# NASA C3RS

https://c3rs.arc.nasa.gov/report/electronic.html



## Welcome to the NASA Confidential Close Call Reporting System!

The Confidential Close Call Reporting System (C<sup>3</sup>RS) is a partnership between the National Aeronautics and Space Administration (NASA), the Federal Railroad Administration (FRA), in conjunction with participating railroad carriers and labor organizations. The program is designed to improve railroad safety by collecting and analyzing reports which describe unsafe conditions and events in the railroad industry. Employees will be able to report safety issues or "close calls" voluntarily and confidentially.





Transportation Report Form	e.g. Conductor, Dispatcher, Engineer *
Mechanical Report Form	e.g. Carman, Laborer, Machinist, Pipe Fitter *
Engineering Report Form	e.g. Signal Maintainer, Track Inspector, Track Supervisor *

ERS Resources

- ERS Frequently Asked Questions
- Online Security Tips
- Contact ERS

\*Refer to form for full list of selections

### 4 Steps to Report Electronically

- Review the ERS Frequently Asked Questions (FAQ). Browser settings are in the ERS FAQ and are important to the success of your report submission. Be sure your computer is secure and clear of vulnerabilities (see Online Security Tips).
- 2. Click on the button above for the appropriate electronic C<sup>3</sup>RS form.
- Fill out the electronic form on the computer. To print a copy for your records, you must print it BEFORE clicking Submit. Keep the copy in a secure location. The NASA C<sup>3</sup>RS team suggests that you do not save your completed report to a shared (e.g., company) computer.

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The FRA has agreed through MOU's with rail carriers that the reports filed with NASA are prohibited from being used for FRA enforcement purposes. This report will not be made available to the FRA for disciplinary actions for violations. Your identity strip, date stamped by NASA, is proof that you have submitted a report to the C<sup>3</sup>RS. We can only return the ID strip to you if you have provided a mailing address. The information you provide on the identity strip will be used only by NASA to contact you for further information. We can often obtain additional useful information if our safety analysts can talk with you directly by telephone. For this reason, we have requested telephone numbers where we may reach you. THIS IDENTITY STRIP WILL BE RETURNED BY MAIL DIRECTLY TO YOU. The return of the identity strip assures your anonymity.

**NOTE:** Train Accidents and/or criminal acts should not be reported on this form. Such events should be filed through appropriate authorities.

**Paperwork Reduction Act Statement** - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget control number. The OMB control number for this information collection is 2700-XXXX and it expires on mm/dd/yyyy. We estimate that it will take about 30 minutes to read the instructions, gather the facts, and answer the questions. You may send comments on our time estimate above to:P.O. Box 177 Moffett Field, CA 94035-0177. Send only comments relating to our time estimate to this address.

Thank you for your contribution to railroad safety!



