Substance Abuse and Mental Health Services Administration (SAMHSA)

Center for Mental Health Services (CMHS)

National Outcome Measures (NOMs) Client-Level Measures for Discretionary Programs Providing Direct Services

SERVICES TOOL

SAMHSA's Performance Accountability and Reporting System (SPARS)

August 2202

Public reporting burden for this collection of information is estimated to average 20 minutes per response if all items are asked of a client/consumer/participant; to the extent that providers already obtain much of this information as part of their ongoing client/consumer/participant intake or follow-up, less time will be required. Send comments regarding this burden estimate, or any other aspect of this collection of information, to the Substance Abuse and Mental Health Services Administration (SAMHSA) Reports Clearance Officer, Room 15E57B, 5600 Fishers Lane, Rockville, MD 20857. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid Office of Management and Budget (OMB) control number. The control number for this project is 0930-0285.

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RECORD MANAGEMENT

RECORD MANAGEMENT information in REASSESSMENT, and DISCHARGE, ever conducted.	is collected by grantee staff at BASELINE, en when an assessment interview is not
Client ID	
1. Indicate Assessment Type:	
O Baseline Assessment 1a. [IF QUESTION 1 IS BASELINE] Enter the MONTH and YEAR when the client first received services under this grant for this episode of care. / MONTH YEAR	O Reassessment (3-month or 6-month) O Clinical Discharge Assessment
2. birth?ofyear month andthe client'sis What /	
O Yes	O No
3a. [IF QUESTION 3 IS YES] When? / MONTH DAY YEAR	 3b. [IF QUESTION 3 IS NO]Why not? Choose only one. O Not able to obtain consent from proxy O Client was impaired or unable to provide consent O Client refused this interview O Client was not reached for interview O Client refused all interviews

4. asW[CHILD ONLY] the respondent the child or the caregiver?

0	Child
0	Caregiver

BEHAVIORAL HEALTH DIAGNOSES

BEHAVIORAL HEALTH DIAGNOSES information is collected by grantee staff at sment interview

BASELINE, REASSESSMENT and DISCHARGE, even when an assessis not conducted.
1. Was the client screened or assessed by your program for trauma-related experiences?
YesNoDON'T KNOW
1a. leaseP [IF QUESTION 1 IS NO] select why:
 No time during interview No training around trauma screening/disclosure No institutional/organizational policy around screening No referral network and/or infrastructure for trauma services currently available Other
1b. asW IF QUESTION 1 IS YES][the screen positive?
YesNoDON'T KNOW
2. Did the client have a positive suicide screen?
O Yes O No O DON'T KNOW
2a.Was 2 IS YES] QUESTION[IF a suicidal safety plan developed?
O Yes O No O DON'T KNOW
2b. Was 2 IS YES]QUESTION[IF access to lethal means assessed?
O Yes O No O DON'T KNOW

3. Behavioral Health Diagnoses

Please indicate the client's current behavioral health diagnoses using the International Classification of Diseases, 10th Revision, Clinical Modification (ICD-10-CM) codes listed below, **as made by a clinician**. Please note that some substance use disorder ICD-10-CM codes have been crosswalked to the *Diagnostic and Statistical Manual of Mental Disorders* (*DSM-5*) descriptors. Select up to three behavioral from the health diagnosesmental health, Z-codes, and substance use diagnoses below.

If no mental health diagnosis, select reason:

 No clinician assessme 	nt

- O High risk factors requiring intervention and not yet meeting criteria for a DSM/ICD diagnosis
- Only met criteria for a "Z" code
- Other (please specify_______)

MENTAL HEALTH DIAGNOSES	Diagnosed?
Schizophrenia, schizotypal, delusional, and other non-mood psychotic disorders	
F20 – Schizophrenia	
F21 – Schizotypal disorder	
F22 – Delusional disorder	
F23 – Brief psychotic disorder	
F24 – Shared psychotic disorder	
F25 – Schizoaffective disorders	
F28 – Other psychotic disorder not due to a substance or known physiological condition	
F29 – Unspecified psychosis not due to a substance or known physiological condition	
Mood [affective] disorders	
F30 – Manic episode	
F31 – Bipolar disorder	
F32 – Major depressive disorder, single episode	
F33 – Major depressive disorder, recurrent	
F34 – Persistent mood [affective] disorders	
F39 – Unspecified mood [affective] disorder	
Phobic Anxiety and Other Anxiety Disorders	
F40 – Phobic anxiety disorders	
F40.00 – Agoraphobia, unspecified	
F40.01 – Agoraphobia with panic disorder	
F40.02 – Agoraphobia without panic disorder	
F40.1 – Social phobias (Social anxiety disorder)	
F40.10 – Social phobia, unspecified	
F40.11 – Social phobia, generalized	
F40.2 – Specific (isolated) phobias	
F41 – Other anxiety disorders	
F41.0 – Panic disorder	
F41.1 – Generalized anxiety disorder	
Obsessive-compulsive disorders	
F42 – Obsessive-compulsive disorder	
F42.2 – Obsessive-compulsive disorder with mixed obsessional thoughts and acts	
F42.3 – Hoarding disorder	
F42.4 – Excoriation (skin-picking) disorder	
F42 8 – Other obsessive-compulsive disorder	

