

INFORMATION COLLECTION REQUEST

Supporting Statement

Third Party Testing of Children's Products

OMB Control Number: 3041-0159

A. Justification

1. Circumstances Necessitating Information Collection

Section 14 of the Consumer Product Safety Act ("CPSA") requires third party testing of children's products that are subject to an applicable children's product safety rule to ensure compliance with such rule. Based on this testing, manufacturers, including importers, are required to certify compliance of their products to the applicable standards. CPSC's children's product safety rules are listed in Appendix A. For example, CPSC has issued more than twenty rules for durable infant or toddler products under section 104 of the Consumer Product Safety Improvement Act (CPSIA). The Federal Hazardous Substances Act (FHSA) and the CPSA also authorize the Commission to establish consumer product safety rules, which may also be children's product safety rules. Such rules require certain recordkeeping, product labeling, and/or instruction material to inform the public of certain hazards and the safe use of the product as well as to assist the Commission, the manufacturers, and the public in identifying products that fail to comply with a children's product safety rule. This information collection request covers:

- the recordkeeping requirements set forth in a final rule on *Testing and Labeling Pertaining to Product Certification* (16 CFR part 1107; the testing rule);
- the recordkeeping and third party disclosure requirements set forth in a final rule on *Conditions and Requirements for Relying on Component Part Testing or Certification, or Another Party's Finished Product Testing or Certification to Meet Testing and Certification Requirements* (16 CFR part 1109; the component part rule);
- marking, labeling, and instructional literature requirements contained in rules for durable infant and toddler products issued under section 104 of the CPSIA (section 104 rules);
- additional labeling requirements such as those set forth in the rule establishing requirements for electrically operated toys or other electrically operated articles intended for children (16 CFR 1505) (electrically operated toys and other articles rule) and the recordkeeping requirements set forth in the rule that are not also covered by the testing rule; and,
- additional recordkeeping requirements such as those set forth in the ban on articles known as "baby bouncers" or "walker-jumpers," or similar articles that are not covered by 16 CFR 1216 and that are not also covered by the testing rule or the 104 rules. (baby bouncer/walker-jumper rule, 16 CFR 1500.18(a)(6) and 1500.86(a)(4)).

The following is a more complete discussion of these rules.

Testing Rule: The testing rule, 16 CFR part 1107, implements section 14(a)(2) of the CPSA. Manufacturers of domestically manufactured children's products and importers of foreign-made children's products that are subject to a children's product safety rule must submit samples of the product, meaning samples that are identical in all material respects to the product, to a third party conformity assessment body whose accreditation has been accepted by the CPSC to test for compliance with such children's product safety rule. Based on that testing, the manufacturer or importer must issue a certificate that certifies that such children's product complies with applicable children's product safety rules. 15 U.S.C. 2063(a)(2)(B). This certificate is called a Children's Product Certificate, or CPC. Certificates are required to accompany products or product shipments, and certifiers are required to furnish a copy of certificates upon request, to retailers or distributors, as well as to the CPSC and to U.S. Customs and Border Protection (CBP).

Additionally, section 14(i)(2)(B)(i) of the CPSA requires manufacturers to conduct additional testing of children's products to ensure compliance of continuing production, and thus, the validity of the initial product certification. To ensure continued compliance, the Commission is required, by regulation, to establish protocols and standards for:

- Ensuring that a children's product tested for compliance with a children's product safety rule is subject to testing periodically and when there has been a material change in the product's design or manufacturing process, including the sourcing of component parts; and
- Safeguarding against the exercise of undue influence on a third party conformity assessment body by a manufacturer or private labeler.

The testing rule establishes requirements for manufacturers (including importers) for initial third party testing and certification for children's products, testing when there has been a material change in the product, continuing testing (periodic testing), and guarding against undue influence. The testing rule also requires that representative samples be selected for periodic testing of children's products to ensure continuing compliance, and sets forth required documentation.

A list of children's product safety rules subject to third party testing and certification is set forth in Appendix A.

Component Part Rule: The component part rule is a companion to the testing rule that is intended to reduce third party testing burdens by providing all parties involved in the required testing and certifying of children's products the flexibility to conduct or rely on testing where it is the easiest and least expensive. Component part suppliers may conduct testing so that test reports can be provided to multiple manufacturers using such component parts. Alternatively, manufacturers who assemble finished products can test component parts as they are received to reduce costs where, for example, the same component part is used in multiple product lines. The component part rule allows for maximum flexibility because a manufacturer (including an importer) who is required to certify consumer products pursuant to 16 CFR part 1110 (finished product certifier) can base such certificate upon one or more of the following: (a) component part testing; (b) component part

certification; (c) another party's finished product testing; or (d) another party's finished product certification.

Together, the testing rule and the component part rules implement third party testing, certification, and labeling requirements for children's products as required by sections 14(a)(2) and 14(i)(2)(B) of the CPSA, 15 U.S.C. 2063(a)(2) and (i)(2)(B).

Recordkeeping Requirements: Testing Rule and Component Part Rule: The testing rule and the component part rule each have recordkeeping requirements that largely overlap. Section 1107.26 of the testing rule requires each manufacturer or importer of a children's product subject to a children's product safety rule to establish and maintain the following records:

- a copy of the Children's Product Certificate (§ 1107.26(a)(1));
- records of each certification test (§ 1107.26(a)(2));
- records of periodic tests, production tests, and tests by laboratories accredited to ISO/IEC 17025, as applicable (§ 1107.26(a)(3));
- records documenting the basis for selection of representative samples for periodic testing purposes (§ 1107.26(a)(4));
- records of descriptions of all material changes in product design, manufacturing process, and sourcing of component parts, the certification tests run, and the test values (§ 1107.26(a)(5)); and
- records of undue influence procedures (§ 1107.26(a)(6)).

Section 1109.5(g) of the component part rule requires the creation and maintenance of specific data points, as well as documents that each certifier and testing party must provide, either in hard copy or electronically, to a another party who intends to rely on such documentation to issue a certificate:

- *Identification of the component part or the finished product tested;*
- *Identification of a lot or batch number, or other information sufficient to identify the component parts or finished products to which the testing applies;*
- *Identification of the applicable rules, bans, standards, and regulations for which each component part or finished product was tested;*
- *Identification of the testing method(s) and sampling protocol(s) used;*
- *The date or date range when the component part or finished product was tested;*
- *Test reports that provide the results of each test on a component part or finished product, and the test values, if any;*
- *Component part certificate(s) or finished product certificate(s), if any;*
- Identification of the party that conducted each test (including testing conducted by a manufacturer, testing laboratory, or third party conformity assessment body), and an attestation by the party conducting the testing that all testing of a component part or finished product by that party was performed in compliance with applicable

provisions of section 14 of the CPSA, part 1107 of this chapter, or any more specific rules, bans, standards, or regulations;

- Records to support traceability as defined in § 1109.4(m), which includes the identity of all testing parties of a component part of a consumer product or a finished product, including the name and address of each testing party and any party that conducted testing on the component part or finished product. Parties that conduct testing may include a manufacturer, a supplier, a testing laboratory, or a third party conformity assessment body; and
- An attestation by each certifier and testing party that while the component part or finished product was in its custody, it exercised due care to ensure compliance with test result integrity requirements set forth in § 1109.5(b), including that: (1) proper management and control of all raw materials, component parts, subassemblies, and finished products is established and maintained for any factor that could affect the finished product's compliance with all applicable rules; (2) the manufacturing process does not add or result in a prohibited level of a chemical from any source, such as the material hopper, regrind equipment, or other equipment used in the assembly of the finished product; and (3) no action or inaction subsequent to testing and before distribution in commerce has occurred that would affect compliance, including contamination or degradation.

