



Section 8(d) Health Safety Data  
Reporting User Guide – Primary  
Support

Environmental Protection Agency

Office of Pollution Prevention and Toxics

Manage Toxic Substances

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## 1 Introduction

This document presents the user guide for the Office of Pollution Prevention and Toxics (OPPT) Section 8(d) Health & Safety Data Reporting application. This document is the user guide for the Primary Support user of the Section 8(d) Health & Safety Data Reporting tool.

As a Primary Support, you can edit a form created by your Primary Authorized Official (AO). You are only able to access submissions to which your Primary AO has granted you access.

You can save a submission at any point during the data entry process. The save functionality will allow you to return to that same submission at any point in the future.

General information on Section 8(d) Health & Safety Data Reporting is available at <http://www.epa.gov/oppt/chemtest/ereporting/index.html>. Information on CDX registration, including user roles that apply to completing a Section 8(d) Health & Safety Data Reporting is available at <http://cdx.epa.gov/About/UserGuide>

Toxic Substances Control Act (TSCA) regulations require industry to provide the Environmental Protection Agency (EPA) with documentation regarding chemical production, manufacture, distribution, use, disposal, import, and export. As a result, the implementation of TSCA has generated a large volume of documents submitted to the Agency by the regulated community.

The Section 8(d) Health & Safety Data Reporting application is an electronic, web-based tool provided by the Environmental Protection Agency (EPA) for the submission of Section 8(d) Health & Safety Data Reporting data. As a Primary Support, you will only have access to those Section 8(d) Health & Safety Data Reporting submissions able to edit the Section 8(d) Health & Safety Data Reporting submission.

For questions concerning the Toxic Substances Control Act (TSCA) Section 8(d) Health & Safety Data Reporting software requirements, please contact the Central Data Exchange (CDX) Help Desk at [helpdesk@epacdx.net](mailto:helpdesk@epacdx.net) or call 1-888-890-1995 between the hours of 8am – 6pm Eastern Standard Time (EST). For TSCA Section 8(d) Health & Safety Data reporting requirements, please contact the TSCA Hotline at [TSCA-Hotline@epamail.epa.gov](mailto:TSCA-Hotline@epamail.epa.gov) or call 202-554-1404.

### 1.1 Labeling Confidential Information

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This user guide presents instructions and screenshots for the ‘Section 8(d) Health & Safety Data Reporting’ electronic application as if you were logged in as the Primary Support. As the Primary Support you are able to modify an 8(d) submission. The user may claim certain information as Confidential Business Information (CBI). If the data is claimed as CBI, it will be treated in accordance with Agency confidentiality regulations at 40 CFR part 2, subpart B. This TSCA Section 8(d) Health & Safety Data Reporting electronic application is optional for the production and submission of these filings. The paper option for filing will continue to be allowed.

Potential users may find that the use of this application saves time, burden and, because it is in an electronic format, provides easy access to reporting forms. Potential users are reminded to carefully read and follow application instructions. Persons who wish to use this application must address all provisions in the application, including those relating to indexing information (i.e.,

company name, address, chemical name etc.) and those related to CBI submissions, including the requirement for the submission of sanitized or redacted filings.

Please note that certain CBI claims will not be accepted if you choose to use this electronic application for TSCA Section 8(d) Health & Safety Data Reporting. For example, chemical name and/or chemical identity, if the chemical is listed on the public portion of the TSCA Inventory, may not be claimed as CBI in a submission using this application.

If the user believes that, these or other data elements should be treated as CBI, then the user may use the paper option to file the Section 8(d) Health & Safety Data Reporting form and assert CBI claims.

If the user claims an uploaded document as CBI, a sanitized document must be provided.

The following fields can be claimed as CBI within the ‘Section 8(d) Health & Safety Data Reporting’ application:

- Technical Contact Information
- Submitting on Behalf of Company information
- A single chemical substance that is not on the public TSCA Inventory
- Any document uploaded on the following screens:
  - Full Study
  - Initiated Studies
  - Ongoing Studies
  - Studies which are known but without possession of copies
  - Underlying Data
  - Preliminary Reports of Ongoing Studies
  - Copies of Studies
- Laboratory information on the following screens:
  - Initiated Studies
  - Ongoing Studies
  - Studies Which are Known but Without Possession of Copies
  - Studies Previously Sent to Federal Agencies Without Confidentiality Claims
  - Preliminary Reports of Ongoing Studies
- Contact information on the following screens:
  - Studies Which are Known but Without Possession of Copies
  - Studies Previously Sent to Federal Agencies Without Confidentiality Claims
  - Copies of Studies

## 2 System Requirements

To use the Section 8(d) Health & Safety Data Reporting application to submit a Section 8(d) Health & Safety Data Reporting submission, the following are required:

- An e-mail account
- A supported web browser (see Section **Error! Reference source not found.** below) with Java Script enabled Internet access
- Adobe Acrobat Reader 5.0 or higher
- CDX username and password

### 2.1 Supported Browsers

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- Internet Explorer 7 or above
  - Go to the following link to download:  
<http://windows.microsoft.com/en-US/internet-explorer/downloads/ie>
- Mozilla Firefox 3.5 or above
  - Go to the following link to download:  
<http://www.mozilla.com/en-US/firefox/all-older.html>
- Safari 4 or above
  - Go to the following link to download:  
<http://support.apple.com/kb/dl877>
- Google Chrome
  - Go to the following link to download:  
<http://www.google.com/chrome>

### 2.2 Screen Resolution

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Screen resolution should be set to 1024 x 768 or greater.



## 3 Primary Support Functions

This section describes how to:

- Access the application
- Navigate to the Section 8(d) Health & Safety Data Reporting ‘Home’ screen
- Complete a Section 8(d) Health & Safety Data Reporting form
- Complete an amendment

As a Primary Support, you can edit a form created by your Primary Authorized Official (AO). You are only able to access submissions to which your Primary AO has granted you access.

You can save the submission at any point during the data entry process. The save functionality will allow you to return to that same submission at any point in the future. You can print the document at any point; however, the ‘Not For Submission’ watermark will be placed on the portable document format (PDF) document each time the submission is printed.

### 3.1 Log into Section 8(d) Health & Safety Data Reporting application

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After you create an account in CDX and click the ‘Primary Authorized Official’ link under the Chemical Safety and Pesticide Programs (CSPP) program service, you will be taken to the ‘Chemical Information Submission System’ screen.

Note: Information on CDX registration, including user roles that apply to completing a Section 8(e) Notice, is available at <http://cdx.epa.gov/About/UserGuide>.

Exhibit 3-1 shows a screen capture of the ‘Chemical Information Submission System’ screen.

### Exhibit 3-1: Chemical Information Submission System Screen

**GSP** Logged in as: John Doe, Primary Support [Log Out](#)

#### CHEMICAL INFORMATION SUBMISSION SYSTEM

TSCA Section 8(d) Health & Safety Data Reporting

**OK**

The software includes embedded help files and downloadable user manual to guide you through the 8(d) Health & Safety Data Reporting submission process.

EPA has the authority to publicize rules to require producers, importers, and processors to submit lists and/or copies of ongoing and completed unpublished health and safety studies. EPA's TSCA Section 8(d) Health & Safety Data Reporting Rule was established to gather health and safety information on chemical substances and mixtures needed by EPA to carry out its TSCA mandates (i.e., to support OPPT's Existing Chemicals Program and Chemical Testing Program and to set priorities for TSCA risk assessment/management activities). OPPT has also used its TSCA Section 8(d) authority to gather information needed by other federal agencies and EPA program offices. Chemicals that are designated or recommended for testing by the TSCA Interagency Testing Committee (ITC) may be added to the rule via immediate final rulemaking (up to 50 substances per year). Non-ITC chemicals can be added to the Section 8(d) rule via notice and comment rulemaking.

#### Paperwork Reduction Act Notice

The information collection requirements contained in this final rule have been submitted for OMB approval under PRA, 44 U.S.C. 3501 et seq. The ICR document prepared by EPA, identified under EPA ICR No. 2412.01 and OMB control number 2070-0004, is available in the docket for the proposed rule. The ICR addresses the incremental changes to the currently approved ICR documents that cover the existing reporting and record keeping programs that are approved under OMB control numbers 2070-0004, 2070-0033, and 2070-0054. An agency may not conduct or sponsor, and a person is not required to, respond to a collection of information unless it displays a currently valid OMB control number. The amended information collection activities contained in this final rule are designed to assist the Agency in meeting its responsibility under TSCA to receive, process, and review reports, data, and other information. As such, responses to the collection of information covered by this ICR would still be mandatory, but with the final rule, respondents would be required to use the CISS reporting tool.

#### Authority

The Government Paperwork Elimination Act (GPEA) (44 U.S.C. 3504) provides that, when practicable, Federal organizations use electronic forms, electronic filings, and electronic signatures to conduct official business with the public. EPA's Cross-Media Electronic Reporting Regulation (CROMERR) (40 CFR part 3) (Ref. 2), provides that any requirement in title 40 of the CFR to submit a report directly to EPA can be satisfied with an electronic submission that meets certain conditions once the Agency published a document in the **Federal Register** announcing that EPA is prepared to receive certain documents in electronic form. For more information about CROMERR, go to <http://www.epa.gov/cromerr>.

**Navigation:** Select ‘TSCA Section 8(d) Health & Safety Data Reporting’ from the drop-down menu and click the ‘OK’ button to navigate to the Section 8(d) Health & Safety Data Reporting ‘Home’ screen.

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## 3.2 Home Screen Navigation

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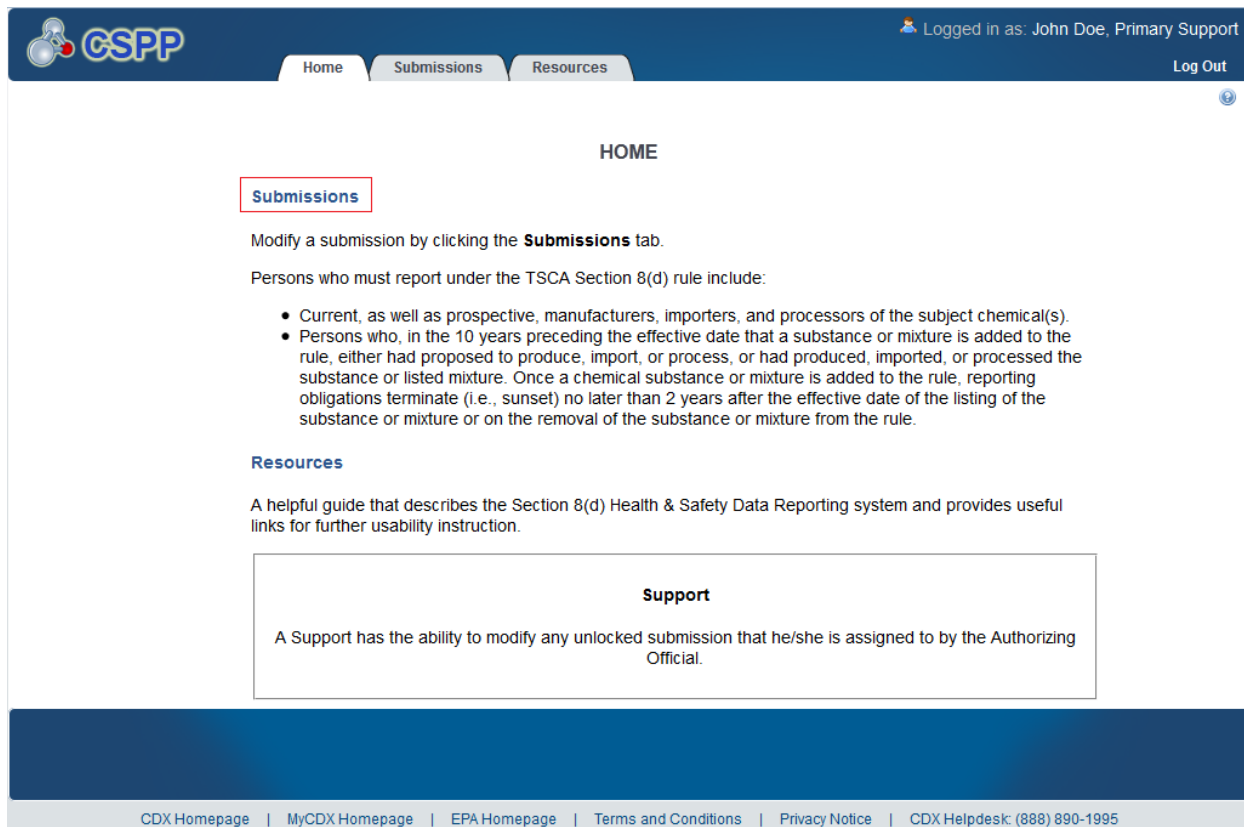
You can access the Section 8(d) Health & Safety Data Reporting ‘Home’ screen by selecting ‘Section 8(d) Health & Safety Data Reporting’ from the ‘Chemical Information Submission System’ screen drop-down menu and by clicking the ‘OK’ button.

The Section 8(d) Health & Safety Data Reporting ‘Home’ screen is the first screen within the Section 8(d) Health & Safety Data Reporting application. The ‘Home’ screen provides you with links and tabs to access the ‘Section 8(d) Health & Safety Data Reporting’ submissions screen and ‘Resources’ screens. To navigate to any of these screens, click the screen link (in blue text), or click the screen tab (located within the application header). The links and tabs provide the same functionality.

- **Submissions:** Click the ‘Submissions’ link or tab to navigate to the ‘Section 8(d) Health & Safety Data Reporting’ submissions screen. You can create or modify a Section 8(d) Health & Safety Data Reporting submission using the ‘Section 8(d) Health & Safety Data Reporting’ submissions screen. For additional details about the ‘Section 8(d) Health & Safety Data Reporting’ submissions screen, please refer to **Section 3.3.1**.
- **Resources:** Click the ‘Resources’ link or tab to navigate to the ‘Resources’ screen. You can find the Section 8(d) Health & Safety Data Reporting user guides, as well as useful links for further usability instruction on the ‘Resources’ screen. For additional details about the ‘Resources’ screen, please refer to **Section 6**.

Exhibit 3-2 shows a screen capture for the Section 8(d) Health & Safety Data Reporting ‘Home’ screen:

**Exhibit 3-2: Section 8(d) Health & Safety Data Reporting Home Screen**



**Navigation:** Click the ‘Submission’ link or tab to access the ‘Section 8(d) Health & Safety Data Reporting’ submissions screen to edit an existing submission.

### 3.3 Access New Submission

As a Primary Support, you can edit a Section 8(d) Health & Safety Data Reporting submission. You will be able to access only those submissions for which your Primary AO has assigned you to complete.

#### 3.3.1 Section 8(d) Health & Safety Data Reporting Submissions

You can access the ‘Section 8(d) Health & Safety Data Reporting’ submissions screen by clicking the ‘Submissions’ link from the Section 8(d) Health & Safety Data Reporting ‘Home’ screen or by clicking the ‘Submissions’ tab located within the application header.

Each column within the table of the ‘Section 8(d) Health & Safety Data Reporting’ submissions screen can be sorted by clicking the column headers.

**Edit a Submission:** To modify an existing Section 8(d) Health & Safety Data Reporting submission, click the submission alias link for a submission that has a status of ‘In Progress’ within the ‘Status’ column. You will be required to enter the passphrase associated with that particular submission to access and continue editing the submission.

The 'Section 8(d) Health & Safety Data Reporting' submissions screen displays the following column headers:

- **Submission Alias:** Displays a clickable link for submissions with a status of 'In Progress.' Clicking this link will navigate you to the 'Enter Passphrase' screen.
- **FRN/CFR:** Displays the FRN or CFR.
- **CASRN:** Displays the Chemical Abstract Services Registry Number (CASRN). The 'CASRN' column will display as blank until you have identified the submission type and started a new submission.
- **Status:** Displays the status of the submission.
- **Last Modified:** Displays the date the submission was last accessed.
- **Submission Date:** Displays the date the submission was submitted to CDX. This date populates only after you complete the submission.

**Items per page:** The 'Section 8(d) Health & Safety Data Reporting' submissions screen allows you to display a specific number of submissions per page. The 'Items per page' drop-down menu allows you to view '25,' '50,' '75,' or '100' submissions per page.

**Export options:** Displays export options, which allow you to export the 'Section 8(d) Health & Safety Data Reporting' submissions screen in the following formats: Comma Separated Values (CSV), Excel, Extensible Mark-up Language (XML), and Portable Document Format (PDF).

Exhibit 3-3 shows a screen capture of the ‘Section 8(d) Health & Safety Data Reporting’ submissions screen:

### Exhibit 3-3: Section 8(d) Health & Safety Data Reporting Submissions Screen

CDX logo | GSPP | Logged in as: John Doe, Primary Support | Home | Submissions | Resources | Log Out

#### SECTION 8(d) HEALTH & SAFETY DATA REPORTING

- To edit an **In Progress** submission, click the submission alias link in the **Submission Alias** column in the table below.

3 items found. Page 1 of 1 Items per page: 25

Submission Alias	FRN/CFR	CASRN	Status	Last Modified	Submission Date
<a href="#">Tue Jun 04 08:55:42 EDT 2013</a>	40 FR 345		In Progress	06/04/2013	
<a href="#">Tue Jun 04 08:59:24 EDT 2013</a>	40 FR 12345		In Progress	06/04/2013	06/04/2013
<a href="#">Tue Jun 04 40 FR 567</a>	40 FR 567		In Progress	06/04/2013	

Export options: [CSV](#) | [Excel](#) | [XML](#) | [PDF](#)

CDX Homepage | MyCDX Homepage | EPA Homepage | Terms and Conditions | Privacy Notice | CDX Helpdesk: (888) 890-1995

**Navigation:** Click the submission alias link within the ‘Submission Alias’ column to navigate to the ‘Enter Passphrase’ screen.

### 3.3.2 Enter Passphrase Screen

To edit a submission click the submission alias link within the ‘Submission Alias’ column with a status of ‘In Progress’ on the ‘Section 8(d) Health & Safety Data Reporting’ submissions screen. The ‘Enter Passphrase’ screen allows you to enter the passphrase associated with the form.

**Enter Passphrase:** Enter the passphrase associated with the form; the passphrase for the form you have authority to edit will be given to you by your Primary AO.

Exhibit 3-4 shows a screen capture of the ‘Enter Passphrase’ screen:

### Exhibit 3-4: Enter Passphrase Screen

**Navigation:** Enter the passphrase originally created and associated with the form by your AO and click the ‘Next’ button to navigate to the ‘General Submission Information’ screen.

### 3.3.3 Navigation Tree

The navigation tree is located on the left hand side of each screen. You can perform the following functions using the navigation tree:

- **Collapse and expand folders:** Each section of the submission falls under a collapsible folder within the navigation tree, which allows you to save space or easily view items in the navigation tree. When a folder is expanded, you can click the minus sign (-), the ‘Folder’ icon (📁), or the folder title link to collapse that section of the navigation tree. When a folder is collapsed, you can click the plus sign (+), the ‘Folder’ icon (📁), or the folder title link to expand that section of the navigation tree. When you place your cursor over a folder title link, it will highlight in red.
- **Open and close the navigation tree:** To provide more visual form space, a navigation arrow (↔) that opens and closes the navigation tree is located to the right of the navigation tree. Click the arrow (↔) to close the navigation tree and increase the space of the submission content. Likewise, click the arrow (↔) on the closed navigation tree to open the navigation tree.
- **Navigate between screens:** You can use the navigation tree to navigate between the various screens within the application. Each screen of the submission is denoted with a ‘Form’ icon (📄). You can click the ‘Form’ icon (📄) or the screen title link to navigate to the selected screen. You are required to save all information entered on a particular screen

before navigating to the next screen or all entered information will be lost. A prompt will appear after you click a link in the navigation tree indicating, ‘Are you sure you want to leave the current page? Any unsaved changes will be lost.’ If you click the ‘OK’ button, you will be taken to the requested screen without saving any of the data in the previous screen. If you click the ‘Cancel’ button, the prompt will close and you will not be taken to the requested screen.

