

**DEPARTMENT OF THE TREASURY  
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)  
FORMULA AND PROCESS FOR WINE**

*(See Instructions and Conditions below)*

1. NAME, ADDRESS, AND WINERY NUMBER OF PROPRIETOR	2. CLASS AND TYPE OF PRODUCT  3. ALCOHOL CONTENT OF FINISHED PRODUCT <i>(range may be shown)</i>  4. FORMULAS SUPERSEDED: <table border="1" style="width:100%; border-collapse: collapse; margin-left: 20px;"> <thead> <tr> <th style="width:50%;">WINERY NUMBER</th> <th style="width:50%;">FORMULA NUMBER</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> </tr> </tbody> </table>	WINERY NUMBER	FORMULA NUMBER				
WINERY NUMBER	FORMULA NUMBER						
5. FORMULA <i>(List ingredients quantitatively. If more space is needed, use space below or separate sheet.)</i>	6. PROCESS OF PRODUCTION <i>(If more space is needed, use space below or separate sheet.)</i>						
7. DATE	8. PROPRIETOR	8a. BY <i>(Signature and Title)</i>					

**FOR TTB USE ONLY** *(Items 9, 10, and 11)*

9.  <input type="checkbox"/> Approved subject to the provisions of 27 CFR _____.  <input type="checkbox"/> Natural and artificial flavor, predominantly natural for TTB labeling <i>(Contains not more than 0.1 percent top note material.)</i>  <input type="checkbox"/> Contains more than 0.392 gram CO <sub>2</sub> per 100 ml of wine.	LABELING <i>(finished product only)</i>  <input type="checkbox"/> The designation of the product must include a truthful and adequate statement of composition such as: _____  <input type="checkbox"/> The finished product label must indicate the use of: <input type="checkbox"/> caramel <input type="checkbox"/> certified color <i>(other than FD&amp;C Yellow #5)</i> <input type="checkbox"/> artificial flavor <input type="checkbox"/> FD&C Yellow #5 <input type="checkbox"/> other _____	
10. DATE	11. APPROVED - TTB SPECIALIST FOR THE ADMINISTRATOR, ALCOHOL AND TOBACCO TAX AND TRADE BUREAU (TTB)	12. FORMULA NUMBER

---

## INSTRUCTIONS

### GENERAL INSTRUCTIONS

The proprietor must submit a separate TTB F 5120.29 for each formula covering the production of special natural wine, agricultural wine, effervescent wine in which more than 10 percent of the volume is finishing dosage, essences and extracts produced on bonded wine premises, and other *than standard* wine, except for distilling material and vinegar stock. The proprietor must forward the completed TTB F 5120.29, in triplicate (*quintuplicate for applicable wine products produced in Puerto Rico*), to the **Advertising, Labeling, and Formulation Division, Alcohol and Tobacco Tax and Trade Bureau, 1310 G Street NW, Box 12, Washington, D.C. 20005**. Production may commence upon the receipt by the proprietor of an approved formula on TTB F 5120.29.

### SPECIFIC INSTRUCTIONS

- ITEM 1.** Enter the name, complete address, and winery number of the applicant.
- ITEM 2.** The class and type must conform to one of the class and type designations in the regulations issued under the Federal Alcohol Administration Act. Wine products containing less than 7 percent alcohol by volume must be designated in accordance with the labeling regulations of the Federal Food, Drug and Cosmetic Act, 27 CFR Part 24. Do not show a brand or fanciful name in item 2. Nonbeverage wine will be designated as such, since products are labeled under the Federal Food, Drug and Cosmetic Act.
- ITEM 3.** If the product is to be bottled at more than one alcohol content within the same tax rate, state the alcoholic content as a range to include all alcoholic contents at which the product will be bottled.

- ITEM 4.** Enter the winery number and formula number for each formula which is superseded.
- ITEM 5.**
- Specify the kind and quantity of each and every material or ingredient to be used in the formulation of a batch of the product, e.g., 100 gallons, 1000 gallons, etc.
  - Identify flavoring or blending materials by the name of the flavor or blender, name of the flavor or blender manufacturer, the manufacturer's product number (if none, so indicate), drawback formula number (if none, so indicate), date of approval of the nonbeverage formula, alcohol content of the flavor or blender (if nonalcoholic, so indicate), a description of any coloring material contained in the flavor or blender.
- ITEM 6.** Show in sequence each step employed in producing the product, including the step at which the specified materials will be added and the approximate period of time to complete production.
- ITEM 12.** Number the formulas in sequence commencing with the number "1." Nonbeverage formulas must be prefaced with the symbol "NB."

### CONDITIONS FOR GRANTING APPROVAL

This approval is granted under 27 CFR Part 24 and does not in any way provide an exemption from or a waiver of the provisions of the U.S. Food and Drug Administration regulations relating to the use of food and color additives in food products.

### PAPERWORK REDUCTION ACT NOTICE

This request is in accordance with the Paperwork Reduction Act of 1995. The information collection is used by TTB to determine that wine is processed in an authorized manner and meets one of the standards of identity for wine. The information is required to obtain a benefit.

The estimated average burden associated with this collection of information is 2 hours per respondent or recordkeeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Paperwork Reduction Act Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, 1310 G Street, NW, Box 12, Washington, DC 20005.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a current, valid OMB control number.