Items that overlap with the testing rule are indicated in italics above. The first five items are data points that are usually included on a test report. Test reports are also required to be maintained under the testing rule. Component part and finished product certificates, the seventh item, are also a requirement in the testing rule. All of these records need to be created and maintained only once to meet the applicable recordkeeping requirements. The only unique records required in the component part rule are the last three bullets, which include two attestations and records that demonstrate who is responsible for having component parts of the product tested.

Unlike the testing rule, the component part rule requires records, or electronic access to records, to be provided to a third party, when that party intends to rely on such records to certify a product. The component part rule does not require that the records actually be physically provided to another party; electronic access to records is sufficient. Under both rules, § 1107.26(b) and § 1109.5(j), records must be maintained for 5 years and must be made available, either in hard copy or electronically, for inspection by the CPSC, upon request.

Section 104 Rules: Each section 104 rule contains requirements for marking, labeling, and instructional literature that are disclosure requirements. Individual section 104 rules specify that:

- Each product and the shipping container must have a permanent label or marking that identifies the name and address (city, state, and zip code) of the manufacturer, distributor, or seller.
- A permanent code mark or other product identification shall be provided on the product and its package or shipping container, if multiple packaging is used. The code will identify the date (month and year) of manufacture and permit future identification of any given model.

Products are also required in the standards to provide easy-to-read and understand instructions regarding assembly, maintenance, cleaning, use, and adjustments, where applicable.

CPSC obtains Office of Management and Budget (OMB) control numbers for the estimated burden in complying with marking and labeling requirements in each section 104 rule as they are promulgated. However, each time this information collection request is renewed, the burden requirements of the 104 rules that have been finalized since the last renewal are moved into this information collection request (the collection of information for Third Party Testing of Children's Products), so that the burden for all children's product testing, certification, recordkeeping, and marking and labeling are estimated under one OMB control number. CPSC discontinues the use of the existing OMB control numbers assigned to individual section 104 rules as the burden is moved into the information collection request for Third Party Testing of Children's Products. The discontinued OMB control numbers are listed in a table in section 4 of this document.

Additional Collections: Two rules for children's products require additional information collection. The rule establishing requirements for electrically operated toys and other electrically operated articles intended for use by children, called the Electrically-operated toys and other articles rule, and the rule establishing requirements for baby bouncers, baby walkers, and similar articles not covered by 16 CFR 1216, called the Baby-bouncer/walker-jumper rule, were issued by the Food and Drug Administration under the authority of the FHSA (15 U.S.C. 1261, 1262). Responsibility for enforcement of the FHSA was transferred to the Commission when the agency was established in May 1973. These rules establish certain criteria to use in determining whether or not products covered under the rule are banned, and requires that each product be labelled.

- The electrically operated toys and other articles rule requires certain warning and identification labeling be included on both the product and the packaging. The rule also requires that manufactures establish a quality assurance program to assure compliance and to keep records pertaining to the quality assurance program. Additionally the rule requires that manufacturers or importers keep records of the sale and distribution of the products.
- The baby bouncer/walker jumper rule requires that each product be labelled with information that will permit future identification by the manufacturer of the particular model of bouncer or walker-jumper. The rule also requires that records of sale, distribution, and results of tests and inspections be kept for three years and be made available to CPSC upon request.

2. How, by Whom, and for What Purpose Information Is Used

Testing Rule: The recordkeeping requirements establish that products are properly third party tested and certified before they enter commerce and have been properly retested for conformity with all applicable rules on a continuing basis, including after a material change in the product's design or manufacturing processes.

Component Part Rule: The recordkeeping requirements allow tracking of testing or certification conducted on component parts of consumer products. The parties to which the rule applies include more than just a manufacturer or importer because any person can conduct component part testing, including suppliers and foreign manufacturers. Finished product certifiers (including manufacturers

and importers) and component part certifiers must review the records to ensure third party testing is conducted properly and to issue a certificate of compliance. CPSC uses the required documentation to determine whether testing parties and certifiers are performing third party testing responsibilities properly. The required documentation is also used to identify consumer products, components of consumer products, test results, and the parties responsible for testing. Such documentation assists in identifying component parts and finished products that do not comply with the law and to implement an appropriate corrective action.

Additional Record Keeping (electrically operated toys rule and baby-bouncer/walker-jumper rule): If a product subject to the regulation were found to be banned and the hazard severe enough to warrant recall, the required sales and distribution records would be useful to both the manufacturer and the Commission in identifying which customers might have received the specific products which would enable the manufacturer to send notices of the recall to those customers and would enable the Commission to conduct recall effectiveness checks of the manufacturer's customers.

Additional Marking, Labelling, and Instructional Literature (section 104 rules and electrically operated toys rule): Manufacturers are responsible for compliance with the marking, labeling, and instructional literature requirements in each children's product safety rule, including section 104 rules and the electrically-operated toys rule. Such requirements are intended to aid the manufacturer, importer, CPSC, and consumers in product identification and recall efforts, should a safety defect arise, as well as to inform consumers how to safely assemble and use the relevant consumer product.

3. Consideration of Information Technology

The testing and component part rules allow records to be maintained electronically and provided in that form to the Commission, upon request. The component part rule also allows records to be accessed by all parties electronically. Manufacturers and importers may use any improvements in information technology they deem suitable for compiling and maintaining the records required by the regulations.

4. Efforts to Identify Duplication and Similar Information Already Available

Testing Rule: The recordkeeping requirements allow a manufacturer to establish that a product was certified properly before it enters commerce and has been subjected to periodic testing to ensure continued conformity with all applicable CPSC rules, including testing after a material change in the product's design or manufacturing processes. Consequently, unless manufacturers are relying on component part testing as described below, it is unlikely that the required information is duplicated or already available from other sources.

Component Part Rule: Certified products and their component parts, if tested separately, must be traceable to the parties conducting and procuring third party testing. The required documentation is largely the type of information that is already maintained by testing parties and certifiers on test reports. However, the final component part rule requires such information to be provided to, or available to, third parties in the supply chain, such as manufacturers and importers, who intend to rely on such testing or certification to certify the finished product. Multiple parties are not required to keep duplicate records under the component part rule, which allows a party who conducts testing on component parts to make such testing and certification records available electronically. As long

as third parties who rely on these records to certify a product continue to have access to the required records, and can make the records available to the CPSC as required by the rule, they do not need to maintain them separately. It is unlikely that the required information and records are available from other sources.

