

Block Grant Reporting Section

CFDA 93.958
(Mental Health)

U.S. Department of Health and Human Services
Substance Abuse and Mental Health Services Administration

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States and jurisdictions are required to complete the reporting document. The reporting document is comprised of the following sections:

Section A. Introduction

Title XIX, Part B, Subpart III of the Public Health Service Act (42 U.S.C. 300x-52(a)), requires the Secretary of the Department of Health and Human Services, acting through the Assistant Secretary of the Substance Abuse and Mental Health Services Administration (SAMHSA), to determine the extent to which states and jurisdictions have implemented the state plan for the prior fiscal year. The purpose of the annual report is to provide information to assist the secretary in making this determination.

States and jurisdictions are required to prepare and submit their reports for the last completed state fiscal year (SFY), in the format provided in this guidance. The report will address the purposes for which the MHBG were expended, the recipients of grant funds, and the authorized activities conducted, and services purchased with such funds. Particular attention should be given to the progress made toward accomplishing the goals and performance indicators identified in the states and jurisdictions' plans.

All states and jurisdictions are required to prepare and submit their respective reports utilizing SAMHSA's Web Block Grant Application System (BGAS). This report must be received by SAMHSA no later than December 1, in order for the state or jurisdiction to receive its next grant. If the due date falls on a weekend or federal holiday, the report will be due on the next business day. The following schedule includes specific due dates for annual reports:

Due Dates for MH and Combined BG Components				
FY the state is applying for funds	Application Due	Plan Due	Planning Period	MHBG Report Due
2020	9/2/2019	Yes	7/1/19 – 6/30/21	12/1/2019 Report year is Last Completed SFY
2021	9/1/2020	No	Updates only	12/1/2020 Report year is Last Completed SFY

Section B: Implementation Report - In this section, states, and jurisdictions are required to provide a brief review of the extent to which their respective plans were implemented, the progress towards the priorities and goals identified in the Block Grant plan, covering the last completed fiscal year. The report should also include a brief review of areas the state or jurisdiction identified in that Block Grant Plan, as needing improvement and changes the state or jurisdiction would propose to achieve the goals established for the priorities.

Section C: State Agency Expenditure Reports - In this section, states and jurisdictions should provide information regarding expenditures for authorized activities and services for mental health.

Section D: Populations and Services Report - In this section states and jurisdictions must provide specific information regarding the number of individuals served that are the focus of the state's Mental Health Block Grant plan. States should report all mental health clients whose care is funded by MHBG, State funds, and any other funds the SMHA considers part of their behavioral health system. In addition, states and jurisdictions should provide specific information regarding the services, these individuals received.

Section E: Performance Indicators and Accomplishments - In this section of the report, states and jurisdictions are required to complete the Performance Indicator tables. Performance indicators should be reported using the table format provided in this document. The purpose of the performance indicator tables is to show progress made over time as measured by SAMHSA's National Outcome Measures (NOMS) for mental health services including any state- or jurisdiction-selected performance indicators.

B. Implementation Report

The information states and jurisdictions entered into the performance indicator tables (Plan Table 1) in the planning section of the 2020/2021 Behavioral Health Assessment and Plan will automatically populate cells 1 – 6 in the progress report tables below. States and jurisdictions are required to indicate whether each year performance target/outcome measurement identified in the 2020/2021 Plan was “Achieved” or “Not Achieved” in Cell 7, Report of Progress toward goal attainment. If a target was not achieved, a detailed explanation must be provided and remedial steps proposed to meet the target.

MHBG Table 1 - *Priority Area and Annual Performance Indicators – Progress Report*

Priority Areas and Annual Performance Indicators	
1. Priority Area:	2. Priority Type (SAP, SAT, MHS):
3. Population(s) (SMI, SED, ESMI, PWWDC, IVDUs, HIV EIS, TB, OTHER):	
4. Goal of the priority area:	
5. Objective:	
6. Strategies to attain the objective:	
7. Annual Performance Indicators/objectives to measure goal success:	
Indicator #1:	
a) Baseline measurement (Initial data collected prior to the first-year target/outcome):	
b) First-year target/outcome measurement (Progress – end of SFY 2018):	
c) Second-year target/outcome measurement (Final – end of SFY 2019):	
d) Data source:	
e) Description of data:	
f) Data issues/caveats that affect outcome measures:	
8. Report of Progress toward Goal Attainment:	
First-year Target: ___ Achieved ___ Not Achieved (If not achieved, explain why.) _____	

Reason why target was not achieved, and changes proposed to meet target:

C. State Agency Expenditure Reports

States and jurisdictions should provide information regarding MHBG, Medicaid , other federal funding sources, state, local and other funds expended for authorized activities to treat mental illness during the last completed SFY. Please complete the tables described below.

Please Note: Data for all tables contained in the Uniform Reporting System (URS) will continue to be submitted into the URS database maintained by the URS Contractor. Please complete the tables described below.

MHBG Table 2a (URS Table 7) - *State Agency Expenditure Report*. MHBG Table 2 provides information on Mental Health Expenditures and Sources of Funding. This includes funding from Medicaid, the MHBG and other federal funding sources, state, local and other funds.

MHBG Table 2b - ***State Agency Early Serious Mental Illness (ESMI) Expenditures Report***: MHBG Table 2b provides information on Mental Health Expenditures and Sources of Funding specifically for the First Episode Psychosis (FEP) Programs as well as other Early Serious Mental Illness (ESMI) programs through the MHBG 10% set-aside. This includes funding from Medicaid, the MHBG and other federal funding sources, state, local and other funds. The inclusion of local funds is important but is optional.

MHBG Table 3 - *Set-aside for Children's Mental Health Service*: This table provides a report of statewide expenditures for children's mental health services during the last completed SFY. States are required to provide systems of integrated services for children with SED.

Each year the State shall expend not less than the amount expended in FY 1994. If there is a shortfall in funding available for children's mental health services, the state may request a waiver. A waiver may be granted if the Secretary determines that the State is providing an adequate level of comprehensive community mental health services for children with SED, as indicated by comparing the number of children in need of such services with the services actually available within the State. The Secretary shall approve or deny the request for a waiver no later than 120 days after the request has been made. A waiver granted by the Secretary shall be applicable only for the fiscal year in question.

MHBG Table 4 (URS Table 8) - *Profile of Mental Health Block Grant Expenditures for Non-Direct Service Activities*. MHBG Table 4 is used to report expenditures of MHBG funds for non-direct service activities that are funded or conducted by the State Mental Health Authority during the last completed SFY.

MHBG Table 5 (URS Table 10) - *Profiles of Agencies Receiving Block Grant Funds Directly from the state MHA*. This table, reports payments to recipients of MHBG funds including intermediaries, (e.g., administrative service organizations, and other organizations), which provided mental health services during the last completed SFY, including services for those with a first episode psychosis (FEP) and early serious mental illness (ESMI) programs.

MHBG Table 6 - *Maintenance of Effort for Statewide Expenditures for Mental Health Service*: This table reports expenditures of all statewide, non-Federal expenditures for authorized activities to treat mental illness during the last completed SFY.

8. Other 24-Hour (residential Care)		\$	\$	\$	\$	\$	\$		
9. Ambulatory/Community Non-24-Hour Care		\$	\$	\$	\$	\$	\$		
10. Crisis Services (5% set-aside) ^e									
11. Administration (excluding program/ provider level)		\$	\$	\$	\$	\$	\$		
12. Total		\$	\$	\$	\$	\$	\$		
Comments on Data:									

^aThe 24-month expenditure period for the COVID-19 Relief supplemental funding is March 15, 2021 – March 14, 2023, which is different from the expenditure period for the “standard” MHBG. Per the instructions, the standard MHBG expenditures captured in Columns A – G are for the state planned expenditure period of July 1, 2020 – June 30, 2021, for most states.