### C3RS TRANSPORTATION FORM

YPE OF EVENT / SITUATION	N			EVENT LOCATION Subdivision	
				Suburvision	
NVOLVED CO-WORKERS					
				Facility	
		ch you for further details of this oc	currence.		
Primary	Hours	OHOM	~ 14/		
Alternate	Hours		0 **	Milepost	State
		OHOM	0 W		
				Nearest Station	
NAME (required)				CARRIER / RAILROAD (required)	
DDDESS DO BOX				(regarda)	
DDRESS/PO BOX (required)				DATE OF OCCURREN	CE (MM/DD/YYYY
ADDRESS LINE 2				MM/DD/YYYY	
				LOCAL TIME (24 HR. C	LOCK) (HH:MM)
CITY (required)	STA	TE ZIP (require	d)	HH:MM	
PLEASE FILL IN	APPROPRIAT	E SPACES AND CHECK ALL ITE	MS WHICH AP	PPLY TO THIS EVENT OR SITU	UATION.
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Engineer	Brakem	an Dispatche		Other:	
		and a second			
Assistant Engineer	RCL Op				
-	RCL Op	On Board			
Conductor	RCL Op	oerator On Board Inster Foreman			
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			_		Distributed Por		
	Control Stand	Туре	#	of Helpers	Distributed Pol	wer O res	⊖ No
Passenger							
#	of Cars		# In Service		Cab Car Controll	ing <sub> O</sub> Yes	⊖ No
Freight							
Loads		Tons	o	Unit Train	<ul> <li>Mixed Freight</li> </ul>		
Empties		Length	feet 🔿	Intermodal Train	O Other:		
rain Location	□ Main Track	Yard	Passenger St		ustry Other:		
ules in Effect -	Centralized	d traffic control	☐ Yard limits		Automatic block	signals	
ethods of	- Interlocking			main track rules		-	
peration	Track warra		Positive tr		Automatic train	stop	
heck all that apply)	Direct traffi	ic control	Dark Territ	ory (Non-ABS)	Other:		
perating Rules	O GCOR		<b>n</b>				
	O Other:	0.000		Were job / saf	ety briefings completed?	⊖ Yes ⊖	No
ain Activity	Predepartu	ure 🖂 Ar	rival		Passenger boarding	/ disembarkir	ng
	Departure	Sv	vitching in yard		Freight loading / unk	pading	
IF MORE THAN	ONE TRAIN WA	AS INVOLVED, PL	EASE DESCRIBE SEC	THE ADDITIONAL FION.	TRAIN IN THE "DESCRIBE	EVENT / SITU	JATION
			DESCRIBE EVE	NT / SITUATION			
Keeping in mind th believe really caus		elow, discuss thos			thing else you think is impo t the situation.	rtant. Include w	/hat you
believe really caus	ed the problem, a	below, discuss thos and what can be d	one to prevent a re			rtant. Include w	/hat you
believe really caus	ed the problem, a	elow, discuss thos	one to prevent a re			rtant. Include w	vhat you
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believe really caus	o, What, Whe	pelow, discuss thoo and what can be d	one to prevent a re	currence, or correi	t the situation.		vhat you
(required) Wh	chain of arose	pelow, discuss thoo and what can be d	vered	- Perceptions, jur			
believe really caus	chain of crs	elow, discuss thos and what can be d ore, When, Wh ore, When, Wh FEVENTS - How it was disco - Corrective action	vered s	- Perceptions, ju	HUMAN PERFORMANCE CONSID Jaments, decisions g the quality of human	ERATIONS	

#### From the NASA Confidential Close Call Rail Reporting System:

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Thank you for your contribution to railroad safety.



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Thank you for your contribution to railroad safety!



# C3RS MECHANICAL FORM DO NOT REPORT TRAIN ACCIDENTS OR CRIMINAL ACTIVITIES ON THIS FORM. ACCIDENTS AND CRIMINAL ACTIVITIES ARE NOT INCLUDED IN THE G3RS PROGRAM AND SHOULD NOT BE SUBMITTED TO MASA. ALL IDENTITIES CONTINUED IN THIS REPORT INLL BE REMOVED TO ASSURE COMPLETE REPORTER ANOHYMITY.