Exhibit 3-5 shows a screen capture of the navigation prompt window:

**Exhibit 3-5: Navigation Prompt**

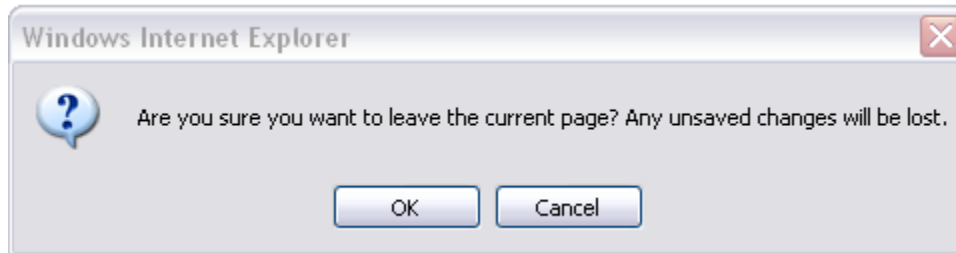
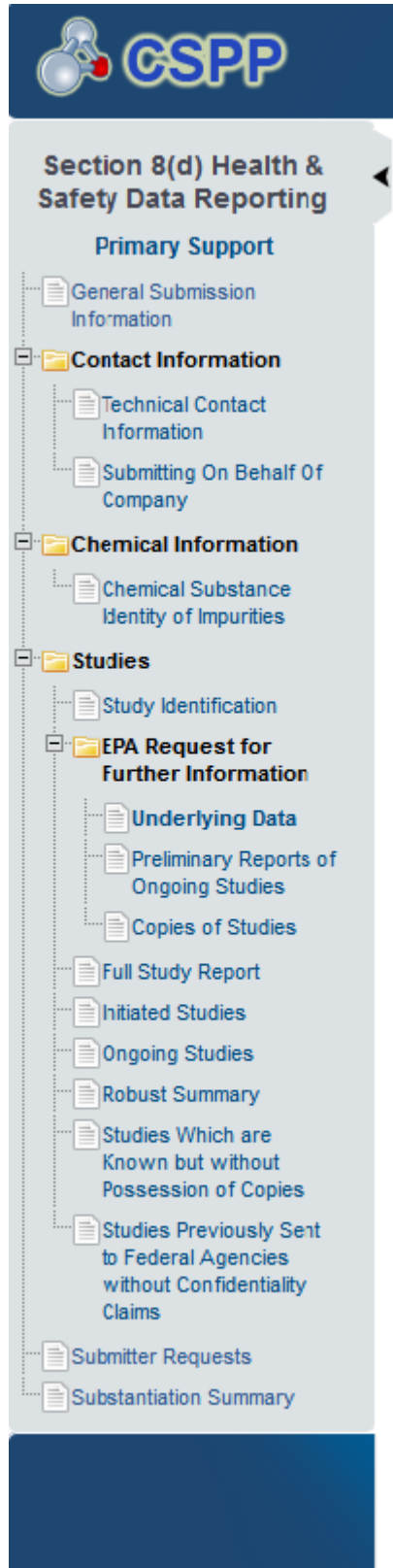




Exhibit 3-6 shows the screen capture of the navigation tree:

**Exhibit 3-6: Navigation Tree**



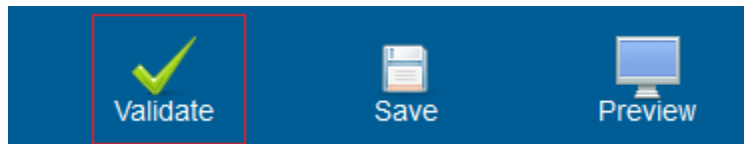
### 3.3.4 Action Bar

The action bar is located at the bottom of each screen. You can perform the following functions using the action bar:

- **Validate:** You can click the ‘Validate’ icon (✓) at any stage of completing a Section 8(d) Health & Safety Data Reporting submission to check for certain types of errors in a submission. A ‘Section 8(d) Health & Safety Data Reporting Validation’ pop-up window generates when you click the ‘Validate’ icon (✓). The ‘Section 8(d) Health & Safety Data Reporting Validation’ pop-up window displays a report of all validation errors relating to a failed validation. Refer to **Section 4** for more information on validating a submission.

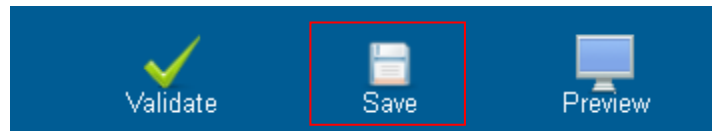
The following exhibits Exhibit 3-7, Exhibit 3-8, and Exhibit 3-9 show the screen captures for the action bar:

**Exhibit 3-7: Action Bar – Validate**



- **Save:** You can click the ‘Save’ icon (📄) at any stage of completing a Section 8(d) Health & Safety Data Reporting submission. After you click the ‘Save’ icon (📄), the data entered on the screen will save. The ‘Save’ function does not validate any data entered.

**Exhibit 3-8: Action Bar – Save**



- **Preview:** You can click the ‘Preview’ icon (🖥️) at any stage of completing a Section 8(d) Health & Safety Data Reporting submission to preview the submission. After you click the ‘Preview’ icon (🖥️), a watermarked PDF version of the submission will generate.

**Exhibit 3-9: Action Bar – Preview**



- **Help Links:** You can click any of the ‘Help’ links, located at the bottom of each screen, at any stage of completing a Section 8(d) Health & Safety Data Reporting submission.
  - If you click the ‘CDX Homepage’ link, you will be taken to the CDX Homepage.
  - If you click the ‘MyCDX Homepage’ link, you will be taken to the CDX Login screen.
  - If you click the ‘EPA Homepage’ link, you will be taken to the EPA Homepage.
  - If you click the ‘Terms and Conditions’ link, you will be taken to the CDX Terms and Conditions screen.

- If you click the ‘Privacy Health & Safety Data Reporting’ link, you will be taken to the CDX Privacy and Security Health & Safety Data Reporting screen.

Exhibit 3-10 shows the screen capture of the action bar ‘Help’ links:

### Exhibit 3-10: Action Bar – Links

CDX Homepage | MyCDX Homepage | EPA Homepage | Terms and Conditions | Privacy Notice | CDX Helpdesk: (888) 890-1995

### 3.3.5 General Submission Information Screen

You can access the ‘General Submission Information’ screen by clicking the submission alias of an ‘In Progress’ submission and entering the passphrase associated with the Section 8 (d) Health & Safety Data Reporting submission.

The system allows you to edit the submission alias associated with the submission. If you choose not to edit the submission alias, the field remains populated with a date and time stamp for when the submission was created.

The following field is displayed on the ‘General Submission Information’ screen:

- **Submission Alias:** This field is auto-populated with a date and time stamp and displays on the ‘Section 8(d) Health & Safety Data’ submission screen within the ‘Submission Alias’ column. This field is editable should you choose to provide your own submission alias.

Exhibit 3-11 shows the screen capture for the ‘General Submission Information’ screen:

### Exhibit 3-11: General Submission Information Screen

The screenshot displays the GSPP (Global Submission Processing Portal) interface. At the top, it shows the user is logged in as 'John Doe, Primary Support'. The navigation bar includes 'Home', 'Submissions', and 'Resources'. The left sidebar lists various reporting categories: Section 8(d) Health & Safety Data Reporting (Primary Support), Contact Information (General Submission Information, Technical Contact Information, Submitting On Behalf Of Company), Chemical Information (Chemical Substance Identity of Impurities), and Studies (Study Identification, Submitter Requests). The main content area is titled 'GENERAL SUBMISSION INFORMATION' and provides instructions: 'You have chosen to report under 75 FR 17645. Based on this selection, all data entered in this form should pertain to the CFR/FRN selected on the Submissions screen.' It explains that the submission alias is an optional field to distinguish between multiple submissions. Below this, it prompts the user to enter a 'Submission Alias' in a text field, which currently contains the timestamp 'Thu Sep 12 14:13:38 EDT 2013'. A 'Next' button is highlighted with a red box. At the bottom of the page, there are three buttons: 'Validate' (with a green checkmark), 'Save' (with a floppy disk icon), and 'Preview' (with a monitor icon). The footer contains the same navigation links as the top bar.

**Navigation:** Click the ‘Next’ button to navigate to the ‘Technical Contact Information’ screen. You can also access this screen by clicking the ‘Technical Contact Information’ link within the navigation tree.

### 3.3.6 Technical Contact Information Screen

---

You can access the ‘Technical Contact Information’ screen by clicking the ‘Next’ button from the ‘General Submission Information’ screen or by clicking the ‘Technical Contact Information’ link within the navigation tree.

The ‘Technical Contact Information’ screen provides a checkbox to indicate that you will submit on behalf of another company. To identify if you are submitting on behalf of another entity, the system provides a ‘This is a submission on behalf of another company’ checkbox.

If you are submitting on behalf of another company, check the ‘This is a submission on behalf of another company’ checkbox. This functionality has been implemented to accommodate a company that may use an agent or another individual to submit on their behalf. If this checkbox is checked, the information of the manufacturing or processing establishment is entered into the ‘Submitting on Behalf Of Company’ screen. To claim contact information as Confidential Business Information (CBI), check the ‘CBI’ checkbox.

- **This is a submission on behalf of another company:** Check the ‘This is a submission on behalf of another company’ check box to identify that you are submitting on behalf of another company.
- **Copy CDX Registration:** Click the ‘Copy CDX Registration’ button to identify that you are the technical contact. The system will populate the fields with your contact information provided during CDX registration.
- **CBI:** Check the ‘CBI’ checkbox to claim the technical contact information as CBI.
- **Prefix:** The ‘Prefix’ drop-down menu displays all optional prefixes. If applicable, select the appropriate prefix. If you click the ‘Copy CDX Registration’ button, the fields populate with information entered during CDX registration.
- **First Name:** Enter the technical contact’s first name. If you clicked the ‘Copy CDX Registration’ button, the fields populate with information entered during CDX registration. This is a required field.
- **Middle Initial:** Enter the technical contact’s middle initial. If you clicked the ‘Copy CDX Registration’ button, the fields populate with information entered during CDX registration.
- **Last Name:** Enter the technical contact’s last name. If you clicked the ‘Copy CDX Registration’ button, the fields populate with information entered during CDX registration. This is a required field.
- **Suffix:** The ‘Suffix’ drop-down menu displays all optional suffixes. If applicable, select the appropriate suffix. If you clicked the ‘Copy CDX Registration’ button, the fields populate with information entered during CDX registration.
- **Company Name:** Enter the technical contact’s company name. If you clicked the ‘Copy CDX Registration’ button, the fields populate with information entered during CDX registration. This is a required field.

- **Phone Number:** Enter the technical contact's daytime phone number; U.S. or international. If you clicked the 'Copy CDX Registration' button, the fields populate with information entered during CDX registration. This is a required field.
- **Ext:** Enter the extension to the technical contact's daytime phone number.
- **Email Address:** Enter the technical contact's email address. The email address must follow the standard email address format: [john.doe@abc.com](mailto:john.doe@abc.com). If you clicked the 'Copy CDX Registration' button, the fields populate with information entered during CDX registration. This is a required field.
- **Mailing Address 1:** Enter the first line of the technical contact's mailing address. If you clicked the 'Copy CDX Registration' button, the fields populate with information entered during CDX registration. This is a required field.
- **Mailing Address 2:** Enter the second line of the technical contact's mailing address. If you clicked the 'Copy CDX Registration' button, the fields populate with information entered during CDX registration.
- **City:** Enter the city of the technical contact's mailing address. If you clicked the 'Copy CDX Registration' button, the fields populate with information entered during CDX registration. This is a required field.
- **State:** Displays all available states. Enter the state of the technical contact's mailing address. If you clicked the 'Copy CDX Registration' button, the fields populate with information entered during CDX registration. This is a required field if the 'Country' field is defined as 'United States.'
- **Postal Code:** Enter the postal code of the technical contact's mailing address. If you clicked the 'Copy CDX Registration' button, the fields populate with information entered during CDX registration. This is a required field.
- **Country:** Displays all available countries. Select the country of the technical contact's mailing address. If you clicked the 'Copy CDX Registration' button, the fields populate with information entered during CDX registration. This is a required field.

Exhibit 3-12 shows the screen capture of the ‘Technical Contact Information’ screen:

### Exhibit 3-12: Technical Contact Information Screen

**Navigation:** Click the ‘Next’ button to navigate to the ‘Chemical Substance Identity of Impurities’ screen. You can also access this screen by clicking the ‘Chemical Substance Identity of Impurities’ link within the navigation tree. If you are submitting on behalf of another company, click the ‘Next’ button to navigate to the ‘Submitting on Behalf Of Company’ screen. You can also access this screen by clicking the ‘Submitting on Behalf of Company’ link within the navigation tree after clicking the ‘Save’ icon located within the bottom action bar.

#### 3.3.7 Submitting on Behalf Of Company Screen

You can access the ‘Submitting on Behalf of Company’ screen by checking the ‘This is a submission on behalf of another company’ check box and clicking the ‘Next’ button from the ‘Technical Contact Information’ screen. You can also access this screen by clicking the ‘Submitting on Behalf of Company’ link within the navigation tree.

The 'Submitting on Behalf of Company' screen presents a list of fields for you to input the contact information for the manufacturing or processing establishment on whose behalf the submission is being made.

- **CBI:** Checking this checkbox will claim the manufacturing or processing establishment contact for which the submission is being made as CBI.
- **Prefix:** The 'Prefix' drop-down menu displays all optional prefixes and captures the prefix of the manufacturing or processing establishment contact for which the submission is being made. If applicable, select the appropriate prefix.
- **First Name:** Enter the first name of the manufacturing or processing establishment contact for which the submission is being made. This field is required.
- **Middle Initial:** Enter the middle initial of the manufacturing or processing establishment contact for which the submission is being made.
- **Last Name:** Enter the last name of the manufacturing or processing establishment contact for which the submission is being made. This field is required.
- **Suffix:** The 'Suffix' drop-down menu displays all optional suffixes and captures the suffix of the manufacturing or processing establishment contact for which the submission is being made. If applicable, select the appropriate suffix.
- **Company Name:** Enter the company name of the manufacturing or processing establishment. This field is required.
- **Phone Number:** Enter the daytime phone number of the manufacturing or processing establishment contact for whom the submission is being made, U.S. or international. This field is required.
- **Ext:** Enter the extension to the contact's daytime phone number.
- **Email Address:** Enter the email address of the manufacturing or processing establishment contact for which the submission is being made. The email address must follow the standard email address format: [john.doe@abc.com](mailto:john.doe@abc.com). This field is required.
- **Mailing Address 1:** Enter the first line of the mailing address of the manufacturing or processing establishment contact. This field is required.
- **Mailing Address 2:** Enter the second line of the mailing address of the manufacturing or processing establishment contact.
- **City:** Enter the city of the mailing address of the manufacturing or processing establishment contact. This field is required.
- **State:** Enter the state of the mailing address of the manufacturing or processing establishment contact. This is a required field if the 'Country' field is defined as 'United States.'
- **Postal Code:** Enter the postal code of the mailing address of the manufacturing or processing establishment contact. This field is required.
- **Country:** Enter the country of the mailing address of the manufacturing or processing establishment contact. This field is required.

Exhibit 3-13 shows the screen capture of the 'Submitting on Behalf of Company' screen:

## Exhibit 3-13: Submitting on Behalf of Company Screen

Section 8(d) Health & Safety Data Reporting > Contact Information > Technical Contact Information > Submitting On Behalf Of Company

### SUBMITTING ON BEHALF OF COMPANY

Fill out the fields below for the manufacturing or processing establishment on whose behalf this submission is being made.

CBI:

Prefix:

First Name:

Middle Initial:

Last Name:

Suffix:

Company Name:

Phone Number:  Ext:   
*(Do not enter any dashes (-) in Phone Number field above.)*

Email Address:

Mailing Address 1:   
Street address, P.O. box, company name, etc.

Mailing Address 2:   
Apartment, suite, etc.

City:

State:

Postal Code:

Country:

Validate Save Preview

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**Navigation:** Click the ‘Next’ button to navigate to the ‘Chemical Substance Identity of Impurities’ screen. You can also access this screen by clicking the ‘Chemical Substance Identity of Impurities’ link within the navigation tree.

### 3.3.8 Chemical Substance Identity of Impurities Screen

You can access the ‘Chemical Substance Identity of Impurities’ screen by clicking the ‘Next’ button from the ‘Submitting on Behalf Of Company’ screen, or the ‘Technical Contact Information’ screen. You can also access this screen by clicking the ‘Chemical Substance Identity of Impurities’ link within the navigation tree.

The ‘Chemical Substance Identity of Impurities’ screen provides fields for you to identify impurities or additives known to have been present in the substance or listed mixtures as studied. To identify chemical impurities you must access the Substance Registry Services (SRS). The system leverages the services provided by SRS. To search SRS, click the ‘Search’ icon (🔍)



within the 'SRS' column of the Chemical Substance Identity of Impurities table. Clicking the 'Search' icon (🔍) generates the SRS pop-up window. You can search for the chemical(s) being reported by the 'CASRN,' 'CAS Index Name or Synonym,' 'Accession Number,' or 'Generic Name.'

- **Not Applicable:** Check the 'Not Applicable' checkbox and click the 'Next' button to navigate to the 'Study Identification' screen. If the 'Not Applicable' checkbox is unchecked and you click the 'Next' button, the system will prompt you with an error message indicating a chemical must be populated by searching for a chemical or to check the 'Not Applicable' checkbox.
- **Search SRS:** Clicking the 'Search' icon (🔍) will generate a 'Search SRS' pop-up window.
- **Chemical Identifying Number:** Displays the chemical identifying number for the specified chemical substance.
- **Chemical Name (descriptor):** Displays the chemical name (or descriptor) of the chemical(s) being reported on..
- **Synonym:** Displays the chemical synonym(s) identified by the user within the 'Chemical Not Found in Substance Registry Services' window.
- **CBI:** Displays the CBI status of the identified chemical substance by displaying either a 'Y' or an 'N.' A chemical substance retrieved via CASRN or CA Index Number or Other Synonym cannot be claimed as CBI. Only chemical substances retrieved via accession number or generic name, or chemical substances not found within the SRS search can be claimed as CBI.
- **Actions:** Click the 'Delete' icon (✖) to remove the chemical within the specific row. A warning message displays to confirm removal of the chemical substance.