^bThe expenditure period for The American Rescue Plan Act of 2021 (ARP) supplemental funding is September 1, 2021 – September 1, 2025, which is different from the expenditure period for the “standard” MHBG. Per the instructions, the standard MHBG expenditures captured in Columns A – G are for the state planned expenditure period of July 1, 2020 – June 30, 2021, for most states.”

^cStates may only use MHBG funds to provide primary prevention services to the priority populations of adults with serious mental illness and children with severe emotional disturbance.

^dColumn 3B is for expenditures related to ESMI including Frist Episode Psychosis programs funded through MHBG set-aside. These funds are not to be also counted in #8 Ambulatory/Community Non-24-Hour Care.

^eRow 10 should include Crisis Services programs funded through different funding sources, including the MHBG set aside. States may expend more than 5 percent of their MHBG allocation.



MHBG Table 2b - MHBG State Agency First Episode Psychosis Expenditure Report

MHBG State Agency Early Serious Mental Illness and First Episode Psychosis Expenditures Report						
MHBG Table 2b						
Report Period From:			To:			
State Identifier:						
Source of Funds						
Activity (See instructions for using Row 1	A. Mental Health Block Grant	B. Medicaid (Federal, State, and local)	C. Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare), SAMHSA, etc.)	D. State Funds	E. Local funds (excluding local Medicaid)	F. Other
1. CSC-Evidence-Based Practices for First Episode Psychosis*	\$	\$	\$	\$	\$	\$
2. Training for CSC Practices						
3. Planning for CSC Practices						
4. Other Early Serious Mental Illnesses programs (other than FEP or partial CSC programs)						
3.. Training for ESMI	\$	\$	\$	\$	\$	\$
4.. Planning for ESMI						
Total	\$	\$	\$	\$	\$	\$
Comments on Data:						

*When reporting CSC- Evidence Based Practices for First Episode Psychosis, report only those programs that are providing all the components of a CSC model. If the state uses only certain components of a CSC model specifically for FEP, please report them in row 2. Note, The Totals for this table should equal the amounts reported on Row 3 (Evidence-Based Practices for Early Serious Mental Illness) on MHBG Table 2a (URS Table 7a)

MHBG Table 3 *Set-Aside for Children's Mental Health Services*

States and jurisdictions are required not to spend less than the amount expended in FY 1994.

Statewide Expenditures for Children's Mental Health Services	
MHBG Table 3:	
State Identifier:	
Report Period: From:	To:
Actual SFY 1994	Actual SFY 2019

MHBG Table 4 (URS Table 8) *Profile of Community Mental Health Block Grant Expenditures for Non-Direct Service Activities*

This table is used to describe the use of MHBG funds for non-direct service activities that are sponsored, or conducted, by the State Mental Health Authority.

Please enter the total amount of the block grant expended for each activity.

Non-Direct-Services/System Development			
MHBG Table 4			
State Identifier:			
Report Period- From:		To:	
Activity	A. MHBG	B. COVID Funds ^a	C. ARPA ^b
1. Information Systems	\$		
2. Infrastructure Support	\$		
3. Partnerships, community outreach, and needs assessment	\$		
4. Planning Council Activities (MHBG required, SABG optional)	\$		
5. Quality assurance and improvement	\$		
6. Research and Evaluation	\$		
7. Training and Education	\$		
8. Total	\$		
Comments on Data			

^aThe 24-month expenditure period for the COVID-19 Relief supplemental funding is March 15, 2021 – March 14, 2023, which is different from the expenditure period for the “standard” MHBG. Per the instructions, the standard MHBG expenditures captured in Columns A – G are for the state planned expenditure period of July 1, 2020 – June 30, 2021, for most states.

^bThe expenditure period for The American Rescue Plan Act of 2021 (ARP) supplemental funding is **September 1, 2021 - September 1, 2025**, which is different from the expenditure period for the “standard” MHBG. Per the instructions, the standard MHBG expenditures captured in Columns A - G are for the state planned expenditure period of July 1, 2020 - June 30, 2021, for most states.”

MHBG Table 6 *Maintenance of Effort for State Expenditures on Mental Health Services*

Maintenance of Effort for State Expenditures on Mental Health Services		
MHBG Table 6:		
State Identifier:		
Report Period: From:		To:
Total Expenditures for State:		
Period	Expenditures	<u>B1 (2018) + B2 (2019)</u> 2
A	B	C
SFY 2018 (1)		
SFY 2019 (2)		
SFY 2020 (3)		

Are the expenditure amounts reported in Column B “actual” expenditures for the fiscal years involved?

	Yes	No
SFY 2018		
SFY 2019		
SFY2020		

If any estimated expenditures are provided, please indicate when “actual” expenditure data will be submitted to SAMHSA: ___/___/___
mm/dd/yyyy

States are required to submit sufficient information for the Secretary to make a determination of compliance with the statutory maintenance of effort (MOE) requirements.¹ MOE information is necessary to document that the State has maintained expenditures for community mental health services at a level that is not less than the average level of such expenditures maintained by the State for the 2-year period preceding the fiscal year for which the State is applying for the grant. The State shall only include community mental health services expenditures for individuals that meet the federal or state definition of SMI adults and SED children. States that received approval to exclude funds from the maintenance of effort calculation should include the appropriate MOE approval documents.

A. MOE Exclusion

The Secretary may exclude from the aggregate amount any State funds appropriated to the principal agency for authorized activities of a non-recurring nature and for a specific purpose.²

A request for MOE exclusion should meet the following requirements:

The State shall request the exclusion separately from the application.

The request shall be signed by the State's Chief Executive Officer or by an individual authorized to apply for CMHS Block Grant on behalf of the Chief Executive Officer.

The State shall provide documentation that supports its position that the funds were appropriated by the State legislature for authorized activities which are of a non-recurring nature and for a specific purpose; indicates the length of time the project is expected to last in years and months; and affirms that these expenditures would be in addition to funds needed to otherwise meet the State's maintenance of effort requirement for the year for which it is applying for exclusion.

The State may not exclude funds from the MOE calculation until such time as the SAMHSA Administrator has approved in writing the State's request for exclusion.

B. MOE Shortfalls

¹Section 1915(b)(1) of the PHS Act (42 USC 300x-4).

²Section 1915(b)(2) of the PHS Act (42 USC 300x-4).

States are expected to meet the MOE requirement. If a state cannot meet the MOE requirement, the legislation permits relief, based on the recognition that extenuating circumstances may explain the shortfall. These conditions are described below.

1. Waiver for Extraordinary Economic Conditions

A state may request a waiver to the MOE requirement if it can be demonstrated that the MOE deficiency was the result of extraordinary economic conditions that occurred during the State Fiscal Year in question. An extraordinary economic condition is defined as a financial crisis in which the total tax revenues declined at least one and one-half percent, and either the unemployment increases by at least one percentage point, or employment declines by at least one and one-half percent.

2. Material Compliance

If the state is unable to meet the requirements for a waiver under extraordinary economic conditions, the authorizing legislation does permit the Secretary, under certain circumstances, to make a finding that even though there was a shortfall on the MOE, the State maintained material compliance with the MOE requirement for the fiscal year in question. Therefore, the State is given an opportunity to submit information that might lead to a finding of material compliance. The relevant factors that SAMHSA considers in making a recommendation to the Secretary include: (1) whether the State maintained service levels; (2) the State's mental health expenditure history; and (3) the State's future commitment to funding mental health services.

D. Populations and Services Report

States and jurisdictions are required to provide information regarding individuals that are served by the state mental health authority using MHBG Tables 7 through 14.

MHBG Table 7 (URS Table 1) *Profile of the State Population by Diagnosis*: MHBG Table 7 provides the number of Adults with serious mental illness (SMI) and Children with serious emotional disturbance (SED) in the reporting year and in three years forward. Data for this table is prepared for the States by SAMHSA.

MHBG Tables 8A and 8B (URS Tables 2A and 2B) *Profile of Persons Served, All Programs by Age, Gender and Race/Ethnicity*: MHBG Tables 8A and 8B provide an aggregate profile of unduplicated persons in the reporting year. The reporting year should be the latest SFY for which data are available. This profile is based on a client receiving services in programs provided or funded by the state mental health agency. The client profile takes into account all institutional and community services for all such programs. States and jurisdictions are to provide this information on all programs by age, gender, and race/ethnicity.