Section 104 Rules, Electrically Operated Toys Rule, and Baby-Bouncer/Walker-Jumper Rule: Manufacturers are required by rule to place certain labels and warnings on the product, and certain warnings and instructions must also accompany each product. We are aware of no other information sources for these instructions and warnings that would be as readily available to the consumer.

In 2019 CPSC discontinued control number 3041-0035, requirements for electrically operated toys, and moved the burden estimate to the the third party testing of children’s products collection (OMB control number 3041-0159) to avoid double counting the burden, because most of the recordkeeping requirements of the electrically-operated toys and other articles rule are the same as those of the testing rule.

Table. List of Discontinued OMB Control Numbers Included under 3041-0159

| Discontinued OMB Control Nbr | 16 CFR | Standard | Final Rule/PRA Update |
|-------------------------------------|---------------|------------------------------------------------------|------------------------------------------------------------------------------|
| 3041-0145 | 1215 | Safety Standard for Infant Bath Seats | 75 FR 31691 (June 4, 2010); PRA update: 78 FR 73506 (Dec. 6, 2013) |
| 3041-0141 | 1216 | Safety Standard for Infant Walkers | 75 FR 35266 (June 21, 2010); PRA Update: 78 FR 40444 (July 5, 2013) |
| 3041-0150 | 1217 | Safety Standard for Toddler Beds | 76 FR 78 FR 22019 (April 20, 2011); PRA Update: 79 FR 26417 (May 8, 2014) |
| 3041-0157 | 1218 | Safety Standard for Bassinets and Cradles | 78 FR 63019 (Oct 23, 2013) |
| 3041-0147 | 1219 | Safety Standard for Full-Size Baby Cribs | 75 FR 81766 (Dec 28, 2010) PRA Update: 79 FR 12185 (March 4, 2014) |
| 3041-0147 | 1220 | Safety Standard for Non-Full-Size Baby Cribs | 75 FR 81766 (Dec 28, 2010) PRA Update: 79 FR 12185 (March 4, 2014) |
| 3041-0152 | 1221 | Safety Standard for Play Yards | 78 FR 50328 (Aug. 19, 2013); PRA Update: 80 FR 59139 (Oct. 1, 2015) |
| 3041-0160 | 1222 | Safety Standard for Bedside Sleepers | 79 FR 2581 (Jan. 15, 2014) |
| 3041-0155 | 1223 | Safety Standard for Infant Swings | 77 FR 66703 (Nov 7, 2012) PRA Update: 80 FR 60885 (Oct. 8, 2015) |
| 3041-0149 | 1224 | Safety Standard for Portable Bed Rails | 77 FR 12182 (Feb 29, 2012) PRA Update: 80 FR 33248 (June 11, 2015) |
| 3041-0158 | 1225 | Safety Standard for Hand-Held Infant Carriers | 78 FR 73415 (Dec 6, 2013) |
| 3041-0162 | 1226 | Safety Standard for Soft Infant and Toddler Carriers | 79 FR 17422 (March 28, 2014) |
| 3041-0164 | 1227 | Safety Standard for Carriages and Strollers | 79 FR 13208 (Mar 10, 2014) |
| 3041-0167 | 1228 | Safety Standard for Sling Carriers | 82 FR 8671 (Jan 30, 2017) |
| 3041-0174 | 1229 | Safety Standard for Infant Bouncer Seats | 82 FR 43470 (Sep 18, 2017) |
| 3041-0166 | 1230 | Safety Standard for Frame Child Carriers | 80 FR 11113 (Mar 2, 2015) |

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|-------------------|-------------|----------------------------------------------------------|-----------------------------------|
| 3041-0173 | 1231 | Safety Standard for High Chairs | 83 FR 28358 (Jun 19, 2018) |
| 3041-0172 | 1232 | Safety Standard for Children's Folding Chairs and Stools | 82 FR 59505 (Dec 15, 2017) |
| 3041-0170 | 1233 | Safety Standard for Hook-On-Chairs | 81 FR 17062 (Mar 28, 2010) |
| 3041-0171 | 1234 | Safety Standard for Infant Bath Tubs | 82 FR 15615 (Mar 30, 2017) |
| 3041-0175 | 1235 | Safety Standard for Baby Changing Products | 83 FR 29672 (Jun 26, 2018) |
| 3041-0177* | 1236 | Safety Standard for Infant Sleep Products | 86 FR 33022 (Jun 23, 2021) |
| 3041-0178 | 1237 | Safety Standard for Booster Seats | 83 FR 30837 (Jul 2, 2018) |
| 3041-0179* | 1238 | Safety Standard for Stationary Activity Centers | 84 FR 28205 (Jun 18, 2019) |
| 3041-0182* | 1239 | Safety Standard for Gates and Enclosures | 85 FR 40100 (Jul 6, 2020) |
| 3041-0185* | 1241 | Safety Standard for Crib Mattresses | 87 FR 8640 (Feb 15, 2022) |

* Request for discontinuation to be submitted before the end of 2023.

5. Impact on Small Business

Recordkeeping Requirements: Although many small businesses are required to keep records for children's product testing and certification, small businesses have the flexibility to establish and maintain records required by the testing rule and the component part rule in any manner or format provided they contain the information required by the rules and meet the availability requirements of the rules. The documentation required by the component part rule largely overlaps recordkeeping required in the testing rule. Although in some instances multiple parties may keep copies of the same records, they are not required to do so. Access to the same records electronically is allowed by the component part rule. Finally, using component part testing is voluntary. To the extent that small businesses do not achieve a cost savings by conducting component part testing, they are not required to use it in certifying children's products.

Marking, Labeling, and Instructional Material Requirements: The impact on small businesses for the marking, labeling and instructions required have been addressed during each section 104 rulemaking procedure. Generally, marking, labeling, and instruction requirements have not been found to significantly impact small businesses. The electrically operated toys rule requires labeling on the products, outer packaging, and instruction sheets. In the research performed for the section 104 rules, staff found that labeling costs tend to be low in general for all firms, large and small. Therefore, the labeling requirements for electrically operated toys are not expected to significantly impact small businesses either.

CPSC provides a variety of resources to help both new and experienced small businesses learn about safety requirements that apply to consumer products, including the CPSC Regulatory Robot, small business education videos, and the Small Business Ombudsman. Many of these resources can be accessed online at: <https://www.cpsc.gov/Business--Manufacturing/Small-Business-Resources>. Small firms can reach the Small Business Ombudsman by calling (888) 531-9070.

6. Consequences of Less Frequent Information Collection and Technical or Legal Obstacles

Testing Rule: Failure to provide the information required would impair the CPSC's ability to determine whether a manufacturer is complying with the testing and certification requirements of section 14 of the CPSA and the requirements of the testing rule.