TYPE OF EVENT / SITUATION		EVENT LOCATION
		EVENT LOCATION Subdivision
INVOLVED CO-WORKERS		
		Facility
TELEPHONE NUMBERS where we may rea Primary Hours	ich you for further details of this occurrence.	
XXX-XXX-XXXX	$\bigcirc$ H $\bigcirc$ M $\bigcirc$ W	Milepost State
Alternate Hours	OH OM OW	
	0.0.0.0.0	
		Nearest Station
		CARRIER / RAILROAD
NAME (required)		(required)
ADDRESSPO BOX (required)		DATE OF OCCURRENCE (MM/DD/YYYY)
100050011150		MM/DD/YYYY
ADDRESS LINE 2		LOCAL TIME (24 HR. CLOCK) (HH:MM)
CITY (required) STA	ATE ZIP (required)	HH:MM
PLEASE FILL IN APPROPRIA	TE SPACES AND CHECK ALL ITEMS WHI	CH APPLY TO THIS EVENT OR SITUATION.
	REPORTER	
- Dallas Malas - D		- Talaa
Boiler Maker     Foreman     Carman     Hostler (Ins	Machinist side) Manager	Trainee Other:
Electrician Laborer	Pipe Fitter	Other:
Electrician	Pipe Pitter	
	CERTIFICATION	
Air Brake Inspections	reight Car Inspection	Rear End Marker / EOT
Blue Signal Protection	comotive Engineer Certification	Safety Appliances
	comotive Inspection	Other:
FRA Glazing Pa	assenger Car Inspection	
REPORTER EXPERIENCE	SHIFT DURING EVENT	REPORTER LOCATION Reset
	At time of incident, were you on:	Vard Shop Other Track
Railroad Years: yrs	At time of incident, were you on:	Vard Shop Other Track
Railroad Years: yrs	Assigned Shift	Yard Shop Other Track
Railroad Years: yrs Years in Craft: yrs	Assigned Shift	
Years in Craft: yrs	Assigned Shift Overtime Duty Emergency Duty	Adjacent to track / on ground
	Assigned Shift	Adjacent to track / on ground Office / Crew Facility
Years in Craft: yrs	Assigned Shift Overtime Duty Emergency Duty	Adjacent to track / on ground Office / Crew Facility On / under / between Motive Power
Years in Craft: yrs	Assigned Shift Overtime Duty Emergency Duty	Adjacent to track / on ground Office / Crew Facility On / under / between Motive Power On / under / between Rolling Equipment
Years in Craft: yrs WORK GROUP SIZE Work Group Size:	Assigned Shift Overtime Duty Emergency Duty Other:	Adjacent to track / on ground Office / Crew Facility On / under / between Motive Power On / under / between Rolling Equipment Station Platform Other:
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Years in Craft: yrs WORK GROUP SIZE Work Group Size: WEATHER Clear loe Win Fog Rain Haz	Assigned Shift Overtime Duty Emergency Duty Other: Hours into Shift: hrs	Adjacent to track / on ground Office / Crew Facility On / under / between Motive Power On / under / between Rolling Equipment Station Platform Other:
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Years in Craft: yrs WORK GROUP SIZE Work Group Size: WEATHER Clear loe Win Fog Rain Haz	d some shift work of the second shift overtime Duty Emergency Duty Other:	Adjacent to track / on ground Office / Crew Facility On / under / between Motive Power On / under / between Rolling Equipment Station Platform Other: LIGHT / VISIBILITY Outdoors: (Select Outdoors) \$
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Years in Craft: yrs WORK GROUP SIZE Work Group Size: WEATHER Clear   loe   Win Fog   Rain   Haz   Hail   Snow   Thu Other: Blocking / Jacking / Rerailing	Assigned Shift Overtime Duty Emergency Duty Other: Hours into Shift: hrs  d d e / Smoke nderstorm / Lightning Wor Red ACTIVITY Reset Installation	Adjacent to track / on ground Office / Crew Facility On / under / between Notive Power Station Platform Other: LIGHT / VISIBILITY Uddoors: [Select Outdoors] \$ k Area Lighting: [Select Area Lighting] \$ uced Visibility feet Scheduled Maintenance
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Years in Craft: yrs WORK GROUP SIZE Work Group Size: Vearther Clear   ce   Win Fog   Rain   Haz Hail   Snow   Thu Other: Blocking / Jacking / Rerailing Documentation	Assigned Shift Overtime Duty Emergency Duty Other: Hours into Shift: hrs  d d e / Smoke nderstorm / Lightning Wor Red ACTIVITY Reset Installation Operating Vehicle / Equipment	Adjacent to track / on ground Office / Crew Facility On / under / between Molive Power On / under / between Rolling Equipment Station Platform Other: LIGHT / VISIBILITY LIGHT / VISIBILITY LIGHT / VISIBILITY Used Visibility (Select Area Lighting) \$ used Visibility feet Scheduled Maintenance Testing
Years in Craft: yrs WORK GROUP SIZE Work Group Size: WEATHER Clear loe Win Fog Rain Haz Hail Snow Thu Other: Blocking / Jacking / Rerailing Documentation Inspection	Assigned Shift Overtime Duty Emergency Duty Hours into Shift: hrs d d for / Smoke nderstorm / Lightning ACTIVITY Reset Installation Operating Vehicle / Equipment Repair / Replace	Adjacent to track / on ground Office / Crew Facility On / under / between Motive Power On / under / between Rolling Equipment Station Platform Other: LIGHT / VISIBILITY Outdoors: (Select Outdoors) ; k Area Lighting: (Select Area Lighting) ; uced Visibility feet Scheduled Maintenance Testing
Years in Craft: yrs WORK GROUP SIZE Work Group Size:	Assigned Shift Overtime Duty Emergency Duty Other: Hours into Shift: hrs d d f f f f f f f f f f f f f f f f f	Adjacent to track / on ground     Office / Crew Facility     On / under / between Motive Power     On / under / between Motive Power     Other:     LIGHT / VISIBILITY Outdoors: ([Select Outdoors] \$ k Area Lighting: ([Select Area Lighting] \$ uced Visibility [feet     Scheduled Maintenance     Testing     Other:
Years in Craft: yrs WORK GROUP SIZE Work Group Size:	Assigned Shift Overtime Duty Emergency Duty Other: Hours into Shift: hurs d d te / Smoke nderstorm / Lightning Wor Redu ACTIVITY Reset Installation Operating Vehicle / Equipment Repair / Replace Yes No EQUIPMENT Reset d End #	Adjacent to track / on ground Office / Crew Facility On / under / between Motive Power On / under / between Rolling Equipment Station Platform Other: LIGHT / VISIBILITY Outdoors: (Select Outdoors) ; k Area Lighting: (Select Area Lighting) ; uced Visibility feet Scheduled Maintenance Testing Other: No Remote Control Yes No
Years in Craft: yrs WORK GROUP SIZE Work Group Size:	Assigned Shift Overtime Duty Emergency Duty Other: Hours into Shift: hurs d d te / Smoke nderstorm / Lightning Wor Redu ACTIVITY Reset Installation Operating Vehicle / Equipment Repair / Replace Yes No EQUIPMENT Reset d End #	Adjacent to track / on ground     Office / Crew Facility     On / under / between Notive Power     On / under / between Rolling Equipment     Station Platform     Other:     LIGHT / VISIBILITY Outdoors: ([Select Outdoors] \$ k Area Lighting: ([Select Area Lighting] \$ uced Visibility [feet     Scheduled Maintenance     Testing     Other:

assenger	# of Cars	# In Service	Ca	b Car Controlling	⊖Yes	⊖No
reight	Loads	Empties	Tons	Le	ngth	fee
tatus	Records complete Required / correct documents Maintenance deferred	O Yes on board O Yes O Yes		ed for Service for repair	⊖ Yes ⊖ Yes	⊙ No ⊙ No
/pe	OPassenger / Commuter	⊖ Freight	Involved Car	Kind:		
cation	Main Track Pass	enger Station stry	□ Repair Facility □ Other:			
perating Rule		Other:		Blue Signal Protection	⊖ Yes (	No
IF MORE	THAN ONE EQUIPMENT WAS INVO	LVED, PLEASE DESCR SITUATION" SE		PMENT IN THE "DE	SCRIBE EV	'ENT/
Geolog in min	d the topics shown below, discuss tho	DESCRIBE EVENT /		you think is importa	nt. Include v	hat you
(required) V	Who, What, Where, When, Wh		HUMAN PER	FORMANCE CONSIDER	ATIONS	
- How the probl - Contributing fa	lem arose - How it was disc	- Fi	erceptions, judgments, d actors affecting the qualit formance	ecisions	- Actions of	or inaction
NASA ARC 271 2013)	7G (September	C3RS MECHANIC	AL FORM			v1.6.
Reset F	orm		View Printable Fo	ormat	Submit R	eport