MHBG Table 9 (URS Table 3) *Profile of Persons Served in the Community Mental Health Settings, State Psychiatric Hospitals, and Other Settings*: MHBG Table 9 provides an aggregate profile of unduplicated persons in the reporting year for services provided or funded through the MHBG. The reporting year should be the latest SFY for which data are available. The client profile takes into account all institutional and community services for all such programs. States and jurisdictions are to provide this information on all programs by age, gender, and race/ethnicity.

MHBG Table 10A and 10bB (URS Tables 5A and 5B) *Profile of Clients by Type of Funding Support* MHBG Tables 10A and 10B provide the number of female and male clients by race and ethnicity that have Medicaid Only, Non-Medicaid Sources, Both Medicaid and Non-Medicaid, and Status Not Available.

MHBG Table 11 (URS Table 6) *Profile of Client Turnover* MHBG Table 11 requests information regarding the profile of client turnover in various out-of-home settings (e.g. state hospitals, inpatient psychiatric hospitals, residential treatment centers). Information collected by this table requests admissions, discharges, and length of stay.

MHBG Table 12 (URS Table 12) *State Mental Health Agency Profile* MHBG Table 12 provides the Populations covered in state hospitals and community program in age categories 0-3, 4-17 and 18 and above.

MHBG Tables 13A and 13 B (URS Tables 14A and 14B) *Profile of Persons with SMI/SED Served By Age, Gender and Race/Ethnicity*
MHBG Tables 13A, and 13B request counts for persons with SMI or SED using the definitions provided by the CMHS. MHBG Tables 13A and 13B included individuals receiving services in programs provided or funded by the state mental health agency. These tables count only clients who meet the CMHS definition of SMI or SED. States and jurisdictions should report using the Federal Definitions of SMI and SED if they can report them, if not, please report using the state's definitions of SMI and SED and provide information in these tables describing your state's definition.

MHBG Table 14 (URS Table 15A) *Profile of Persons Served in the Community Mental Health Settings, State Psychiatric Hospitals, and Other Settings for Adults with SMI and Children with SED* This table provides a profile for Adults with Serious Mental Illnesses (SMI) and Children With Serious Emotional Disturbances (SED) that received public funded mental health services in community mental health settings, in state psychiatric hospitals, in other psychiatric inpatient programs, and in residential treatment centers for children.

MHBG Table 7 (URS Table 1). Profile of the State Population by Diagnosis

This table summarizes the estimates of adults residing within the state with serious mental illness (SMI) and children residing within the state with serious emotional disturbances (SED). The table calls for estimates for two-time periods, one for the report year and one for three years into the future. CMHS will provide this data to states based on the standardized methodology developed and published in the Federal Register and the state level estimates for both adults with SMI and children with SED.

MHBG Table 7

Report Period: From: _____ To: _____

State Identifier: _____

	Current Report Year	Three Years Forward
Adults with Serious Mental Illness (SMI)		
Children with Serious Emotional Disturbances (SED)		
Note: CMHS will complete this table for the states.		

MHBG Table 8A (URS Table 2A). Profile of Persons Served, All Programs by Age, Gender, Race/Ethnicity

This table provides an aggregate profile of persons in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client receiving services in programs provided or funded by the state mental health agency. The client profile takes into account all institutional and community services for all such programs. Please provide unduplicated counts if possible.

MHBG Table 8A

Report Period: From: _____ To: _____

State Identifier: _____

	Total				American Indian or Alaska Native			Asian			Black or African American		
	Female	Male	Not Available	Total	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available
0-12 years													
13-17 years													
18-20 years													
21-24 years													
25-44 years													
45-64 years													
65-74 years													
75 and older													
Age not Available													
Total													
Pregnant Women													
Are these numbers unduplicated?													
Comments on Data (for Age):													
Comments on Data (for Gender):													
Comments on Data (for Race/Ethnicity):													
Comments on Data (Overall):													

MHBG Table 8B (URS Table 2B). Profile of Persons Served, All Programs by Age, Gender and Race/Ethnicity

*Of the total persons served, please indicate the age, gender and the number of persons who are Hispanic/Latino or not Hispanic/Latino.
Total persons served would be the total as indicated in MHBG Table 8a.*

Please report the data under the categories listed - "Total" are calculated automatically

MHBG Table 8B													
Report Year: From:		To:											
State Identifier:													
	Not Hispanic or Latino			Hispanic or Latino			Hispanic or Latino Origin Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
0-12 years													
13-17 years													
18-20 years													
21-24 years													
25-44 years													
45-64 years													
65-74 years													
75 and older													
Age not available													
Total													
Pregnant Women													
Comments on Data (for Age):													
Comments on Data (for Gender):													
Comments on Data (for Ethnicity):													
Comments on Data (Overall):													

Justice System												
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MHBG Table 9 (cont.)							
Report Year: From:				To:			
State Identifier:							
Table 9 Service Setting	Age Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Total
Community Mental Health Programs							
State Psychiatric Hospitals							
Other Psychiatric Inpatient							
Residential Treatment Centers							
Institutions in the Justice System							
Comments on Data (for Age):							
Comments on Data (for Gender):							
Comment on Data (Overall):							

MHBG Table 10A. Profile of Clients by Type of Funding Support (URS Table 5A)

This table provides a summary of clients by Medicaid coverage. Since the focus of the reporting is on clients of the public mental health service delivery system, this table focuses on the clientele serviced by public programs that are funded or operated by the State Mental Health Authority. Persons are to be counted in the Medicaid row if they received a service reimbursable through Medicaid.

Please note that the same person may be served in both Medicaid and Non-Medicaid programs during the same reporting period.

MHBG Table 10A										
Report Period From:					To:					
State Identifier:										
	Total				Total	American Indian or Alaska Native			Female	Male
	Female	Male	Not Available			Female	Male	Not Available		
Medicaid (only Medicaid)										
Non-Medicaid Sources (only)										
People Served by Both Medicaid and Non-Medicaid Sources										
Medicaid Status Not Available										
Total Served										

Data Based on Medicaid

People Served by Both includes people with any Medicaid

Services

Data Based on Medicaid Eligibility, not Medicaid
Paid Services

Comments on Data (for Race):
Comments on Data (for Gender):
Comments on Data (Overall):

Each row should have a unique (unduplicated) count of clients: (1) Medicaid Only, (2) Non-Medicaid Only, (3) Both Medicaid and Other Sources funded their treatment, and (4) Medicaid Status Not Available.

If a state is unable to un-duplicate between people whose care is paid for by Medicaid only or Medicaid and other funds, then all data should be reported into the 'People Served by Both Medicaid and Non-Medicaid Sources' and the 'People Served by Both includes people with any Medicaid' check box should be checked.

(Continued on next page)

MHBG Table 10B. (URS Table 5B) Profile of Clients by Type of Funding Support

Of the total persons covered by Medicaid, please indicate the gender and number of persons who are Hispanic/Latino or not Hispanic/Latino. Total persons covered by Medicaid would be the total indicated in MHBG Table 10A.

Please note that the same person may be served in both Medicaid and Non-Medicaid programs during the same reporting period.

MHBG Table 10B.													
Report Period- From	To:												
State Identifier:													
	Not Hispanic or Latino			Hispanic or Latino			Hispanic or Latino Origin Unknown			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
Medicaid Only													
Non-Medicaid Only													
People Served by Both Medicaid and Non-Medicaid Sources													
Medicaid Status Unknown													
Total Served													
Comments on Data (for Ethnicity):													
Comments on Data (for Gender):													
Comments on Data (Overall):													

Each row should have a unique (unduplicated) count of clients: (1) Medicaid Only, (2) Non-Medicaid Only, (3) Both Medicaid and Other Sources funded their treatment, and (4) Medicaid Status Unknown.