Exhibit 3-14 shows the screen capture of the ‘Chemical Substance Identity of Impurities’ screen:

### Exhibit 3-14: Chemical Substance Identity of Impurities Screen

The screenshot displays the CDX GSPP interface. At the top, it shows the user is logged in as 'John Doe, Primary Support'. The main navigation includes 'Home', 'Submissions', and 'Resources'. The left sidebar contains a navigation tree for 'Section 8(d) Health & Safety Data Reporting', with 'Chemical Substance Identity of Impurities' selected. The main content area is titled 'CHEMICAL SUBSTANCE IDENTITY OF IMPURITIES' and includes instructions on how to search for impurities using EPA's Substance Registry Services (SRS). Below the instructions is a table with columns for 'SRS', 'Chemical Identifying Number', 'Chemical Name (descriptor)', 'Synonyms', 'CBI', and 'Actions'. A 'Not Applicable' checkbox is also present. At the bottom of the main content area, there are 'Previous' and 'Next' buttons, with the 'Next' button highlighted by a red box. The footer contains navigation links for 'CDX Homepage', 'MyCDX Homepage', 'EPA Homepage', 'Terms and Conditions', 'Privacy Notice', and 'CDX Helpdesk: (888) 890-1995'.

**Navigation:** Click the ‘Next’ button to navigate to the ‘Study Identification’ screen. You can also access this screen by clicking the ‘Study Identification’ link within the navigation tree.

#### 3.3.8.1 Search Substance Registry Services

To access SRS, click the ‘Search’ icon (🔍). Clicking the ‘Search’ icon (🔍) generates the ‘Search SRS’ pop-up window. You can search for the chemical(s) being reported by the ‘CASRN,’ ‘CA Index Name or Other Synonym,’ ‘Accession Number,’ or ‘Generic Name.’ After you have searched, selected a chemical, and clicked the ‘OK’ button, the selected chemical information will populate the Chemical Substance Identity of Impurities table. If the chemical is not listed on the public TSCA Inventory list, you may claim the chemical as CBI, once the chemical is displayed on the Chemical Substance Identity of Impurities table. See Section 3.3.8.2 below if you don’t know the CAS or Accession Number.

- **CASRN:** Enter the CASRN of the chemical.
- **CA Index Name or Other Synonym:** Enter the CA Index Name or Other Synonym. Displays the following search options: ‘Contains,’ ‘Begins With,’ and ‘Matches Exactly.’
- **Accession Number:** Enter the accession number. Displays the following search options: ‘Contains,’ ‘Begins With,’ and ‘Matches Exactly.’
- **Generic Name:** Enter the generic name. Displays the following search options: ‘Contains,’ ‘Begins With,’ and ‘Matches Exactly.’

Exhibit 3-15 shows the screen capture for the ‘Search Substance Registry Services’ pop-up window:

**Exhibit 3-15: Search Substance Registry Services Pop-Up Window**

**CSPP**

**SEARCH SUBSTANCE REGISTRY SERVICES**

Enter the specific or partial, currently correct Chemical Abstracts (CA) Index name as listed on the TSCA Inventory **and/or** the exact corresponding Chemical Abstract Services Registry Number (CASRN) for each reportable chemical substance at your site. Click Search and select the appropriate CA Index name/ CASRN combination from EPA's Substance Registry Services (SRS).

**Please search by CASRN or CA Index Name**

1. CASRN: Matches exactly

2. CA Index Name or Other Synonym: Matches Exactly

**Search**

**OR**

Enter the specific or partial, currently correct Accession Number as listed on the TSCA Inventory **and/or** the exact or partial corresponding Generic Name for each reportable chemical substance at your site. Click Search and select the appropriate Accession Number/ Generic Name combination from EPA's Substance Registry Services (SRS).

**Please search by Accession Number and/or Generic Name**

1. Accession Number: Matches Exactly

2. Generic Name: Matches Exactly

**Search**

**Navigation:** Clicking the ‘Search’ button will generate search results. Clicking the ‘OK,’ ‘Cancel,’ and ‘X’ buttons will close the ‘Search SRS’ pop-up window.

### 3.3.8.2 Chemical Not Found in Substance Registry Services

You can access the ‘Chemical Not Found in Substance Registry Services’ screen by entering known information into the SRS window and performing a search. If there are no results, a message will be returned stating ‘There were no results returned.’ If you are sure the chemical does not exist in SRS, please click the ‘Create New Chemical’ button to enter the chemical information. Clicking the ‘Create New Chemical’ button on the ‘Search Substance Registry

Services' screen takes you to the 'Chemical Not Found in Substance Registry Services' screen. Click the 'Cancel' button to go back to the 'Search Substance Registry Services' screen. When entering information into the 'Chemical Not Found in Substance Registry Services' screen at least one of the following four fields is required; 'Accession Number,' 'CASRN,' 'Premanufacture Notice (PMN) Number,' or 'International Union of Pure and Applied Chemistry (IUPAC) Name'

If you do not know the accession number, CASRN, PMN number, or IUPAC name, check the 'Unknown' checkbox. Once you check the 'Unknown' checkbox, the above mentioned fields are disabled and you are only required to enter a chemical name (or descriptor).

- **Unknown:** Checking the 'Unknown' checkbox disables the 'Accession Number,' 'CASRN,' 'PMN Number,' and 'IUPAC Name' fields and requires you to enter the chemical name in the 'Chemical Name (descriptor)' field.
- **Accession Number:** If known, enter the accession number of the chemical.
- **CASRN:** If known, enter the CASRN of the chemical.
- **PMN Number:** If known, enter the PMN number of the chemical.
- **IUPAC Name:** If known, enter the IUPAC name of the chemical.
- **Chemical Name (descriptor):** Enter the chemical name/descriptor of the chemical being reported. This is a required field when the 'Unknown' checkbox is checked.

Exhibit 3-16 shows the screen capture for the 'Chemical Not Found in Substance Registry Services' pop-up window:

### Exhibit 3-16: Chemical Not Found in Substance Registry Services Pop-Up Window

**CSPP**

**CHEMICAL NOT FOUND IN SUBSTANCE REGISTRY SERVICES**

Complete all known chemical substance information in the below fields. To add multiple Chemical Synonyms, click the + button to add each synonym. Click the 'OK' button when all known chemical substance information has been fulfilled.

Chemical ID

Unknown:

Accession Number:

CASRN:

PMN Number:

IUPAC Name:

Chemical Name (descriptor):

Chemical Synonym:  +

Cancel OK

Navigation: Clicking the 'OK,' 'Cancel,' and 'X' buttons will close the 'Chemical Not Found In SRS' pop-up window. Clicking the 'OK' button, if all fields have been properly filled out, will populate the table on the 'Chemical Substance Identity of Impurities' screen. Clicking the 'Cancel' button will bring you back to the 'Search Substance Registry Service' pop-up window. Clicking the 'X' will close the pop-up window and your information will not be saved.

#### 3.3.9 Study Identification Screen

You can navigate to the 'Study Identification' screen by clicking the 'Next' button from the 'Chemical Substance Identity of Impurities' screen. You can also access this screen by clicking the 'Study Identification' link within the navigation tree.

The 'Study Identification' screen provides fields for you to identify the study type(s) being submitted. To identify the submission study type, check each option that applies to the Section 8(d) Health & Safety Data Reporting submission. The following checkbox options are displayed within the 'Study Identification' screen:

- **Not Applicable:** Check the 'Not Applicable' checkbox to claim that there are no applicable studies.
- **Full Study Report:** Check the 'Full Study Report' checkbox to indicate the desired submission study type.
- **Initiated Studies:** Check the 'Initiated Studies' checkbox to indicate the desired submission study type.

- **Ongoing Studies:** Check the ‘Ongoing Studies’ checkbox to indicate the desired submission study type.
- **Robust Summary:** Check the ‘Robust Summary’ checkbox to indicate the desired submission study type.
- **Studies which are known but without possession of copies:** Check the ‘Studies Which are Known but without Possession of Copies’ checkbox to indicate the desired submission study type.
- **Studies Previously Sent to Federal Agencies without Confidentiality Claims:** Check the ‘Studies Previously Sent to Federal Agencies without Confidentiality Claims’ checkbox to indicate the desired submission study type.

The following checkbox options are only available when you are amending a previous submission. Please refer to **Section 3.4** for additional information:

- **EPA Request for Submission of Further Information**
  - **Underlying Data:** Check the ‘Underlying Data’ checkbox to indicate the desired submission study type.
  - **Preliminary Report of Ongoing Studies:** Check the ‘Preliminary Report of Ongoing Studies’ checkbox to indicate the desired submission study type.
  - **Copies of Studies:** Check the ‘Copies of Studies’ checkbox to indicate the desired submission study type.

Once you have checked all appropriate submission study types and saved your selections by either clicking the ‘Save,’ ‘Previous,’ or ‘Next’ buttons, the navigation tree is populated with the selected study type screen titles.

Each study type is dynamically related to specific metadata and each study type chosen from the ‘Study Identification’ screen populates within the navigation tree as a new screen. Only the study type options you select are displayed within the navigation tree. You can navigate to each selected study type screen by clicking the desired link within the navigation tree or navigating using the ‘Previous’ and ‘Next’ buttons. Each study type screen will display all entered information as well as all attached documentation.

Exhibit 3-17 shows the screen capture for the ‘Study Identification’ screen:

### Exhibit 3-17: Study Identification Screen

**CDX**

Home Submissions Resources Logged in as: John Doe, Primary Support Log Out

Section 8(d) Health & Safety Data Reporting Studies > Study Identification

**Primary Support**

- General Submission Information
- Contact Information**
  - Technical Contact Information
- Chemical Information**
  - Chemical Substance Identity of Impurities
- Studies**
  - Study Identification**
  - Submitter Requests

### STUDY IDENTIFICATION

Please select which types of studies you will be submitting:

- Not Applicable
- Full Study Report
- Initiated Studies
- Ongoing Studies
- Robust Summary
- Studies Which are Known but without Possession of Copies
- Studies Previously Sent to Federal Agencies without Confidentiality Claims

Previous Next

Validate Save Preview

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Exhibit 3-18 shows the screen capture for the ‘Study Identification’ screen:

### Exhibit 3-18: Study Identification Screen for an Amendment

The screenshot shows the 'STUDY IDENTIFICATION' screen. The user is logged in as 'John Doe, Primary Support'. The navigation menu on the left includes 'Section 8(d) Health & Safety Data Reporting' and 'Primary Support'. The main content area asks the user to select study types for submission. The 'Next' button is highlighted with a red box. At the bottom, there are 'Validate', 'Save', and 'Preview' buttons.

**Navigation:** Check the appropriate study type checkboxes for the studies you wish to submit and click the ‘Next’ button to navigate to the next screen from the ‘Study Identification’ screen. The screen following the ‘Study Identification’ screen depends on the item(s) selected. If you are not submitting any of the indicated study types, check the ‘Not Applicable’ checkbox and click the ‘Next’ button to navigate to the ‘Submitter Request’ screen.

#### 3.3.10 Full Study Report Screen

You can access the ‘Full Study Report’ screen by checking the ‘Full Study Report’ checkbox on the ‘Study Identification’ screen and clicking the ‘Next’ button. You can also access this screen by clicking the ‘Full Study Report’ link in the navigation tree.

To attach full study report documentation, click the ‘Add Document’ button displayed on the ‘Full Study Report’ screen. Clicking the ‘Add Document’ button generates the ‘Full Study Report’ pop-up window for you to upload all desired documentation. You can browse for a document from your hard drive and attach one document at a time. If you claim a document as CBI, then a sanitized copy of the document must be attached. If you fail to attach a sanitized copy of the document, the system will prompt you with an error.

To attach multiple documents, click the ‘Add Document’ button on the ‘Full Study Report’ screen. If you claim the document as CBI then a sanitized file will need to be uploaded. Clicking the ‘Browse’ button will generate a pop-up window allowing you to attach a document from your hard drive. You can delete unwanted attached documents by clicking the ‘Delete’ icon located within the ‘Action’ column of the full study report table. To attach a replacement document for a document that was previously attached, click the file name link for the desired document under the ‘File Name’ column. Once you click the file name link of the desired

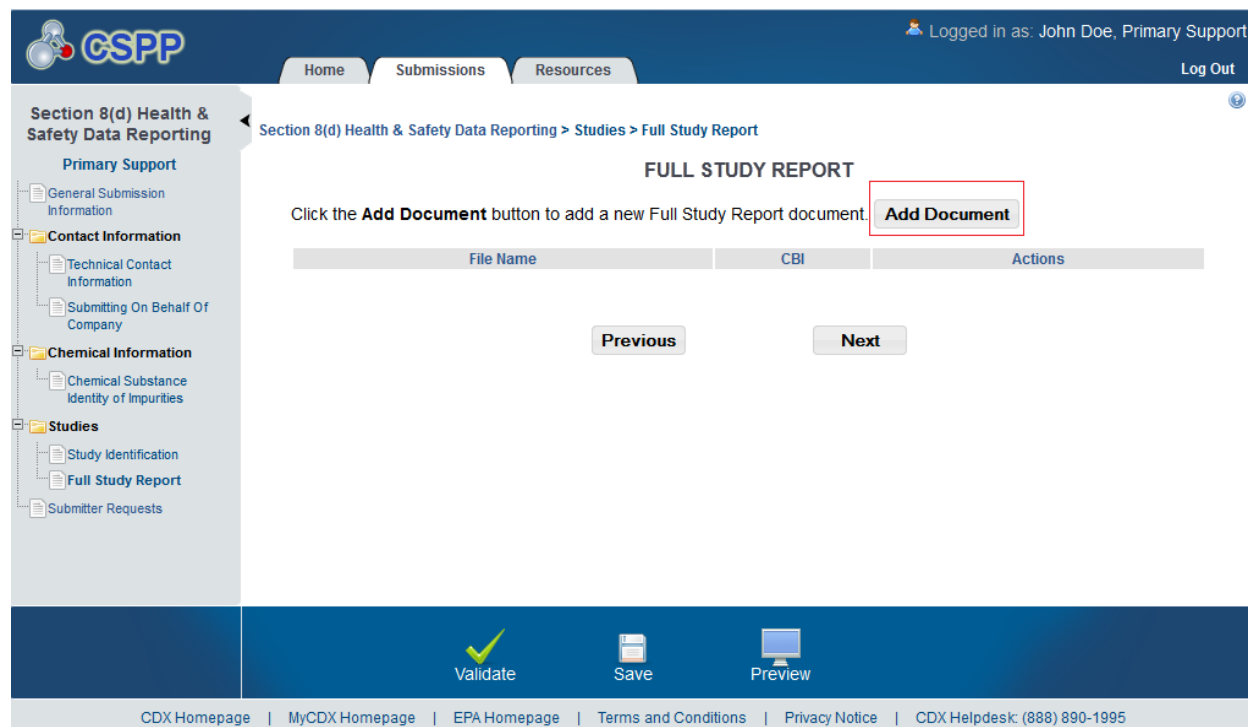


document, the attachment pop-up window generates for you to attach a new document. Attaching a new document will overwrite the existing document. The changes will commit once you click the ‘OK’ button.

After all required information has been completed, click the ‘Next’ button to navigate to the next study type screen that you identified on the ‘Study Identification’ screen. If you have not indicated an additional study type you will be taken to the ‘Submitter Requests’ screen.

Exhibit 3-19 shows the screen capture of the ‘Full Study Report’ screen:

**Exhibit 3-19: Full Study Report Screen**



**Navigation:** Click the ‘Next’ button to navigate to the next screen. The next screen is dependent upon your selection of submission types on the ‘Study Identification’ screen. If you have not selected another study type you will be taken to the ‘Submitter Requests’ screen upon clicking the ‘Next’ button.

The ‘Full Study Report’ pop-up window allows you to browse for a document from your hard drive and attach one document at a time. You must attach at least one document. Clicking the ‘Browse’ button will generate the ‘File Upload’ window on your hard drive. Select the document you wish to attach and click the ‘Open’ button. If a document is marked as ‘CBI’ then a sanitized copy of the document must be attached. To save the attached document to the ‘Full Study Report’ screen, click the ‘OK’ button within the ‘Full Study Report’ pop-up window.

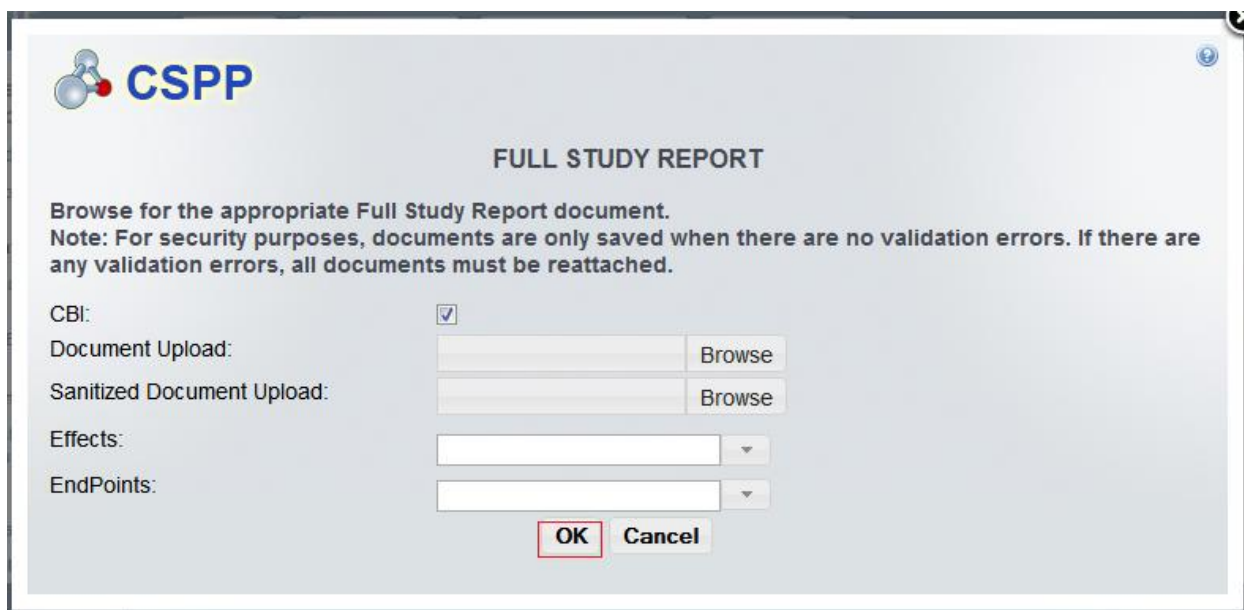
- **CBI:** Check the ‘CBI’ checkbox to claim the uploaded document as CBI and generate a sanitized document upload field. A sanitized document is required if the ‘CBI’ checkbox is checked.
- **Document Upload:** Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.

- **Sanitized Document Upload:** This field will only be displayed when the ‘CBI’ checkbox is checked. Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Effects:** Select the effect specific to the chemical report. The drop-down menu displays the following options: ‘Health Effects,’ ‘Environmental Fate,’ ‘Ecotoxicity,’ and ‘Physical Chemical Properties.’
- **Endpoints:** Select an endpoint that is specific to the chemical report. The endpoints will be specific to the effect chosen from the ‘Effects’ drop-down menu. If no effect is chosen, no endpoints will display.

Refer to Appendix B. Submission Types, Effects, and Endpoints for a complete list of values displayed within each of the drop-down menus on the ‘Full Study Report’ pop-up window. If you have multiple documents that share the same effects and/or endpoints, you must upload each individual document separately and select values from the drop-down menus.

Exhibit 3-20 shows the screen capture of the ‘Full Study Report’ pop-up window:

**Exhibit 3-20: Full Study Report Pop-Up Window**



**Navigation:** Click the ‘OK’ button to close the ‘Full Study Report’ pop-up window and save the attached documents to the full study report table. Clicking the ‘Cancel’ or ‘X’ will close the pop-up window and no information will be saved.

