copy from the [Adobe Website](#).

#### Section 1. Petitioner Information

[Edit Section 1](#)

Please provide petitioner information below. A company, state workforce, American Job Center, union or duly authorized official will generally complete all information in column 1 (Note: a union official completing this petition form should provide the name of the union.) Otherwise, two workers from the same firm completing this Petition Form must complete fields under both Petitioner 1 and Petitioner 2 headings.

How many petitioners are filling out this form? - 1

##### Authorized Petitioner

a) Name (First, Last) - test test  
b) Title - test  
c) Street Address - 123test  
City, State, Zip Code - Lexington KY 40503  
d) Phone - Main - 608-354-8301 (xxx-xxx-xxxx)  
e) Phone - Alternate - (xxx-xxx-xxxx)  
f) E-mail

g) Petitioner Type - (Please select one)  
 Two Workers  
 Company Official  
 Union Official  
 State Workforce Office  
 American Job Center  
 Other Duly Authorized Representative

[Edit Section 2](#)

The information you provide on this petition form will be used for the purposes of determining worker group eligibility and providing notice to petitioners, workers, and the general public that the petition has been filed and subsequently whether the worker group is determined to be eligible to apply for TAA benefits and services. Knowingly making a false statement of a material fact knowing it to be false or knowingly failing to disclose a material fact on this form is a Federal offense (19 U.S.C. § 2316). For the petition to be valid, the petitioner(s) listed in Section 1 - Petitioner Information must sign and date below, attesting to the fact that they are authorized to file a petition.

**"Under penalty of law, I declare that to the best of my knowledge and belief the information I have provided is true, correct and complete."**

**I Agree**

a) Signature [/s] test test  
b) Name (Print) test test  
c) Date of Petition [The petition date will be recorded as the date that the petition is transmitted electronically via website to OTAA.]

[Edit Section 3 and Section 4](#)

#### Section 3. Firm Employing the Group of Workers

Please provide information on the firm employing the group of workers on whose behalf the petition is being filed. Complete items (a) to (e) regarding the employing firm.

##### Employer (Firm)

a) Name of Employer Firm - test  
b) U.S. Headquarters Street Address - test  
City, State, Zip - test CO 53423  
c) Phone - 608-354-8301 (xxx-xxx-xxxx)  
d) Website (if known)  
e) Describe the article produced or service supplied by this firm - test

#### Section 4. Group of Workers

Please provide information on the location where the group of workers (who are filing the petition or on whose behalf the petition is being filed) report to work or physically work. Workers may apply only on behalf of workers at the same location at which both petitioning workers are employed. A company official may apply on behalf of workers at any location at which the company employs a group of workers. A union may apply only on behalf of workers at a location where the union represents bargaining unit workers. A duly authorized representative may apply only on behalf of the workers at the same location at which the worker(s) who the petitioner represents are employed.

If you choose to file on behalf of a group of workers at more than one location, please attach additional sheets as necessary.

a) Name of Firm \*

b) Street Address \*

City, State, Zip \*  AZ 42312

c) Phone \* 608-354-8301 (xxx-xxx-xxxx)

d) How many workers have been or may be separated? \*

e) When did worker separations occur or when are separations threatened to occur? (Estimated date may be listed if exact date is not known.) \*  (mm/dd/yyyy)

f) Work activities of the group of workers on whose behalf the petition is filed. \*

g) Is the firm or any part of the firm closing (if known)? \*\*

#### Section 5. Trade Effects of Separations

[Edit Section 5 and Section 6](#)

1. To the best of your knowledge, please summarize below the reasons why you believe that foreign trade contributed importantly to worker separations that have occurred, may occur, or are threatened to occur at the workers' firm (identified within Sec. 4a and 4b) as articulated under Sec. 222 of the Act or summarize the reasons you are requesting to amend an existing and active certification. (Examples: Articles or services have been / are being shifted to a foreign country; acquisition of articles or services from a foreign country; firm and/or customer imports of articles or services from a foreign country; loss of business with a firm that employed a worker group now determined to be eligible to apply for TAA; an affirmative finding by the International Trade Commission.) \*

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##### Official 1

a) Name of Official 1 (First, Last) \*

b) Title of Official 1 \*

c) Official's Firm Name 1

d) Street Address \*

City, State, Zip \*  KY 40503

e) Phone - Main \*

e) Phone - Main \*

f) Phone - Alternate

g) Fax

h) E-mail

##### Official 2

a) Name of Official 2 (First, Last)

b) Title of Official 2

c) Official's Firm Name 2

d) Street Address

City, State, Zip  ..

e) Phone - Main

f) Phone - Alternate

g) Fax

h) E-mail

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- TAA Petition

**You are in the DEV environment.  
Any petition submitted within the DEV environment will not be processed.**

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#### Email Your TAA Petition

You may email a copy of your Petition Form to any valid email address by clicking [here](#).

The petition will be made available for public inspection and copying under the Freedom of Information Act, as amended (5 USC § 552), Executive Order 12600, and 29 CFR Part 70, upon written request to the Department of Labor.

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