#### From the NASA Confidential Close Call Rail Reporting System:

NASA, through agreements with the Federal Railroad Administration, is managing, operating, and accepting reports for the Railroad Confidential Close Call Reporting System (C<sup>3</sup>RS). The C<sup>3</sup>RS is expected to identify issues in the railroad system that could be addressed to provide improvements in safety. Your assistance in informing us about such issues is essential to the success of the project. Please fill out this form as completely as possible. The paper form is pre-addressed and postage paid. The C<sup>3</sup>RS website at http://C3rs.arc.nasa.gov provides two options: download, complete form, print, enclose in a sealed envelope, affix proper postage, and mail directly to us OR submit your report through a secure, electronic submission (ERS) process.

The FRA has agreed through MOU's with rail carriers that the reports filed with NASA are prohibited from being used for FRA enforcement purposes. This report will not be made available to the FRA for disciplinary actions for violations. Your identity strip, date stamped by NASA, is proof that you have submitted a report to the C<sup>3</sup>RS. We can only return the ID strip to you if you have provided a mailing address. The information you provide on the identity strip will be used only by NASA to contact you for further information. We can often obtain additional useful information if our safety analysts can talk with you directly by telephone. For this reason, we have requested telephone numbers where we may reach you. THIS IDENTITY STRIP WILL BE RETURNED BY MAIL DIRECTLY TO YOU. The return of the identity strip assures your anonymity.

NOTE: TRAIN ACCIDENTS AND/OR CRIMINAL ACTS SHOULD NOT BE REPORTED ON THIS FORM. SUCH EVENTS SHOULD BE FILED THROUGH APPROPRIATE AUTHORITIES.

Thank you for your contribution to railroad safety.

Transportation Report Form	e.g. Conductor, Dispatcher, Engineer *
Mechanical Report Form	e.g. Carman, Laborer, Machinist, Pipe Fitter *
Engineering Report Form	e.g. Signal Maintainer, Track Inspector, Track Supervisor *

**ERS Resources** 

- ERS Frequently Asked Questions
- Online Security Tips
- Contact ERS

\*Refer to form for full list of selections

### 4 Steps to Report Electronically

- Review the ERS Frequently Asked Questions (FAQ). Browser settings are in the ERS FAQ and are important to the success of your report submission. Be sure your computer is secure and clear of vulnerabilities (see Online Security Tips).
- 2. Click on the button above for the appropriate electronic C<sup>3</sup>RS form.
- Fill out the electronic form on the computer. To print a copy for your records, you must print it BEFORE clicking Submit. Keep the copy in a secure location. The NASA C<sup>3</sup>RS team suggests that you do not save your completed report to a shared (e.g., company) computer.



### PLEASE READ THE FOLLOWING

NASA, through agreements with the Federal Railroad Administration, is managing, operating, and accepting reports for the Railroad Confidential Close Call Reporting System (C<sup>3</sup>RS). The C<sup>3</sup>RS is expected to identify issues in the railroad system that could be addressed to provide improvements in safety. Your assistance in informing us about such issues is essential to the success of the project. Please fill out this form as completely as possible.

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**NOTE:** Train Accidents and/or criminal acts should not be reported on this form. Such events should be filed through appropriate authorities.

**Paperwork Reduction Act Statement** - This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless we display a valid Office of Management and Budget control number. The OMB control number for this information collection is 2700-XXXX and it expires on mm/dd/yyyy. We estimate that it will take about 30 minutes to read the instructions, gather the facts, and answer the questions. You may send comments on our time estimate above to:P.O. Box 177 Moffett Field, CA 94035-0177. Send only comments relating to our time estimate to this address.

