# Proposed Registration and Polling Questions

## University of Houston Event (August 27, 2022)

1. Email
2. Where are you located?

(City + State)

1. Gender
	* Male
	* Female
	* Nonbinary
2. Do you identify as any of the following?

(Select all that apply)

* + African-American/Black
	+ Hispanic/Latino
	+ Asian
	+ Native American/Alaska Native
	+ Native Hawaiian/Pacific Islander
	+ White
	+ LGBTQ+
	+ Veteran
1. What is your professional focus within the capital formation ecosystem?

(Select all that apply)

* + Founder/Entrepreneur/Business Leader
	+ Investor
	+ Attorney/Accountant/Professional Advisor
	+ Government
	+ Community/Nonprofit Leader
	+ Academia/Researcher
	+ Advocacy/Trade Association
	+ Other: \_\_\_\_\_
1. Do you identify as any of the following?

(Select all that apply)

* + Woman-owned business or its investor
	+ Minority-owned business or its investor
	+ Located in or investing in a rural community
	+ Located in or investing in an area recently impacted by natural disasters
1. Which segment of the capital formation life cycle is your primary focus?
	* Small and emerging (e.g., Angel, Pre-Seed, Seed)
	* Mature and later-stage (e.g., Series A-C+)
	* Smaller public company (pre- or post-IPO)
2. What kind of educational or informational resources on capital raising would be most helpful?
	* Question & Answer Format
	* Glossary
	* Infographic
	* Brief Overviews
	* Videos
	* Other [with blank field to include additional input]
3. We want to hear from you! Please share questions or suggested topics of discussion for the panelists.

[open space for questions]

1. Have you raised capital using any of the following methods? [Select all that apply]:
	* Friends and Family
	* Investment Crowdfunding
	* Angel Investors
	* Venture Capital Investors
	* Regulation A Mini IPO
	* Public Offering
2. Which of the following do you believe are the biggest barriers to access capital?

(Rank the following from most to least burdensome: 1 for most burdensome, 6 for least burdensome)

* + Networks and connections to investors
	+ Information, education, and knowledge
	+ Laws, policies and regulations
	+ Personal wealth or assets
	+ Investor bias
	+ Valuations or offering terms
1. Which of the following tools do you believe are most successful for sourcing investment opportunities?

(Rank from most to least successful:  1 for most successful, 6 for least successful)

* + Network referrals
	+ Broker/dealers or other financial professionals
	+ Social media
	+ Accelerators/incubators
	+ Demo days
	+ Public press
1. Where do you expect to find most investment opportunities?
	* Within your local community
	* Within your broader geographic region
	* Across the country
	* Internationally
2. Which of the following do you believe are the greatest challenges facing emerging and regional fund managers?

(Rank from most to least challenging:  1 for most challenging, 4 for least challenging)

* + Lack of track record
	+ Access to a network of investors
	+ Significant personal financial risk for general partner
	+ Regulatory barriers to entry
1. In your experience, do you find that emerging fund managers are more likely than other fund managers to invest in women- and minority-owned businesses?
	* Yes
	* No
2. Which of the following do you believe are the greatest challenges facing smaller public companies seeking to access capital?

(Rank from greatest to least: 1 for greatest, 5 for least)

* + Research coverage
	+ Trading volume
	+ Burden of reporting requirements
	+ Cost of compliance
	+ Short-termism
1. Which of the following do you believe is a top priority for smaller public companies when it comes to their investors and shareholders?

(Rank from most to least important: 1 for most important, 5 least important)

* + Attracting more institutional investors
	+ Engaging with investors
	+ Understanding the shareholder base
	+ Meeting the demands of investors
	+ Effectively telling the company’s story