Component Part Rule: Without appropriate recordkeeping, allowance of component part testing could lead to degradation in compliance of finished products. Accordingly, the component part rule requires documentation sufficient to demonstrate the component part's compliance with the standards tested, and that enable component parts to be traced back to the party that had them tested, and to the third party laboratory that conducted the tests. Any less documentation and recordkeeping would not achieve this purpose, and would impede CPSC's ability to investigate compliance violations and enforce testing and certification rules for children's products.

Section 104 Rules: Stakeholders, consumers, and the government rely on product marking, labeling, and instructions to inform about safe use and assembly of products and to identify products for recall.

Additional Collections: As with the Section 104 rules, stakeholders, consumers, and the government rely on product marking, labeling, and instructions to inform about safe use and assembly of electrically operated toy products and to identify products for recall. Additionally, the recordkeeping requirements will assist in the identification of products that are found not to be compliant with the rule. Stakeholders, consumers, and the government rely on product marking, and record keeping to identify baby-bouncer/walker-jumper products that are found not to be compliant with rule and possibly subject to a recall.

7. Consistency with the guidelines in 5 CFR 1320.5(d)(2)

The testing and component part rules are consistent with the guidelines in 5 CFR § 1320.5(d)(2) except (iv). Both the testing rule and the component part rule require that the records be maintained for 5 years (5 CFR 1107.26(b) and 5 CFR 1109.5(j), respectively). The 5-year record retention requirement was selected to be consistent with the 5-year statute of limitations in 28 U.S.C. § 2462.

The requirements of the section 104 rules, the electrically-operated toys rule, and baby-bouncer/walker-jumper rule are consistent with the guidelines in 5 CFR § 1320.5(d)(2).

8. Agency's Federal Register (FR) Notice and Related information

On April 13, 2022, a 60-Day Federal Register Notice was published at 87 FR 21873. The Commission did not receive any comments on the Notice.

Stakeholder Outreach

Testing and Component Part Rules: Stakeholders were given an opportunity to comment on the proposed recordkeeping requirements for the testing and component part rules as part of the rulemaking process for parts 1107 and 1109. A notice of proposed rulemaking was published in the *Federal Register* for both rules on May 20, 2010: 75 FR 28336 (testing rule) and 75 FR 28208

(component part rule). The CPSC's responses to comments appear in the final rules published on November 8, 2011: 76 FR 69482 (testing rule) and 76 FR 69547 (component part rule). Stakeholders were also given an opportunity to comment on recordkeeping requirements in an amendment to the testing rule that requires the selection of representative samples and associated recordkeeping. A notice of proposed rulemaking on this amendment was published in the *Federal Register* on November 8, 2011, 76 FR 69586. The CPSC responded to one comment on the recordkeeping burden in the final rule implementing the representative sample requirement, which was published in the *Federal Register* on December 5, 2012, at 77 FR 72205. Stakeholders were also given the opportunity to comment on reducing third party testing burden associated with the testing of children's products pursuant to a request for comment issued by the Commission on November 8, 2011 (76 FR 69596).

Section 104 Rules: Stakeholders had the opportunity to comment on marking, labeling, and instruction requirements in each section 104 rule. Sections 4 and 12 list each section 104 rule. Section 4 includes a citation to the *Federal Register* notice for each final rule, and a citation for any burden renewal (PRA Update). The bolded standards are new standards issued since the last PRA update for this collection regarding Third Party Testing of Children's Products.

Additional Collections: The electrically operated toys rule and the baby-bouncer/walker-jumper rule and their recordkeeping and labeling requirements predate the Commission. The public last had an opportunity to comment on the requirements of the electrically operated toys rule and the baby-bouncer/walker jumper rule in 2019 when CPSC sought approval to include the information collections under the Third Party Testing for Children's Products collection (OMB Control No. 3041-0159). A Federal Register notice for the PRA update was published on March 13, 2019 (84 FR 9104).

9. Payment or Gift to Respondents

The CPSC did not and will not provide any payment or gifts to respondents for any of the rules included in this information request.

10. Confidentiality of Information

The information submitted to CBP and CPSC would be subject to the Freedom of Information Act and its exemptions to public disclosure.

Section 104 Rules: No assurance of confidentiality exists because marking, labeling, and instructional literature are, in part, on the product and are required disclosures to consumers that are not considered confidential information.

Additional Collections: Any information required to be maintained as part of the electrically operated toys rule and baby bouncer/walker jumper rule which the manufacturer or importer claims to be confidential is subject to procedures for withholding confidential information from public disclosure set forth at 16 C.F.R. Part 1015, Subpart B.

11. Sensitive Questions

Information regarding ownership, business and governmental relationships, suppliers, and third party conformity assessment body personnel may be considered sensitive. Such information could appear through the records and documentation required by the testing and component part rules. However, the rules do not require the disclosure of confidential business information to non-governmental third parties.

12. Estimates of Burden Hours and Explanation

Testing Rule: Three types of third party testing of children's products are required by the testing rule: certification testing, material change testing, and periodic testing. Component part testing can be used to meet any of these requirements. Certification testing must occur for every regulated children's product before the product is introduced into commerce. After certification testing, continuing testing must occur when the product undergoes a material change and periodically. If no material changes occur in continuing production of a children's product, periodic testing still must be conducted at certain intervals. The maximum periodic testing interval is 1 year, unless a manufacturer uses a production testing plan (2 years), or uses a testing laboratory accredited to ISO/IEC 17025:2005(E) (3 years). When conducting periodic testing, manufacturers must select representative samples for third party testing. Material change testing is tantamount to recertification, and it can reset the periodic testing interval for the product or component part tested.

The CPSC reviewed every category in the NAICS and selected those that included firms that could manufacture or sell any consumer product that could be covered by a consumer product safety rule. Using data from the U.S. Census Bureau¹, we determined that there were approximately 20,000 manufacturers, about 85,000 wholesalers, and about 263,000 retailers in these categories. However, these categories also include many non-children's products, which are not covered by any children's product safety rules. Therefore, these numbers would constitute an overestimate of the number of firms that are subject to the recordkeeping requirements.

The testing rule requires that manufacturers conduct sufficient testing to ensure that they have a high degree of assurance that their children's products comply with all applicable children's products safety rules before such products are introduced into commerce. Each manufacturer is required to obtain third party certification tests for each children's product and maintain the resulting records, including the children's product certificate. Manufacturers are also required to conduct continuing tests on their children's products and maintain the records associated with this testing. Continuing testing includes third party testing after a material change to the product and periodic third party testing or a combination of periodic third party testing and production (first party) testing. Because of the vast number and variety of children's products, there is a corresponding variety of manufacturing processes for children's products. Accordingly, the testing rule allows manufacturers a substantial amount of flexibility in designing their testing programs. For example, manufacturers may use first party production testing to increase the maximum time interval between required third party periodic testing from 1 to 2 years. Manufacturers also have the option of simply recertifying each batch of products instead of conducting periodic or production testing.

