

# Block Grant Reporting Section

CFDA 93.958  
(Mental Health)

U.S. Department of Health and Human Services  
Substance Abuse and Mental Health Services Administration

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States and jurisdictions are required to complete the reporting document. The reporting document is comprised of the following sections:

**Section A. Introduction**

Title XIX, Part B, Subpart III of the Public Health Service Act (42 U.S.C. 300x-52(a)), requires the Secretary of the Department of Health and Human Services, acting through the Assistant Secretary of the Substance Abuse and Mental Health Services Administration (SAMHSA), to determine the extent to which states and jurisdictions have implemented the state plan for the prior fiscal year. The purpose of the annual report is to provide information to assist the secretary in making this determination.

Additionally, SAMHSA is directed by Congress through the Consolidated Appropriations Act, 2021 and the Coronavirus Response and Relief Supplement Appropriations Act, 2021 [P.L. 116-260], to set aside 5 percent of the Mental Health Block Grant (MHBG) allocation for each state to support evidence-based crisis systems. States are required to provide services to those in crisis through three core services. SAMHSA will collect related data in Sections C and E.

States and jurisdictions are required to prepare and submit their reports for the last completed state fiscal year (SFY), in the format provided in this guidance. The report will address the purposes for which the MHBG funds are expended, the recipients of grant funds, and the authorized activities conducted, and services purchased with such funds. Particular attention should be given to the progress made toward accomplishing the goals and performance indicators identified in the states and jurisdictions’ plans.

All states and jurisdictions are required to prepare and submit their respective reports utilizing SAMHSA’s Web Block Grant Application System (BGAS). This report must be received by SAMHSA no later than December 1, in order for the state or jurisdiction to receive its next grant. If the due date falls on a weekend or federal holiday, the report will be due on the next business day. The following schedule includes specific due dates for annual reports:

<b>Due Dates for Mental Health and Combined Block Grant Components</b>				
<b>FY the state is applying for funds</b>	<b>Application Due</b>	<b>Plan Due</b>	<b>Planning Period</b>	<b>MHBG Report Due</b>
2022	9/1/2021	Yes	7/1/19 – 6/30/21	12/1/2021 Report year is Last Completed SFY
2023	9/1/2022	No	Updates only	12/1/2022 Report year is Last Completed SFY

**Section B:** Implementation Report - In this section states and jurisdictions are required to provide a brief review of the extent to which their respective plans were implemented, the progress towards the priorities and goals identified in the Block Grant plan over the last completed fiscal year. The report should also include a brief review of areas the state or jurisdiction identified in that Block Grant Plan as needing improvement and changes the state or jurisdiction would propose to achieve the goals established in the priorities.

**Section C:** State Agency Expenditure Reports - In this section states and jurisdictions should provide information regarding expenditures for authorized activities and services for mental health- including crisis services.

**Section D:** Populations and Services Report - In this section states and jurisdictions must provide specific information regarding the number of individuals served that are the focus of the state's Mental Health Block Grant plan. States should report all mental health clients whose care is funded by MHBG, state funds, and any other funds the SMHA considers part of their behavioral health system. In addition, states and jurisdictions should provide specific information regarding the services these individuals received.

**Section E:** Performance Indicators and Accomplishments - In this section states and jurisdictions are required to complete the Performance Indicator tables. Performance indicators should be reported using the table format provided in this document. The purpose of the performance indicator tables is to show progress made over time as measured by SAMHSA's National Outcome Measures (NOMS) for mental health services including any state or jurisdiction-selected performance indicators.

**B. Implementation Report**

The information states and jurisdictions entered into the performance indicator tables (Plan Table 1) in the planning section of the 2022/2023 Behavioral Health Assessment and Plan will automatically populate cells 1 – 6 in the progress report tables below. States and jurisdictions are required to indicate whether each year performance target/outcome measurement identified in the 2022/2023 Plan was “Achieved” or “Not Achieved” in Cell 7, Report of Progress toward Goal Attainment. If a target was not achieved, a detailed explanation must be provided and remedial steps proposed to meet the target.

**MHBG Table 1 - Priority Area and Annual Performance Indicators – Progress Report**

Priority Areas and Annual Performance Indicators	
1. Priority Area:	2. Priority Type (SAP, SAT, MHS):
3. Population(s) (SMI, SED, ESMI, PWWDC, IVDUs, HIV/EIS, TB, OTHER):	
4. Goal of the priority area:	
5. Objective:	
6. Strategies to attain the objective:	
7. Annual Performance Indicators/objectives to measure goal success:	
Indicator #1:	
a) Baseline measurement (Initial data collected prior to the first-year target/outcome):	
b) First-year target/outcome measurement (Progress – end of SFY 2022):	
c) Second-year target/outcome measurement (Final – end of SFY 2023):	
d) Data source:	
e) Description of data:	
f) Data issues/caveats that affect outcome measures:	
8. Report of Progress toward Goal Attainment:	
First-year Target: ___ Achieved ___ Not Achieved (If not achieved, explain why.) ___	
Reason why target was not achieved, and changes proposed to meet target:	

### **C. State Agency Expenditure Reports**

States and jurisdictions should provide information regarding MHBG, Medicaid, other federal funding sources, state, local and other funds expended for authorized activities to treat mental illness during the last completed SFY.

