Attachment G1

Science, Technology, Engineering, and Mathematics Talent Expansion Program (STEP)

Monitoring System

Table of Contents

[STEP: Crosswalk 3](#_Toc3273882)

[STEP: Number of Respondents, Frequency of Response, and Annual Hour Burden 11](#_Toc3273883)

[STEP: Hour Burden Estimates by Each Form and Aggregate Hour Burdens 12](#_Toc3273884)

[STEP: Estimates of Annualized Cost to Respondents for the Hour Burdens 13](#_Toc3273885)

[STEP: Estimates of Costs to the Federal Government 14](#_Toc3273886)

[STEP: Questions 15](#_Toc3273887)

# STEP: Crosswalk

| **Common**  **Collection**  **Categories**  **Questions** | **Staff and Project Participant Characteristics[[1]](#footnote-1)** | **Project Implementation**  **Characteristics[[2]](#footnote-2)** | **Project Outputs[[3]](#footnote-3)** |
| --- | --- | --- | --- |
| **1. Your Contact Information** |  |  |  |
| **Principal Investigator (PI)/Institution Leader (IL)** |  |  |  |
| First Name | X |  |  |
| Middle Initial | X |  |  |
| Last Name | X |  |  |
| Title (Miss/Ms./Mr./Dr./Prof./etc.) | X |  |  |
| Institution Address 1 | X |  |  |
| Institution Address 2 | X |  |  |
| City | X |  |  |
| State | X |  |  |
| ZIP Code | X |  |  |
| Phone Number and Extension | X |  |  |
| Fax Number | X |  |  |
| E-mail Address | X |  |  |
| STEP Project Web Site Address | X |  |  |
| **Person Completing the Survey** |  |  |  |
| First Name | X |  |  |
| Middle Initial | X |  |  |
| Last Name | X |  |  |
| Title (Miss/Ms./Mr./Dr./Prof./etc.) | X |  |  |
| Phone Number and Extension | X |  |  |
| Fax Number | X |  |  |
| E-mail Address | X |  |  |
| **Additional Contacts** |  |  |  |
| First Name | X |  |  |
| Last Name | X |  |  |
| E-mail Address | X |  |  |
| **2. Institution Definitions** |  |  |  |
| What cutoff date does your institution use to determine total undergraduate enrollment? (month/day/year) | X |  |  |
| Why is this date used? | X |  |  |
| Have the criteria for setting this date changed since last year? (Yes/No) | X |  |  |
| Total undergraduate enrollment (according to the cutoff date indicated in your answer to question 2.1) | X |  |  |
| How does your institution define a full-time equivalent (FTE) undergraduate student? | X |  |  |
| Has this definition changed since last year? (Yes/No) | X |  |  |
| How does your institution calculate undergraduate FTE enrollment? | X |  |  |
| Has this formula changed since last year? (Yes/No) | X |  |  |
| Total undergraduate FTE enrollment according to the cutoff date indicated in your answer to question 2.1: | X |  |  |
| What are your institution’s requirements for selecting a major? [4-year institutions]/What are your institution’s requirements for considering a student a STEM major (or your institution’s equivalent classification)? [2-year institutions] | X |  |  |
| Have these criteria changed since last year? (Yes/No) | X |  |  |
| **3. Student Demographics** |  |  |  |
| **STEM Disciplines offered at [INSERT INSTITUTION NAME]** Please indicate the STEM disciplines in which [INSERT INSTITUTION NAME] offers majors/degrees. [If you are unable to classify our data by these disciplines, try the alternative list of categories. (2-year institutions only)] A list of STEM discipline classifications is available in the online crosswalk.  **Standard List**  Agricultural Science  Biological Sciences  Chemistry  Computer Science  Engineering  Environmental Science  Geosciences  Mathematics  Physics/Astronomy  Psychology  Undeclared  Non-STEM  **Alternative List** [2-year institutions only]  Engineering  Mathematics  Science  Undeclared  Non-STEM | X | X |  |
| **Majors**  Please enter the number of students who have completed the requirements necessary to be officially recognized by your institution as majoring in [INSERT DISCIPLINE] (or your institution’s equivalent classification) (2-year institutions only) as of the cutoff date indicated in your answer to question 2.1.  Majors – Not Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Not Hispanic or Latino (read-only)  Majors – Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Hispanic or Latino (read-only)  Neither Ethnicity nor Race Reported  Auto-Total [INSERT DISCIPLINE] Majors (read-only)  Male  Female  Gender Not Reported  Auto-Total (Read-Only) |  |  | X |
| **Degrees Granted** Please enter the number of students granted associate’s degrees (2-year institutions)/bachelor’s degrees (4-year institutions) in [INSERT DISCIPLINE] by ethnicity, race, and gender during the reporting period July 1, 20XX – June 30, 20XX.  Associate’s [2-year institutions]/ Bachelor’s [4-year institutions] degrees granted to Not Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Not Hispanic or Latino (read-only)  Associate’s [2-year institutions]/ Bachelor’s [4-year institutions] degrees granted to Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Hispanic or Latino (read-only)  Neither Ethnicity nor Race Reported  Auto-Total Associate’s (2-year institutions)/Bachelor’s (4-year institutions) degrees granted in [INSERT DISCIPLINE] (read-only)  Male  Female  Gender Not Reported  Auto-Total (Read-Only) |  |  | X |
| **Transfers to 4-Year Institutions** [2-year institutions only] Please enter the number of [INSERT DISCIPLINES] majors transferring to 4-year institutions during the reporting period July 1, 20XX – June 30, 20XX by ethnicity, race, and gender. (U.S. citizens and permanent residents only.)  Transfers to 4-year institutions by Not Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Not Hispanic or Latino (read-only)  Transfers to 4- year institutions by Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Hispanic or Latino (read-only)  Neither Ethnicity nor Race Reported  Auto-Total Transfers to 4-year institutions by[INSERT DISCIPLINE] majors (read-only)  Male  Female  Gender Not Reported  Auto-Total (Read-Only) |  |  | X |
| **4. Strategies and Activities** |  |  |  |
| Title of strategy/activity |  | X |  |
| Status (Planned/Implemented/Discontinued) |  | X |  |
| Which of the following best describes the strategy/activity? (check all that apply):  Precollege Development  Undergraduate Student Academic Development  Undergraduate Student Professional Development  Faculty Development  Curriculum Development  Linkages with Community Colleges  Other (please specify): |  | X |  |
| Were any of the following student groups specifically targeted by this strategy/activity? (check any that apply):  Underrepresented Minority Students  Female Students  At-Risk Students (please define): |  | X |  |
| Brief description of strategy/activity: |  | X |  |
| Number of undergraduate students participating in this strategy/activity: |  | X | X |
| Number of high school students (juniors and seniors only) participating in this strategy/activity: |  | X | X |
| Indications that this strategy/activity will increase the number of STEM graduates (or STEM majors transferring to 4-year institutions [2-year institutions only]): |  |  | X |
| Describe any challenges in implementing this strategy/activity: |  | X |  |
| **5. Challenges** |  |  |  |
| Title of challenge |  | X |  |
| Brief description of challenge |  | X |  |
| **6. Other Influences** |  |  |  |
| Description of influences: |  | X |  |
| **7. Student Participation** |  |  |  |
| **Since some of your strategies/activities will service the same students multiple times, please estimate**  **How many distinct undergraduate students participated in** the strategies/activities carried out under your STEP grant between July 1, 20XX – June 30, 20XX, as described in section 4  **How many distinct high school students participated in** the strategies/activities carried out under your STEP grant between July 1, 20XX – June 30, 20XX, as described in section 4 |  | X | X |
| How many distinct undergraduate students received direct funding under your STEP grant between July 1, 20XX – June 30, 20XX? This would include being paid to be a tutor, mentor, or intern; receiving a scholarship or stipend; and being paid to assist with STEP strategies/activities. |  | X | X |
| For what types of efforts were undergraduate students funded between July 1, 20XX – June 30 20XX?  Payment for tutoring  Payment for mentoring  Paid internships/research experiences  Scholarships/stipends  Payment for assisting with STEP strategies/activities  Other (please specify): |  | X | X |

