

PERSONAL FINANCIAL STATEMENT (DFC-005)

OMB No. 3015-0007

Expiration: 8/31/2022

United States International Development Finance Corporation

1100 New York Avenue, NW Washington, DC 20527-0001 An Agency of the United States Government

Responses to questions which call for estimates or projections should take the form of good faith statements made to the best of the applicant’s knowledge and belief. Statements of fact provided in this document must be accurate as of the date of submission. Anyone who knowingly makes a false statement with the intent to influence DFC’s guarantees, loans, or other investments may be criminally prosecuted. Such false statements are also grounds for DFC to terminate a commitment or declare a contract default. These rights are in addition to any other rights or remedies available to United States government. Neither submission nor acceptance of this application implies that the proposed transaction is eligible for support or that support will be provided.

Information provided in this form will be deemed designated as “confidential commercial information” in accordance with Freedom of Information Act (FOIA) regulations at 22 C.F.R. Part 706, and will be treated as such to the extent permitted by applicable law. Personal information belonging to an individual will not be released under the FOIA unless the information is already public or if the public interest is greater than the private interest under 5 U.S.C. § 552(b)(6).

You are not required to provide your social security number. DFC evaluates the financial status of those providing equity or collateral support to a transaction to determine whether it is financially sound. Providing your social security number will assist DFC in verifying your financial status by running a credit check. If you choose not to provide your social security number, DFC may be unable to fully evaluate your transaction’s financial soundness.

This is notice of DFC’s right to access financial records held by financial institutions that are or have been doing business with you or your business under the Right to Financial Privacy Act of 1978. The law provides that DFC shall have a right of access to your financial records in connection with its consideration or administration of assistance to you in the form of a loan or loan guaranty to your project. DFC is required to provide a certificate of its compliance with the Act to a financial institution in connection with its first request for access to your financial records, however no further certification is required for subsequent access. DFC’s access rights continue for the term of any approved loan or guaranty agreement. No further notice to you of DFC’s access rights is required during the term of any such agreement. The law also authorizes DFC to transfer to another Government authority any financial records included in an application for a loan, or concerning an approved loan or guarantee, as necessary to process, service, or foreclose on a loan guaranty or collect on a defaulted loan guaranty.

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Please retain a copy for your records.

I acknowledge that I have read the disclosure and notices above.

# Individual Identification and Contact Information

|  |  |
| --- | --- |
| Full Legal Name (required) |  |
| Social Security Number: |  |
| Residence Address (required): |  |
|  |  |
| Business Phone: |  |
| Residence Phone: |  |
| Mobile Phone: |  |
| Email Address (required): |  |

**Assets and Liabilities Summary as of [date]**

 **Assets**

|  |  |  |
| --- | --- | --- |
| **Asset Description** | **USD Equivalent****Value** | **Owned Jointly?**[**1**](#_bookmark0)**(Y/N) (Check if yes)** |
| 1. Cash on hand and in Banks (liquid accounts) |  |  |
| 2. IRA(s) or Other Retirement Account(s) |  |  |
| 3. Stocks and Bonds (Publicly Traded) |  |  |
| 4. Equity Ownership Interests (Private Companies) |  |  |
| 5. Accounts and Notes Receivable |  |  |
| 6. Life Insurance – Cash Surrender Value Only |  |  |
| 7. Real Estate (current market value) |  |  |
| 8. Vehicles and other Personal Property |  |  |
| 9. Other Assets |  |  |
| **Total Assets** | **$ 0.00** |  |

## Liabilities and Net Worth

|  |  |  |
| --- | --- | --- |
| **Liability Description** | **USD Equivalent****Value** | **Joint Obligation?****(Y/N) (check if yes)** |
| 1. Accounts Payable |  |  |
| 2. Notes Payable to Banks and Others |  |  |
| 3. Mortgages Loans on Real Estate |  |  |
| 4. Taxes Payable |  |  |
| 5. Other Liabilities |  |  |
| **Total Liabilities** | **$ 0.00** |  |
| 6. Net Worth | $ 0.00 |  |
| **Total Liabilities and Net Worth** | **$ 0.00** |  |

1 If any assets are co-owned with another party or any liabilities are joint liabilities, please attach a separate sheet listing the joint assets/liabilities and the names of the co-owners/co-obligors.

