

U.S. Department of Health and Human Services Centers for Disease Control and Prevention

Print Date: 7/18/22

Title:	National Environmental Assessment Reporting System (NEARS) OMB Package
Project Id:	0900f3eb81ecc76a
Accession #:	NCEH-FT-1/25/22-72adc
Project Contact:	Erin D Moritz
Organization:	NCEH/ATSDR/DEHSP/WFEHSB/FT
Status:	Pending Regulatory Clearance
Intended Use:	Project Determination
Estimated Start Date:	09/01/2022
Estimated Completion Date:	08/31/2025
CDC/ATSDR HRPO/IRB Protocol #:	N/A
OMB Control #:	0920-0980

Determinations

Determination	Justification	Completed	Entered By & Role
HSC: Does NOT Require HRPO Review	Not Research / Other <i>45 CFR 46.102(1)</i> Quality Assurance / Improvement	3/25/22	Abel_Jason A. (jza5) CIO HSC
PRA:			

Description & Funding

Description	
Priority:	Standard
Date Needed:	04/08/2022
Determination Start Date:	03/25/22
Description:	This package requests a three-year Paperwork Reduction Act (PRA) clearance for the National Environmental Assessment Reporting System (NEARS), OMB Control No. 0920-0980, Expiration Date: 08/31/2022. This includes revision of a previously approved information collection request (ICR).
IMS/CIO/Epi-Aid/Lab-Aid/Chemical Exposure Submission:	No
IMS Activation Name:	Not selected
Primary Priority of the Project:	Not selected
Secondary Priority(s) of the Project:	Not selected
Task Force Associated with the Response:	Not selected
CIO Emergency Response Name:	Not selected
Epi-Aid Name:	Not selected
Lab-Aid Name:	Not selected
Assessment of Chemical Exposure Name:	Not selected
Goals/Purpose	The goal of NEARS is to continue to improve public health practice by providing a standardized, detailed reporting system for food safety programs, to collect foodborne outbreak environmental assessment data, and to establish a sound epidemiological basis for disease prevention activities.
Objective:	The foodborne outbreak environmental assessment data reported to NEARS will be used to characterize data on food vehicles and monitor trends; identify contributing factors and their environmental antecedents; generate hypotheses, guide planning, and implementation; evaluate food safety programs; and ultimately assist to prevent future outbreaks.
Does this project include interventions, services, or policy change work aimed at improving the health of groups who have been excluded or marginalized and /or decreasing disparities?:	
Project does not incorporate elements of health equity science:	Yes

Measuring Disparities:	Not Selected
Studying Social Determinants of Health (SDOH):	Not Selected
Assessing Impact:	Not Selected
Methods to Improve Health Equity Research and Practice:	Not Selected
Other:	Not Selected
Activities or Tasks:	New Collection of Information, Data, or Biospecimens
Target Populations to be Included/Represented:	Businesses
Tags/Keywords:	Foodborne Diseases ; Outbreak investigation ; Public Health Surveillance
CDC's Role:	Activity originated and designed by CDC staff, or conducted at the specific request of CDC, or CDC staff will approve study design and data collection as a condition of any funding provided ; CDC employees will participate as co-authors in presentation(s) or publication(s) ; CDC employees will provide substantial technical assistance or oversight ; CDC is providing funding
Method Categories:	Individual Interviews (Qualitative); Observation; Outbreak Investigation; Technical Assistance
Methods:	During foodborne illness outbreak investigations, environmental health specialists collect detailed environmental data by conducting environmental assessments. These data identify how and why the food became contaminated with pathogens, and how and why these pathogens were not eliminated before ingestion. When reported to CDC via NEARS, this information provides an opportunity to systematically monitor and evaluate environmental factors, which can then be used to develop effective foodborne illness outbreak response and preventative controls.
Collection of Info, Data or Biospecimen:	Data from foodborne outbreak environmental assessments are collected by environmental health professionals responding to foodborne outbreaks at retail food establishments. Data are collected through direct observations at the establishment, and through manager interviews or pen-and-paper at the establishment. Participating food safety programs then enter their data into a secured web-based system designed to make data entry easy for respondents.
Expected Use of Findings/Results and their impact:	The information collected through NEARS is primarily used by the CDC to identify and understand environmental factors (contributing factors and environmental antecedents) associated with foodborne illness outbreaks. Data collected through NEARS will also be used to describe outbreaks and outbreak responses, describe environmental factors associated with outbreaks, and describe the associations between environmental antecedents and specific contributing factors.
Could Individuals potentially be identified based on Information Collected?	No

Funding

Funding Type	Funding Title	Funding #	Original Budget Yr	# Years Award	Budget Amount
CDC Cooperative Agreement	Environmental Health Specialists Network	RFA-EH-20-001	2020	5	1540700.00

HSC Review

HSC Attributes

Quality Assurance / Improvement

Regulation and Policy

Do you anticipate this project will be submitted to the IRB office	No	
Estimated number of study participants		
Population - Children		Protocol Page #:
Population - Minors		Protocol Page #:
Population - Prisoners		Protocol Page #:
Population - Pregnant Women		Protocol Page #:
Population - Emancipated Minors		Protocol Page #:
Suggested level of risk to subjects		