### 3.3.11 Initiated Studies Screen

You can access the ‘Initiated Studies’ screen by checking the ‘Initiated Studies’ checkbox on the ‘Study Identification’ screen and clicking the ‘Next’ button. You can also access this screen by clicking the ‘Initiated Studies’ link within the navigation tree.

The ‘Initiated Studies’ screen provides ‘Add Documents’ and ‘Add Studies’ radio buttons. Selecting the ‘Add Documents’ radio button option will generate the ‘Add Document’ button and the initiated studies table. Clicking the ‘Add Document’ button generates the ‘Initiated Studies’ pop-up window for you to upload documentation pertaining to the initiated studies. You

can claim the uploaded document as CBI by checking the ‘CBI’ checkbox within the ‘Initiated Studies’ pop-up window. A sanitized version of the document is required if you claim the attached document as CBI.

If you select the ‘Add Documents’ radio button option, the system generates an ‘Add Document’ button. To attach multiple documents, click the ‘Add Document’ button from the ‘Initiated Studies’ screen. You can delete unwanted attached documents by clicking the ‘Delete’ icon located within the ‘Action’ column of the initiates studies table. To attach a replacement document for a document that was previously attached, click the file name link for the desired document under the ‘File Name’ column. Once you click the file name link of the desired document, the attachment pop-up window generates for you to attach a new document. Attaching a new document will overwrite the existing document. The changes will commit once you click the ‘OK’ button.

If you select the ‘Add Studies’ radio button, the system generates an ‘Add Study’ button. Clicking the ‘Add Study’ button generates a field set to enter all required study information. You are required to identify the beginning date of the study, the purpose of the study, types of data to be collected, the anticipated date of completion, and the name and address of the laboratory conducting the study. This information must accompany each listed entry.

The system provides the following text fields for you to enter information:

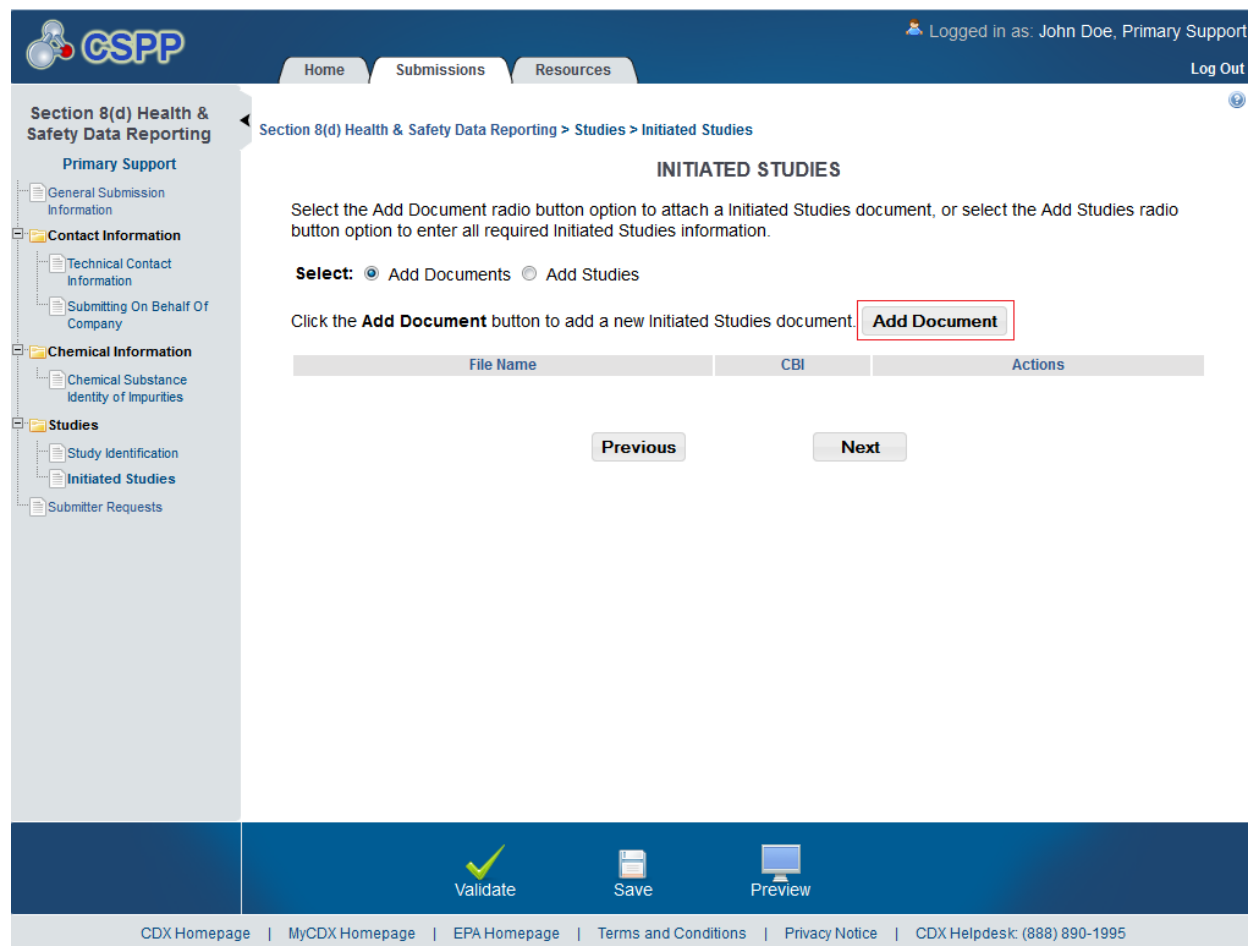
- **Add Documents:** Select the radio button to attach an initiated studies document.
- **Add Studies:** Select the radio button to enter initiated studies information.
- **Add Study:** Click the button to generate the study expandable/collapsible field set.
- **Study Title:** Enter the title of the study. This is a required field.
- **Study Start Date:** Enter the start date of the study. You can use the calendar widget to enter a date. This is a required field.
- **Study End Date:** Enter the end date of the study. You can use the calendar widget to enter a date. This is a required field.
- **Study Purpose:** Enter the purpose of the study. This is a required field.
- **Data to be Collected:** Enter the type data that will be collected throughout the duration of the specified study. This is a required field.
- **CBI:** Check the ‘CBI’ checkbox to claim the information of the laboratory conducting the study as confidential.
- **Laboratory Name:** Enter the name of the laboratory conducting the study. This is a required field.
- **Mailing Address 1:** Enter the first line of the mailing address of the laboratory conducting the study. This is a required field.
- **Mailing Address 2:** Enter the second line of the mailing address of the laboratory conducting the study.
- **City:** Enter the city of the mailing address of the laboratory conducting the study. This is a required field.

- **State:** Select the state of the mailing address of the laboratory conducting the study. The ‘State’ field is required when ‘United States’ is selected from the ‘Country’ drop-down menu.
- **Postal Code:** Enter the postal code of the mailing address of the laboratory conducting the study. This is a required field.
- **Country:** Select the country of the mailing address of the laboratory conducting the study. This is a required field.

The system allows you to associate multiple studies to a single laboratory. Once you enter the laboratory contact information and save the entered data within the field set by clicking the ‘Save’ button, that laboratory contact information is saved to the ‘Create a new laboratory or select an existing one from the drop-down’ menu. Click the ‘Delete’ icon to delete any unwanted studies. The ‘Delete’ icon is located in the top right corner of the specified field set. Clicking the ‘Expand All’ link will expand all field sets. Likewise, clicking the ‘Collapse All’ link will collapse all field sets. To expand or collapse a field set individually, click the toggle arrow located in the top left corner of the specified field set.

Exhibit 3-21 shows the screen capture of the ‘Initiated Studies’ screen when you select the ‘Add Documents’ radio button:

**Exhibit 3-21: Initiated Studies Screen (Add Document)**



**Navigation:** Click the ‘Next’ button to navigate to the next screen. The next screen is dependent upon your selection of submission types on the ‘Study Identification’ screen. If no other studies were selected you will be taken to the ‘Submitter Requests’ screen upon clicking the ‘Next’ button.

The ‘Document Management’ pop-up window allows you to browse for a document from your hard drive and attach one document at a time. You must attach one document. Clicking the ‘Browse’ button will generate the ‘File Upload’ window on your hard drive. Select the document you wish to attach and click the ‘Open’ button. If a document is marked as ‘CBI’ then a sanitized copy of the document must be attached. To save the attached document to the ‘Document Management’ screen, click the ‘OK’ button within the ‘Document Management’ pop-up window.

- **CBI:** Check the ‘CBI’ checkbox to claim the uploaded document as CBI and generate a sanitized document upload field. A sanitized document is required if the ‘CBI’ checkbox is checked.

- **Document Upload:** Click the 'Browse' button to generate the 'File Upload' window for you to search for a particular file on your hard drive.
- **Sanitized Document Upload:** This field will only be displayed when the 'CBI' checkbox is checked. Clicking the 'Browse' button will generate the 'File Upload' window for you to search for a particular file on your hard drive.
- **Effects:** Select the effect specific to the chemical report. The drop-down menu displays the following options: 'Health Effects,' 'Environmental Fate,' 'Ecotoxicity,' and 'Physical Chemical Properties.'
- **Endpoints:** Select an endpoint that is specific to the chemical report. The endpoints will be specific to the effect chosen from the 'Effects' drop-down menu. If no effect is chosen, no endpoints will display.

Refer to Appendix B. Submission Types, Effects, and Endpoints for a complete list of values that are found within each of the drop-down menus on the 'Document Management' pop-up window. If you have multiple documents that share the same effects and/or endpoints, you must upload each individual document separately and select values from the drop-down menus.

Exhibit 3-22 shows the screen capture of the 'Initiated Studies' pop-up window:

**Exhibit 3-22: Initiated Studies Pop-Up Window**

The screenshot shows a window titled "INITIATED STUDIES" with the CSPP logo in the top left. The main text reads: "Browse for the appropriate Initiated Studies document. Note: For security purposes, documents are only saved when there are no validation errors. If there are any validation errors, all documents must be reattached." Below this are four input fields: "CBI:" with a checked checkbox, "Document Upload:" with a text box and "Browse" button, "Sanitized Document Upload:" with a text box and "Browse" button, "Effects:" with a dropdown menu, and "EndPoints:" with a dropdown menu.

**Navigation:** Click the 'OK' button to close the 'Initiated Studies' pop-up window and save the attached document to the initiated studies table. Clicking the 'Cancel' or 'X' button will close the 'Initiated Studies' pop-up window but no entered information will be saved or displayed on the 'Initiated Studies' screen.

Exhibit 3-23 shows the screen capture of the 'Initiated Studies' pop-up window when the 'Add Studies' radio button is selected:

### Exhibit 3-23: Initiated Studies Screen (Add Study)

Section 8(d) Health & Safety Data Reporting > Studies > Initiated Studies

#### INITIATED STUDIES

Select the Add Document radio button option to attach a Initiated Studies document, or select the Add Studies radio button option to enter all required Initiated Studies information.

Select:  Add Documents  Add Studies

Click the **Add Study** button to add information pertaining to each listed study. For each listed study, provide the title of the study, beginning date of the study, the purpose of the study, types of data to be collected, and the name and address of the laboratory conducting the study.

Expand All | Collapse All

Cancel X

Study Title:

Study Start Date:

Study End Date:

Study Purpose:

Data to be Collected:

Create a new Laboratory or select an existing one from the drop-down.

CBI:

Laboratory Name:

Mailing Address 1:

Mailing Address 2:

City:

State:

Postal Code:

Country:

Validate Save Preview

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**Navigation:** Click the ‘Next’ button to proceed to the next screen. The next screen is dependent upon the selections you made on the ‘Study Identification’ screen. If no other studies were

selected on the 'Study Identification' screen, the user will be taken to the 'Submitter Requests' screen upon clicking the 'Next' button.

### 3.3.12 Ongoing Studies Screen

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You can access the 'Ongoing Studies' screen by checking the 'Ongoing Studies' checkbox on the 'Study Identification' screen and clicking the 'Next' button. You can also access this screen by clicking the 'Ongoing Studies' link within the navigation tree.

The 'Ongoing Studies' screen provides you with 'Add Documents' and 'Add Studies' radio buttons. Clicking the 'Add Documents' radio button generates the 'Add Document' button and the ongoing studies table. Clicking the 'Add Document' radio button generates the 'Ongoing Studies' pop-up window for you to upload documentation relating to the reported ongoing studies. To attach multiple documents, click the 'Add Document' button on the 'Ongoing Studies' screen. You can delete unwanted attached documents by clicking the 'Delete' icon located within the 'Action' column of the ongoing studies table. To attach a replacement document, click the file name link for the desired document under the 'File Name' column. Once you click the file name link of the desired document, the attachment pop-up window generates for you to attach a new document. Attaching a new document will overwrite the existing document. The changes will commit once you click the 'OK' button.

If you select the 'Add Studies' radio button, the system generates the 'Add Study' button. Clicking the 'Add Study' button generates a field set to enter all required study information. You are required to identify the beginning date of the study, the purpose of the study, types of data to be collected, the anticipated date of completion, and the name and address of the laboratory conducting the study. This information must accompany each listed entry.

The system provides the following text fields to complete:

- **Add Documents:** Select the radio button to attach an ongoing studies document. At least one radio button must be selected.
- **Add Studies:** Select the radio button to enter ongoing studies information. At least one radio button must be selected.
- **Add Study:** Click the button to generate the study expandable/collapsible field set.
- **Study Title:** Enter the title of the study. This is a required field.
- **Study Start Date:** Enter the start date of the study. You can use the calendar widget to enter a date. This is a required field.
- **Study End Date:** Enter the end date of the study. You can use the calendar widget to enter a date. This is a required field.
- **Study Purpose:** Enter the purpose of the study. This is a required field.
- **Data to be Collected:** Enter the type of data to be collected throughout the duration of the specified study. This is a required field.
- **CBI:** Check the 'CBI' checkbox to claim the information of the laboratory conducting the study as confidential.
- **Laboratory Name:** Enter the name of the laboratory conducting the study. This is a required field.



- **Mailing Address 1:** Enter the first line of the mailing address of the laboratory conducting the study. This is a required field.
- **Mailing Address 2:** Enter the second line of the mailing address of the laboratory conducting the study.
- **City:** Enter the city of the mailing address of the laboratory conducting the study. This is a required field.
- **State:** Select the state of the mailing address of the laboratory conducting the study. This is a required field if the Country is set to the 'United States.'
- **Postal Code:** Enter the postal code of the mailing address of the laboratory conducting the study. This is a required field.
- **Country:** Select the country of the mailing address of the laboratory conducting the study. This is a required field.

The system provides functionality for you to associate multiple studies to a single laboratory. Once you enter the laboratory contact information and save the entered data within the field set by clicking the 'Save' button, that contact information is saved to the 'Create a new laboratory or select an existing one from the drop-down' menu. You can click the 'Delete' icon located in the top right corner of the specified field set to delete any unwanted studies. Clicking the 'Expand All' link will expand all field sets. Likewise, clicking the 'Collapse All' link will collapse all field sets. To expand or collapse a field set individually, click the toggle arrow located in the top left corner of the specified field set.

After all required information has been entered into the 'Ongoing Studies' screen, you can navigate to the next study identified on the 'Study Identification' screen by clicking the 'Next' button or by clicking the next study link within the navigation tree.

Exhibit 3-24 shows the screen capture of the 'Ongoing Studies' screen when you select the 'Add Documents' radio button:

## Exhibit 3-24: Ongoing Studies Screen (Add Document)

The screenshot shows the GSPPP web application interface. At the top, there is a navigation bar with 'Home', 'Submissions', and 'Resources' tabs. The user is logged in as 'John Doe, Primary Support'. The main content area is titled 'ONGOING STUDIES' and contains instructions: 'Select the Add Document radio button option to attach a Ongoing Studies document, or select the Add Studies radio button option to enter all required Ongoing Studies information.' Below this, there are two radio buttons: 'Add Documents' (selected) and 'Add Studies'. A red box highlights the 'Add Document' button. Below the button is a table with columns 'File Name', 'CBI', and 'Actions'. At the bottom of the main content area are 'Previous' and 'Next' buttons. A dark blue footer bar contains 'Validate', 'Save', and 'Preview' buttons. The bottom of the page has a navigation bar with links: 'CDX Homepage', 'MyCDX Homepage', 'EPA Homepage', 'Terms and Conditions', 'Privacy Notice', and 'CDX Helpdesk: (888) 890-1995'.

The 'Document Management' pop-up window allows you to browse for a document from your hard drive and attach one document at a time. You must attach one document. Clicking the 'Browse' button will generate the 'File Upload' window on your hard drive. Select the document you wish to attach and click the 'Open' button. If a document is marked as 'CBI' then a sanitized copy of the document must be attached. To save the attached document to the 'Document Management' screen, click the 'OK' button within the 'Document Management' pop-up window.

- **CBI:** Check the 'CBI' checkbox to claim the uploaded document as CBI and generate a sanitized document upload field. A sanitized document is required if the 'CBI' checkbox is checked.
- **Document Upload:** Click the 'Browse' button to generate the 'File Upload' window for you to search for a particular file on your hard drive.
- **Sanitized Document Upload:** This field will only be displayed when the 'CBI' checkbox is checked. Click the 'Browse' button to generate the 'File Upload' window for you to search for a particular file on your hard drive.
- **Effects:** Select the effect specific to the chemical report. The drop-down menu displays the following options: 'Health Effects,' 'Environmental Fate,' 'Ecotoxicity,' and 'Physical Chemical Properties.'
- **Endpoints:** Select an endpoint that is specific to the chemical report. The endpoints will be specific to the effect chosen from the 'Effects' drop-down menu. If no effect is chosen, no endpoints will display.

Refer to Appendix B. Submission Types, Effects, and Endpoints for a complete list of values that are found within each of the drop-down menus on the ‘Ongoing Studies’ pop-up window. If you have multiple documents that share the same effects and/or endpoints, you must upload each individual document separately and select values from the drop-down menus.

Exhibit 3-25 shows the screen capture of the ‘Ongoing Studies’ pop-up window:

**Exhibit 3-25 Ongoing Studies Pop-Up Window**



Exhibit 3-26 shows the screen capture of the ‘Ongoing Studies’ Screen when you select the ‘Add Studies’ radio button:

**Exhibit 3-26: Ongoing Studies Screen (Add Study)**

The screenshot displays the GSPP (Global Safety and Performance Program) interface. At the top, the user is logged in as 'John Doe, Primary Support'. The navigation menu includes 'Home', 'Submissions', and 'Resources'. The main content area is titled 'ONGOING STUDIES' and contains the following elements:

- Instructions:** "Select the Add Document radio button option to attach a Ongoing Studies document, or select the Add Studies radio button option to enter all required Ongoing Studies information." The 'Add Studies' radio button is selected and highlighted with a red box.
- Action:** A button labeled 'Add Study' is highlighted with a red box.
- Form:** A modal form titled 'Expand All | Collapse All' with a 'Cancel' button and a red 'X' icon. It contains the following fields:
  - Study Title: Text input field.
  - Study Start Date: Date picker.
  - Study End Date: Date picker.
  - Study Purpose: Text area.
  - Data to be Collected: Text area.
  - Creation/Selection: "Create a new Laboratory or select an existing one from the drop-down." with a 'Create New' button and a dropdown menu.
  - CBI: Check box.
  - Laboratory Name: Text input field.
  - Mailing Address 1: Text input field.
  - Mailing Address 2: Text input field.
  - City: Text input field.
  - State: Dropdown menu.
  - Postal Code: Text input field.
  - Country: Dropdown menu.
- Navigation:** 'Save' button (highlighted with a red box), 'Add Study' button, 'Previous' button, and 'Next' button (highlighted with a red box).
- Footer:** A blue bar with 'Validate' (checkmark icon), 'Save' (floppy disk icon), and 'Preview' (monitor icon) buttons.
- Page-Footer:** "CDX Homepage | MyCDX Homepage | EPA Homepage | Terms and Conditions | Privacy Notice | CDX Helpdesk: (888) 890-1995"

**Navigation:** Once all required information is entered, click the ‘Next’ button to navigate to the next submission type screen. The next screen is dependent upon the selections you made on the ‘Study Identification’ screen. If no other study types were identified on the ‘Study Identification screen,’ the user will be taken to the ‘Submitter Request’ screen upon clicking the ‘Next’ button.