MHBG Table 11 (URS Table 6): Profile of Client Turnover

MHBG Table 11							
Report Year: From:					To:		
State Identifier:							
Profile of Service Utilization	Total Served at Beginning of Year (unduplicated)	Admissions During the year (duplicated)	Discharges During the year (duplicated)	Length of Stay (in Days): Discharged Patients		For Clients in Facility for Less Length of Stay (in Days): Resid year	
				Average (Mean)	Median	Average (Mean)	
State Hospitals							
Children (0 to 17 years)							
Adults (18 years and over)							
Age Not Available							
Other Psychiatric Inpatient							
Children (0 to 17 years)							
Adults (18 years and over)							
Age Not Available							
Residential Tx Centers							
Children (0 to 17 years)							
Adults (18 years and over)							
Age Not Available							
Community Programs							
Children (0 to 17 years)							
Adults (18 years and over)							

Age Not Available			
Comments on Data (State Hospital):			
Comments on Data (Other Inpatient):			
Comments on Data (Residential Treatment):			
Comments on Data (Community Programs):			
Comments on Data (Overall):			

MHBG Table 12 (URS Table 12): State Mental Health Agency Profile

The purpose of this profile is to obtain information that provides a context for the data provided in the tables. This profile covers the populations served, services for which the state mental health agency is responsible, data reporting capacities, especially related to duplication of numbers served as well as certain summary administrative information.

MHBG Table 12	
Report Year: From:	To:
State Identifier:	

Populations Served

1 Which of the following populations receive services operated or funded by the state mental health agency? Please indicate if they are included in the data provided in the tables (Check all that apply.)				
	Populations Covered		Included in Data	
	State Hospitals	Community Programs	State Hospitals	Community Programs
1. Aged 0 to 3	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	
2. Aged 4 to 17	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	
3. Adults Aged 18 and over	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	
4. Forensics	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	
Comments on Data:				

2	Do all of the adults and children served through the state mental health agency meet the Federal definitions of serious mental illness and serious emotional disturbances?
----------	---

- Serious Mental Illness
- Serious Emotional Disturbance

2.a.	If no, please indicate the percentage of persons served for the reporting period who met the federal definitions of serious mental illness and serious emotional disturbance?
2.a.1	Percent of adults meeting Federal definition of SMI:
2.a.2	Percentage of children/adolescents meeting Federal definition of SED
3 Co-Occurring Mental Health and Substance Abuse:	
3.a.	What percentage of persons served by the SMHA for the reporting period have a dual diagnosis of mental illness and substance abuse?
3.a.1	Percentage of adults served by the SMHA who also have a diagnosis of substance abuse problem:
3.a.2	Percentage of children/adolescents served by the SMHA who also have a diagnosis of substance abuse problem:
3.b.	Percentage of persons served for the reporting period who met the Federal definitions of adults with SMI and children with SED have a dual diagnosis of mental illness and substance abuse.
3.b.1	Percentage of adults meeting Federal definition of SMI who also have a diagnosis of substance abuse problem:
3.b.2	Percentage of children/adolescents meeting the Federal definition of SED who also have a diagnosis of substance abuse problem:
3b.3	Please describe how you calculate and count the number of persons with co-occurring disorders

4 State Mental Health Agency Responsibilities			
a. Medicaid: Does the State Mental Health Agency have any of the following responsibilities for mental health services provided through Medicaid? (Check All that Apply)			
1. State Medicaid Operating Agency		<input type="checkbox"/> Yes	
2. Setting Standards		<input type="checkbox"/> Yes	
3. Quality Improvement/Program Compliance		<input type="checkbox"/> Yes	
4. Resolving Consumer Complaints		<input type="checkbox"/> Yes	
5. Licensing		<input type="checkbox"/> Yes	
6. Sanctions		<input type="checkbox"/> Yes	
7. Other			
b. Managed Care (Mental Health Managed Care)			Are Data for these programs reported on URS Tables?
4.b.1	Does the state have a Medicaid Managed Care initiative?	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
4.b.2	Does the State Mental Health Agency have any responsibilities for mental health services provided through Medicaid Managed Care?	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
<i>If yes, please check the responsibilities the SMHA has:</i>			
4.b.3	Direct contractual responsibility and oversight of the MCOs or BHOs	<input type="checkbox"/> Yes	
4.b.4	Setting Standards for mental health services	<input type="checkbox"/> Yes	
4.b.5	Coordination with state health and Medicaid agencies	<input type="checkbox"/> Yes	
4.b.6	Resolving mental health consumer complaints	<input type="checkbox"/> Yes	
4.b.7	Input in contract development	<input type="checkbox"/> Yes	
4.b.8	Performance monitoring	<input type="checkbox"/> Yes	
4.b.9	Other		

5	Data Reporting: Please describe the extent to which your information systems allows the generation of unduplicated client counts between different parts of your mental health system. Please respond in particular for Table MHBG13a and MHBG 13b, which require unduplicated counts of clients served across your entire mental health system.	
<i>Are the data reporting in the tables?</i>		
5.a.	Unduplicated: counted once even if they were served in both State hospitals and community programs and if they were served in community mental health agencies responsible for different geographic or programmatic areas.	<input type="checkbox"/>
5.b.	Duplicated: across state hospital and community programs	<input type="checkbox"/>
5.c.	Duplicated: within community programs	<input type="checkbox"/>
5.d.	Duplicated: Between Child and Adult Agencies	<input type="checkbox"/>
5.e.	Plans for Unduplication: If you are not currently able to provide unduplicated client counts across all parts of your mental health system, please describe your plans to get unduplicated client counts by the end of your Data Infrastructure Grant.	
6 Summary Administrative Data		
6.a.	Report Year	
6.b.	State Identifier	
<i>Summary Information on Data Submitted by SMHA:</i>		
6.c.	Year being reported: From:	To
6.d.	Person Responsible for Submission	
6.e.	Contact Phone Number:	
6.f.	Contact Address	
6.g.	E-mail:	



MHBG Table 13A (URS Table 14A). Profile of Persons with SMI/SED served by Age, Gender and Race/Ethnicity

This table requests counts for persons with SMI or SED using the definitions provided by SAMHSA. MHBG Table 8A and 8B (URS Table 2A and 2B) included all clients served by publicly operated or funded programs. This table counts only clients who meet the federal definition of SMI or SED. For many states, this table may be the same as MHBG Tables 8 A and 8B (URS Table 2A and 2B). States should report using the Federal Definitions of SMI and SED if they can report them, if not, please report using your state’s definitions of SMI and SED and provide information below describing your state’s definition.

Please report the data under the categories listed - "Total" is calculated automatically.

MHBG Table 13A																
Report Year: From:										To:						
State Identifier:																
	Total				American Indian or Alaska Native			Asian			Black or African American			Native Hawaiian or Other Pacific Islander		
	Female	Male	Not Available	Total	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available
0-12 Years																
13-17 years																
18-20 years																
21-24 years																
25-44 years																
45-64 years																
65-74 years																
75 and older																
Age Not Available																
Total																
Comments on Data (for Age):																
Comments on Data (for Gender):																
Comments on Data (for Race/Ethnicity):																

Comments on Data (Overall):

(Continued on next page)

(

MHBG Table 13A.												
Report Year:												
State Identifier:												
	White						More Than One Race Reported			Race Not Available		
	Female	Male	Not Available				Female	Male	Not Available	Female	Male	Not Available
0-12 Years												
13-17 years												
18-20 years												
21-24 years												
25-44 years												
45-64 years												
65-74 years												
75 and older												
Age Not Available												
Total												
1. State Definitions Match the Federal Definitions:												
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Adults with SMI, if No describe or attach state definition:									
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Diagnoses included in state SMI definition:									
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Children with SED, if No describe or attach state definition:									
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Diagnoses included in State SED definition:									

MHBG Table13B (URS Table 14B). Profile of Persons with SMI/SED served by Age, Gender and Ethnicity

Of the total persons served, please indicate the age, gender and the number of persons who meet the Federal definition of SMI and SED and who are Hispanic/Latino or not Hispanic/Latino. The total persons served who meet the Federal definition of SMI or SED should be the total as indicated in MHBG Table 13b.