Yes

Do you anticipate this project will be exempt research or non-exempt research

Requested consent process waviers

Informed consent for adults	No Selection
Children capable of providing assent	No Selection
Parental permission	No Selection

Alteration of authorization under HIPPA Privacy No Selection Rule

Requested Waivers of Documentation of Informed Consent

Informed consent for adults	No Selection
Children capable of providing assent	No Selection
Parental permission	No Selection

Consent process shown in an understandable language

Reading level has been estimated	No Selection
Comprehension tool is provided	No Selection
Short form is provided	No Selection
Translation planned or performed	No Selection
Certified translation / translator	No Selection
Translation and back-translation to/from target language(s)	No Selection
Other method	No Selection

Clinical Trial

Involves human participants	No Selection
Assigned to an intervention	No Selection
Evaluate the effect of the intervention	No Selection
Evaluation of a health related biomedical or behavioral outcome	No Selection
Registerable clinical trial	No Selection

Other Considerations

Exception is requested to PHS informing those bested about HIV serostatus	No Selection
Human genetic testing is planned now or in the future	No Selection
Involves long-term storage of identfiable biological specimens	No Selection

Involves a drug, biologic, or device

No Selection

Conducted under an Investigational New DrugNo Selectionexemption or Investigational Device ExemptionNo Selection

Institutions & Staff

Institutions

Institutions yet to be added

Staff

Staff Member	SIQT Exp. Date	CITI Biomedical Exp. Date	CITI Social & Behavioral Exp. Date	CITI Good Clinical Practice Exp. Date	Staff Role	Email	Phone	Organization
Adam Kramer	04/05 /2025		12/31/2022		Co- Investigator		404- 498- 1228	FOOD
Beth Wittry	12/29 /2023		12/19/2021		Co- Investigator		770- 488- 7333	FOOD
Erin Mortiz	12/27 /2024		12/31/2021		Co- Investigator	nrj8@cdc. gov	404- 498- 2756	FOOD
Laura Brown	01/25 /2025		12/20/2021		Principal Investigator		770- 488-2	WATER FOOD AND ENVIRONMENTAL HEALTH SERVICES BRANCH

Data

DMP

Proposed Data Collection Start Date:	9/1/22
Proposed Data Collection End Date:	8/31/25

Proposed Public Access Level:	Public
Public Access Justification:	Data will be released publicly with each publication.
How Access Will Be Provided for Data:	Datasets will be release on the CDC Stacks Public Health Publications website. The sites of the food safety program will be de- identified and free text reviewed to ensure it contains no identifying information available for the restaurant or program. All data are stored behind the CDC firewall.
Plans for Archival and Long Term Preservation:	

Spatiality

Spatiality (Geographic Locations) yet to be added

Dataset

Dataset	Dataset	Data Publisher	Public Access	Public Access	External	Download	Type of Data	Collection	Collection End
Title	Description	/Owner	Level	Justification	Access URL	URL	Released	Start Date	Date
Dataset yet to be added									

Supporting Info

Current	CDC Staff Member and Role	Date Added	Description	Supporting Info Type	Supporting Info
	Moritz_Erin (nrj8) PRA Reviewer	07/15/2022	Zip file containing the revised 30 day FRN and ICR and supporting documents.	Paperwork Reduction Act Form	NEARS OMB Submitted 7-15-22.zip
	Davis_Stephanie I. (sgd8) PRA Reviewer	07/12/2022	Zipfile of NEARS 30D FRN and Revision ICR. Please address comments and revisions. Add attachments marked PLACEHOLDER. I have edited the burden table to include NEARS	Paperwork Reduction Act Form	NEARS 30D FRN ICR rev OS.zip

			registration to match the NCEZID DFWED OES PIA system description.		
	Moritz_Erin (nrj8) PRA Reviewer	07/07/2022	Zip file containing the 30 day FRN and ICR for the NEARS surveillance system.	Paperwork Reduction Act Form	NEARS OMB Submitted 7722.zip
	Davis_Stephanie I. (sgd8) PRA Reviewer	06/24/2022	Zipfile of 30D FRN and ICR reviewed with comments from OS	Paperwork Reduction Act Form	Files rev OS.zip
	Moritz_Erin (nrj8) PRA Reviewer	06/22/2022	Compressed file includes supporting statements A and B, relevant attachments, the 30-day FRN, and burden worksheets.	Paperwork Reduction Act Form	NEARS OMB Submitted 06222022.zip
	Davis_Stephanie I. (sgd8) PRA Reviewer	05/09/2022	30D FRN publication request and revision ICR files with OS comments to address.	Paperwork Reduction Act Form	Files rev OS.zip
Current	Moritz_Erin (nrj8) Project Contact	04/27/2022	The updated compressed file contains: Supporting Statement A, Supporting Statement B, attachments and appendix documents, the 30 day FRN, and the 30 day FRN Publication Request Form.	Paperwork Reduction Act Form	Submit to STARS 04272022.zip
	Moritz_Erin (nrj8) Project Contact	03/17/2022	Compressed file contains: Supporting Statement A, Supporting Statement B, 10 attachments (including the 60 day FRN [Attachment 3]), and the 60 day FRN Publication Request Form.	Paperwork Reduction Act Form	NEARS OMB Package.zip



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