### 3.3.13 Robust Summary Screen

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You can access the ‘Robust Summary’ screen by checking the ‘Robust Summary’ checkbox on the ‘Study Identification’ screen and clicking the ‘Next’ button. You can also access this screen by clicking the ‘Robust Summary’ link within the navigation tree.

You can upload robust summary documentation by clicking the ‘Add Document’ button. Clicking the ‘Add Document’ button generates the ‘Robust Summary’ pop-up window for you to upload documents. To add additional documents, click the ‘Add Document’ button on the ‘Robust Summary’ screen. You can delete unwanted attached documents by clicking the ‘Delete’ icon located in the ‘Action’ column of the robust summary table. To attach a replacement document for a document that was previously attached, click the file name link for the desired document under the ‘File Name’ column. Once you click the file name link of the desired document, the attachment pop-up window generates for you to attach a new document. Attaching a new document will overwrite the existing document. The changes will commit within the table once you click the ‘OK’ button and display the file name, CBI claim, and possible action.

After all desired documents have been attached, click the ‘Next’ button to navigate to the next study type identified on the ‘Study Identification’ screen or the ‘Submitter Request’ screen if no other study types were identified. At least one document must be uploaded.

Exhibit 3-27 shows the screen capture of the ‘Robust Summary’ screen:

### Exhibit 3-27: Robust Summary Screen

The screenshot displays the GSPP (Global Safety and Performance Portal) interface. The user is logged in as 'John Doe, Primary Support'. The main navigation includes 'Home', 'Submissions', and 'Resources'. The current page is titled 'Section 8(d) Health & Safety Data Reporting > Studies > Robust Summary'. A sidebar on the left lists various report types, with 'Robust Summary' selected. The main content area features a heading 'ROBUST SUMMARY' and a text prompt: 'Click the **Add Document** button to add a new Robust Summary document.' The 'Add Document' button is highlighted with a red box. Below this is a table with columns 'File Name' and 'Actions'. There are 'Previous' and 'Next' buttons below the table. At the bottom, there are 'Validate', 'Save', and 'Preview' buttons. The footer contains links to CDX, MyCDX, EPA, and other resources.

The ‘Document Management’ pop-up window allows you to browse for a document from your hard drive and attach one document at a time. You must attach one document. Clicking the ‘Browse’ button will generate the ‘File Upload’ window on your hard drive. Select the document you wish to attach and click the ‘Open’ button. To save the attached document to the ‘Document Management’ screen, click the ‘OK’ button within the ‘Document Management’ pop-up window.

- **Document Upload:** Click the ‘Browse’ button to generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Effects:** Select the effect specific to the chemical report. The drop-down menu displays the following options: ‘Health Effects,’ ‘Environmental Fate,’ ‘Ecotoxicity,’ and ‘Physical Chemical Properties.’
- **Endpoints:** Select an endpoint that is specific to the chemical report. The endpoints will be specific to the effect chosen from the ‘Effects’ drop-down menu. If no effect is chosen, no endpoints will display.

Refer to Appendix B. Submission Types, Effects, and Endpoints for a complete list of values that are found within each of the drop-down menus on the ‘Document Management’ pop-up window. If you have multiple documents that share the same effects and/or endpoints, you must upload each individual document separately and select values from the drop-down menus.

Exhibit 3-28 shows the screen capture of the ‘Robust Summary’ pop-up window:

Exhibit 3-28: Robust Summary Pop-Up Window

**CSPP**

**ROBUST SUMMARY**

Browse for the appropriate Robust Summary document.  
 Note: For security purposes, documents are only saved when there are no validation errors. If there are any validation errors, all documents must be reattached.

Document Upload:  Browse

Effects:

EndPoints:

**Navigation:** Once all required documentation has been attached, click the ‘Next’ button to navigate to the next submission type screen. The next screen is dependent upon the selections made on the ‘Study Identification’ screen. If no other study types were identified on the ‘Study Identification’ screen you will be taken to the ‘Submitter Request’ screen upon clicking the ‘Next’ button.

### 3.3.14 Studies Which Are Known But Without Possession of Copies Screen

You can access the ‘Studies Which are Known but without Possession of Copies’ screen by checking the ‘Studies Which are Known but without Possession of Copies’ checkbox on the ‘Study Identification’ screen and clicking the ‘Next’ button if no other study types were selected. You can also access this screen by clicking the ‘Studies Which are known but without Possession of Copies’ link within the navigation tree.

The ‘Studies Which Are Known but Without Possession of Copies’ screen provides you with ‘Add Documents’ and ‘Add Studies’ radio buttons. Selecting the ‘Add Documents’ radio button generates the ‘Add Document’ button and the studies which are known but without possession of copies table. Clicking the ‘Add Document’ button generates the ‘Studies which are known but Without Possession of Copies’ pop-up window for you to upload related documentation. To add additional documents, click the ‘Add Document’ button on the ‘Studies Which Are Known but without Possession of Copies’ screen. You can delete unwanted attached documents by clicking the ‘Delete’ icon located in the ‘Action’ column of the studies which are known but without possession of copies table. To attach a replacement document for a document that was previously attached, click the file name link for the desired document under the ‘File Name’ column. Once you click the file name link of the desired document, the attachment pop-up window generates for you to attach a new document. Attaching a new document will overwrite the existing document. The changes will commit once you click the ‘OK’ button.

If you select the ‘Add Studies’ radio button, the system generates the ‘Add Study’ button. Clicking the ‘Add Study’ button generates a field set to enter required study information. You are required to identify the study title, and the name and address of the contact possessing a copy of the study. This information must accompany each listed entry.

The system provides the following text fields to complete:

- **Add Documents:** Select the radio button to attach studies which are known but without possession of copies documents. At least one radio button must be selected.
- **Add Studies:** Select the radio button to enter information regarding studies, which are known, but without possession of copies. At least one radio button must be selected.
- **Add Study:** Click the button to generate the study expandable/collapsible field set.
- **File Name:** Displays the file name and file extension of the document attached on the ‘Studies Which are Known but Without Possession of Copies’ pop-up window.
- **CBI:** Indicates the CBI status of a document by displaying either a ‘Y’ or an ‘N.’
- **Actions:** Click the ‘Delete’ icon (✖) to remove the attachment. A warning message displays to confirm the removal of the attachment.
- **Study Title:** Enter the title of the study. This field is required.
- **Prefix:** If applicable, select the prefix of the contact possessing a copy of the study.
- **First Name:** Enter the first name of the contact possessing a copy of the indicated study. This field is required.
- **Last Name:** Enter the last name of the contact possessing a copy of the indicated study. This field is required.
- **Suffix:** If applicable, select the suffix of the contact possessing a copy of the indicated study.
- **Phone Number:** Enter the phone number of the contact possessing a copy of the indicated study. This field is required.
- **Ext:** Enter the extension to the phone number of the contact possessing a copy of the indicated study.
- **Email Address:** Enter the email address of the contact possessing a copy of the indicated study. The email address must follow the standard email address format: [john.doe@abc.com](mailto:john.doe@abc.com). This field is required.
- **Mailing Address 1:** Enter the first line of the mailing address of the laboratory possessing a copy of the indicated study. This field is required.
- **Mailing Address 2:** Enter the second line of the mailing address of the laboratory possessing a copy of the indicated study.
- **City:** Enter the city of the mailing address of the contact possessing a copy of the indicated study. This field is required.
- **State:** Select the state of the mailing address of the contact possessing a copy of the indicated study. This field is required if ‘United States’ is selected as the country.
- **Postal Code:** Enter the postal code of the mailing address of the contact possessing a copy of the indicated study. This field is required.
- **Country:** Select the country of the mailing address of the contact possessing a copy of the indicated study. This field is required.

The system allows you to associate multiple studies to a single contact. Once you enter the contact information and save the entered information within the field set by clicking the ‘Save’ button, that contact information is saved to the ‘Create a new contact or select an existing one

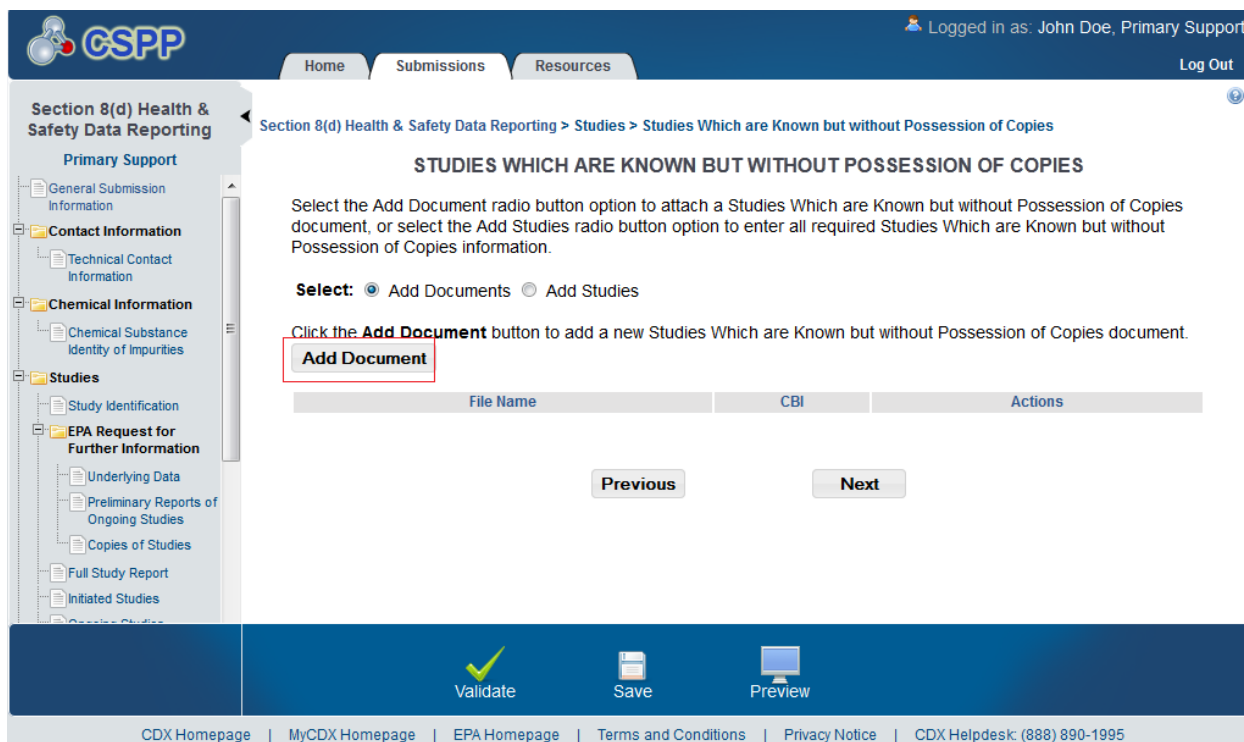


from the drop-down' menu. Click the 'Save' button to navigate to the 'Studies Which Are Known but without Possession of Copies' screen where all the added studies would be displayed. You can click the 'Delete' icon located in the top right corner of the specified field set to delete any unwanted studies you have previously entered. Clicking the 'Expand All' link will expand all field sets. Likewise, clicking the 'Collapse All' link will collapse all field sets. To expand or collapse all field set individually, click the toggle arrow located in the top left corner of the specified field set.

After all information has been entered into the 'Studies Which Are Known but without Possession of Copies' screen, navigate to the next study identified on the 'Study Identification' screen by clicking the 'Next' button or by clicking the next study link within the navigation tree. If no additional study types were selected the user will be taken to the 'Submitter Request' screen by clicking the 'Next' button.

Exhibit 3-29 shows the screen capture of the 'Studies Which Are Known but without Possession of Copies' screen:

**Exhibit 3-29: Studies Which Are Known but without Possession of Copies (Add Document)**



The 'Studies Which are Known but without Possession of Copies' pop-up window allows you to browse for a document from your hard drive and attach one document at a time. You must attach at least one document. Clicking the 'Browse' button will generate the 'File Upload' window on your hard drive. Select the document you wish to attach and click the 'Open' button. If a document is marked as 'CBI' then a sanitized copy of the document must be attached. To save the attached document to the 'Document Management' screen, click the 'OK' button within the 'Document Management' pop-up window.

- **CBI:** Checking the ‘CBI’ checkbox will claim the uploaded document as CBI and generate a sanitized document upload field. A sanitized document is required if the ‘CBI’ checkbox is checked.
- **Document Upload:** Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Sanitized Document Upload:** This field will only be displayed when the ‘CBI’ checkbox is checked. Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Effects:** Select the effect specific to the chemical report. The drop-down menu displays the following options: ‘Health Effects,’ ‘Environmental Fate,’ ‘Ecotoxicity,’ and ‘Physical Chemical Properties.’
- **Endpoints:** Select an endpoint that is specific to the chemical report. The endpoints will be specific to the effect chosen from the ‘Effects’ drop-down menu. If no effect is chosen, no endpoints will display.

Refer to Appendix B. Submission Types, Effects, and Endpoints for a complete list of values that are found within each of the drop-down menus on the ‘Studies Which are Known but without Possession of Copies’ pop-up window. If you have multiple documents that share the same effects and/or endpoints, you must upload each individual document separately and select values from the drop-down menus.

Exhibit 3-30 shows the screen capture of the ‘Studies Which Are Known but without Possession of Copies’ pop-up window:

**Exhibit 3-30: Studies Which Are Known but without Possession of Copies Pop-Up Window**

The screenshot shows a pop-up window titled "STUDIES WHICH ARE KNOWN BUT WITHOUT POSSESSION OF COPIES" from the CSDPP system. The window includes the following elements:

- Logo:** CSDPP logo with a molecular structure icon.
- Title:** STUDIES WHICH ARE KNOWN BUT WITHOUT POSSESSION OF COPIES
- Instructions:** Browse for the appropriate Studies Which are Known but without Possession of Copies document. Note: For security purposes, documents are only saved when there are no validation errors. If there are any validation errors, all documents must be reattached.
- Form Fields:**
  - CBI:** A checkbox that is checked.
  - Document Upload:** A text input field followed by a "Browse" button.
  - Sanitized Document Upload:** A text input field followed by a "Browse" button.
  - Effects:** A dropdown menu.
  - EndPoints:** A dropdown menu.
- Buttons:** "OK" and "Cancel" buttons at the bottom center.

Exhibit 3-31 shows the screen capture of the ‘Studies Which Are Known but without Possession of Copies’ screen when you select the ‘Add Studies’ radio button:

**Exhibit 3-31: Studies Which Are Known but without Possession of Copies Screen (Add Study)**

The screenshot displays the CDX web application interface. At the top, the user is logged in as John Doe, Primary Support. The navigation menu includes Home, Submissions, and Resources. The main content area is titled 'STUDIES WHICH ARE KNOWN BUT WITHOUT POSSESSION OF COPIES'. It contains instructions to select the 'Add Document' or 'Add Studies' radio button. The 'Add Studies' option is selected. Below the instructions, there is a 'Click the Add Study button to add information pertaining to each listed study...' instruction. A modal form is open for adding a study, with fields for Study Title, Contact Information (CBI, Prefix, First Name, Last Name, Suffix, Phone Number, Email Address, Mailing Address 1, Mailing Address 2, City, State, Postal Code, Country), and a 'Save' button. The 'Next' button is highlighted in the main interface.

**Navigation:** Once all required information is entered, click the ‘Next’ button to proceed to the next submission study type screen. The next screen is dependent upon the selections you made on the ‘Study Identification’ screen. If no other study types were identified on the ‘Study

Identification' screen, you will be taken to the 'Submitter Requests' screen upon clicking the 'Next' button.

### 3.3.15 Studies Previously Sent to Federal Agencies without Confidentiality Claims Screen

You can access the 'Studies Previously Sent to Federal Agencies without Confidentiality Claims' screen by checking the 'Studies Previously Sent to Federal Agencies without Confidentiality Claims' checkbox on the 'Study Identification' screen and clicking the 'Next' button. You can also access this screen by clicking the 'Studies Previously Sent to Federal Agencies without Confidentiality Claims' link within the navigation tree.

The 'Studies Previously Sent to Federal Agencies without Confidentiality Claims' screen provides you with 'Add Documents' and 'Add Studies' radio buttons. Selecting the 'Add Documents' radio button generates the 'Add Document' button and the studies previously sent to federal agencies without confidentiality claims table. Clicking the 'Add Document' button generates the 'Studies Previously Sent to Federal Agencies without Confidentiality Claims' pop-up window for you to upload associated documentation. To add additional documents, click the 'Add Document' button as needed from the 'Studies Previously Sent to Federal Agencies without Confidentiality Claims' screen. You can delete unwanted attached documents by clicking the 'Delete' icon within the 'Actions' column of the studies previously sent to federal agencies without confidentiality claims table. To attach a replacement document for a document that was previously attached, click the file name link for the desired document under the 'File Name' column. Once you click the file name link of the desired document, the attachment pop-up window generates for you to attach a new document. Attaching a new document will overwrite the existing document. The changes will commit once you click the 'OK' button.

If you click the 'Add Studies' radio button, the system generates the 'Add Study' button. Clicking the 'Add Study' button generates a field set where you can enter required study information. You are required to identify the study title, the agency in which the study was sent, and the name and address of the contact possessing a copy of the indicated study. This information must accompany each listed entry.

The system provides the following text fields to complete:

- **Add Documents:** Select the radio button to attach a studies previously sent to federal agencies without confidentiality claims document. One radio button must be selected.
- **Add Studies:** Select the radio button to enter studies previously sent to federal agencies without confidentiality claims information. One radio button must be selected.
- **Add Study:** Click the button to generate the study expandable/collapsible field set.
- **Study Title:** Enter the title of the study. This is a required field.
- **Study Submission Date:** Enter the submission date of the study. You may use the calendar widget to help find a date. This is a required field.
- **Agency:** Select an agency from the drop down menu. This is a required field.
- **CBI:** Check the 'CBI' checkbox to claim the information of the contact possessing a copy of the study as confidential.
- **Prefix:** If applicable, select the prefix of the contact possessing a copy of the study.