Please report the data under the categories listed - "Total" is calculated automatically.

MHBG Table 13B													
Report Year: From:							To:						
State Identifier:													
	Not Hispanic or Latino			Hispanic or Latino			Hispanic or Latino Origin Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
0 - 12 Years													
13 - 17 years													
18 - 20 years													
21-24 years													
25-44 years													
45-64 years													
65-74 years													
75and older													
Age Not Available													
Total													
Comments on Data (for Age):													
Comments on Data (for Gender):													
Comments on Data (for Race/Ethnicity):													
Comments on Data (Overall):													

Centers									
Institutions under Justice Systems									

(Continued on next page)

MHBG Table 14										
Report Year: From:							To:			
State Identifier:										
MHBG Table 14 Service Setting	Age 65+			Age Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
Community Mental Health Programs										
State Psychiatric Hospitals										
Other Psychiatric Inpatient										
Residential Treatment Centers										
Institutions under Justice Systems										
Comments on Data (for Age):										
Comments on Data (for Gender):										
Comments on Data (Overall):										

Note: Clients can be duplicated between Rows (e.g., The same client may be served in both state psychiatric hospitals and community mental health centers during the same year and thus would be reported in counts for both rows).

Instructions:

- 1 States that have county psychiatric hospitals which serve, as surrogate state hospitals should report persons served in such settings as receiving services in state hospitals.
- 2 If forensic hospitals are part of the state mental health agency system, include them.
- 3 Persons who receive non-inpatient care in state psychiatric hospitals should be included in the Community MH Program Row
- 4 Persons, who receive inpatient psychiatric care through a private provider or medical provider licensed, or contracted through the SMHA, should be counted in the "Other Psychiatric Inpatient" row. Persons who

receive Medicaid funded inpatient services through a provider that is not licensed or contracted by the SMHA should not be counted here.

5 A person who is served in both community settings and inpatient settings should be included in both rows

6 RTC: CMHS has a standardized definition of RTC for Children: “An organization, not licensed as a psychiatric hospital, whose primary purpose is the provision of individually planned programs of mental health treatment services in conjunction with residential care for children and youth primarily 17 years old and younger. It has a clinical program that is directed by a psychiatrist, psychologist, social worker, or psychiatric nurse who has a master’s degree or doctorate. The primary reason for the admission of the clients is mental illness that can be classified by either DSM-V or ICD-10 codes other than the codes for mental retardation, developmental disorders, and substance-related disorders such as drug abuse and alcoholism (unless these are co-occurring with a mental illness).”

E. Performance Data and Outcomes

MHBG Table 15A (URS Table 4) *Profile of Adult Clients by Employment Status*, MHBG Table 15A describes the status of adults clients served in the report year by the public mental health system in terms of employment status. The focus is on employment for the working age population, recognizing, however, that there are clients who are disabled, retired or who are homemakers, care-givers, etc., and not a part of the workforce. These persons should be reported under the, “Not in Labor Force” category. This category has two subcategories: retired and other (The total of these two categories should equal the number listed in the "Not in Labor Force" column). Unemployed refers to persons who are looking for work but have not found employment. Data should be reported for clients in non-institutional settings, at time of discharge or last evaluation.

MHBG Table 15B (URS Table 4A) Optional Table: *Profile of Adult Clients by Employment Status, by Primary Diagnosis Reported*, MHBG Table 15B request information on the status of adult clients served in the report year by the public mental health system in terms of employment status by primary diagnosis reported.

MHBG Table 16 (URS Table 9), *Social Connectedness and Improved Functioning*, MHBG Table 16 requests states and jurisdictions to provide information for youth and adults regarding Social Connectedness. In addition, states are required to provide information on functional domains that provide a general sense of an individual’s ability to develop and maintain relationships, cope with challenges and a sense of community belonging.

MHBG Table 17A (URS Table 11), *Summary Profile of Client Evaluation of Care*, MHBG Table 17A requests information that evaluates the “experience” of care for individuals that participate in the public mental health system. Specifically, the evaluation focuses on several areas including access, quality and the appropriateness of services, participation in treatment planning and general satisfaction with services. Please provide unduplicated counts, if possible. This table provides an aggregate profile of persons served in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client's last known Living Situation.

MHBG Table 17B (URS Table 11A), Optional Table: *Consumer Evaluation of Care by Consumer Characteristics: Race/Ethnicity*, MHBG Table 17B requests information that evaluates the “experience” of care for individuals that participate in the public mental health system, broken down by race, ethnicity, and age category (adult or child/adolescent).

MHBG Table 18 (URS Table 15), *Living Situation Profile*, MHBG Table 18 requests information regarding the number of Individuals in Each Living Situation as collected by the most recent assessment in the reporting period. Specifically, information is collected on the individual’s last known living situation. Living situations include, but are not limited to, private residence, foster care, residential care, jail/correctional facility, homeless shelter and other.

MHBG Table 19 (URS Table 11), Summary Profile of Client Evaluation of Care, MHBG Table 19 Profile of Adults with Serious Mental Illness and Children with Serious Emotional Disturbances Receiving Specific Services. MHBG Table 19 requests information regarding the number and demographics of individuals that are receiving specific evidenced-based services. In addition, the table requests information on if and how States and Jurisdictions monitor the fidelity for the evidenced based services.

MHBG Table 19a (URS Table 16a), Adults with Serious Mental Illness and Children with Serious Emotion Disturbances receiving Evidence Based Services for First Episode Psychosis. Table 19a requests information regarding the number of individuals that are receiving evidenced-based services for first Episode Psychosis (FEP). In addition, the table requests information on if, and how, states and jurisdictions monitor the fidelity for the FEP evidenced-based services.

MHBG Table 20A (URS Table 16), *Profile of Adults with Serious Mental Illnesses and Children with Serious Emotional Disturbances Receiving Specific Services*, MHBG Table 20A requests information regarding the number and demographics of individuals that are receiving specific evidenced-based services. In addition, the table requests information on if, and how states and Jurisdictions monitor the fidelity for the evidenced based services.

MHBG Table 20B (URS Table 17), *Profile of Adults with Serious Mental Illnesses Receiving Specific Services during the Year*, MHBG Table 20B provides the number of unduplicated Adults with SMI receiving family psycho education, integrated treatment for co-occurring disorders, illness self-management and medication management.

MHBG Table 21 (URS Table 19A), *Profile of Criminal Justice or Juvenile Justice Involvement*, MHBG Table 21 requests information to measure the change in Arrests over time.

MHBG Table 22 (URS Table 19B), *Profile of Change in School Attendance*, MHBG Table 22 measures the change in days attended over time. Information required includes information on suspensions, expelled, and changes in the school attendance.

MHBG Table 23A (URS Table 20A), *Profile of Non-Forensic (Voluntary and Civil-Involuntary) Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge*, MHBG Table 23A provides the total number of discharges within the year, the number of readmissions within 30-days and 180-days and the percent readmitted by age, gender, race, and ethnicity.

MHBG Table 23B (URS Table 20B), *Profile of Forensic Patients Readmission to Any State Psychiatric Inpatient Hospital within 30/180 Days of Discharge*, MHBG Table 23B provides the total number of discharges within the year, the number of readmissions within 30-days and 180-days and the percent readmitted by age, gender, race, and ethnicity.

MHBG Table 24 (URS Table 21), Optional Table: *Profile of Non-Forensic (Voluntary and Civil-Involuntary Patients) Readmission to Any Psychiatric Inpatient Care Unit (State Operated or Other Psychiatric Inpatient Unit) within 30/180 Days of Discharge*, MHBG Table 24 provides the total number of discharges from inpatient care units within the year, the number of readmissions within 30-days and 180-days and the percent readmitted by age, gender, race, and ethnicity.