# STEP: Number of Respondents, Frequency of Response, and Annual Hour Burden

The estimated average number of annual respondents is 25, with an estimated average annual response burden of 500 hours. The Web-based data collection is an annual activity of the STEP program. Data are collected from each lead institution and its corresponding partner institution(s); the respondents are award PIs (for lead institutions) and institution leaders (for partner institutions). No new awards will be added to the population, so the number of respondents will decline over time. The estimated average annual hour burden per respondent was determined using the burden information reported by respondents for the last two collection cycles.

The burden estimate is outlined below:

| **Respondent Type** | **Estimated Average Annual No. of Respondents** | **Estimated Average Annual Burden Hours Per Respondent** | **Estimated Annual Burden Hour Total** |
| --- | --- | --- | --- |
| PIs/institution leaders | 25 | 20 | 500 |
| **Total** | **25** |  | **500** |

# STEP: Hour Burden Estimates by Each Form and Aggregate Hour Burdens

There is only one form with slight wording differences for 2-year institutions and for 4-year institutions. This form accounts for the entire annual response burden of 500 hours. The annual burden by form was calculated as follows:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Form Type** | **Respondent Type** | **No. of Respondents** | **Burden Hours Per Respondent** | **Total Burden Hours** |
| STEP data collection form | PIs/institution leaders | 25 | 20 | 500 |
| **Total** |  | **25** |  | **500** |