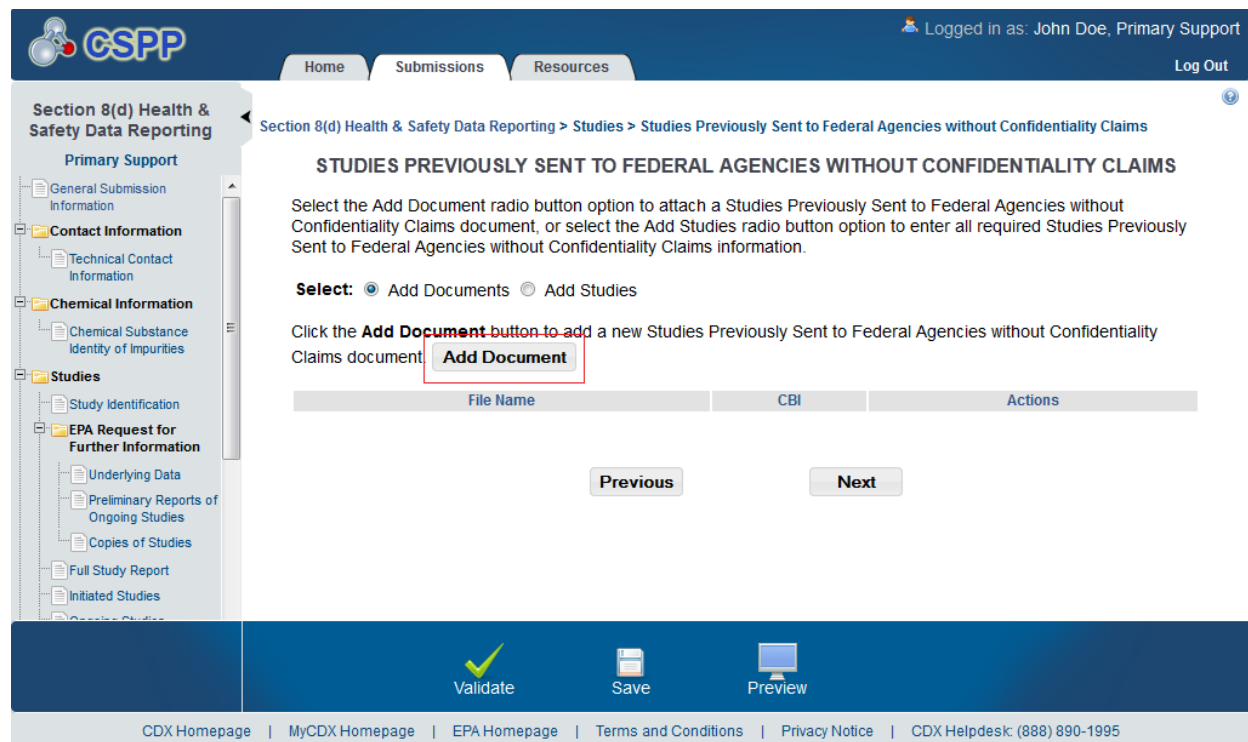
- **First Name:** Enter the first name of the contact possessing a copy of the study. This is a required field.
- **Last Name:** Enter the last name of the contact possessing a copy of the study. This is a required field.
- **Suffix:** If applicable, select the suffix of the contact possessing a copy of the study.
- **Phone Number:** Enter the phone number of the contact possessing a copy of the study. This is a required field.
- **Ext:** Enter the extension to the phone number of the contact possessing a copy of the study.
- **Email Address:** Enter the email address of the contact possessing a copy of the study. The email address must follow the standard email address format: [john.doe@abc.com](mailto:john.doe@abc.com). This is a required field.
- **Mailing Address 1:** Enter the first line of the mailing address of the contact possessing a copy of the study. This is a required field.
- **Mailing Address 2:** Enter the second line of the mailing address of the contact possessing a copy of the study.
- **City:** Enter the city of the mailing address of the contact possessing a copy of the study. This is a required field.
- **State:** Select the state of the mailing address of the contact possessing a copy of the study. This is a required field if 'United States' is selected as the country.
- **Postal Code:** Enter the postal code of the mailing address of the contact possessing a copy of the study. This is a required field.
- **Country:** Select the country of the mailing address of the contact possessing a copy of the study. This is a required field.

The system provides functionality for you to associate multiple studies to a single contact. Once you enter the contact information and save the entered data within the field set by clicking the 'Save' button, that contact information is saved to the 'Create a new contact or select an existing one from the drop-down' menu. You can click the 'Delete' icon located in the top right corner of the specified field set to delete any unwanted studies. Clicking the 'Expand All' link will expand all field sets. Likewise, clicking the 'Collapse All' link will collapse all field sets. To expand or collapse a field set individually, click the toggle arrow located in the top left corner of the specified field set.

After all required information has been entered into the 'Studies Previously Sent To Federal Agencies Without Confidentiality Claims' screen, you are ready to submit. If any information has been claimed CBI, you will be required to complete substantiation before initiating the submission process.

Exhibit 3-32 shows the screen capture of the ‘Studies Previously Sent to Federal Agencies without Confidentiality Claims’ screen:

### Exhibit 3-32: Studies Previously Sent To Federal Agencies Without Confidentiality Claims Screen (Add Document)



The ‘Studies Previously Sent to Federal Agencies without Confidentiality Claims’ pop-up window allows you to browse for a document from your hard drive and attach one document at a time. You must attach one document. Clicking the ‘Browse’ button will generate the ‘File Upload’ window on your hard drive. Select the document you wish to attach and click the ‘Open’ button. If a document is marked as ‘CBI’ then a sanitized copy of the document must be attached. To save the attached document to the ‘Studies Previously Sent to Federal Agencies without Confidentiality Claims’ screen, click the ‘OK’ button within the ‘Studies Previously Sent to Federal Agencies without Confidentiality Claims’ pop-up window.

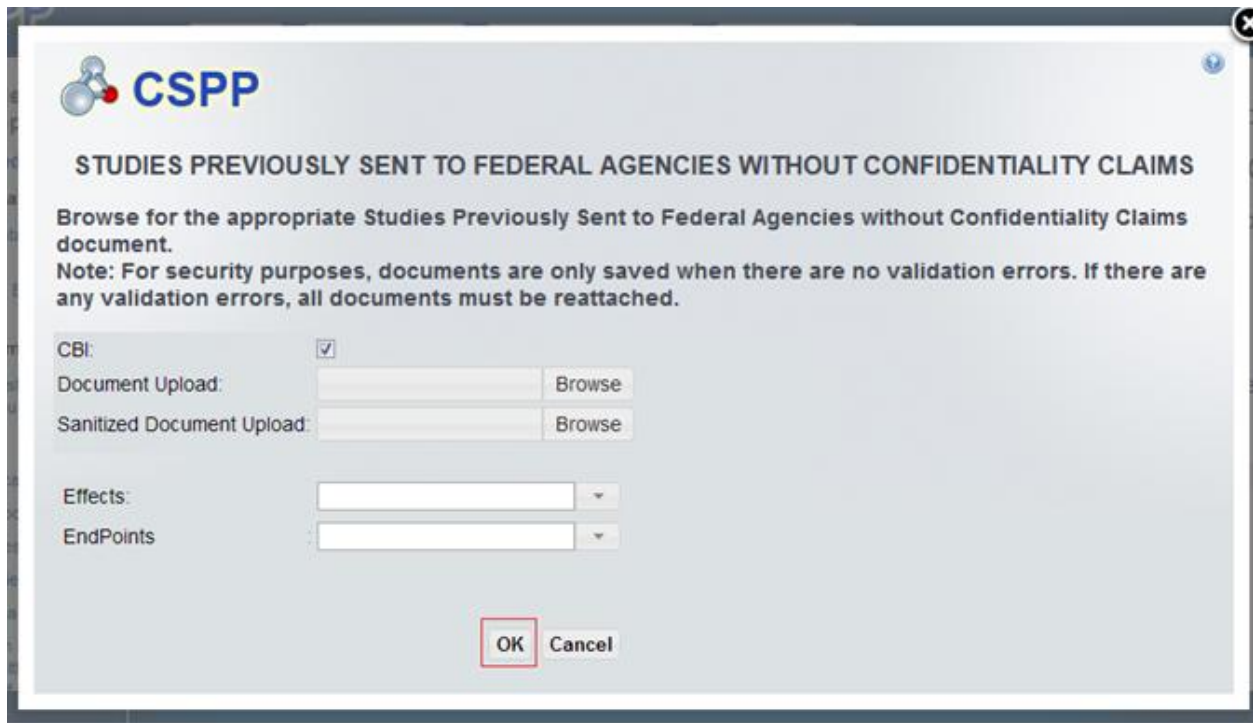
- **CBI:** Check the ‘CBI’ checkbox to claim the uploaded document as CBI and generate a sanitized document upload. A sanitized document is required if the ‘CBI’ checkbox is checked.
- **Document Upload:** Click the ‘Browse’ button to generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Sanitized Document Upload:** This field will only be displayed when the ‘CBI’ checkbox is checked. Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.

- **Effects:** Select the effect specific to the chemical report. The drop-down menu displays the following options: ‘Health Effects,’ ‘Environmental Fate,’ ‘Ecotoxicity,’ and ‘Physical Chemical Properties.’
- **Endpoints:** Select an endpoint that is specific to the chemical report. The endpoints will be specific to the effect chosen from the ‘Effects’ drop-down menu. If no effect is chosen, no endpoints will display.

Refer to Appendix B. Submission Types, Effects, and Endpoints for a complete list of values that are found within each of the drop-down menus on the ‘Studies Previously Sent to Federal Agencies without Confidentiality Claims’ pop-up window. If you have multiple documents that share the same effects and/or endpoints, you must upload each individual document separately and select values from the drop-down menus.

Exhibit 3-33 shows the screen capture of the ‘Studies Previously Sent To Federal Agencies Without Confidentiality Claims’ pop-up window:

**Exhibit 3-33: Studies Previously Sent To Federal Agencies Without Confidentiality Claims Pop-Up Window**



**CSPP**

**STUDIES PREVIOUSLY SENT TO FEDERAL AGENCIES WITHOUT CONFIDENTIALITY CLAIMS**

Browse for the appropriate Studies Previously Sent to Federal Agencies without Confidentiality Claims document.

Note: For security purposes, documents are only saved when there are no validation errors. If there are any validation errors, all documents must be reattached.

CBI:

Document Upload:  Browse

Sanitized Document Upload:  Browse

Effects:  ▾

EndPoints:  ▾

OK Cancel

Exhibit 3-34 shows the screen capture of the ‘Studies Previously Sent To Federal Agencies Without Confidentiality Claims’ screen when you select ‘Add Studies’ radio button:

## Exhibit 3-34: Studies Previously Sent To Federal Agencies Without Confidentiality Claims Screen (Add Study)

The screenshot shows the CDX web application interface. At the top, the user is logged in as 'John Doe, Primary Support'. The navigation menu includes 'Home', 'Submissions', and 'Resources'. The main content area is titled 'STUDIES PREVIOUSLY SENT TO FEDERAL AGENCIES WITHOUT CONFIDENTIALITY CLAIMS'. Below the title, there is a section for 'Select the Add Document radio button option to attach a Studies Previously Sent to Federal Agencies without Confidentiality Claims document, or select the Add Studies radio button option to enter all required Studies Previously Sent to Federal Agencies without Confidentiality Claims information.' The 'Add Studies' radio button is selected and highlighted with a red box. Below this, there is a section for 'Click the Add Study button to add information pertaining to each listed study. For each listed study, provide the title of the study, submission date of the study, the agency, and the name and address of the agency contact conducting the study.' A modal form is open, containing the following fields: Study Title, Study Submission Date, Agency (dropdown), Create a new Contact or select an existing one from the drop-down (with a 'Create New' dropdown), CBI (checkbox), Prefix (dropdown), First Name, Last Name, Suffix (dropdown), Phone Number, Ext., Email Address, Mailing Address 1, Mailing Address 2, City, State (dropdown), Postal Code, and Country. The 'Save' button is highlighted with a red box. Below the modal form, there is an 'Add Study' button, and below that, 'Previous' and 'Next' buttons, with the 'Next' button highlighted with a red box. At the bottom of the page, there are three buttons: 'Validate' (with a green checkmark), 'Save' (with a document icon), and 'Preview' (with a monitor icon). The footer contains navigation links: 'CDX Homepage | MyCDX Homepage | EPA Homepage | Terms and Conditions | Privacy Notice | CDX Helpdesk: (888) 890-1995'.

**Navigation:** Once all required information has been entered, you are finished with the data entry process. If information has been claimed as CBI, you will be required to complete the



substantiation screen for the AO to submit the form successfully. Please refer to **Section 3.6** for information regarding the substantiation section.

### 3.4 EPA Request for Further Information

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The following screens are only available to you when you are amending a submission.

#### 3.4.1 Underlying Data Screen

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You can access the ‘Underlying Data’ screen by checking the ‘Underlying Data’ checkbox on the ‘Study Identification’ screen and clicking the ‘Next’ button. You can also access this screen by clicking the ‘Underlying Data’ link within the navigation tree.

You can upload underlying data documents by clicking the ‘Add Document’ button. Clicking the ‘Add Document’ button generates a pop-up window for you to upload a document. To add additional documents, click the ‘Add Document’ button each time from the ‘Underlying Data’ screen. You can delete any unwanted attached documents by clicking the ‘Delete’ icon within the ‘Actions’ column of the Underlying Data table on the ‘Underlying Data’ screen. To attach a different document after one has previously been attached, click the file name link for the desired document under the ‘File Name’ column. Once you click the file name link of the desired document, this generates the pop-up window that will display where you can attach the new document. Attaching a new document will overwrite the existing document. The changes will commit once you click the ‘OK’ button.

After all desired documents have been attached, click the ‘Next’ button to navigate to the next study type identified on the ‘Study Identification’ screen. If no other study types have been identified then you will be taken to the ‘Submitter Requests’ screen upon clicking the ‘Next’ button.

Exhibit 3-35 shows the screen capture of the ‘Underlying Data’ screen:

## Exhibit 3-35: Underlying Data Screen

The ‘Underlying Data’ pop-up window allows you to browse for a document from your hard drive and attach one document at a time. You must attach at least one document. Clicking the ‘Browse’ button will generate the ‘File Upload’ window on your hard drive. Select the document you wish to attach and click the ‘Open’ button. If a document is marked as ‘CBI’ then a sanitized copy of the document must be attached. To save the attached document to the ‘Underlying Data’ screen, click the ‘OK’ button within the ‘Underlying Data’ pop-up window.

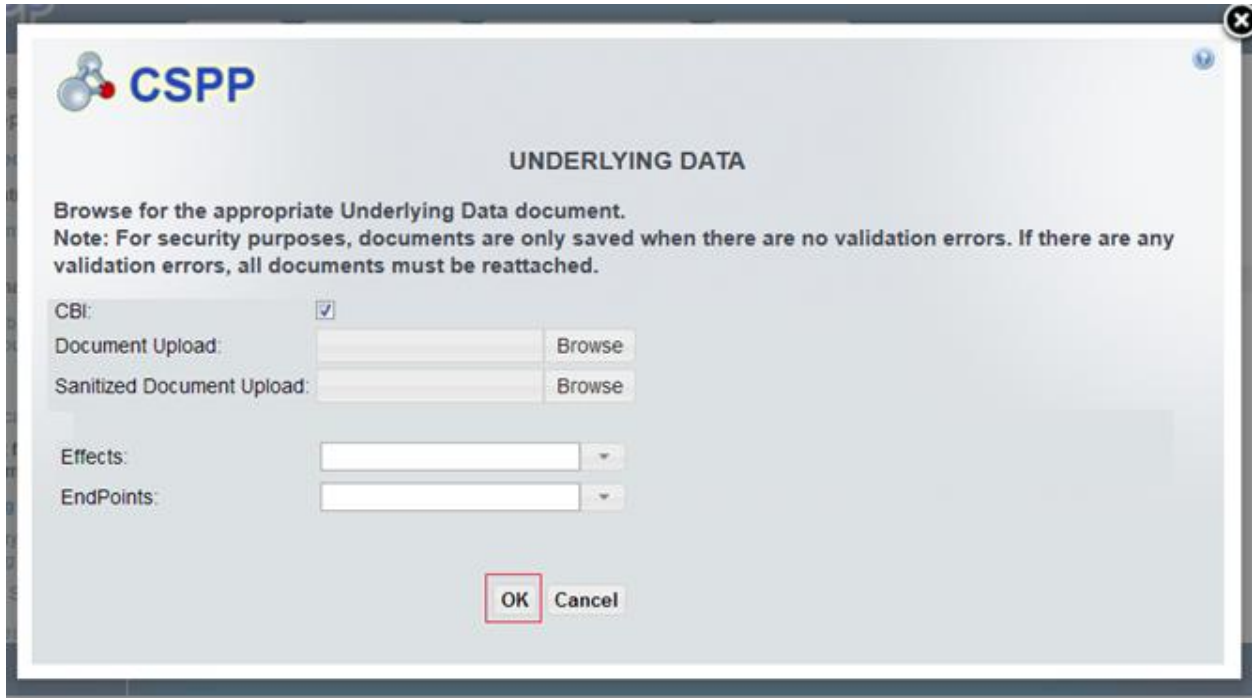
- **CBI:** Check the ‘CBI’ checkbox to claim the uploaded document as CBI and generate a sanitized document upload field. A sanitized document is required if the ‘CBI’ checkbox is checked.
- **Document Upload:** Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Sanitized Document Upload:** This field will only be displayed when the ‘CBI’ checkbox is checked. Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Effects:** Select the effect specific to the chemical report. The drop-down menu displays the following options: ‘Health Effects,’ ‘Environmental Fate,’ ‘Ecotoxicity,’ and ‘Physical Chemical Properties.’
- **Endpoints:** Select an endpoint that is specific to the chemical report. The endpoints will be specific to the effect chosen from the ‘Effects’ drop-down menu. If no effect is chosen, no endpoints will display.

Refer to Appendix B. Submission Types, Effects, and Endpoints for a complete list of values that are found within each of the drop-down menus on the ‘Underlying Data’ pop-up window. If you

have multiple documents that share the same effects and/or endpoints, you must upload each individual document separately and select values from the drop-down menus.

Exhibit 3-36 shows the screen capture of the ‘Underlying Data’ pop-up window:

**Exhibit 3-36: Underlying Data Pop-Up Window (with Sanitized Document Attached)**



**Navigation:** Upload all required documents and click the ‘OK’ button to close the window and populate the underlying data table. If you click ‘Cancel’ or the ‘X’ button, the window will close but no data will be saved. Once all documents have been uploaded, click the ‘Next’ button to move to the next screen from the ‘Underlying Data’ screen.

### 3.4.2 Preliminary Report of Ongoing Studies Screen

You can access the ‘Preliminary Reports of Ongoing Studies’ screen by checking the ‘Preliminary Reports of Ongoing Studies’ checkbox on the ‘Study Identification’ screen and clicking the ‘Next’ button. You can also access this screen by clicking the ‘Preliminary Reports of Ongoing Studies’ link within the navigation tree.

The ‘Preliminary Reports of Ongoing Studies’ screen is required for users who list studies as ongoing or initiated. The ‘Preliminary Reports of Ongoing Studies’ screen provides the user with ‘Add Documents’ and ‘Add Studies’ radio buttons. If you select the ‘Add Documents’ radio button the system generates the ‘Add Document’ button and the preliminary reports of ongoing studies table. Clicking the ‘Add Document’ button generates a pop-up window for you to upload documents. To add additional documents click the ‘Add Document’ button as needed from the ‘Preliminary Reports of Ongoing Studies’ screen. You can delete unwanted attached documents by clicking the ‘Delete’ icon within the ‘Actions’ column of the preliminary reports of ongoing studies table. To attach a replacement document for a document that was previously attached, click the file name link for the desired document under the ‘File Name’ column. Once you click the file name link of the desired document, the attachment pop-up window generates for you to

attach a new document. Attaching a new document will overwrite the existing document. The changes will commit once you click the 'OK' button.