F42.9 – Obsessive-compulsive disorder, unspecified	
MENTAL HEALTH DIAGNOSES	Diagnosed?
Reaction to severe stress and adjustment disorders	
F43 – Acute stress disorder; reaction to severe stress, and adjustment disorders	
F43.10 – Post traumatic stress disorder, unspecified	
F43.2 – Adjustment disorders	
F44 – Dissociative and conversion disorders	
F44.81 – Dissociative identity disorder	
F45 – Somatoform disorders	
F45.22 – Body dysmorphic disorder	
F48 – Other non-psychotic mental disorders	
Behavioral syndromes associated with physiological disturbances and physical factors	
F50 – Eating disorders	
F51 – Sleep disorders not due to a substance or known physiological condition	
Disorders of adult personality and behavior	
F60.0 – Paranoid personality disorder	
F60.1 – Schizoid personality disorder	
F60.2 – Antisocial personality disorder	
F60.3 – Borderline personality disorder	
F60.4 – Histrionic personality disorder	
F60.5 – Obsessive-compulsive personality disorder	
F60.6 – Avoidant personality disorder	
F60.7 – Dependent personality disorder	
F60.8 – Other specific personality disorders	
F60.9 – Personality disorder, unspecified	
F63.3 – Trichotillomania	
F70–F79 – Intellectual disabilities	
F80–F89 – Pervasive and specific developmental disorders	
Behavioral and emotional disorders with onset usually occurring in childhood and adolescence	
F90 – Attention-deficit hyperactivity disorders	
F91 – Conduct disorders	
F93 – Emotional disorders with onset specific to childhood	
F93.0 – Separation anxiety disorder of childhood	
F94 – Disorders of social functioning with onset specific to childhood or adolescence	
F94.0 – Selective mutism	
F94.1 – Reactive attachment disorder of childhood	
F94.2 – Disinhibited attachment disorder of childhood	
F95 – Tic disorder	
F98 – Other behavioral and emotional disorders with onset usually occurring in childhood and	
adolescence] [
F99 – Unspecified mental disorder	Ц

Z codes – Persons with potential health hazards related to socioeconomic and psychosocial circumstances	Diagnosed?
Z55 – Problems related to education and literacy	
Z56 – Problems related to employment and unemployed	
Z57 – Occupational exposure to risk factors	
Z59 – Problems related to housing and economic circumstances	
Z60 – Problems related to social environment	

Z62 – Problems related to upbringing	
Z codes – Persons with potential health hazards related to socioeconomic and psychosocial circumstances	Diagnosed?
Z63 – Other problems related to primary support group, including family circumstances	
Z64 – Problems related to certain psychological circumstances	
Z65 – Problems related to other psychosocial circumstances	