# STEP: Estimates of Annualized Cost to Respondents for the Hour Burdens

The overall annualized cost to the respondents is estimated to be $23,000. The following table shows the annualized estimates of costs to PI/institution leader respondents, who are generally university professors. The estimated hourly rate is based on a report from the American Association of University Professors, “The Annual Report on the Economic Status of the Profession, 2017–18,” Survey Report Table 1. According to this [report](https://www.aaup.org/sites/default/files/ARES_2017-18.pdf), the average salary across all academic ranks and across all types of doctoral-granting institutions (public, private-independent, religiously affiliated) was $95,176. When divided by the number of standard annual work hours (2,080), this calculates to approximately $46 per hour.

| **Respondent Type** | **No. of Respondents** | **Burden Hours Per Respondent** | **Average Hourly Rate** | **Estimated Annual Cost** |
| --- | --- | --- | --- | --- |
| PIs/institution leaders | 25 | 20 | $46 | $23,000 |
| **Total** | **25** |  |  | **$23,000** |

# STEP: Estimates of Costs to the Federal Government

Computing the annualized cost to NSF for the STEP data collection was done by taking the projected budget for the next three years and calculating the cost for each of the following operational activities involved in producing, maintaining, and conducting the STEP data collection:

| **Operational Activities** | **Cost Over Three Years** |
| --- | --- |
| System Development (includes initial development of the database and Web-based application, and later changes requested by the program, e.g., increased reporting tools, additional validations) | $101,041 |
| System Maintenance, Updates, and Technical Support (the system requires updates each year before opening the collection; maintenance is required to keep the system current with technology, e.g., database servers, operating systems) | $181,874 |
| Data Collection Opening and Support (e.g., online and telephone support to respondents and contacting respondents to encourage completion of the questions), Reporting (as defined by DUE), and Follow-up Activities (e.g., providing data to other consultants) | $121,249 |
| **Three-Year Total for All Operational Activities** | **$404,165** |

The annualized cost was computed as one-third of the total three-year costs; thus, the annualized cost to NSF for the STEP data collection is $134,722.