¹ [Census - Table Results](#) for Table ID CB1900CBP.

We estimate that several hours of recordkeeping, per product, will be required each year, including the cost to develop any records. However, because firms have multiple options for conducting the required testing, it is not possible for us to estimate how this time will be distributed over the records listed under §1107.26. Although all manufacturers will have at least one children's product certificate for each children's product that they produce during the year, some manufacturers will simply conduct certification tests on each batch produced during the year. This means that they will have to create records of multiple certification tests and multiple children's product certificates for each product but no records of periodic tests, production tests, or material changes. Other manufacturers may opt to conduct periodic or production tests instead of recertifying each batch. Therefore, these manufacturers will be responsible for creating and maintaining records of one set of certification tests and one children's product certificate, but they will also be responsible for creating and maintaining the records associated with their periodic testing and material changes.

If a manufacturer conducts periodic testing, they are required to keep records that describe how the samples for periodic testing are selected, the number of samples that will be selected, and an explanation of why the procedure described will result in the selection of representative samples. Although it might take a manufacturer several hours, or perhaps several days, to analyze its products and manufacturing processes to determine its options for selecting representative samples, the actual documentation of the procedure and basis for inferring compliance will probably take less time. This is not likely to be done on a per product basis, but on a per plan basis, which could be used for more than one product. This is a first year cost. In successive years, it will apply only to new products or to products whose sampling plan changes, so the burden will be reduced. Documenting representative sample selection will likely be part of developing a periodic or production testing plan.

The hour burden of the recordkeeping requirements will likely vary greatly from product to product, depending upon such factors as the complexity of the product and the amount of testing that must be documented. Therefore, estimates of the hour burden of the recordkeeping requirements are somewhat speculative.

We estimate that approximately 311,400 non-apparel children's products are covered by the rule and that an average of 5 hours will be needed for the recordkeeping associated with these products per year.² We also estimate that there are approximately 1.2 million children's apparel and footwear products, for which an average of 3 hours of recordkeeping will be required per year. Thus, the total hour burden of the recordkeeping associated with the testing rule is 5.2 million hours (311,400 non-apparel children's products x 5 hours per non-apparel children's product plus 1,200,000 children's apparel products x 3 hours per children's apparel product = 1.6 million hours + 3.6 million hours, or a total of 5.2 million hours). At \$34.68 (U.S. Bureau of Labor Statistics, "Employer Costs for Employee Compensation," September 2021, Table 4, total compensation for all sales and office workers in goods-producing private industries: <http://www.bls.gov/ncs/>) per hour hourly compensation rate, the total cost of the recordkeeping associated with the testing rule is approximately \$180 million (5.2 million hours x \$34.68 = \$180,336,000).

² These data were adjusted according to the Bureau of Economic Analysis's National Income and Product Accounts Table 2.4.6U Real Personal Consumption Expenditures by Type of Product, Chained Dollars. While these data are adjusted for inflation and substitution, they may overestimate the number of products subject to the information collection because an increase in real sales may not necessarily translate into an increase in the number of available children's products.

In addition to maintaining records of the tests conducted on each product, manufacturers will also have to keep and maintain records documenting how representative samples of each product are selected for testing. It is unclear how recordkeeping for the selection of representative samples changes the recordkeeping burden for children's products because the requirement exists only for manufacturers who conduct periodic testing. Additionally, the same basis could apply to multiple products, and therefore, documentation may not need to be reproduced for each product to which it applies but could be cited in the periodic or production testing plan. We estimate that if each product line averages 50 individual models or styles, then a total of 30,000 individual representative sampling plans (1.5 million children's products ÷ 50 models or styles) would need to be developed and documented. This would require 120,000 hours (30,000 plans x 4 hours per plan), at a total cost of approximately \$8.6 million (120,000 hours x \$71.82 per hour (U.S. Bureau of Labor Statistics, "Employer Costs for Employee Compensation," September 2021, Table 4, total compensation for all management, professional, and related workers in goods-producing private industries: <http://www.bls.gov/ncs/>)). If each product line averages 10 individual models or styles, then a total of 150,000 different representative sampling plans (1.5 million children's products ÷ 10 models or styles) would need to be documented. This would require 600,000 hours (150,000 plans x 4 hours per plan), at a total cost of approximately \$43 million (600,000 hours x \$71.82 per hour). Thus, the requirement to document the basis for selecting representative samples could increase the hour burden by up to 600,000 hours and increase the cost of recordkeeping associated with third party testing of children's products by up to \$43 million, bringing the total hour burden for the testing rule to 5.8 million.

Component Part Rule: The component part rule shifts some testing costs and some recordkeeping costs to component part and finished product suppliers because some testing will be performed by these parties rather than by the finished product certifiers (manufacturers and importers). However, a finished product certifier is still responsible for receiving or having access to required testing and traceability records from component part and finished product suppliers and for recording pertinent information on the finished product certificate. Thus, even if a finished product certifier can rely entirely on component part and finished product suppliers for all required testing, the finished product supplier still has some recordkeeping burden in order to create and maintain a finished product certificate. Therefore, although the component part testing rule should reduce the total cost of the testing required by the testing rule, it will lead to an increase in the recordkeeping burden for those who choose to use component part testing.

Because we do not know how many companies participate in component part testing and supply test reports or certifications to other certifiers in the supply chain, we have no concrete data to estimate the recordkeeping and third party disclosure requirements in the component part rule. Likewise, no clear method exists for estimating the number of finished product certifiers who conduct their own component part testing. In the preamble to the proposed rule (75 FR at 28218), we suggested that the recordkeeping burden for the component part testing rule could amount to 10 percent of the burden estimated for the testing and labeling rule. Moreover, because the estimate of the recordkeeping burden for the testing and labeling rule has been increased, the estimates for the component rule also would increase.

As discussed above, although we have no concrete data to estimate the amount of component part recordkeeping, we suggest that it could amount to about 10 percent of the recordkeeping burden associated with the testing rule. The component part rule also requires third party disclosure of test

reports and certificates, if any, to a certifier intending to rely on such documents to issue its own certificate. Without data it is also difficult to allocate our burden estimation between the recordkeeping and third party disclosure requirements. However, we believe that creating and maintaining records accounts for 90 percent of the burden, while the third party disclosure burden is much less, perhaps 10 percent. Therefore, if we continue to use the estimate that component part testing will amount to about 10 percent of the burden estimated for the testing and labeling rule, then the hour burden of the component part rule would be about 520,000 hours total; allocating 468,000 hours for recordkeeping and 52,000 hours for third party disclosure. At \$34.68 per hour, the total cost of the recordkeeping and third party disclosures for the component part rule would be about \$18 million.

Section 104 Rules: Each section 104 rule incorporates by reference an ASTM voluntary standard for that product. One of the sections of the ASTM standard requires the name and place of business (city, state, and mailing address, including zip code) and the telephone number of the manufacturer, distributor, or seller be on each product and its retail package. It also requires a code mark or other means that identifies the date (month and year, at a minimum) of manufacture on each product and its retail package. Furthermore, these markings must be permanent.