**MHBG Table 15A (URS Table 4) Profile of Adult Clients
by Employment Status**

*This table describes the status of adult clients served in the reporting year by the public mental health system, in terms of employment status. The focus is on employment for the working age population, recognizing, however, there are clients who are disabled, retired, or who are homemakers, care-givers, etc., and not a part of the workforce. These persons should be reported in the “Not in Labor Force” category. This category has two subcategories: retired and other, (The total of these two categories should equal the number listed in the "Not in Labor Force" column). Unemployed refers to persons who are looking for work but have not found employment. **Data should be reported for clients in non-institutional settings at time of discharge or last evaluation.***

MHBG Table 15 A																
Report Year: From:										To:						
State Identifier:																
Adults Served	18-20			21-64			65+			Age Not Available			Total			
	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Female	Male	Not Available	Total
Employed: Competitively Employed Full or Part Time (includes Supported Employment)																
Unemployed																
Not In Labor Force: Retired, Sheltered Employment, Sheltered Workshops, Other (homemaker, student, volunteer, disabled, etc.)																
Not Available																
Total																
How Often Does your State Measure Employment Status?																
What populations are included:																
Comments on Data (for Age):																
Comments on Data (for Gender):																
Comments on Data (Overall):																

MHBG Table 15B (URS Table 4A), Optional Table: Profile of Adult Clients by Employment Status: by Primary Diagnosis Reported

The workgroup exploring employment found that, the primary diagnosis of consumer results in major differences in employment status. The workgroup has recommended that we explore the ability of states to report employment by primary diagnosis and the impact of diagnosis on employment. The workgroup recommended 5 diagnostic clusters for reporting.

MHBG Table 15B					
Report Year: From:			To:		
State Identifier:					
Clients Primary Diagnosis	Employed: Competitively Employed Full or Part Time (includes Supported Employment)	Unemployed	Not In Labor Force: Retired, Sheltered Employment, Sheltered Workshops, Other (homemaker, student, volunteer, disabled, etc.)	Employment Status Not Available	Total
Schizophrenia & Related Disorders (F20, F25)					
Bipolar and Mood Disorders (F30,F31,F32,F33,F34.1,F60.89,F34.0,F32.9)					
Other Psychoses (F22,F23,F24,F29)					
All Other Diagnoses					
No DX and Deferred DX (R69,R99,Z03.89)					
Diagnosis Total					
Comments on Data (for Diagnosis):					

MHBG Table 16 (URS Table 9): SOCIAL CONNECTEDNESS AND IMPROVED FUNCTIONING

MHBG Table 16: NOMS Social Connectedness & Functioning					
Report Year (Year Survey was Conducted):					
State Identifier:					
Adult Consumer Survey Results:		Number of Positive Responses	Responses	Percent Positive (calculated)	
1. Social Connectedness					
2. Functioning					
Child/Adolescent Consumer Survey Results:		Number of Positive Responses	Responses	Percent Positive (calculated)	
3. Social Connectedness					
4. Functioning					
Comments on Data:					
Adult Social Connectedness and Functioning Measures					
1. Did you use the recommended new Social Connectedness Questions? <input type="checkbox"/> Yes <input type="checkbox"/> No					Measure used
2: Did you use the recommended new Functioning Domain Questions? <input type="checkbox"/> Yes <input type="checkbox"/> No					Measure used
3. Did you collect these as part of your MHSIP Adult Consumer Survey? <input type="checkbox"/> Yes <input type="checkbox"/> No					
If no, what source did you use?					
Child/Family Social Connectedness and Functioning Measures					
4: Did you use the recommended new Social Connectedness Questions? <input type="checkbox"/> Yes <input type="checkbox"/> No					Measure used
5: Did you use the recommended new Functioning Domain Questions? <input type="checkbox"/> Yes <input type="checkbox"/> No					Measure used
6. Did you collect these as part of your YSS-F Survey? <input type="checkbox"/> Yes <input type="checkbox"/> No					
If No, what source did you use?					
Recommended Scoring Rules					
<i>Please use the same rules for reporting Social connectedness and Functioning Domain scores as for calculating</i>					
<i>other Consumer Survey Domain scores for Table MHBG Table 18a: E.g.:</i>					
1. Recode ratings of “not applicable” as missing values.					
2. Exclude respondents with more than ¹ / ₃ of the items in that domain missing.					
3. Calculate the mean of the items for each respondent.					
4. FOR ADULTS: calculate the percent of scores less than 2.5 (percent agree and strongly agree).					
5. FOR YSS-F: calculate the percent of scores greater than 3.5 (percent agree and strongly agree).					

MHBG Table 17A (URS Table 11): Summary Profile of Client Evaluation of Care

MHBG Table 17A

Report Year (Year Survey was Conducted):

State Identifier:

Adult Consumer Survey Results:	Number of Positive Responses	Responses	Confidence Interval*
1. Reporting Positively About Access			
2. Reporting Positively About Quality and Appropriateness for Adults			
3. Reporting Positively About Outcomes			
4. Adults Reporting on Participation In Treatment Planning			
5. Adults Positively about General Satisfaction with Services			
Child/Adolescent Consumer Survey Results:	Number of Positive Responses	Responses	Confidence Interval*
1. Reporting Positively About Access			
2. Reporting Positively about General Satisfaction for Children			
3. Reporting Positively about Outcomes for Children			
4. Family Members Reporting on Participation In Treatment Planning for their Children			
5. Family Members Reporting High Cultural Sensitivity of Staff			

Please enter the number of persons responding positively to the questions and the number of total responses within each group. Percent positive will be calculated from these data.

**** Please report Confidence Intervals at the 95% level. See directions below regarding the calculation of confidence intervals.***

Comments on Data:

Adult Consumer Surveys

1. Was the Official 28 Item MHSIP Adult Outpatient Consumer Survey Used?

1. a. If no, which version:

1. Original 40 Item Version
2. 21-Item Version
3. State Variation of MHSIP
4. Other Consumer Survey

1. b. If other, please attach instrument used.

1. c. Did you use any translations of the MHSIP into another language?

2. Other Language:

Adult Survey Approach:

2. Populations covered in survey? (Note all surveys should cover all regions of state)

2.a. If a sample was used, what sample methodology was used?

4. Other Sample:

Adult Consumer Surveys (Continued)

2.b Do you survey only people currently in services, or do you also Survey Persons no longer in service?

3. Please Describe the populations included in your sample: (e.g., all adults, only adults with SMI, etc.)

3.4 Other: describe: (for example, if you survey anyone served in the last 3 months, describe that here):

4. Methodology of collecting data? (Check all that apply)

	Self-Administered	Interview		
Phone				
Mail				
Face-to-face				
Web-Based				

4.b Who administered the Survey? (Check all that apply)

6. Other: describe:

5. Are Responses Anonymous, Confidential, or Linked to other Patient Databases?

6. Sample Size and Response Rate

6a How many Surveys were Attempted (sent out or calls initiated)?

6.b How many survey Contacts were made? (surveys to valid phone numbers or addresses)

6.c How many surveys were completed? (survey forms returned or calls completed)

6.d What was your response rate? (number of Completed surveys divided by number of Contacts)

6. e If you receive "blank" surveys back from consumers (surveys with no responses on them), did you count these surveys as "completed" for the calculation of response rates?

7. Who Conducted the Survey

7.a. SMHA Conducted or contracted for the Survey (survey done at state level)

7.b. Local Mental Health Providers/County mental health providers conducted or contracted for the survey
(survey was done at the local or regional level)

7.c Other: Describe:

* Report Confidence Intervals at the 95 percent confidence level

Note: The confidence interval is the plus-or-minus figure usually reported in newspaper or television opinion poll results. For example, if you use a confidence interval of 4 and 47 percent of your sample picks an answer you can be "sure" that if you had asked the question of the entire relevant population between 43 percent (47-4) and 51 percent (47+4) would have picked that answer.

The confidence level tells you how sure you can be. It is expressed as a percentage and represents how often the true percentage of the population who would pick an answer lies within the confidence interval. The 95 percent confidence level means you can be 95 percent certain; the 99 percent confidence level means you can be 99 percent certain. Most researchers use the 95 percent confidence level.