If you select the 'Add Study' radio button the system will generate the 'Add Study' button. Clicking the 'Add Study' button will generate a field set that displays text fields for you to enter required study information. You are required to identify the beginning date of the study, the purpose of the study, types of data to be collected, the anticipated date of completion, and the name and address of the laboratory conducting the study. This information must accompany each listed entry.

The system provides the following text fields to complete:

- **Add Documents:** Select the radio button to attach a preliminary reports of ongoing studies document.
- **Add Studies:** Select the radio button to enter preliminary reports of ongoing studies information.
- **Study Title:** Enter the title of the study. This is a required field.
- **Study Start Date:** Enter the start date of the study. You can use the calendar widget to help find a specific date. This is a required field.
- **Study End Date:** Enter the end date of the study. You can use the calendar widget to help find a specific date. This is a required field.
- **Study Purpose:** Enter the purpose of the study. This is a required field.
- **Data to be Collected:** Enter the type of data to be collected throughout the duration of the specified study. This is a required field.
- **Create a new Laboratory or select an existing one from the drop-down:** This drop-down menu displays laboratories which have previously been entered within the same form, or allows you to enter a new laboratory. This field is optional.
- **CBI:** Check the 'CBI' checkbox to claim the information of the laboratory conducting the study as confidential.
- **Laboratory Name:** Enter the name of the laboratory conducting the study. This is a required field.
- **Mailing Address 1:** Enter the first line of the mailing address of the laboratory conducting the study. This is a required field.
- **Mailing Address 2:** Enter the second line of the mailing address of the laboratory conducting the study.
- **City:** Enter the city of the mailing address of the laboratory conducting the study. This is a required field.
- **State:** Select the state of the mailing address of the laboratory conducting the study. This is a required field if the country is identified as 'United States.'
- **Postal Code:** Enter the postal code of the mailing address of the laboratory conducting the study. This is a required field.
- **Country:** Select the country of the mailing address of the laboratory conducting the study. This is a required field.

The system provides functionality for you to associate multiple studies to a single laboratory. Once you enter laboratory contact information and save the data entered within the field set by clicking the ‘Save’ button, that contact information is saved to the ‘Create a new laboratory or select an existing one from the drop-down’ menu. You can click the ‘Delete’ icon to delete any unwanted studies by clicking the ‘Delete’ icon, which is located in the top right corner of the specified field set. Clicking the ‘Expand All’ link will expand all field sets. Likewise, clicking the ‘Collapse All’ link will collapse all field sets. To expand or collapse a field set individually, click the toggle arrow located in the top left corner of the specified field set.

After all information has been entered into the ‘Preliminary Reports of Ongoing Studies’ screen, navigate to the next study identified on the ‘Study Identification’ screen by clicking the ‘Next’ button or by clicking the next study link in the navigation tree. If no other study types were identified, you will be taken to ‘Submitter Request’ screen upon clicking the ‘Next’ button.

Exhibit 3-37 shows the screen capture of the ‘Preliminary Reports of Ongoing Studies’ screen:

### Exhibit 3-37: Preliminary Reports of Ongoing Studies Screen (Add Document)

The screenshot displays the GSPP web application interface. At the top, there is a navigation bar with 'Home', 'Submissions', and 'Resources' tabs, and a 'Log Out' button. The user is logged in as 'John Doe, Primary Support'. The main content area is titled 'PRELIMINARY REPORTS OF ONGOING STUDIES'. It contains instructions: 'Select the Add Document radio button option to attach a Preliminary Reports of Ongoing Studies document, or select the Add Studies radio button option to enter all required Preliminary Reports of Ongoing Studies information.' Below this, there are two radio buttons: 'Add Documents' (selected) and 'Add Studies'. A red box highlights the 'Add Document' button. Below the buttons is a table with columns for 'File Name', 'CBI', and 'Actions'. At the bottom of the main content area are 'Previous' and 'Next' buttons. The footer contains a navigation bar with links to 'CDX Homepage', 'MyCDX Homepage', 'EPA Homepage', 'Terms and Conditions', 'Privacy Notice', and 'CDX Helpdesk: (888) 890-1995'. A dark blue bar at the very bottom contains 'Validate', 'Save', and 'Preview' buttons.

The ‘Preliminary Reports of Ongoing Studies’ pop-up window allows you to browse for a document from your hard drive and attach one document at a time. You must attach at least one document. Clicking the ‘Browse’ button will generate the ‘File Upload’ window on your hard drive. Select the document you wish to attach and click the ‘Open’ button. If a document is marked as ‘CBI’ then a sanitized copy of the document must be attached. To save the attached document to the ‘Preliminary Reports of Ongoing Studies’ screen, click the ‘OK’ button within the ‘Preliminary Reports of Ongoing Studies’ pop-up window.

- **CBI:** Check the ‘CBI’ checkbox to claim the uploaded document as CBI and generate a sanitized document upload field. A sanitized document is required if the ‘CBI’ checkbox is checked.

- **Document Upload:** Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Sanitized Document Upload:** This field will only be displayed when the ‘CBI’ checkbox is checked. Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Effects:** Select the effect specific to the chemical report. The drop-down menu displays the following options: ‘Health Effects,’ ‘Environmental Fate,’ ‘Ecotoxicity,’ and ‘Physical Chemical Properties.’
- **Endpoints:** Select an endpoint that is specific to the chemical report. The endpoints will be specific to the effect chosen from the ‘Effects’ drop-down menu. If no effect is chosen, no endpoints will display.

Refer to Appendix B. Submission Types, Effects, and Endpoints for a complete list of values that are found within each of the drop-down menus on the ‘Preliminary Reports of Ongoing Studies’ pop-up window. If you have multiple documents that share the same effects and/or endpoints, you must upload each individual document separately and select values from the drop-down menus.

Exhibit 3-38 shows the screen capture of the ‘Preliminary Reports of Ongoing Studies’ pop-up window:

**Exhibit 3-38: Preliminary Report of Ongoing Studies Pop-Up Window (with Sanitized Document Attached)**



The screenshot shows a web-based form titled "PRELIMINARY REPORTS OF ONGOING STUDIES" with the CSPP logo in the top left. The form contains the following elements:

- A checkbox labeled "CBI:" which is checked.
- A "Document Upload:" field with a "Browse" button.
- A "Sanitized Document Upload:" field with a "Browse" button.
- An "Effects:" dropdown menu.
- An "EndPoints:" dropdown menu.
- "OK" and "Cancel" buttons at the bottom.

Instructions and a note are displayed at the top of the form:

Browse for the appropriate Preliminary Reports of Ongoing Studies document.  
Note: For security purposes, documents are only saved when there are no validation errors. If there are any validation errors, all documents must be reattached.

Exhibit 3-39 shows the screen capture of the ‘Preliminary Reports of Ongoing Studies’ screen when you select the ‘Add Studies’ radio button:

### Exhibit 3-39: Preliminary Report of Ongoing Studies Screen (Add Study)

Logged in as: John Doe, Primary Support [Log Out](#)
GSPP

[Home](#) | [Submissions](#) | [Resources](#)

**Section 8(d) Health & Safety Data Reporting**

**Primary Support**

- General Submission Information
- Contact Information**
  - Technical Contact Information
  - Submitting On Behalf Of Company
- Chemical Information**
  - Chemical Substance Identity of Impurities
- Studies**
  - Study Identification
  - EPA Request for Further Information**
    - Underlying Data
    - Preliminary Reports of Ongoing Studies**
    - Copies of Studies
  - Submitter Requests

Section 8(d) Health & Safety Data Reporting > Studies > EPA Request for Further Information > Preliminary Reports of Ongoing Studies

#### PRELIMINARY REPORTS OF ONGOING STUDIES

Select the Add Document radio button option to attach a Preliminary Reports of Ongoing Studies document, or select the Add Studies radio button option to enter all required Preliminary Reports of Ongoing Studies information.

Select:  Add Documents  Add Studies

Click the **Add Study** button to add information pertaining to each listed study. For each listed study, provide the title of the study, beginning date of the study, the purpose of the study, types of data to be collected, and the name and address of the laboratory conducting the study.

Expand All | Collapse All

Cancel ✕

Study Title:

Study Start Date:

Study End Date:

Study Purpose:

Data to be Collected:

Create a new Laboratory or select an existing one from the drop-down.

CBI:

Laboratory Name:

Mailing Address 1:

Mailing Address 2:

City:

State:

Postal Code:

Country:

✓

[CDX Homepage](#) | [MyCDX Homepage](#) | [EPA Homepage](#) | [Terms and Conditions](#) | [Privacy Notice](#) | [CDX Helpdesk: \(888\) 890-1995](#)

**Navigation:** Once all required information is entered, click the 'Next' button to navigate to the next screen. The next screen is dependent upon the study types you identified on the 'Study Identification' screen.

### 3.4.3 Copies of Studies Screen

You can access the 'Copies of Studies' screen by checking the 'Copies of Studies' checkbox on the 'Study Identification' screen and clicking the 'Next' button. You can also access this screen by clicking the 'Copies of Studies' link within the navigation tree.

The 'Copies of Studies' screen provides you with 'Add Documents' and 'Add Studies' radio buttons. Selecting the 'Add Documents' radio button generates the 'Add Document' button and the copies of studies table. Clicking the 'Add Document' button generates the 'Copies of Studies' pop-up window for you to upload documents. To add additional documents you can click the 'Add Document' button as needed from the 'Copies of Studies' screen. You can delete unwanted attached documents by clicking the 'Delete' icon located in the 'Actions' column of the copies of studies table. To attach a replacement document for a document that was previously attached, click the file name link for the desired document under the 'File Name' column. Once you click the file name link of the desired document, the attachment pop-up window generates for you to attach a new document. Attaching a new document will overwrite the existing document. The changes will commit once you click the 'OK' button.

If you select the 'Add Study' radio button the system generates the 'Add Studies' field set. Clicking the 'Add Studies' radio button generates a field set that displays text fields for you to enter required study information. You are required to identify the study title, and the name and address of the contact who possesses a copy of the indicated study. This information must accompany each listed entry.

The system provides the following text fields to complete:

- **Study Title:** Enter the title of the study. This field is required.
- **CBI:** Check the 'CBI' checkbox to identify the information of the individual who possesses a copy of the indicated study as confidential.
- **Prefix:** If applicable, select the prefix of the individual who possesses a copy of the indicated study.
- **First Name:** Enter the first name of the individual who possesses a copy of the indicated study. This field is required.
- **Last Name:** Enter the last name of the individual who possesses a copy of the indicated study. This field is required.
- **Suffix:** If applicable, select the suffix of the individual who possesses a copy of the indicated study.
- **Phone Number:** Enter the phone number of the individual who possesses a copy of the indicated study. This field is required.
- **Ext:** Enter the extension to the phone number of the individual who possesses a copy of the indicated study.



- **Email Address:** Enter the email address of the individual who possesses a copy of the study. The email address must follow the standard email address format: [john.doe@abc.com](mailto:john.doe@abc.com). This field is required.
- **Mailing Address 1:** Enter the first line of the mailing address of the individual who possesses a copy of the study. This field is required.
- **Mailing Address 2:** Enter the second line of the mailing address of the individual who possesses a copy of the study.
- **City:** Enter the city of the mailing address of the individual who possesses a copy of the study. This field is required.
- **State:** Select the state of the mailing address of the individual who possesses a copy of the study. This field is required if the country selected is 'United States.'
- **Postal Code:** Enter the postal code of the mailing address of the individual who possesses a copy of the study. This field is required.
- **Country:** Select the country of the mailing address of the individual who possesses a copy of the study. This field is required.

The system provides functionality for you to associate multiple studies to a single contact. Once you enter contact information and save the data entered within the field set by clicking the 'Save' button, that contact information is saved to the 'Create a new contact or select an existing one from the drop-down' menu. You can click the 'Delete' icon located in the top right corner of the specified field set to delete any unwanted studies. Clicking the 'Expand All' link will expand all field sets. Likewise, clicking the 'Collapse All' link will collapse all field sets. To expand or collapse a field set individually, you can click the toggle arrow located in the top left corner of the specified field set.

After all required information has been entered into the 'Copies of Studies' screen, you can navigate to the next study identified on the 'Study Identification' screen by clicking the 'Next' button or by clicking the next study link from within the navigation tree.

Exhibit 3-40 shows the screen capture of the ‘Copies of Studies’ screen:

### Exhibit 3-40: Copies of Studies Screen (Add Document)

The ‘Copies of Studies’ pop-up window allows you to browse for a document from your hard drive and attach one document at a time. You must attach at least one document. Clicking the ‘Browse’ button will generate the ‘File Upload’ window on your hard drive. Select the document you wish to attach and click the ‘Open’ button. If a document is marked as ‘CBI’ then a sanitized copy of the document must be attached. To save the attached document to the ‘Copies of Studies’ screen, click the ‘OK’ button within the ‘Copies of Studies’ pop-up window.

- **CBI:** Check the ‘CBI’ checkbox to claim the uploaded document as CBI and generate a sanitized document upload. A sanitized document is required if the ‘CBI’ checkbox is checked.
- **Document Upload:** Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Sanitized Document Upload:** This field will only be displayed when the ‘CBI’ checkbox is checked. Clicking the ‘Browse’ button will generate the ‘File Upload’ window for you to search for a particular file on your hard drive.
- **Effects:** Select the effect specific to the chemical report. The drop-down menu displays the following options: ‘Health Effects,’ ‘Environmental Fate,’ ‘Ecotoxicity,’ and ‘Physical Chemical Properties.’
- **Endpoints:** Select an endpoint that is specific to the chemical report. The endpoints will be specific to the effect chosen from the ‘Effects’ drop-down menu. If no effect is chosen, no endpoints will display.

Refer to Appendix B. Submission Types, Effects, and Endpoints for a complete list of values that are found within each of the drop-down menus on the ‘Document Management’ pop-up window. If you have multiple documents that share the same effects and/or endpoints, you must upload each individual document separately and select values from the drop-down menus.

Exhibit 3-41 shows the screen capture of the ‘Copies of Studies’ pop-up window:

**Exhibit 3-41: Copies of Studies Pop-up Window (with Sanitized Document Attached)**

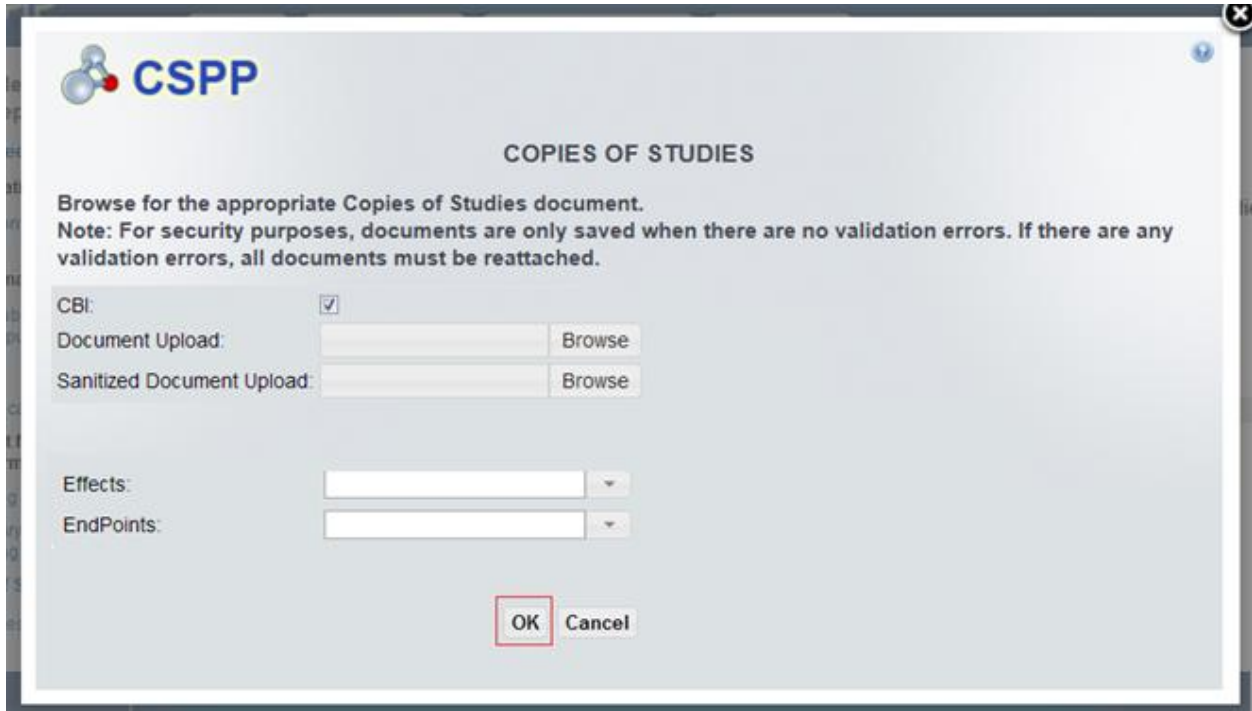


Exhibit 3-42 shows the screen capture of the ‘Copies of Studies’ screen when you select the ‘Add Studies’ radio button:

### Exhibit 3-42: Copies of Studies Screen (Add Study)

**Navigation:** Once all required information is entered, click the ‘Next’ button to navigate to the next screen. The next screen is dependent upon the study types you identified on the ‘Study Identification’ screen. If no other study types were identified you will navigate to the ‘Submitter Requests’ screen upon clicking ‘Next.’

### 3.5 Submitter Requests Screen

You can access the ‘Submitter Requests’ screen by clicking the ‘Submitter Requests’ link within the navigation tree, or by clicking the ‘Next’ button from the previous screen.

The ‘Submitter Requests’ screen provides functionality for you to request a time extension or withdrawal of a submission. Clicking the ‘Add Document’ button generates the ‘Submitter Request’ pop-up window. You can delete unwanted attached documents by clicking the ‘Delete’ icon located in the ‘Actions’ column of the submitter requests table. To attach or edit a previously attached document, click the file name link of the desired document under the ‘File Name’ column. Once you click the file name link of the desired document, the attachment pop-up window generates for you to attach a new document. Attaching a new document will overwrite the existing document. The changes will commit once you click the ‘OK’ button.

- **File Name:** Displays the file name and file extension of the document attached on the ‘Submitter Request’ pop-up window.
- **Request Type:** Displays the request type selected from the ‘Submitter Request’ pop-up window.
- **Actions:** Click the ‘Delete’ icon (✖) to remove the document from the system. A warning message displays to confirm removal of the document.