SUBSTANCE USE DIAGNOSES	Diagnosed?
Alcohol related disorders	
F10.10 – Alcohol abuse, uncomplicated	
F10.11 – Alcohol abuse, in remission	
F10.20 – Alcohol dependence, uncomplicated	
F10.21 – Alcohol dependence, in remission	
F10.9 – Alcohol use, unspecified	
Opioid related disorders	
F11.10 – Opioid abuse, uncomplicated,	
F11.11 – Opioid abuse, in remission	
F11.20 – Opioid dependence, uncomplicated	
F11.21 – Opioid dependence, in remission	
F11.9 – Opioid use, unspecified	
Cannabis related disorders	
F12.10 – Cannabis abuse, uncomplicated	
F12.11 – Cannabis abuse, in remission	
F12.20 – Cannabis dependence, uncomplicated	
F12.21 – Cannabis dependence, in remission	
F12.9 – Cannabis use, unspecified	
Sedative, hypnotic, or anxiolytic related disorders	
F13.10 – Sedative, hypnotic, or anxiolytic abuse, uncomplicated	
F13.11 – Sedative, hypnotic, or anxiolytic abuse, in remission	
F13.20 – Sedative, hypnotic, or anxiolytic dependence, uncomplicated	
F13.21 – Sedative, hypnotic, or anxiolytic dependence, in remission	
F13.9 – Sedative, hypnotic, or anxiolytic-related use, unspecified	
Cocaine related disorders	
F14.10 – Cocaine abuse, uncomplicated	
F14.11 – Cocaine abuse, in remission	
F14.20 – Cocaine dependence, uncomplicated	
F14.21 – Cocaine dependence, in remission	
F14.9 – Cocaine use, unspecified	
Other stimulant related disorders	
F15.10 – Other stimulant abuse, uncomplicated	
F15.11 – Other stimulant abuse, in remission	
F15.20 – Other stimulant dependence, uncomplicated	
F15.21 – Other stimulant dependence, in remission	
F15.9 – Other stimulant use, unspecified	
Hallucinogen related disorders	
F16.10 – Hallucinogen abuse, uncomplicated	
F16.11 – Hallucinogen abuse, in remission	
F16.20 – Hallucinogen dependence, uncomplicated	

F16.21 – Hallucinogen dependence, in remission	
F16.9 – Hallucinogen use, unspecified	
SUBSTANCE USE DIAGNOSES	Diagnosed?
Inhalant related disorders	
F18.10 – Inhalant abuse, uncomplicated	
F18.11 – Inhalant abuse, in remission	
F18.20 – Inhalant dependence, uncomplicated	
F18.21 – Inhalant dependence, in remission	
F18.9 – Inhalant use, unspecified	
Other psychoactive substance related disorders	
F19.10 – Other psychoactive substance abuse, uncomplicated	
F19.11 – Other psychoactive substance abuse, in remission	
F19.20 – Other psychoactive substance dependence, uncomplicated	
F19.21 – Other psychoactive substance dependence, in remission	
F19.9 – Other psychoactive substance use, unspecified	
Nicotine dependence	
F17.20 – Nicotine dependence, unspecified	
F17.21 – Nicotine dependence, cigarettes	

For BASELINE:

- If an interview WAS conducted, go to Demographic Data.
- If an interview WAS NOT conducted, STOP HERE.

For REASSESSMENT or CLINICAL DISCHARGE:

- If an interview WAS conducted, go to Section A.
 - If an interview WAS NOT conducted, go to Section H.

DEMOGRAPHIC DATA

DEMOGRAPHIC DATA are only collected at BASELINE. If this is NOT a BASELINE, go to Section A.

1. What do you consider yourself to be? [READ CHOICES.]
 O Male O Female O Transgender (Male to Female) O Transgender (Female to Male)
O Gender non-conforming O OTHER (Specify) O REFUSED
2. Do you think of yourself as
O Straight or Heterosexual O Homosexual (Gay Or Lesbian) O Bisexual O Queer O Pansexual O Questioning O Asexual O Something Else? Please Specify
3. Are you [is your child] Hispanic, Latino/a, or of Spanish origin?
 ☐ Yes ☐ No
3a. [IF QUESTION 3 IS YES] What ethnic group do you [your child] consider yourself [themselves] You may indicate more than one.
O Central American O Cuban O Dominican O Mexican O Puerto Rican O South American O OTHER (Specify) O REFUSED

4. What is your [your child's] race? You may indicate more than one.
O Black or African American O White O American Indian O Alaska Native O South Asian O Chinese O Filipino O Japanese O Korean O Vietnamese O Other Asian O Native Hawaiian O Guamanian or Chamorro O Samoan O Other Pacific Islander O OTHER (Specify) O REFUSED
5. [IF CLIENT 5 YEARS OLD OR OLDER] Do you [does your child] speak a language other than English at
home?
YesNoNOT APPLICABLE
5a. [IF CLIENT 5 YEARS OLD OR OLDER] [IF QUESTION 5 IS YES] What is this language?
O Spanish O OTHER (Specify)
6. [ADULT ONLY] Have you ever served in the Armed Forces, the Reserves, or the National Guard?
 Yes No [GO TO SECTION A.] DON'T KNOW [GO TO SECTION A.] NOT APPLICABLE [GO TO SECTION A.]
7. [ADULT ONLY] [IF QUESTION 6 IS YES] Are you currently serving on active duty in the Armed Forces, the Reserves, or the National Guard?
 Yes No REFUSED
O DON'T KNOW