Thank you for your contribution to railroad safety!



### C3RS ENGINEERING FORM

TELEPHONE NUMBERS where	we may reach	ou for further details of this	00011779009	Facility
Primary Primary	Hours			
XXX-XXX-XXXX		OHO	M O W	Milepost State
Alternate	Hours	0 4 0	M o W	
				Nearest Station
NAME (required)				CARRIER / RAILROAD
				(required)
ADDRESS/PO BOX (required)				DATE OF OCCURRENCE (MM/DD/YYYY)
ADDRESS LINE 2				MM/DD/YYYY
				LOCAL TIME (24 HR. CLOCK) [HH:MM]
CITY (required)	STATE			HH:MM
PLEASE FILL IN A	APPROPRIATE :	SPACES AND CHECK ALL	ITEMS WHICH APP	PLY TO THIS EVENT OR SITUATION.
		REPORTER	Reset	
Buildings & Bridges	Carpente	r 🔂 Liner	man	🖂 Signalman
Maintenance of Way	CDL Veh	icle Operator Mach	hine Operator	Technician
Signal & Train Control	Crane O	perator 👘 Mech	hanic	Track Supervisor / Inspector
<ul> <li>Telecommunications</li> </ul>	Electricia	in 💦 Plum	nber	Trainee
	Foreman	🗔 Sign	al Inspector / Test	er 🗆 🗋 Other:
	Laborer	Signal N	Maintainer	
REPORTER EXPERIE	ENCE	SHIFT DURIN		REPORTER LOCATION Reset
	194004	At time of incident, we	re you on:	O Yard O Main Track
Railroad Years:	yrs			O Shop Other Track
		Assigned Shift		
Years in Craft:	yrs	Overtime Duty		<ul> <li>Adjacent to track / on ground</li> </ul>
WORK GROUP SI	75	Emergency Duty		Office / Crew Facility
WORK GROUP SI	26	Other:		On Track Equipment
				Station Platform
Work Group Size:		Hours into Shift	hrs	Other:
v	WEATHER	1		LIGHT / VISIBILITY
Clear Ice	Wind	Smake	0	Outdoors: (Select Outdoors) + Address/PC
Hail Snow		этоке arstorm / Lightning	Wed Area	Lighting: (Colort Area Lighting )
GIUW	1 mande	and a second second	work Area	Lighting: (Select Area Lighting) 🗘
				- 11-111
Other:			Reduced Vi	isibility feet

		EQUIPMEN	T Reset		
Туре	Crane Motor Vehicle	Off Track Equipmen		Hand Tools	
Location	☐ Yard ☐ Shop		Public Roadway Adjacent to track	Other:	
Operating Rules	O MOW Rules	O GCOR	NORAC	Other:	
Methods of Operation (check all that apply	☐ Yard limits	trol Dark Terr ol Automatic	itory (Non-ABS) c block signals c cab signals	Automatic train Camp Car Pro Roadway Worl Other:	tection ker Protection
IF MORE TH	TAN ONE EQUIPMENT V	WAS INVOLVED, PLEASE D SITUATIO	IN" SECTION.	L EQUIPMENT IN TH	- 'DESCRIBE EVENT /
believe really cau	he topics shown below, d sed the problem, and wh ho, What, Where, W	liscuss those which you feel at can be done to prevent a /hen, Why?	are relevant and anythin recurrence, or correct to	ng else you think is im he situation.	portant. Include what you
- How the probler - Contributing fac		s it was discovered ctive actions	- Perceptions, judgn - Factors affecting th performance	MAN PERFORMANCE CON nents, decisions ne quality of human	SIDERATIONS - Actions or inactions
NASA ARC 277H 2013)	(September	C3RS ENGI	NEERING FORM		v1.6.0
Reset Fo	rm		View Printa	ble Format	Submit Report

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