# Proposed Registration and Polling Questions

## University of Houston Event (August 27, 2022)

1. Email
2. Where are you located?

(City + State)

1. Gender
   * Male
   * Female
   * Nonbinary
2. Do you identify as any of the following?

(Select all that apply)

* + African-American/Black
  + Hispanic/Latino
  + Asian
  + Native American/Alaska Native
  + Native Hawaiian/Pacific Islander
  + White
  + LGBTQ+
  + Veteran

1. What is your professional focus within the capital formation ecosystem?

(Select all that apply)

* + Founder/Entrepreneur/Business Leader
  + Investor
  + Attorney/Accountant/Professional Advisor
  + Government
  + Community/Nonprofit Leader
  + Academia/Researcher
  + Advocacy/Trade Association
  + Other: \_\_\_\_\_

1. Do you identify as any of the following?

(Select all that apply)

* + Woman-owned business or its investor
  + Minority-owned business or its investor
  + Located in or investing in a rural community
  + Located in or investing in an area recently impacted by natural disasters

1. Which segment of the capital formation life cycle is your primary focus?
   * Small and emerging (e.g., Angel, Pre-Seed, Seed)
   * Mature and later-stage (e.g., Series A-C+)
   * Smaller public company (pre- or post-IPO)
2. What kind of educational or informational resources on capital raising would be most helpful?
   * Question & Answer Format
   * Glossary
   * Infographic
   * Brief Overviews
   * Videos
   * Other [with blank field to include additional input]
3. We want to hear from you! Please share questions or suggested topics of discussion for the panelists.

[open space for questions]

1. Have you raised capital using any of the following methods? [Select all that apply]:
   * Friends and Family
   * Investment Crowdfunding
   * Angel Investors
   * Venture Capital Investors
   * Regulation A Mini IPO
   * Public Offering
2. Which of the following do you believe are the biggest barriers to access capital?

(Rank the following from most to least burdensome: 1 for most burdensome, 6 for least burdensome)

* + Networks and connections to investors
  + Information, education, and knowledge
  + Laws, policies and regulations
  + Personal wealth or assets
  + Investor bias
  + Valuations or offering terms

1. Which of the following tools do you believe are most successful for sourcing investment opportunities?

(Rank from most to least successful:  1 for most successful, 6 for least successful)

* + Network referrals
  + Broker/dealers or other financial professionals
  + Social media
  + Accelerators/incubators
  + Demo days
  + Public press

1. Where do you expect to find most investment opportunities?
   * Within your local community
   * Within your broader geographic region
   * Across the country
   * Internationally
2. Which of the following do you believe are the greatest challenges facing emerging and regional fund managers?

(Rank from most to least challenging:  1 for most challenging, 4 for least challenging)

* + Lack of track record
  + Access to a network of investors
  + Significant personal financial risk for general partner
  + Regulatory barriers to entry

1. In your experience, do you find that emerging fund managers are more likely than other fund managers to invest in women- and minority-owned businesses?
   * Yes
   * No
2. Which of the following do you believe are the greatest challenges facing smaller public companies seeking to access capital?

(Rank from greatest to least: 1 for greatest, 5 for least)

* + Research coverage
  + Trading volume
  + Burden of reporting requirements
  + Cost of compliance
  + Short-termism

1. Which of the following do you believe is a top priority for smaller public companies when it comes to their investors and shareholders?

(Rank from most to least important: 1 for most important, 5 least important)

* + Attracting more institutional investors
  + Engaging with investors
  + Understanding the shareholder base
  + Meeting the demands of investors
  + Effectively telling the company’s story