A. FUNCTIONING

1. How would you rate your [your child's] overall mental health right now?

 Excellent Very Good Good Fair Poor NO RESPONSE/REFUSED 			
2. To provide the best mental health and related services, we need to know able to deal with everyday life during the past 30 [thirty] days. Please in each of the following statements:			
READ EACH STATEMENT TO THE CLIENT, FOLLOWED BY RESP OR CAREGIVER	ONSE O	PTIO	NS OF YES OR NO
During the past 30 [thirty] days			NO RESPONSE
	Yes	No	/ REFUSED
a. I am [my child is] handling daily life.		무무	
b. I am [my child is] able to deal with unexpected events in my [their] life.			
c. I [my child does] get along with friends and other people.			
d. I [my child does] get along with family members. e. I do [my child does] well in social situations.			
f. I do [my child does] well in school and/or work.			
g. I have [my child has] had a safe place to live.	┤╏		П
. The following questions ask about how you have [your child has] been fe Please indicate your [your child's] response to each question: During the past 30 [thirty] days, did you [your child] feel	eling dur	ring the	NO RESPONSE / REFUSED
a. Nervous?			
b. Hopeless?			
c. Restless or fidgety?			
d. So depressed that nothing could cheer you [your child] up?			
e. That everything was an effort?			
f. Worthless?			
g. Bothered by psychological or emotional problems?			

B. STABILITY IN HOUSING

1. In the past 30 [thirty] days, have you [has your child] ...

			NO
			RESPONSE /
	Yes	No	REFUSED
a. Been homeless?			
b. Spent time in a hospital for mental health care?			
c. Spent time in a facility for detox/inpatient treatment for a substance abuse disorder?			
d. Spent time in a correctional facility (e.g., jail, prison, [juvenile] facility)?			
e. Gone to an emergency room for a mental health or emotional problem?			
f. Been satisfied with the conditions of your living space?			

2. In the past 30 [thirty] days, where have you [has your child] been living most of the time?

[DO NOT READ RESPONSE OPTIONS TO THE CLIENT. SELECT ONLY ONE.]

- O PRIVATE RESIDENCE
- O FOSTER HOME
- O RESIDENTIAL CARE
- O CRISIS RESIDENCE
- O RESIDENTIAL TREATMENT CENTER
- O INSTITUTIONAL SETTING
- O JAIL/CORRECTIONAL FACILITY
- O HOMELESS/SHELTER
- O OTHER (SPECIFY) ___
- O DON'T KNOW

C. EDUCATION AND EMPLOYMENT

1.	Are you [is your child] currently enrolled in school or a job training program?
	O Yes O No O NO RESPONSE/REFUSED
2.	[ADULT ONLY] What is the highest level of education you have finished, whether or not you received a degree? [SELECT ONLY ONE]
	 LESS THAN 12TH GRADE 12TH GRADE/HIGH SCHOOL DIPLOMA/EQUIVALENT (GED) VOCATIONAL/TECHNICAL (VOC/TECH) DIPLOMA SOME COLLEGE OR UNIVERSITY BACHELOR'S DEGREE (BA, BS) GRADUATE WORK/GRADUATE DEGREE REFUSED DON'T KNOW
3.	[ADULT ONLY] Are you currently employed? [SELECT ONLY ONE]
	 Employed full-time (35+ HOURS PER WEEK) Employed, part-time Unemployed, but looking for work Not Employed, NOT looking for work Not working due to a disability Retired, not working OTHER (SPECIFY) REFUSED DON'T KNOW
4.	In the past 30 [thirty] days, did you have enough money to meet your [your child's] needs?
	O Yes O No O NO RESPONSE/REFUSED

D. CRIME AND CRIMINAL JUSTICE STATUS

1. In the past 30 [thirty] days, have you [has your child]...

	Yes	No	NO RESPONSE / REFUSED
a. Been arrested?			
b. Spent time in jail or a correctional facility or been on probation?			

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If this is a BASELINE assessment, go to Section F.

If this is a REASSESSMENT or a CLINICAL DISCHARGE assessment, go to Section E.

Section E data is collected only for the REASSESSMENT interview and the CLINICAL DISCHARGE assessment.

E. PERCEPTION OF CARE

1. In order to provide the best possible mental health and related services, we need to know what you [your child] think[s] about the services you [they] received <u>during the past 30 [thirty] days</u>, the people who provided it, and the results. Please indicate your [your child's] disagreement/agreement with each of the following statements.