# Assets Detail: Please provide detail for each asset class listed in the summary.

|  |
| --- |
| **1. Cash In Banks** |
| **Name and Location of Bank** | **Balance in USD** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
| **Total** | $ 0.00 |

|  |
| --- |
| **2. IRA(s) and Other Retirement Account(s)** |
| **Account Fiduciary Institution** | **Balance in USD** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
| **Total** | $ 0.00 |

|  |
| --- |
| **3. Stocks and Bonds (Publicly Traded)** |
| **Name of Security** | **Fiduciary Institution** | **Value in USD** |
|  |  |  |
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| **Total** | $ 0.00 |

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| --- |
| **4. Equity Ownership Interests (Private Companies)** |
| **Name of Company** | **Portion of Equity Owned (%)** | **Are the shares/interests freely transferable2?****(check if** [**yes)**](#_bookmark1) | **Value in USD** |
|  | 0.00% |  |  |
|  | 0.00% |  |  |
|  | 0.00% |  |  |
|  | 0.00% |  |  |
|  | 0.00% |  |  |
|  | 0.00% |  |  |
| **Total** | $ 0.00 |

|  |
| --- |
| **5. Accounts and Notes Receivable** |
| **Name of Debtor** | **Balance in USD** |
|  |  |
|  |  |
|  |  |
|  |  |
| **Total** | $ 0.00 |

|  |
| --- |
| **6. Life Insurance – Cash Surrender Value Only** |
| **Name of Issuing Firm** | **Value in USD** |
|  |  |
|  |  |
| **Total** | $ 0.00 |

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| --- |
| **7. Real Estate** |
| **Address** | **Description** | **Value in USD** |
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|  |  |  |
|  |  |  |
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|  |  |  |
|  |  |  |
| **Total** | $ 0.00 |

2If shares/ownership interests are “freely transferable,” that means that the owner may sell or transfer them without getting permission from other parties. Some private companies have restrictions on sales or transfers, which limits the liquidity of the asset.

|  |
| --- |
| **8. Vehicles and Other Personal Property** |
| **Description** | **Current Location** | **Value net of liens in USD** |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
| **Total** | $ 0.00 |

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| --- |
| **9. Other Assets** |
| **Description** | **Value in USD** |
|  |  |
|  |  |
|  |  |
|  |  |
| **Total** | $ 0.00 |

# Liabilities Detail: Please provide detail for each liability class in the summary.

|  |
| --- |
| **1. Accounts Payable** |
| **Name of Creditor** | **Balance in USD** |
|  |  |
|  |  |
|  |  |
| **Total** | $ 0.00 |

|  |
| --- |
| **2. Notes Payable to Banks and Others** |
| **Name of Creditor** | **Balance in USD** |
|  |  |
|  |  |
|  |  |
|  |  |
| **Total** | $ 0.00 |

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| --- |
| **3. Mortgages on Real Estate** |
| **Address of Property** | **Name of Creditor** | **Balance in USD** |
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|  |  |  |
| **Total** | $ 0.00 |

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| --- |
| **4. Taxes Payable** |
| **Taxing Authority** | **Balance in USD** |
|  |  |
|  |  |
|  |  |
| **Total** | $ 0.00 |

|  |
| --- |
| **5. Other Liabilities** |
| **Description** | **Balance in USD** |
|  |  |
|  |  |
|  |  |
| **Total** | $ 0.00 |

**Contingent Liabilities**

A contingent liability is a potential obligation that may be incurred depending upon the outcome of a future event. For instance, if an individual has co-signed/guaranteed a loan or is the defendant of litigation, they have a contingent liability. Please list all contingent liabilities and the estimated amount of the potential obligation. If there are no contingent liabilities, list “None.”

|  |
| --- |
| **Contingent Liabilities** |
| **Description** | **Est. Potential Obligation in USD** |
|  |  |
|  |  |
|  |  |
|  |  |
|  |  |
| **Total** | $ 0.00 |

# Source(s) of Income

Please provide information regarding your income for the most recent three (3) calendar years.

|  |
| --- |
| **Source(s) of Income (in USD equivalent)** |
|  | **20** | **20** | **20** |
| Salary |  |  |  |
| Net Investment Income |  |  |  |
| Real Estate Income |  |  |  |
| Other(description: ) |  |  |  |
| **Total** | $ 0.00 | $ 0.00 | $ 0.00 |

## Signature Page

I consent to DFC and its agents running a report of my credit record.

I certify that the above information, submitted to obtain DFC support, gives a correct representation of my financial condition as of this date. I further certify that the above representations and all supporting documentation are true, accurate, and complete. This Personal Financial Statement has been made for the purpose of applying for DFC support and may be relied upon by DFC as a true statement of the facts contained herein. If I become aware that any of the information in this Personal Financial Statement was incorrect at the time of submission, I agree to provide the updated information in writing to DFC immediately.

|  |
| --- |
| **Printed Name of Individual** |
|  |
| **Signature of Individual** |
|  |
| **Date** |
|  |

PRINT FORM