

# Proposed Registration and Polling Questions

## University of Houston Event (August 27, 2022)

1. Email
2. Where are you located?  
(City + State)
3. Gender
  - Male
  - Female
  - Nonbinary
4. Do you identify as any of the following?  
(Select all that apply)
  - African-American/Black
  - Hispanic/Latino
  - Asian
  - Native American/Alaska Native
  - Native Hawaiian/Pacific Islander
  - White
  - LGBTQ+
  - Veteran
5. What is your professional focus within the capital formation ecosystem?  
(Select all that apply)
  - Founder/Entrepreneur/Business Leader
  - Investor
  - Attorney/Accountant/Professional Advisor
  - Government
  - Community/Nonprofit Leader
  - Academia/Researcher
  - Advocacy/Trade Association
  - Other: \_\_\_\_\_
6. Do you identify as any of the following?  
(Select all that apply)
  - Woman-owned business or its investor
  - Minority-owned business or its investor
  - Located in or investing in a rural community
  - Located in or investing in an area recently impacted by natural disasters
7. Which segment of the capital formation life cycle is your primary focus?
  - Small and emerging (e.g., Angel, Pre-Seed, Seed)
  - Mature and later-stage (e.g., Series A-C+)
  - Smaller public company (pre- or post-IPO)
8. What kind of educational or informational resources on capital raising would be most helpful?

- o Question & Answer Format
  - o Glossary
  - o Infographic
  - o Brief Overviews
  - o Videos
  - o Other [with blank field to include additional input]
9. We want to hear from you! Please share questions or suggested topics of discussion for the panelists.  
[open space for questions]
10. Have you raised capital using any of the following methods? [Select all that apply]:
- o Friends and Family
  - o Investment Crowdfunding
  - o Angel Investors
  - o Venture Capital Investors
  - o Regulation A Mini IPO
  - o Public Offering
11. Which of the following do you believe are the biggest barriers to access capital?  
(Rank the following from most to least burdensome: 1 for most burdensome, 6 for least burdensome)
- o Networks and connections to investors
  - o Information, education, and knowledge
  - o Laws, policies and regulations
  - o Personal wealth or assets
  - o Investor bias
  - o Valuations or offering terms
12. Which of the following tools do you believe are most successful for sourcing investment opportunities?  
(Rank from most to least successful: 1 for most successful, 6 for least successful)
- o Network referrals
  - o Broker/dealers or other financial professionals
  - o Social media
  - o Accelerators/incubators
  - o Demo days
  - o Public press
13. Where do you expect to find most investment opportunities?
- o Within your local community
  - o Within your broader geographic region
  - o Across the country
  - o Internationally
14. Which of the following do you believe are the greatest challenges facing emerging and regional fund managers?  
(Rank from most to least challenging: 1 for most challenging, 4 for least challenging)

- Lack of track record
  - Access to a network of investors
  - Significant personal financial risk for general partner
  - Regulatory barriers to entry
15. In your experience, do you find that emerging fund managers are more likely than other fund managers to invest in women- and minority-owned businesses?
- Yes
  - No
16. Which of the following do you believe are the greatest challenges facing smaller public companies seeking to access capital?  
(Rank from greatest to least: 1 for greatest, 5 for least)
- Research coverage
  - Trading volume
  - Burden of reporting requirements
  - Cost of compliance
  - Short-termism
17. Which of the following do you believe is a top priority for smaller public companies when it comes to their investors and shareholders?  
(Rank from most to least important: 1 for most important, 5 least important)
- Attracting more institutional investors
  - Engaging with investors
  - Understanding the shareholder base
  - Meeting the demands of investors
  - Effectively telling the company's story