We estimate the time to make any necessary changes to the marking and labeling to equal one hour per model. The variable in each rule is the number of respondents, meaning the number of suppliers (manufacturers, importers, or wholesalers), the number of models supplied, and the average labor cost. We estimate the hourly compensation for the time required to create and update labels is \$34.68 (U.S. Bureau of Labor Statistics, “Employer Costs for Employee Compensation,” September 2021, Table 4, total compensation for all sales and office workers in goods-producing private industries: <http://www.bls.gov/ncs/>). Based on the foregoing, the burden for marking and labeling for each section 104 rule is provided in the chart below. The total number of respondent hours is 92,280, with total cost equal to \$3,200,270 ($92,280 \times \34.68).

Another section of each ASTM standard requires use, assembly, or installation instructions to be supplied with the product. In most cases, products sold without such information would not be able to compete successfully with products supplying this information. Under the OMB’s regulations (5 CFR 1320.3(b)(2)), the time, effort, and financial resources necessary to comply with a collection of information that would be incurred by persons in the “normal course of their activities” are excluded from a burden estimate, where an agency demonstrates that the disclosure activities required to comply are “usual and customary.” Therefore, because we have found few durable infant and toddler products that generally require installation or some assembly but lack any instructions to the user about such installation or assembly, for most durable products we estimate that there are no burden hours associated with section 10 of each ASTM standard, because any burden associated with supplying instructions with would be “usual and customary” and not within the definition of “burden” under the OMB’s regulations.

The exceptions to this assumption are sling carriers and infant sleep products. We estimate the initial burden for the instructional material requirement would be the majority of the supplier burden. However, once the literature is created or modified, there is little to no burden in subsequent years. However, there may be substantial entry and exit in the markets for sling carriers and infant sleep products market because a sizable portion of the market is comprised of very small entities. Therefore, we estimate that the burden of instructional literature requirement is about 66,500 hours.

| Discontinued OMB Control Nbr | 16 CFR Part | Description | Mfrs | Models | Total Respondent Hours |
|-------------------------------------|--------------------|----------------------------------------------------------|--------------|---------------|-------------------------------|
| 3041-0145 | 1215 | Safety Standard for Infant Bath Seats | 12 | 2 | 24 |
| 3041-0141 | 1216 | Safety Standard for Infant Walkers | 19 | 4 | 76 |
| 3041-0150 | 1217 | Safety Standard for Toddler Beds | 111 | 10 | 1,110 |
| 3041-0157 | 1218 | Safety Standard for Bassinets and Cradles | 72 | 4 | 288 |
| 3041-0147 | 1219 | Safety Standard for Full-Size Cribs | 80 | 13 | 1,040 |
| 3041-0147 | 1220 | Safety Standard for Non-Full-Size Cribs | 39 | 2 | 78 |
| 3041-0152 | 1221 | Safety Standard for Play Yards | 34 | 4 | 136 |
| 3041-0160 | 1222 | Safety Standard for Infant Bedside Sleepers | 13 | 2 | 26 |
| 3041-0155 | 1223 | Safety Standard for Swings | 6 | 8 | 48 |
| 3041-0149 | 1224 | Safety Standard for Portable Bedrails | 18 | 2 | 36 |
| 3041-0158 | 1225 | Safety Standard for Hand-Held Infant Carriers | 78 | 2 | 156 |
| 3041-0162 | 1226 | Safety Standard for Soft Infant and Toddler Carriers | 44 | 3 | 132 |
| 3041-0164 | 1227 | Safety Standard for Carriages and Strollers | 100 | 7 | 700 |
| 3041-0167 | 1228 | Safety Standard for Sling Carriers | 1,000 | 2 | 8,500* |
| 3041-0174 | 1229 | Safety Standard for Infant Bouncer Seats | 26 | 4 | 104 |
| 3041-0166 | 1230 | Safety Standard for Frame Child Carriers | 14 | 3 | 42 |
| 3041-0173 | 1231 | Safety Standard for High Chairs | 83 | 3 | 249 |
| 3041-0172 | 1232 | Safety Standard for Children's Folding Chairs and Stools | 17 | 2 | 34 |
| 3041-0170 | 1233 | Safety Standard for Hook-On-Chairs | 7 | 1 | 7 |
| 3041-0171 | 1234 | Safety Standard for Infant Bath Tubs | 27 | 2 | 54 |
| 3041-0175 | 1235 | Safety Standard for Baby Changing Products | 141 | 6 | 846 |
| 3041-0177 | 1236 | Safety Standard for Infant Sleep Products | 1,325 | 6.528 | 68,650** |
| 3041-0178 | 1237 | Safety Standard for Booster Seats | 52 | 2 | 104 |
| 3041-0179 | 1238 | Safety Standard for Stationary Activity Centers | 11 | 4 | 44 |
| 3041-0182 | 1239 | Safety Standard for Gates and Enclosures | 127 | 3.6 | 9,416*** |

| | | | | | |
|---------------------------|------|-------------------------------------|----|----|--------|
| 3041-0185 | 1241 | Safety Standard for Crib Mattresses | 38 | 10 | 380 |
| Total Burden Hours | | | | | 92,280 |

*Includes 6,500 hours for instructional literature.

**Includes 60,000 hours for instructional literature.

*** Includes 8,000 hours for instructional literature. The total estimated burden associated with labels is 1,416 hours. Eighty small firms produce 2 models, while and additional 37 entities are estimated to produce 8 models. Therefore, the 127 entities produce, on average, 3.6 models.

Additional Collections and Requirements: The electrically operated toys rule requires certain warning and identification labeling on both the product and the packaging. The regulation also requires that the manufacturer establish and maintain a quality assurance program and that records be made, kept and maintained for 3 years, of the sales and distribution, the material and production specifications, a description of the quality assurance program, and the results of all inspections and tests conducted. Products subject to the electrically operated toys rule are also subject to the requirements of the testing rule. The records of the material and production specifications, description of the quality assurance program, and the test and inspection results are duplicated by the requirement in the testing rule for records of periodic and production tests. Therefore, the burden of these requirements will not be reported here as they were in the cancelled ICR because this would result in their being double counted. Only the burden associated with the additional recordkeeping requirement to keep record of the sales and distribution of the products and the additional marking and labeling requirements will be reported here. Much of the burden associated with maintaining records of the sale and distribution of products would be incurred as part of normal business operations.

We estimate the additional burden associated with maintaining these records by product for the three year period is about 30 minutes per product. The Commission estimates that there are about 40 manufacturers and importers. Each one may have an average of 10 products each year for which the recordkeeping would be required. Therefore the estimated burden of recordkeeping is about 200 hours. As with the section 104 rules, we estimate that the time necessary to make any changes to the labeling to equal one hour per model. Again, assuming each firm produces 10 new models each year, this requirement amounts to a total of 400 hours per year. Therefore the total burden for the electrically operated toys rule is about 400 hours. We estimate the hourly compensation for the time required to create and update labels is \$34.68 (U.S. Bureau of Labor Statistics, “Employer Costs for Employee Compensation,” September 2021, Table 4, total compensation for all sales and office workers in goods-producing private industries: <http://www.bls.gov/ncs/>). Based on the foregoing, the burden for additional recordkeeping and additional labeling for the electrically operated toys rule would be \$20,808 ((200 hours + 400 hours) x \$34.68 per hour).