When you put the confidence level and the confidence interval together, you can say that you are 95 percent sure that the true percentage of the population is between 43 percent and 51 percent. (From www.surveysystem.com)

Child/Family Consumer Surveys

1. Was the MHSIP Children/Family Survey (YSS-F) Used?

If No, what survey did you use?

If no, please attach instrument used.

1.c. Did you use any translations of the Child MHSIP into another language?

2. Other Language:

Child Survey Approach:

2. Populations covered in survey? (Note: all surveys should cover all regions of state)

2.a. If a sample was used, what sample methodology was used?

4. Other Sample:

2.b Do you survey only people currently in services, or do you also Survey Persons no longer in service?

2a. If yes to 2, please describe how your survey persons no longer receiving services.

3. Please Describe the populations included in your sample: (e.g., all children, only children with SED, etc.)

3.4 Other: describe: (for example, if you survey anyone served in the last 3 months, describe that here):

4. Methodology of collecting data? **(Check all that apply)**

	Self-Administered	Interview		
Phone				
Mail				
Face-to-face				
Web-based				

4.b. Who administered the Survey? (Check all that apply)

6. Other: describe:

5. Are Responses Anonymous, Confidential, or Linked to other Patient Databases?

6. Sample Size and Response Rate

6a. How many Surveys were Attempted (sent out or calls initiated)?

6.b How many survey Contacts were made? (surveys to valid phone numbers or addresses)

6.c How many surveys were completed? (survey forms returned or calls completed)

6.d. What was your response rate? (number of Completed surveys divided by number of Contacts)

6.e. If you receive "blank" surveys back from consumers (surveys with no responses on them), did you count these survey's as "completed" for the calculation of response rates?

7. Who Conducted the Survey

7.a. SMHA Conducted or contracted for the Survey (survey done at state level)

7.b. Local Mental Health Providers/County mental health providers conducted or contracted for the survey
(survey was done at the local or regional level)

7.c. Other: Describe:

MHBG Table 17b (URS Table 11A) Consumer Evaluation of Care by Consumer Characteristics: (Optional Table by Race/Ethnicity.)

Table 17b.																		
Report Year: From:																To:		
State Identifier:																		
Adult Consumer Survey Results:																		
*State used the 2 question version for Hispanic Origin		Yes <input type="radio"/> No <input type="radio"/>				Please check the appropriate box on the left. The "Totals" formula will automatically adjust to account for which method your state used to ask about Hispanic Origin/Status												
Indicators	Total		American Indian or Alaska Native		Asian		Black or African American		Native Hawaiian or Other Pacific Islander		White		More than One Race Reported		Other/ Not Available		Hispanic Origin*	
	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses
Adult Consumer Survey Results:																		
1. Reporting Positively About Access.																		
2. Reporting Positively About Quality and Appropriateness.																		
3. Reporting Positively About Outcomes.																		
4. Reporting Positively about Participation in Treatment Planning																		
5. Reporting Positively about General Satisfaction																		
6. Social Connectedness																		
7. Functioning																		
Child/Adolescent Family Survey Results:																		
*State used the 2 question version for Hispanic Origin		Yes <input type="radio"/> No <input type="radio"/>				Please check the appropriate box on the left. The "Totals" formula will automatically adjust to account for which method your state used to ask about Hispanic Origin/Status												
Indicators	Total		American Indian or Alaska Native		Asian		Black or African American		Native Hawaiian or Other Pacific Islander		White		More than One Race Reported		Other/ Not Available		Hispanic Origin*	
	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses
Child/Adolescent Family Survey Results:																		
Reporting Positively About Access.																		
Reporting Positively About General Satisfaction																		
Reporting Positively About Outcomes.																		
Reporting Positively Participation in Treatment Planning for their Children.																		
Reporting Positively About Cultural Sensitivity of Staff.																		
6. Social Connectedness																		
7. Functioning																		
Comments on Data:																		
Please enter the number of persons responding positively to the questions and the number of total responses within each group. Percent positive will be calculated from these data.																		

MHBG Table 18 (URS Table 15) Living Situation Profile:

**Number of Clients in Each Living Situation as Collected by the Most Recent Assessment in the Reporting Period
All Mental Health Programs by Age, Gender, and Race/Ethnicity**

Please provide unduplicated counts, if possible. This table provides an aggregate profile of persons served in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client's last known Living Situation.

MHBG Table 18											
Report Year: From:						To:					
State Identifier:											
	Private Residence	Foster Home	Residential Care	Crisis Residence	Children's Residential Treatment	Institutional Setting	Jail/ Correctional Facility	Homeless/ Shelter	Other	NA	Total
0-17											
18-64											
65 +											
Not Available											
TOTAL											
Female											
Male											
Not Available											
TOTAL											
American Indian/Alaska Native											
Asian											
Black/African American											
Hawaiian/Pacific Islander											
White/Caucasian											
More than One Race Reported											
Race/Ethnicity Not Available											
TOTAL											

(Continued on next page)

MHBG Table 18 (cont.) Living Situation Profile:

**Number of Clients in Each Living Situation as Collected by the Most Recent Assessment in the Reporting Period
All Mental Health Programs by Age, Gender, and Race/Ethnicity**

Please provide unduplicated counts, if possible. This table provides an aggregate profile of persons served in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client's last known Living Situation.

Please report the data under the Living Situation categories listed - "Total" is calculated automatically.

MHBG Table 18											
Report Year: From:						To:					
State Identifier:											
	Private Residence	Foster Home	Residential Care	Crisis Residence	Children's Residential Treatment	Institutional Setting	Jail/ Correctional Facility	Homeless/ Shelter	Other	NA	Total
Hispanic or Latino Origin											
Non-Hispanic or Latino Origin											
Hispanic or Latino Origin Not Available											
TOTAL											
Comments on Data:											

At Admission
 At Discharge
 Monthly
 Quarterly
 Other: describe

How Often Does your State Measure Living Situation?

* Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as an Ethnic Origin are not available

Table 19: Profi

PLEASE]



MHBG Table 20 (URS Table 17): Profile of Adults with Serious Mental Illnesses Receiving Specific Services During The Year:

MHBG Table 20				
Report Year From To:				
State Identifier:				
	ADULTS WITH SERIOUS MENTAL ILLNESS			
	Receiving Family Psychoeducation	Receiving Integrated Treatment for Co-occurring Disorders (MH/SA)	Receiving Illness Self-Management	Receiving Medication Management
Age				
18-20				
21-64				
65-74				
75+				
Not Available				
TOTAL				
Gender				
Female				
Male				
Not Available				
Race				
American Indian/ Alaska Native				
Asian				
Black/African American				
Hawaiian/Pacific Islander				
White				
More than one race				
Unknown				
Ethnicity				
Hispanic/Latino Origin				
Non-Hispanic/Latino				
Hispanic origin not available				
Do You monitor fidelity for this service?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Yes <input type="checkbox"/> No	Yes <input type="checkbox"/> No
IF YES,				
What fidelity measure do you use?				
Who measures fidelity?				
How often is fidelity measured?				
Have staff been specifically trained to implement the EBP?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

* Hispanic is part of the total served.