# STEP: Questions

|  |
| --- |
| **1. Your Contact Information** |
| **Principal Investigator (PI)/Institution Leader (IL)** |
| First Name |
| Middle Initial |
| Last Name |
| Title (Miss/Ms./Mr./Dr./Prof./etc.) |
| Institution Address 1 |
| Institution Address 2 |
| City |
| State |
| ZIP Code |
| Phone Number and Extension |
| Fax Number |
| E-mail Address |
| STEP Project Web Site Address |
| **Person Completing the Survey** |
| First Name |
| Middle Initial |
| Last Name |
| Title (Miss/Ms./Mr./Dr./Prof./etc.) |
| Phone Number and Extension |
| Fax Number |
| E-mail Address |
| **Additional Contacts** |
| First Name |
| Last Name |
| E-mail Address |
| **2. Institution Definitions** |
| What cutoff date does your institution use to determine total undergraduate enrollment? (month/day/year) |
| Why is this date used? |
| Have the criteria for setting this date changed since last year? (Yes/No) |
| Total undergraduate enrollment (according to the cutoff date indicated in your answer to question 2.1) |
| How does your institution define a full-time equivalent (FTE) undergraduate student? |
| Has this definition changed since last year? (Yes/No) |
| How does your institution calculate undergraduate FTE enrollment? |
| Has this formula changed since last year? (Yes/No) |
| Total undergraduate FTE enrollment according to the cutoff date indicated in your answer to question 2.1: |
| What are your institution’s requirements for selecting a major? [4-year institutions]/What are your institution’s requirements for considering a student a STEM major (or your institution’s equivalent classification)? [2-year institutions] |
| Have these criteria changed since last year? (Yes/No) |
| **3. Student Demographics** |
| **STEM Disciplines offered at [INSERT INSTITUTION NAME]** Please indicate the STEM disciplines in which [INSERT INSTITUTION NAME] offers majors/degrees. [If you are unable to classify our data by these disciplines, try the alternative list of categories. (2-year institutions only)] A list of STEM discipline classifications is available in the online crosswalk.  **Standard List**  Agricultural Science  Biological Sciences  Chemistry  Computer Science  Engineering  Environmental Science  Geosciences  Mathematics  Physics/Astronomy  Psychology  Undeclared  Non-STEM  **Alternative List** [2-year institutions only]  Engineering  Mathematics  Science  Undeclared  Non-STEM |
| **Majors**  Please enter the number of students who have completed the requirements necessary to be officially recognized by your institution as majoring in [INSERT DISCIPLINE] (or your institution’s equivalent classification) (2-year institutions only) as of the cutoff date indicated in your answer to question 2.1.  Majors – Not Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Not Hispanic or Latino (read-only)  Majors – Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Hispanic or Latino (read-only)  Neither Ethnicity nor Race Reported  Auto-Total [INSERT DISCIPLINE] Majors (read-only)  Male  Female  Gender Not Reported  Auto-Total (Read-Only) |
| **Degrees Granted** Please enter the number of students granted associate’s degrees (2-year institutions)/bachelor’s degrees (4-year institutions) in [INSERT DISCIPLINE] by ethnicity, race, and gender during the reporting period July 1, 20XX – June 30, 20XX.  Associate’s [2-year institutions]/ Bachelor’s [4-year institutions] degrees granted to Not Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Not Hispanic or Latino (read-only)  Associate’s [2-year institutions]/ Bachelor’s [4-year institutions] degrees granted to Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Hispanic or Latino (read-only)  Neither Ethnicity nor Race Reported  Auto-Total Associate’s (2-year institutions)/Bachelor’s (4-year institutions) degrees granted in [INSERT DISCIPLINE] (read-only)  Male  Female  Gender Not Reported  Auto-Total (Read-Only) |
| **Transfers to 4-Year Institutions** [2-year institutions only] Please enter the number of [INSERT DISCIPLINES] majors transferring to 4-year institutions during the reporting period July 1, 20XX – June 30, 20XX by ethnicity, race, and gender. (U.S. citizens and permanent residents only.)  Transfers to 4-year institutions by Not Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Not Hispanic or Latino (read-only)  Transfers to 4- year institutions by Hispanic or Latino students  Race(s)  American Indian or Alaska Native  Asian  Black or African American  Native Hawaiian or Other Pacific Islander  White  More Than One Race Reported (Count students reporting two or more race categories where at least one of the reported categories is: American Indian or Alaska Native, Black or African American, or Native Hawaiian or Other Pacific Islander.)  More Than One Race Reported (Asian & White) (Count students reporting both Asian and White, but no other race.)  Race Not Reported or Unknown  Auto-Total Hispanic or Latino (read-only)  Neither Ethnicity nor Race Reported  Auto-Total Transfers to 4-year institutions by[INSERT DISCIPLINE] majors (read-only)  Male  Female  Gender Not Reported  Auto-Total (Read-Only) |
| **4. Strategies and Activities** |
| Title of strategy/activity |
| Status (Planned/Implemented/Discontinued) |
| Which of the following best describes the strategy/activity? (check all that apply):  Precollege Development  Undergraduate Student Academic Development  Undergraduate Student Professional Development  Faculty Development  Curriculum Development  Linkages with Community Colleges  Other (please specify): |
| Were any of the following student groups specifically targeted by this strategy/activity? (check any that apply):  Underrepresented Minority Students  Female Students  At-Risk Students (please define): |
| Brief description of strategy/activity: |
| Number of undergraduate students participating in this strategy/activity: |
| Number of high school students (juniors and seniors only) participating in this strategy/activity: |
| Indications that this strategy/activity will increase the number of STEM graduates (or STEM majors transferring to 4-year institutions [2-year institutions only]): |
| Describe any challenges in implementing this strategy/activity: |
| **5. Challenges** |
| Title of challenge |
| Brief description of challenge |
| **6. Other Influences** |
| Description of influences: |
| **7. Student Participation** |
| **Since some of your strategies/activities will service the same students multiple times, please estimate**  **How many distinct undergraduate students participated in** the strategies/activities carried out under your STEP grant between July 1, 20XX – June 30, 20XX, as described in section 4  **How many distinct high school students participated in** the strategies/activities carried out under your STEP grant between July 1, 20XX – June 30, 20XX, as described in section 4 |
| How many distinct undergraduate students received direct funding under your STEP grant between July 1, 20XX – June 30, 20XX? This would include being paid to be a tutor, mentor, or intern; receiving a scholarship or stipend; and being paid to assist with STEP strategies/activities. |
| For what types of efforts were undergraduate students funded between July 1, 20XX – June 30 20XX?  Payment for tutoring  Payment for mentoring  Paid internships/research experiences  Scholarships/stipends  Payment for assisting with STEP strategies/activities  Other (please specify): |

1. Elements of these characteristics include name, address, date of birth, gender, ethnicity, race, disability status, class, major, grade point average, yearly fellowship or stipend amount, and project role. [↑](#footnote-ref-1)
2. Elements of these characteristics include sources and amount of funds, fellowships, scholarships, traineeships, partnerships, training, and research methods. [↑](#footnote-ref-2)
3. Elements of these characteristics include research findings, publications, presentations, degrees granted, and educational materials. [↑](#footnote-ref-3)