CPSC staff estimates that about 6 firms are subject to the testing and recordkeeping requirements of the baby-bouncer/walker-jumper rule. Firms are expected to test on average two new models per year per firm. CPSC staff estimates further that the burden imposed by the regulations on each of these firms is approximately 1 hour per year on the additional recordkeeping requirements and 1 hour per model on the additional marking/labeling requirements. Thus, the annual burden imposed by the regulations on all manufacturers and importers is approximately 12 hours on additional recordkeeping (6 firms x 1 hour x 2 models) and 12 hours on additional labeling (6 firms x 1 hour x 2 models) for a total annual burden of 24 hours per year. Based on previous information provided

to the CPSC staff by a manufacturer, it is believed that the recordkeeping requirements do not impose a significant burden on manufacturers and importers because the records are kept for other purposes, and testing is conducted for other reasons in addition to complying with the regulation. Since no changes have been made to the requirements for baby bouncers and walker-jumpers, those circumstances likely apply today.

13. Estimates of Other Total Annual Cost Burden to Respondents or Recordkeepers

Testing and Component Part Rules: Typically, most records required by the rules will not be provided to the government. Records are requested by CPSC when we are investigating a potential noncompliant product at the ports, or through a domestic compliance program. The component part rule requires disclosure of records to third parties, but it allows for electronic access of records by such parties. Thus, the component part rule does not require that records be duplicated and physically provided to third parties, as long as such records can be made available to CPSC upon request. No particular format for recordkeeping is required by either rule. The annual cost to respondents to provide records to the CPSC and to third parties is expected to be minimal because records can be provided electronically, via electronic mail, or via any other electronic format, such as an Internet website.

Section 104 Rules: Marking, labeling, and instructions are required for every product subject to a section 104 rule, which incorporate voluntary standards by reference. Firms will likely need to obtain the current versions of the applicable standards to ensure that they are complying with the rules. The average cost for a voluntary standard is about \$60. We assume that each firm that manufactures or imports a product subject to a specific 104 rule will require a copy of the voluntary standard incorporated in the rule. Summing the number of firms that supply products in each standard in the section 12 Table suggests that firms subject to the rule will require a total of 3,494 copies of various standard. The total cost of these standards is estimated to be about \$210,000. Every product must be marked and instructions must accompany the product. Because marking, labeling, and instructions are typically already provided with the products, and only adjustments to them are necessary, there should be minimal capital costs associated with meeting the requirements.

Additional Collections: The electrically operated toys rule does not require any specific technology or software be used for either the recordkeeping or the labeling requirement. The baby-bouncer/walker-jumper rule does not require any specific technology or software be used for either the recordkeeping or the labeling requirement. Neither rule requires a specific format for the recordkeeping. Therefore, there should be minimal, if any, capital costs associated with these rules.

14. Annual Cost to the Government

Testing and Component Part Rules: CPSC collects testing and certification records when we are investigating a potential noncomplying product. The records are intended to provide documentation of testing and certification, and traceability of component parts. In an investigation, access to these records should make it easier to identify the noncomplying products and possibly reduce the cost to the government of investigating a recall. Although the CPSC cannot estimate how often it will investigate allegedly noncomplying product incidents, the examination of records required by the rule would largely be incidental to a CPSC investigation. Accordingly, we anticipate that the annual cost to the government will be minimal.

Section 104 Rules: For each section 104 rule, the estimated annual cost to the federal government is approximately \$4,279, which includes 60 staff hours to examine and evaluate the information, as needed, for compliance activities. This is based on a GS-12 level salaried employee. The average hourly wage rate for a mid-level salaried GS-12 employee in the Washington, D.C. metropolitan area (effective as of January 2022) is \$48.78 (GS-12, step 5). This represents 68.4 percent of total compensation (U.S. Bureau of Labor Statistics, “Employer Costs for Employee Compensation,” September 2021, Table 2, percentage of wages and salaries for all civilian management, professional, and related employees). Adding an additional 31.6 percent for benefits brings the average compensation for a mid-level salaried GS-12 employee to \$71.32 per hour. Assuming that approximately 60 hours will be required annually, this results in an annual cost of \$4,279. The combined cost to the federal government for all 26 section 104 rules is \$111,254.

Additional Collections: The total estimated cost to the government for the electrically operated toys rule is expected to be less than 1 staff month (160 hours). Record review will be performed during compliance inspections conducted to follow up on consumer complaints and reports of injury which indicate possible violations of the regulations. The total estimated cost to the government for the baby bouncer/walker jumper rule is expected to be two days (16 hours), of professional staff time reviewing records required by the regulations.

The annual cost to the government of the additional collections of information is estimated to be about \$12,552 (160 hours + 16 hours × \$71.32) This is based on an average hourly wage rate of a GS-12, Step 5 employee.)

15. Changes in Burden

Testing Rule: Three types of third party testing of children’s products are required by the testing rule: certification testing, material change testing, and periodic testing. Our burden estimates for the testing rule increased because we have assumed that the number of children’s products subject to testing increased. The number of children’s products subject to testing increased because firms introduced new products to the market and these new products are subject to testing under existing standards.

- The recordkeeping burden associated with the testing rule increased from an estimated 5.1 million hours to 5.2 million hours. The hourly estimated cost of the burden also increased, as average rates of compensation increased from three years ago.
- The third-party disclosure burden associated with the testing rule did not increase from an estimated 600,000 hours. However, the hourly estimated cost of the burden increased, as average rates of compensation increased from three years ago.

Component Part Testing Rule: The estimated hourly burden for the component part testing rule was increased from 510,000 hours to 520,000 hours. The estimated cost of the burden increased from \$16.6 million to \$18 million.

Section 104 Rules: Estimated burden for Section 104 Rules will increase with the addition of four new children’s product safety standards. The following section 104 Rules have been added to this information collection request:

- Safety Standard for Infant Sleep Products (Burden hours 68,650)
- Safety Standard for Stationary Activity Centers (Burden hours 44)
- Safety Standard for Gates and Enclosures (Burden hours 9,416)
- Safety Standard for Crib Mattresses (Burden hours 380)

Additional Collections: The hourly burden estimate for additional collections from the electronically operated toys rule and the baby bouncer/walker-jumper rules remained unchanged.

16. Statistical Reporting

Information collected under this requirement will not be published.

17. Exemption for Display of Expiration Date

The agency does not seek an exemption from displaying the expiration date.

18. Exemption to Certification Statement

N/A.

B. Statistical Methods

The information collection requirements do not employ statistical methods.