Comments on Data (overall):

Comments on Data (Family Psycho-education):

Comments on Data (Integrated Treatment for Co-occurring Disorders):

Comments on Data (Illness Self-Management):

Comments on Data (Medication Management):

* Hispanic: Only use the "Hispanic" row under Race, if data for Hispanic as an Ethnic Origin, are not available

Please Describe the Sources of your Criminal Justice Data

Source of **adult** criminal justice information 1) Consumer survey (recommended questions) 2) Other Consumer Survey: Please send copy of questions 3) Mental health MIS
4) State criminal justice agency 5) Local criminal justice agency 6) Other (specify)_____

Sources of **children/youth** criminal justice Information: 1)Consumer survey (recommended questions) 2) Other Consumer Survey: Please send copy of questions 3)Mental health MIS
4) State criminal/juvenile justice agency 5) Local criminal/juvenile justice agency 6) Other (specify)_____

Measure of **adult** criminal Involvement: 1) Arrests 2) Other (specify):

Measure of **children/youth** criminal justice Involvement: 1) Arrests 2) Other (specify):

Mental health programs included: 1)Adults with SMI only 2) Other adults (specify)_____ 3) Both (all adults)
1) Children with SED only 2) Other Children (specify)_____ 3) Both (all Children)

Region for which **adult** data are reported: 1) The whole state 2) Less than the whole state (please describe):

Region for which **children/youth** data are reported: 1) The whole state 2) Less than the whole state (please describe):

What is the Total Number of Persons Surveyed, or for whom Criminal Justice Data are Reported

	Child/Adolescents	Adults
1. If data is from survey, what is the total number of people from which the sample was drawn?	<input type="text"/>	<input type="text"/>
2. What was your sample size (How many individuals were selected for the sample)?	<input type="text"/>	<input type="text"/>
3. How many survey Contracts were made (surveys to valid phone numbers or addresses)?	<input type="text"/>	<input type="text"/>
4. How many surveys were completed (survey forms returned or calls completed), if data source was not a Survey. How many persons were CJ data available for?	<input type="text"/>	<input type="text"/>
5. What was your response rate? (number of completed surveys divided by number of Contracts):	<input type="text"/>	<input type="text"/>

State Comments/Notes:

Instructions:
 If you have responses to a survey by person not in the expected age group, you should include those responses with other responses from the survey (e.g., if a 16 or 17 year old responds to the Adult MHSIP survey, please include their responses in the Adult categories, since that was the survey they used).

Table 22 (URS Table 19b) Profile of Change in School Attendance

1. The SAMHSA National Outcome Measure for School Attendance measures the change in days attended over time. The DIG Outcomes Workgroup pilot tested 3 consumer self-report items that can be used to provide this information. If your state has used the 3 Consumer Self-Report items on School Attendance, you may report them here.
2. If your SMHA has data on School Attendance from alternatives sources, you may also report that here. If you only have data for School attendance for consumers in this year, please report that in the T2 columns. If you can calculate the change in Attendance from T1 to T2, please use all these columns.
3. Please complete the check boxes at the bottom of the table to help explain the data sources that you used to complete this table.
4. Please tell us anything else that would help us to understand your indicator (e.g., list survey or MIS questions; describe linking methodology and data sources; specify time period for criminal justice involvement; explain whether treatment data are collected).

Table22. Profile of Change in School Attendance

State: _____		Time period in which services were received: _____																	
For Consumers in Service for at least 12 months																			
T1			T2			T1 to T2 Change						Impact of Services							
"T1" Prior 12 months (more than 1 year ago)			"T2" Most Recent 12 months (this year)			If Suspended at T1 (Prior 12 Months)			If Not Suspended at T1 (Prior 12 Months)			Over the last 12 months, the number of days my child was in school							
# Suspended or Expelled	# Not Suspended	No Response	# Suspended or Expelled	# Not Suspended	No Response	# with an Expelled or Suspended in	# with No Suspension or	No Response	# with an Expelled or Suspended	# with No Suspension or	No Response	# Greater (Improved)	# Stayed the Same	# Fewer days	# Not Applicable	No response	Total Responses		
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Gender																			
Male																			
Female																			
Gender NA																			
Age																			
Under 18																			
For Consumers Who Began Mental Health Services during the past 12 months																			
T1			T2			T1 to T2 Change						Impact of Services							
"T1" 12 months prior to beginning services			"T2" Since Beginning Services (this year)			If Suspended at T1 (Prior 12 Months)			If Not Suspended at T1 (Prior 12 Months)			Since starting to receive MH Services, the number of days my child was in school have							
# Suspended or Expelled	# Not Suspended	No Response	# Suspended or Expelled	# Not Suspended	No Response	# with an Expelled or Suspended in	# with No Suspension or	No Response	# with an Expelled or Suspended	# with No Suspension or	No Response	# Greater (Improved)	# Stayed the Same	# Fewer days	# Not Applicable	No response	Total Responses		
0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Gender																			
Male																			
Female																			
Gender NA																			
Age																			
Under 18																			
See Page 2 for additional Questions about the source of this data.																			
Source of School Attendance Information 1) Consumer survey (recommended items) 2) Other Survey: Please send us items 3) Mental health MIS 4) State Education Department 5) Local Schools/Education Agencies 6) Other (specify)																			
Measure of School Attendance 1) School Attendance 2) Other: (Specify)																			
Mental health programs include: <input type="checkbox"/> 1) Children with SED only <input type="checkbox"/> 2) Other Children (specify) <input type="checkbox"/> 3) Both.																			
Region for which data are reported: 1) The whole state 2) Less than the whole state (please describe)																			
What is the Total Number of Persons Surveyed or for whom School Attendance Data Are Reported																			
Child/Adolescents																			
<input style="width: 100px; height: 20px;" type="text"/>																			

1. If data is from a survey, What is the total Number of people from which the sample was drawn?
2. What was your sample size? (How many individuals were selected for the sample)?
3. How many survey Contacts were made? (surveys to valid phone numbers or addresses)
4. How many surveys were completed? (survey forms returned or calls completed) If data source was not a Survey, How many persons were data available for?

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MHBG Table 23A (URS Table 20A). Profile of Non-Forensic (Voluntary and Civil-Involuntary) Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge

MHBG Table 23A.					
Report Year: From: To:					
State Identifier:					
	Total number of Discharges in Year	Number of Readmissions to ANY STATE Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
TOTAL	0	0	0		
Age					
0-12					
13-17					
18-20					
21-64					
65-74					
75+					
Not Available					
Gender					
Female					
Male					
Gender Not Available					
Race					
American Indian/ Alaska Native					
Asian					
Black/African American					
Hawaiian/Pacific Islander					
White					
More than one race					
Race Not Available					
Hispanic/Latino Origin					
Hispanic/Latino Origin					
Non-Hispanic/Latino					
Hispanic/Latino Origin Not Available					
Are Forensic Patients Included?					
Comments on Data:					

MHBG Table 23B (URS Table 20B). Profile of Forensic Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge

MHBG Table 23B.					
Report Year: From: To:					
State Identifier:					
	Total number of Discharges in Year	Number of Readmissions to ANY STATE Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
TOTAL	0	0	0		
Age					
0-12					
13-17					
18-20					
21-64					
65-74					
75+					
Not Available					
Gender					
Female					
Male					
Gender Not Available					
Race					
American Indian/ Alaska Native					
Asian					
Black/African American					
Hawaiian/Pacific Islander					
White					
More than one race					
Race Not Available					
Hispanic/Latino Origin					
Hispanic/Latino Origin					
Non-Hispanic/Latino					
Hispanic/Latino Origin Not Available					
Comments on Data:					

MHBG Table 24 (URS Table 21). Profile of Non-Forensic (Voluntary and Civil-Involuntary Patients) Readmission to Any Psychiatric Inpatient Care Unit (State Operated or Other Psychiatric Inpatient Unit) Within 30/180 Days of Discharge

MHBG Table 24					
Report Year: From: To:					
State Identifier:					
	Total number of Discharges in Year	Number of Readmissions to ANY Psychiatric Inpatient Care Unit Hospital within the state		Percent Readmitted	
		30 days	180 days	30 days	180 days
TOTAL	0	0	0		
Age					
0-12					
13-17					
18-20					
21-64					
65-74					
75+					
Not Available					
Gender					
Female					
Male					
Gender Not Available					
Race					
American Indian/ Alaska Native					
Asian					
Black/African American					
Hawaiian/Pacific Islander					
White					
More than one race					
Race Not Available					
Hispanic/Latino Origin					
Hispanic/Latino Origin					
Non-Hispanic/Latino					
Hispanic/Latino Origin Not Available					
1. Does this table include readmission from state psychiatric hospitals?					
2. Are Forensic Patients Included?					
Comments on Data:					