

I-Corps Hubs Reporting Requirements

Pursuant to 5 CFR 1320.5(b), an agency may not conduct or sponsor, and a person is not required to respond to an information collection unless it displays a valid OMB control number. The OMB control number for this collection is 3145-0258. Public reporting burden for this collection of information is estimated to average 300-400 hours per response, including the time for reviewing instructions.

Send comments regarding this burden estimate and any other aspect of this collection of information, including suggestions for reducing this burden, to: Suzanne H. Plimpton, Reports Clearance Officer, National Science Foundation, Policy Office, Division of Acquisition and Award Support, 2415 Eisenhower Ave., Alexandria, VA 22314; telephone (703) 292-7556; or send email to splimpto@nsf.gov

All information you provide in this form is protected under the *National Science Foundation Act of 1950* and the *Privacy Act of 1974*, specifically, under two systems of records: **NSF-12**, "Fellowships and Other Awards" and **NSF-50**, "Principal Investigator/Proposal File and Associated Records." Both systems include records maintained by NSF as a result of applications for financial support and subsequent evaluation of applicants and their proposals. **System 12** contains records on individuals applying or nominated for and/or receiving NSF support, either individually or through an academic institution, including fellowships or other awards to individuals of various types. **System 50** contains records on Individuals (known as principal investigators) who have requested and/or received research or other support from NSF, either independently or through an academic or other institution.

The primary purpose of collecting this information is to enable NSF to identify outcomes of projects funded under NSF awards for use in management evaluation and for reporting to the Administration and Congress, especially under the Government Performance and Results Act, 5 U.S.C. 306 and 39 U.S.C. 2801-2805. In addition, it also helps NSF to evaluate the progress and results of NSF-funded projects for program management, evaluation or reporting.

Data collected may be merged with other data files to carry out statistical studies. Disclosure may be made for this purpose to NSF contractors and collaborating researchers, and other Government agencies, and qualified research institutions and their staffs for the purpose of analyzing data, preparing scientific reports and articles and selecting samples for a limited number of carefully defined follow-up studies. NSF contractors are subject to the provisions of the Privacy Act. The results of such studies are statistical in nature and do not identify individuals.

As published in Federal Register Notice, [79 FR 76398](#), information collected under **System 12** maybe disclosed to: 1) Members of Congress in response to an inquiry from the congressional office made at the request of that individual, 2) Department of Justice or the Office of Management and Budget under the Freedom of Information Act and the Privacy Act, 3) NSF's legal representatives and other outside counsel, where the agency is a party in litigation or has an interest in litigation, 4) General Services Administration (GSA) and the National Archives and Records Administration (NARA) under the authority of 44 U.S.C. 2904 and 2906, 5) Appropriate agencies, entities, and persons in response to an actual or suspected compromise or breach of personally identifiable information, 6) Department of Justice or

other agencies in the event of a pending court or formal administrative proceeding, 7) Government agencies and other entities authorized to perform audits of the agency and its activities, 8) Appropriate Federal, state, or local law enforcement agencies in cases when NSF becomes aware of an indication of a violation or potential violation of civil or criminal law or regulation, and 9) News media and the public when a matter has become public knowledge and/or when the Office of Director determines that there exists a legitimate public interest in the disclosure of the information.

Per the Federal Cybersecurity Enhancement Act of 2015, your data are protected from cybersecurity risks through screening of the federal information systems that transmit your data. Information collected under this form will be kept confidential. Any information released publicly (such as statistical summaries) will be in a form that does not personally identify you or other respondents. Your response is voluntary and failure to provide some or all of the requested information will not in any way adversely affect you.

With the changes in the new solicitation (NSF 22-566), each Hub is now asked to report on the following information:

1. Results from evaluation surveys to track the entrepreneurial progress of program participants (the surveys have been cleared for collection under 3145-0267)
2. Results from a customer feedback survey gauging the level of satisfaction from program participants (the survey has been cleared under 3145-0267)
3. Structure of the Hub:
 - a. Name of the Member Institutions
 - b. Role in the Hub [Lead or Partner Institution]
 - c. Year they joined the Hub
4. Personnel working at the Lead and Partner institutions within the Hub:
 - a. Name of the Personnel [Last Name, First Name, Middle Initial]
 - b. Role [Director, Principal Investigator, Members of the Leadership Team]
 - c. Contact Information [Email Address]
5. Records of cohorts trained:
 - a. Date of the Cohort
 - b. Location of the Cohort [Institution Name]
 - c. Name(s) of the instructor(s) [Last Name, First Name, Middle Initial]
 - d. Professional Affiliation of the Instructor(s) [Institution/Agency/Organization]
 - e. Contact information of the Instructor(s) [Email Address(es)]

6. Records of participation:
 - a. Teams –
 - i. Team Name or other designation
 - ii. Cohort (participation) Date
 - b. Individual participants –
 - i. Team Name or other designation
 - ii. Name of the Participant (Last Name, First Name, Middle Initial)
 - iii. Contact Information (Email Address)
 - iv. Current Occupation (Faculty member, Student, Post-doc, Startup Management, or Other)
 - v. Professional Affiliation
 - vi. Role in the Team (Entrepreneurial Lead, Technical Lead, Mentor)
 - vii. Demographics
 1. Sex
 2. Race
 3. Disability Status
 4. Veteran Status
7. Outcomes of the team:
 - a. Advancement to the I-Corps National Program
 - i. Has the team applied or been accepted into the NSF National I-Corps Program? If accepted, the Team Number and the Name of the Cohort in the NSF I-Corps National Program
 - b. Startup Formation
 - i. Name of the startup companies founded by the Team (the data needs to be verified with the U.S. Security and Exchange Commission database)
 - c. Private Capital Funding

Subsequent private funding received by the teams after their participation of the I-Corps Program (data obtained from a third-party subscription database). Each transaction is dated, funding amount recorded, and funding type categorized by:

 - i. Venture capital,
 - ii. Angel or Individual Investor, or
 - iii. Private Industry, Corporate Funding, or Other
 - d. Public Funding

Subsequent public funding received by the teams after their participation of the I-Corps Program (data obtained from USASpending.gov). Each

transaction is dated, funding amount recorded, and funding type categorized by:

- i. SBIR/STTR Program (in any Federal agency),
 - ii. Other federal funding [non-SBIR/STTR], or
 - iii. State funding
- e. Exit Activities of startups linked to teams participating in the regional program:
- i. Mergers
 - ii. Acquisitions
 - iii. IPOs

The reporting requirements listed above are in addition to the data collected by the agency's annual, final, and outcomes report requirements for the grantees. The information collected will help NSF report on NIN activities in the Biennial Report to Congress and will provide managing Program Directors a means to monitor the progress of the I-Corps Hubs.