APPENDIX A

Children’s Product Safety Rules: The following is a list of children’s product safety rules that require third party testing and certification.

| Citation | Description |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1203 | Safety Standard for Bicycle Helmets – Children's |
| 1215 | Safety Standard for Infant Bath Seats |
| 1216 | Safety Standard for Infant Walkers |
| 1217 | Safety Standard for Toddler Beds |
| 1218 | Safety Standard for Bassinets and Cradles |
| 1219 | Safety Standard for Full-Size Cribs |
| 1220 | Safety Standard for Non-Full-Size Cribs |
| 1221 | Safety Standard for Play Yards |
| 1222 | Safety Standard for Infant Bedside Sleepers |
| 1223 | Safety Standard for Swings |
| 1224 | Safety Standard for Portable Bedrails |
| 1225 | Safety Standard for Hand-Held Infant Carriers |
| 1226 | Safety Standard for Soft Infant and Toddler Carriers |
| 1227 | Safety Standard for Carriages and Strollers |
| 1228 | Safety Standard for Sling Carriers |
| 1229 | Safety Standard for Infant Bouncer Seats |
| 1230 | Safety Standard for Frame Child Carriers (not effective until 9/2016) |
| 1231 | Safety Standard for High Chairs |
| 1232 | Safety Standard for Children’s Folding Chairs and Stools |
| 1233 | Safety Standard for Hook-On Chairs |
| 1234 | Safety Standard for Infant Bath Tubs |
| 1235 | Safety Standard for Baby Changing Products |
| 1236 | Safety Standard for Infant Sleep Products |
| 1237 | Safety Standard for Booster Seats |
| 1238 | Safety Standard for Stationary Activity Centers |
| 1239 | Safety Standard for Gates and Enclosures |
| 1241 | Safety Standard for Crib Mattresses |
| 1303 | Ban of Lead-Containing Paint and Certain Consumer Products Bearing Lead-Containing Paint - Children's Products. Applies to consumer paints and paint used on certain movable non-metal children's furniture products |
| 1420 | Requirements for All Terrain Vehicles (ATVs) - Children's |
| 1501 | Small Parts |
| 1505 | Electrically Operated Toys or Articles |
| 1510 | Rattles |
| 1511 | Pacifiers |
| 1512 | Requirements for Bicycles – Children's |
| 1513 | Safety Standard for Entrapment Hazards in Bunk Beds – Children's |
| 1610 | Standard for the Flammability of Clothing Textiles - Children's |
| 1611 | Standard for the Flammability of Vinyl Plastic Film - Children's |
| 1615 | Standard for the Flammability of Children’s Sleepwear: Sizes 0 through 6X (FF 3-71) |
| 1616 | Standard for the Flammability of Children’s Sleepwear: Sizes 7 through 14 (FF 5-74) |
| 1630 | Standard for the Surface Flammability of Carpets and Rugs - Children's |
| 1631 | Standard for the Surface Flammability of Small Carpets and Rugs - Children's |
| 1632 | Standard for the Flammability of Mattresses and Mattress Pads (FF 4-72, Amended) - Children's |
| 1633 | Standard for the Flammability (Open Flame) of Mattress Sets - Children's |
| 15 USC 1278a Metal | Test Method CPSC-CH-E1001-08 and/or CPSC-CH-E1001-08.1 and/or CPSC-CH-E1001-08.2 and/or CPSC-CH-E1001-08.3, or for Metal Jewelry CPSC Laboratory SOP (effective |

| | |
|---------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| | date 03/23/2009) |
| 15 USC 1278a Non-Metal | Test Method CPSC-CH-E1002-08 and/or CPSC-CH-E1002-08.1 and/or CPSC-CH-E1001-08.2 and/or CPSC-CH-E1002-08.3, Lead Content in Children's Non-Metal Products |
| 15 USC 2057c | Determination of Phthalates in Toys and Certain Children's Products— Plasticizers in Polyvinyl Chloride Plastic(Test Method: CPSC-CH-C1001-09.3 and/or GB/T 22048-2008) (effective date December 31, 2011. See Federal Register notice of August 10, 2011) |
| 1500.18(a) | Kites |
| 1500.86(a)(5) | Clacker Balls |
| 1500.86(a)(7) & (8) | Dive Sticks and Other Similar Articles |
| ASTM F963 | Standard Consumer Safety Specification for Toy Safety |

The following sections of ASTM F963 are mandatory safety requirements for toys. Toys must be third party tested and certified to meet each applicable section of the standard listed below. Citations are to the 2011 version of ASTM F963, unless otherwise noted.

| Citation | Description |
|------------|------------------------------------------------------------------------------------------------------------------|
| 4.10 | Wires or Rods |
| 4.11 | Nails and Fasteners |
| 4.12 | Plastic Film |
| 4.13 | Folding Mechanisms and Hinges |
| 4.14 | Cords, Straps, and Elastics |
| 4.15 | Stability and Overload Requirements |
| 4.16 | Confined Spaces |
| 4.17 | Wheels, Tires, and Axles |
| 4.18 | Holes, Clearances, and Accessibility of Mechanisms |
| 4.19 | Simulated Protective Devices (except labeling and/or instructional literature requirements) |
| 4.20.1 | Pacifiers with Rubber Nipples/Nitrosamine Test |
| 4.20.2 | Toy Pacifiers |
| 4.21 | Projectile Toys |
| 4.22 | Teethers and Teething Toys |
| 4.23.1 | Rattles with nearly spherical, hemispherical, or circular flared ends |
| 4.24 | Squeeze Toys |
| 4.25 | Battery-Operated Toys (except labeling and/or instructional literature requirements) |
| 4.26 | Toys Intended to Be Attached to a Crib or Playpen (except labeling and/or instructional literature requirements) |
| 4.27 | Toy Chests (except labeling and/or instructional literature requirements) – ASTM F-963-07e1 |
| 4.27 | Stuffed and Beanbag-Type Toys |
| 4.3.5.1(2) | Surface Coating Materials – Soluble Test for Metals |
| 4.3.5.2 | Toy Substrate Materials |
| 4.3.6.3 | Cleanliness of Liquids, Pastes, Putties, Gels, and Powders |
| 4.3.7 | Stuffing Materials |
| 4.30 | Toy Gun Marking |
| 4.32 | Certain Toys with Nearly Spherical Ends |
| 4.35 | Pompoms |
| 4.36 | Hemispheric-Shaped Objects |
| 4.37 | Yo-Yo Elastic Tether Toys |
| 4.38 | Magnets (except labeling and/or instructional literature requirements) |
| 4.39 | Jaw Entrapment in Handles and Steering Wheels |
| 4.5 | Sound Producing Toys |
| 4.6 | Small Objects (except labeling and/or instructional literature requirements) |
| 4.7 | Accessible Edges (except labeling and/or instructional literature requirements) |
| 4.8 | Projections (except bath toy projections) |
| 4.9 | Accessible Points (except labeling and/or instructional literature requirements) |