[READ EACH STATEMENT TO THE CLIENT OR CAREGIVER, FOLLOWED BY RESPONSE OPTIONS OF YES OR NO]

	Statement			NO DESPONSE/
		Yes	No	RESPONSE/ REFUSED
a.	Staff here believe that I [my child] can grow, change, and recover.			
b.	I [my child] felt free to complain.			
c.	I [my child] was given information about my [my child's] rights.			
d.	Staff encouraged me [my child] to take responsibility for how I [they] live my [their] life.			
e.	Staff told me [my child] what side effects to watch out for.			
f.	Staff respected my [my child's] wishes about who is and who is not to be given information about my [my child's] treatment.			
g.	Staff were sensitive to my [my child's] cultural background (e.g., race, religion, language).			
h.	Staff helped me [my child] obtain the information I [my child] needed so that I [my child] could take charge of managing my [their] illness.			
i.	I [my child] was encouraged to use consumer-run programs (support groups, drop-in centers, crisis phone line, etc.).			
j.	I [my child] felt comfortable asking questions about my [their] treatment and medication.			
k.	I [my child], not staff, decided my [my child's] treatment goals.			
1.	I [my child] like[s] the services received here.			
m.	I [my child] would still get services from this agency if there were other choices.			
n.	I [my child] would recommend this agency to a friend or family member.			

Question 2 should be answered by grantee staff at REASSESSMENT and CLINICAL DISCHARGE.

- 2. Indicate which grantee staff administered section E to the client for this interview:
- O Administrative staff
- O Care coordinator
- O Case manager
- O Clinician providing direct services
- O Clinician not providing direct services
- O Consumer/peer
- O Data collector/evaluator

0	Family	advocate

O Other (Specify)

F. SOCIAL CONNECTEDNESS

1. Please indicate YES or NO for each of the following statements. Please answer for relationships with persons other than your [your child's] mental health provider(s) over the past 30 [thirty] days.

[READ EACH STATEMENT TO THE CLIENT OR CAREGIVER, FOLLOWED BY RESPONSE OPTIONS OF YES OR NO]

Statement	Y	Yes	No	NO RESPONSE/ REFUSED
a. I am [my child is] happy with my [their] friendships.				
b. I have [my child has] people with whom I [they] can do en	joyable things.			
c. I feel [my child feels] that I [they] belong in the communit	y. [
d. In a crisis, I [my child] would have the support needed from friends.	m family or			
e. I have [my child has] family or friends that are supportive of my [their] recovery.				
f. I [my child] generally accomplish]es[what I [they] set out	to do.			

IF YOUR PROGRAM DOES NOT REQUIRE SECTION G and this is a ...

BASELINE ASSESSMENT, stop now – the interview is completed.

REASSESSMENT interview or CLINICAL DISCHARGE - go to Section H.

IF YOUR PROGRAM DOES REQUIRE SECTION G, and this is a ...

BASELINE interview – go to Section G for your program and then stop.

REASSESSMENT interview or CLINICAL DISCHARGE interview: go to Section G for your program, and then to Section H.

G. PROGRAM-SPECIFIC QUESTIONS

You are NOT responsible for collecting data on ALL Section G questions. Only complete the Section G which is specific to your program.

Your GPO will provide guidance on which specific Section G questions you are to complete. If you have any questions, please contact your GPO.

G1. ASSISTED OUTPATIENT TREATMENT HYPERLINK \| " G1. ASSISTED OUTPATIENT"

LAW ENFORCEMENT AND BEHAVIORAL HEALTH PARNTERSHIPS FOR EARLY DIVERSION HYPERLINK \| G2. LAW ENFORCEMENT"

PROMOTING THE INTEGRATION OF PRIMARY AND BEHAVIORAL HEALTH CARE HYPERLINK \\ "_G3._PROMOTING_THE"

MINORITY AIDS - SERVICE INTEGRATION HYPERLINK \l "_G4._MINORITY_AIDS"

HEALTHY TRANSITIONS HYPERLINK \\ \\ \' _G5._HEALTHY_TRANSITIONS''

ASSERTIVE COMMUNITY TREATMENT HYPERLINK \1 "_G6._ASSERTIVE_COMMUNITY"

CLINICAL HIGH RISK FOR PSYCHOSIS HYPERLINK \1 "_G7._CLINICAL_HIGH"

CERTIFIED COMMUNITY BEHAVIORAL HEALTH CLINICS HYPERLINK \\ "_G7._CERTIFIED_COMMUNITY"

NATIONAL CHILD TRAUMATIC STRESS INITIATIVE – CATEGORY 3 HYPERLINK \\ " G9. NATIONAL CHILD"

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