Exhibit 3-43 shows the screen capture of the ‘Submitter Requests’ screen:

**Exhibit 3-43: Submitter Requests Screen**

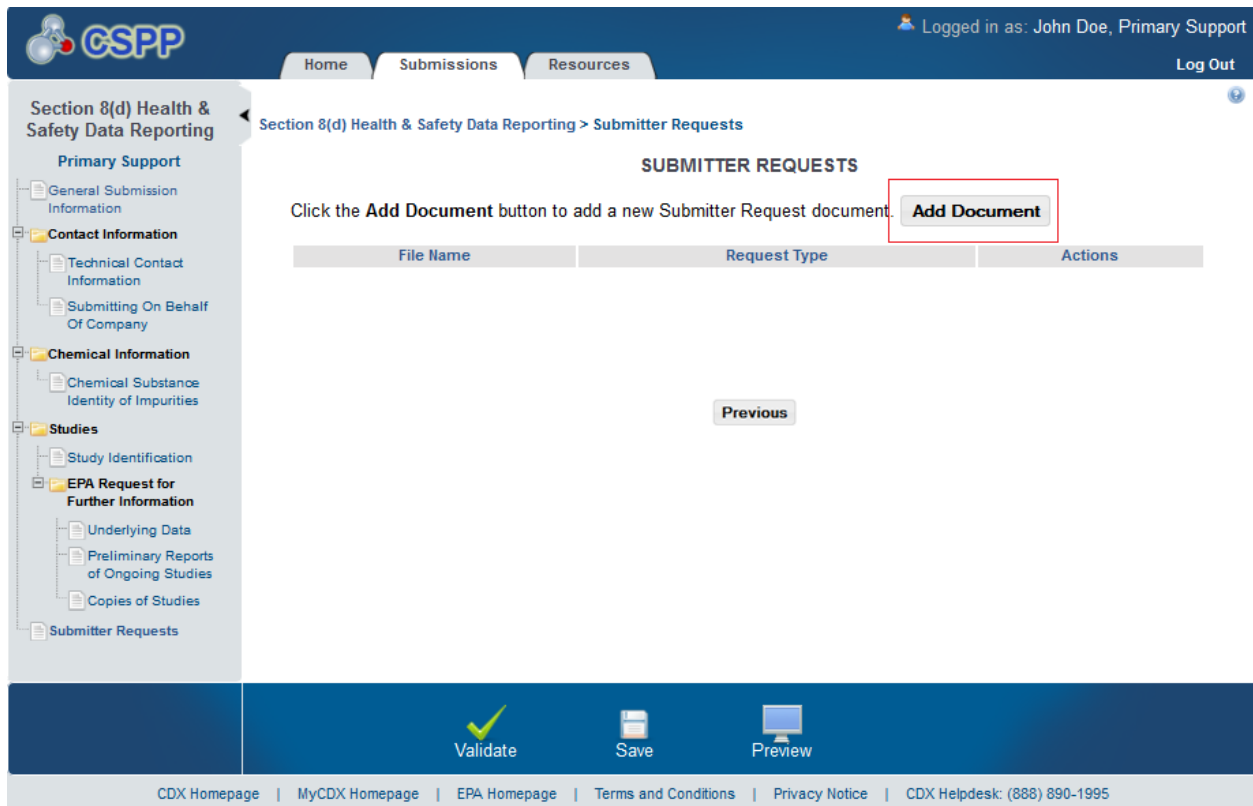
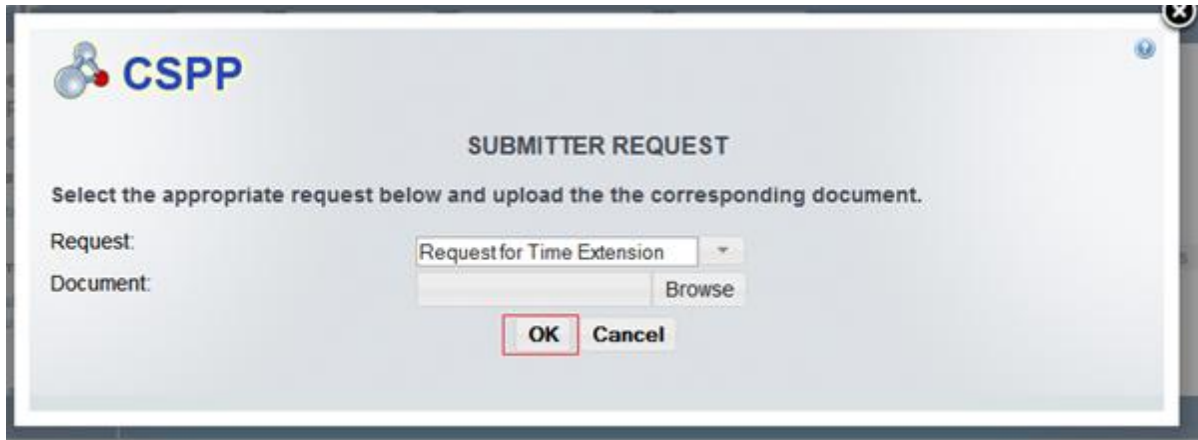


Exhibit 3-44 shows the screen capture of the ‘Submitter Request’ pop-up window:

**Exhibit 3-44: Submitter Request Pop-Up Window**

**Navigation:** Click the ‘OK’ button to close the ‘Submitter Request’ pop-up window and save the selected document. Clicking the ‘Cancel’ or ‘X’ button will close the window however, no data will be saved. Once all documentation has been uploaded, click ‘Submit’ button to begin the submission process. If data has been claimed as CBI, click the ‘Next’ button to complete the ‘Substantiation Summary’ screen.

### 3.6 Substantiation Summary

You can access the ‘Substantiation Summary’ screen by identifying CBI claims within the application.

If a user claims any item as CBI, a prompt will display informing the user ‘Substantiation is required.’ Please complete the substantiation by clicking the link within the navigation tree on the left to complete substantiation for this item, or by clicking the ‘Next’ button from the ‘Submitter Request’ screen. To pass validation, substantiation must be completed for all items claimed as CBI before submission.’ The ‘Substantiation Summary’ link within the navigation tree provides navigation to the ‘Substantiation Summary’ screen. The values in the ‘Substantiation Status’ column indicate whether the user has completed substantiation. If the status is ‘Pending’ you must complete substantiation prior to submission. Click the ‘Pending’ link within the ‘Substantiation Status’ column. Once the substantiation has been completed the status will change to ‘Complete.’

Exhibit 3-45 shows the screen capture for the ‘Substantiation Summary’ screen:

### Exhibit 3-45: Substantiation Summary Screen

Section 8(d) Health & Safety Data Reporting > Substantiation Summary

#### SUBSTANTIATION SUMMARY

To pass validation, substantiation must be completed for all items claimed CBI before submission. Click **Pending** within the table to complete substantiation for each item below. All items with a Substantiation Status of Complete have been completed. Click **Complete** within the table to view completed substantiation.

Chemical Identifying Number	Chemical Name	Substantiation Status
11111	Ricinoleic acid homopolymer	Pending

File Name	Study Classification	Substantiation Status
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[Previous](#)

[Validate](#)
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[Preview](#)

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Exhibit 3-46 shows the screen capture of the ‘Submission of Studies Substantiation’ screen:

## Exhibit 3-46: Submission of Studies Substantiation Screen

The screenshot shows the 'Submission of Studies Substantiation' screen. At the top, the user is logged in as 'John Doe, Primary Support'. The navigation menu includes 'Home', 'Submissions', and 'Resources'. The left sidebar shows a tree view for 'Section 8(d) Health & Safety Data Reporting' with categories like 'Primary Support', 'Contact Information', 'Chemical Information', 'Studies', and 'EPA Request for Further Information'. The main content area is titled 'SUBMISSION OF STUDIES SUBSTANTIATION' and contains the following text:

Any respondent who wishes to assert a claim that part of a study should be withheld from disclosure because disclosure would reveal a confidential process or quantitative mixture composition should briefly state the basis of the claim, e.g. by saying "reveals confidential mixture proportion data," and clearly identify the material subject to the claim

Any respondent may assert a confidentiality claim for company name or address, financial statistics, and product codes used by a company. This information will not be subject to the disclosure requirements of section 14(b) of TSCA.

Information other than company name or address, financial statistics and product codes used by a company, which is contained in a study, the disclosure of which would clearly be an unwarranted invasion of personal privacy (such as individual medical records), will be considered confidential by EPA as provided in Title 5, United States Code, section 552(b)(6).

To assert a claim of confidentiality for data contained in a submitted document, the respondent must submit two copies of the document:

One copy must be complete. In that copy, the respondent must indicate what data, if any, are claimed as confidential by bracketing or underlining the specific information. Each page containing data claimed as confidential must also contain a brief statement for the basis of the claim as well as a label such as "confidential," "proprietary" or "trade secret."

The second copy must be complete, except that all information claimed as confidential in the first copy must be deleted. The second copy will be immediately subject to public disclosure.

Failure to furnish a second copy when information is claimed as confidential in the first copy will be considered a presumptive waiver of the claim of confidentiality. EPA will notify the respondent by certified mail that a finding of a presumptive waiver of the claim of confidentiality has been made. The respondent will be given 30 days from the date of his or her receipt of this notification to submit the required second copy. If the respondent fails to submit the second copy within the 30 days, EPA will place the first copy in the public file.

At the bottom of the main content area is a 'Previous' button. The bottom action bar contains three icons: 'Validate' (checkmark), 'Save' (floppy disk), and 'Preview' (computer monitor). The footer contains navigation links: 'CDX Homepage | MyCDX Homepage | EPA Homepage | Terms and Conditions | Privacy Notice | CDX Helpdesk: (888) 890-1995'.

**Navigation:** Once all substantiation statuses are complete, click the 'Save' icon located in the bottom action bar to save all entered data. Click the log out button, located in the upper right hand corner to sign out of the application.



## 4 Validate

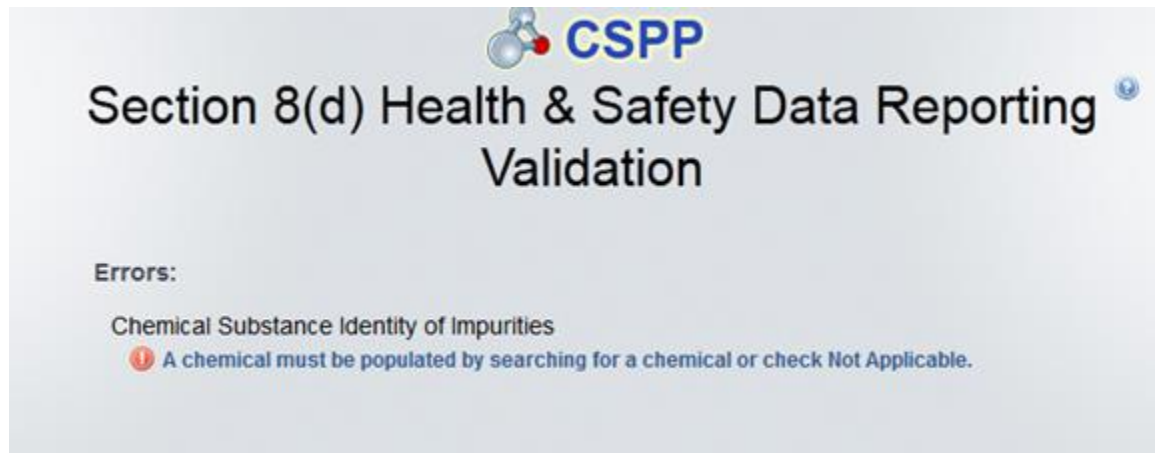
You can click the 'Validate' icon (✓) at any stage of completing a Section 8(d) Health & Safety Data Reporting submission. The 'Section 8(d) Health & Safety Data Reporting Validation' pop-up window displays when you click the 'Validate' icon (✓). The 'Section 8(d) Health & Safety Data Reporting Validation' pop-up window displays a report of all validation errors and warning messages. During the validation process, the application validates each screen of the Section 8(d) Health & Safety Data Reporting submission to find missing and invalid data.

**Validation Errors:** Errors are denoted by an 'Error' icon (ⓘ) and can be fixed by clicking the error link. The links will display the *Screen Title Name* (e.g., Technical Contact Information) and the associated error. After you click a link, the main application screen will display the section where the error occurred so you can easily fix the error. Once you have fixed the error, click the 'Validate' icon (✓) again to refresh the 'Section 8(d) Health & Safety Data Reporting Validation' pop-up window. If the information you fixed passes validation, the error will be removed from the 'Section 8(d) Health & Safety Data Reporting Validation' pop-up window. You must fix all validation errors in order to submit the submission to CDX successfully.

You can close the 'Section 8(d) Health & Safety Data Reporting Validation' pop-up window by clicking the 'X' button located at the top right of the window.

Exhibit 4-1 shows the screen capture for the ‘Section 8(d) Health & Safety Data Reporting Validation’ pop-up window:

**Exhibit 4-1: Section 8(d) Health & Safety Data Reporting Validation Pop-Up Window**



## 5 Complete an Amendment

Only the Primary AO can unlock a submission to create an amendment. After the AO has unlocked the submission and logged out, you (the Primary Support) can login and edit the form. At this point, the 'Section 8(d) Health & Safety Data Reporting' submissions screen will display a status of 'In Progress' under the 'Status' column; however, you will not see these changes until you navigate back to the 'Section 8(d) Health & Safety Data Reporting' submissions screen. Click the link in the 'Submission Alias' column for the form that you are amending to navigate to the 'Enter Passphrase' screen. You must enter the passphrase originally associated with the form to complete the amendment process.

## 6 Resources

You can access the 'Resources' screen by clicking the 'Resources' tab located in the application header. You can also access the 'Resources' screen by clicking the 'Resources' link from the Section 8(d) Health & Safety Data Reporting 'Home' screen. The 'Resources' screen provides you with links to all of the Section 8(d) Health & Safety Data Reporting application user guides as well as additional helpful links. Click the 'User Guide' links to access the Section 8(d) Health & Safety Data Reporting application user guide document.

**Section 8(d) Health and Safety Data Reporting Homepage:** If you click this link, a new internet browser window will generate displaying the 'Section 8(d) Health and Safety Data Reporting' screen.

**CDX Home:** If you click this link, a new internet browser window will generate displaying the 'CDX Homepage.'

**TSCA Chemical Substances Inventory:** If you click this link, a new internet browser window will generate displaying the 'TSCA Chemical Substances Inventory' screen.

Exhibit 6-1 shows the screen capture of the ‘Resources’ screen:

### Exhibit 6-1: Resources Screen

**RESOURCES**

**eReporting User Guide**

This guide describes each screen of the Section 8(d) Health & Safety Data Reporting software and provides information on how to use the system to complete the submission. The guide also contains instructions for registering with CDX, submitting the submission, and modifying a previous submission. You can download and print the Section 8(d) Health & Safety Data Reporting User Guide for quick reference.

[User Guide](#)

**Helpful Web Links**

Click the link provided below to access additional information related to Section 8(d) Health & Safety Data Reporting.  
[Section 8\(d\) Health & Safety Data Reporting Homepage](#)

Click the link provided below to access additional information related to the Central Data Exchange (CDX).  
[CDX Home](#)

Click the link provided below to access additional information related to the Toxic Substances Control Act (TSCA).  
[TSCA Chemical Substances Inventory](#)

If you need assistance with the application, please contact the CDX Helpdesk at (888) 564-3011 or [helpdesk@epacdx.net](mailto:helpdesk@epacdx.net). If you need policy guidance or information, please contact the TSCA Hotline at (202) 554-1404 or [TSCA-Hotline@epamail.epa.gov](mailto:TSCA-Hotline@epamail.epa.gov).

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## Appendix A. Definitions, Acronyms, and Abbreviations

The following is a list of all the definitions, acronyms, and abbreviations used in this document and their full name.

### Definitions, Acronyms, and Abbreviations

Acronym	Full Name
AO	Authorized Official
CA	Chemical Abstract
CASRN	Chemical Abstract Service Registry Number
CBI	Confidential Business Information
CDX	Central Data Exchange
CFR	Code of Federal Regulations
CSPP	Chemical Safety and Pesticide Programs
CSV	Comma Separated Values
EPA	Environmental Protection Agency
EST	Eastern Standard Time
FRN	Federal Register Notice
IUPAC	International Union of Pure and Applied Chemistry
OPPT	Office of Pollution Prevention and Toxics
PDF	Portable Document Format
PMN	Premanufacture Notice
SRS	Substance Registry Services
TSCA	Toxic Substances Control Act
XML	Extensible Markup Language

## Appendix B. Submission Types, Effects, and Endpoints

The following appendix displays all possible options within each drop-down menu on the 'Document Management' pop-up window.

### First Dropdown:

- Title: Submission Type
- Contains the following values
  - Initial Submission
  - Follow-Up Submission
  - Final Submission

### Second Dropdown

- Title: Summary/Abstract Submission
- Contains the following values
  - Yes
  - No

### Third Dropdown

- Title: Effects
- Contains the following values
  - Health Effect
  - Ecotoxicity
  - Environmental Fate
  - Physical-Chemical Properties

### Fourth Dropdown

- Title: Endpoints
- Contains the following values
  - For Health Effect (shows when Health Effect is selected from third dropdown)
    - Basic Toxic kinetics
    - Dermal Absorption
    - Acute Toxicity: oral
    - Acute Toxicity: inhalation
    - Acute Toxicity: dermal
    - Acute Toxicity: other routes
    - Skin irritation/corrosion
    - Eye irritation
    - Skin sensitization
    - Respiratory sensitization
    - Repeated Dose toxicity: oral
    - Repeated Dose toxicity: inhalation

- Repeated Dose Toxicity: dermal
- Repeated Dose Toxicity: other routes
- Genetic Toxicity in vitro
- Genetic Toxicity in vivo
- Carcinogenicity
- Toxicity to Reproduction
- Developmental Toxicity/teratogenicity
- Toxicity to reproduction: other studies
- Neurotoxicity
- Immunotoxicity
- Specific investigations: other studies
- Health surveillance data
- Epidemiological data
- Direct observations: clinical cases, poisoning incidents and other
- Sensitization data (humans)
- Exposure related observations in humans: other data
- Toxic effects on livestock and pets
- Additional toxicological information
- Other
- For Ecotoxicity (shows when Ecotoxicity is selected from third dropdown)
  - Short-term toxicity to fish
  - Long-term toxicity to fish
  - Short-term toxicity to aquatic invertebrates
  - Long-term toxicity to aquatic invertebrates
  - Toxicity to aquatic algae and cyanobacteria
  - Toxicity to aquatic plants other than algae
  - Toxicity to microorganisms
  - Toxicity to other aquatic organisms
  - Sediment toxicity
  - Toxicity to soil macroorganisms except arthropods
  - Toxicity to terrestrial arthropods
  - Toxicity to terrestrial plants
  - Toxicity to soil microorganisms
  - Toxicity to birds
  - Toxicity to other above-ground organisms
  - Biological effects monitoring
  - Biotransformation and kinetics
  - Additional ecotoxicological information
  - Other



- For Environmental Fate (shows when Environmental Fate is selected from third dropdown)
  - Photo transformation in air
  - Hydrolysis
  - Photo transformation in water
  - Photo transformation in soil
  - Biodegradation in water: screening test
  - Biodegradation in water and sediment: simulation tests
  - Biodegradation in soil
  - Mode of degradation in actual use
  - Bioaccumulation: aquatic/sediment
  - Bioaccumulation: terrestrial
  - Adsorption/desorption
  - Henry's Law constant
  - Distribution Modeling
  - Other Distribution Data
  - Monitoring Data
  - Field Studies
  - Additional Information on Environmental Fate and Behavior
  - Other
- For Physical-Chemical Properties (shows when Physical-Chemical Properties is selected from third dropdown)
  - Appearance/Physical
  - Melting Point
  - Boiling Point
  - Density
  - Particle size distribution
  - Vapor Pressure
  - Partition Coefficient
  - Water Solubility
  - Solubility in Organic Solvent
  - Surface Tension
  - Flash Point
  - Auto Flammability
  - Flammability
  - Explosiveness
  - Oxidizing Properties
  - Oxidation Reduction Potential
  - Stability in organic solvents and identity of relevant degradation products
  - Storage stability and reactivity towards container material

- Stability: thermal, sunlight, metals
- pH
- Dissociation constant
- Viscosity
- Additional physic-chemical information
- Other