

Identifying Information

Charter Number
00000

Bank Name
Test Bank

Cash Intensive Businesses

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

1. Convenience Stores

2. Liquor Stores

3. **Independent** ATM **Owners/O**perators

4. Domestic Charitable Organizations

5. Jewelry, Gem & Precious Metal Dealers

6. Casinos

7. Car Dealers

Domestic Accounts

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

8. Domestic Private Banking

9. Domestic Commercial Letters of Credit

10. Stand-by Letters of Credit

Domestic Customers

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

11. Marijuana Related Businesses

12. Customers/Accounts opened through
the Internet, Mail, Wire or Phone (non-
branch)

13. Domestic Deposit Brokers

14. Travel Agencies

15. Broker Dealers

16. Telemarketers

17. Remotely Created Check Customers

18. Domestic Remote Deposit Capture
Customers

19. Third Party Senders

Domestic Transactions

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

20. Cash Transactions

21. Issuance of Traveler's Checks,
Official Bank Checks & Money Orders

22. Domestic Wire Transfers

23. Domestic PUPIDs Wire Transfers

24. ACH

25. Remotely Created Checks

26. Domestic Remote Deposit Capture

Foreign Customers

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

27. Non-Resident Alien Accounts

Non-Resident Alien Accounts Total

28. Politically Exposed Persons

Politically Exposed Persons Total

29. Foreign Off-Shore Corporations

Foreign Off-Shore Corporations Total

30. Foreign Deposit Brokers

Foreign Deposit Brokers Total

31. Foreign Charitable Organizations

Charitable Orgs. (Foreign) Total

32. Import/Export

33. Foreign Remote Deposit Capture Customers

Foreign Remote Deposit Capture

Customers Total

International Accounts

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

34. Foreign Correspondent Accounts

Foreign Correspondent Accounts Total

35. Payable Through Accounts

Payable Through Accounts Total

36. Pouch Services

Pouch Services Total

37. Foreign Bank Affiliate (e.g. Parallel Banks)

Foreign Bank Affiliate (e.g. Parallel
Banks) Total

38. International Department

International Department Total

39. International Private Banking

International Private Banking Total

40. Embassy & Consulate Banking

Embassy & Consulate Banking Total

41. International Commercial Letters of Credit

International Commercial Letters of
Credit Total

International Transactions

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

42. International Bank Drafts

International Bank Drafts Total

43. International Wire Transfers

International Wire Transfers Total

44. International PUPID Wire Transfers

International PUPID Wire Transfers Total

45. Remittance Products

Remittance Products Total

46. Cross-Border ACH

Cross-Border ACH Total

47. International Remote Deposit Capture

International Remote Deposit Capture
Total

Money Services Businesses

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

48. Domestic Casas de Cambio/
Currency Exchange

49. Foreign Casas de Cambio/Currency
Exchange

Foreign Casas de Cambio/Currency
Exchange Total

50. Money Transmitters

51. Check Cashers

52. Issuers or Sellers of Traveler's
Checks or Money Orders

53. Providers of Prepaid Access

54. Sellers of Prepaid Access

Prepaid Cards

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

55. Prepaid Cards

Geographical Focus: Enter information for all applicable geographies.

Prepaid Cards - Reloadable

Prepaid Cards - Non-Reloadable

Prepaid Cards Total

56. Prepaid Card Programs - Third Party Sponsored

Geographical Focus: Enter information for all applicable geographies.

Prepaid Card Programs Third Party Sponsored -
Reloadable

Prepaid Card Programs Third Party Sponsored -
Non-Reloadable

Prepaid Card Programs - Third Party
Sponsored Total

57. Prepaid Card Programs - Bank Sponsored

Geographical Focus: Enter information for all applicable geographies.

Prepaid Card Programs - Bank Sponsored -
Reloadable

Prepaid Card Programs - Bank Sponsored - Non-
Reloadable

Prepaid Card Programs - Bank Sponsored
Total

58. Prepaid Cardholders

Geographical Focus: Enter information for all applicable geographies.

Prepaid Cardholders - Reloadable

Prepaid Cardholders - Non-Reloadable

Prepaid Cardholders Total

59. Prepaid Card Program Managers
Prepaid Card Program Managers Total

Trust Department / Investments / Brokered Accounts

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

60. Domestic Charitable Trusts &
Foundations

61. Foreign Charitable Trusts & Foundations
Foreign Charitable Trusts & Foundations
Total

62. Custodial Accounts

63. Investment Advisory Accounts

64. Revocable Trusts

65. Foreign Grantor or Beneficiaries

66. Loans to Closely Held Corporations

67. Brokerage Department/Operations

68. Investment Advisory/Management

Crypto Assets

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.
Do you have anything to report?

Total Number

Total Volume

69. Crypto-Assets Custody

70. Stablecoin **Reserve**

71. Stablecoin Payments/**Issuance**

Optional Feedback

Optional: Feedback/Suggestions on ways to improve the Risk Summary Form and OCC's Money Laundering Risk System data collection process. In Addition, you may include pertinent information regarding your submitted data

Paperwork Reduction Act Statement:

This collection of information has been approved by the Office of Management and Budget (OMB) under control number xxxx-xxxx. Under the paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently a valid OMB control Number. The OCC estimates that it will take community bank, federal branches and agency respondents 8 hours to complete this form.