**Please Note:** Data for all tables contained in the Uniform Reporting System (URS) will continue to be submitted into the URS database maintained by the URS Contractor. Please complete the tables described below.

**MHBG Table 2a** (URS Table 7) - *State Agency Expenditure Report*. MHBG Table 2 provides information on Mental Health Expenditures and Sources of Funding. This includes funding from Medicaid, the MHBG and other federal funding sources, state, local and other funds.

**MHBG Table 2b** - *State Agency Early Serious Mental Illness (ESMI) Expenditures Report*. MHBG Table 2b provides information on Mental Health Expenditures and Sources of Funding specifically for the First Episode Psychosis (FEP) Programs as well as other Early Serious Mental Illness (ESMI) programs through the required MHBG 10% set-aside. This includes funding from Medicaid, the MHBG and other federal funding sources, state, local and other funds. The inclusion of local funds is important but optional.

**MHBG Table 2c** - *MHBG State Agency Crisis Services Expenditures Report*. MHBG Table 2c provides information on how different funding sources are being used to fund crisis response services in the state.

**MHBG Table 3** - *Set-aside for Children's Mental Health Service*. MHBG Table 3 provides a report of statewide expenditures for children's mental health services during the last completed SFY. States are required to provide systems of integrated services for children with SED. Each year the state shall expend not less than the amount expended in FY 1994. If there is a shortfall in funding available for children's mental health services, the state may request a waiver. A waiver may be granted if the Secretary determines that the state is providing an adequate level of comprehensive community mental health services for children with SED, as indicated by comparing the number of children in need of such services with the services actually available within the state. The Secretary shall approve or deny the request for a waiver no later than 120 days after the request is made. A waiver granted by the Secretary shall be applicable only for the fiscal year in question.

**MHBG Table 4** (URS Table 8) - *Profile of Mental Health Block Grant Expenditures for Non-Direct Service Activities*. MHBG Table 4 is used to report expenditures of MHBG funds for non-direct service activities that are funded or conducted by the State Mental Health Authority (SMHA) during the last completed SFY.

**MHBG Table 5** (URS Table 10) - *Profiles of Agencies Receiving Block Grant Funds Directly from the state MHA*. MHBG Table 5 reports payments to recipients of MHBG funds including intermediaries, (e.g., administrative service organizations, and other organizations), which





b. Mental Health Primary Prevention <sup>c</sup>		\$	\$	\$	\$	\$	\$	\$	\$
3. Evidence-Based Practices for Early Serious Mental Illness including First Episode Psychosis (10 percent of total award MHBG) <sup>d</sup> .		\$	\$	\$	\$	\$	\$	\$	\$
4. Tuberculosis Services									
5. Early Intervention Services for HIV									
6. State Hospital			\$	\$	\$	\$	\$	\$	\$
7. Other Psychiatric Inpatient Care		\$	\$	\$	\$	\$	\$	\$	\$
8. Other 24-Hour Care (Residential Care)		\$	\$	\$	\$	\$	\$	\$	\$
9. Ambulatory/Community Non-24-Hour Care		\$	\$	\$	\$	\$	\$	\$	\$
10. Administration (Excluding Program and Provider Level) MHBG and SABG must be reported separately <sup>e</sup>		\$	\$	\$	\$	\$	\$	\$	\$
11. Crisis Services (5 percent set-aside) <sup>f</sup>		\$	\$	\$	\$	\$	\$	\$	\$
12. Subtotal (Rows 1, 2, 4, 5 and 9)		\$	\$	\$	\$	\$	\$	\$	\$
13. Subtotal (Rows 3, 6, 7 and 8)		\$	\$	\$	\$	\$	\$	\$	\$
14. Total		\$	\$	\$	\$	\$	\$	\$	\$

<sup>a</sup> The 24-month expenditure period for the COVID-19 Relief supplemental funding is March 15, 2021 – March 14, 2023, which is different from the expenditure period for the “standard” MHBG. Per the instructions, the standard MHBG expenditures captured in Columns A – G are for the state expenditure period of July 1, 2022 –

June 30, 2024, for most states. Column H should reflect the spending for the state reporting period. The total may reflect the COVID-19 Relief allotment portion used during the state reporting period.

<sup>b</sup>The expenditure period for The American Rescue Plan Act of 2021 (ARP) supplemental funding is September 1, 2021 – September 1, 2025, which is different from the expenditure period for the “standard” MHBG. The standard MHBG expenditures captured in Columns A – G are for the state expenditure period of July 1, 2022 – June 30, 2024, for most states.” Column I should reflect the spending for the state reporting period. The total may reflect the ARP allotment portion used during the state reporting period.

<sup>c</sup> While the state may use state or other funding for these services, the MHBG funds must be directed toward adults with SMI or children with SED

<sup>d</sup> Column 3B should include Early Serious Mental Illness programs funded through MHBG set aside

<sup>e</sup> Per statute Administrative expenditures cannot exceed 5% of the fiscal year award.

<sup>f</sup> Row 10 should include Crisis Services programs funded through different funding sources, including the MHBG set aside. States may expend more than 5 percent of their MHBG allocation.

**MHBG Table 2b - MHBG State Agency First Episode Psychosis Expenditure Report**

MHBG State Agency Early Serious Mental Illness and First Episode Psychosis Expenditures Report						
MHBG Table 2b						
Report Period- From:				To:		
State Identifier:						
Source of Funds						
Activity (See instructions for using Row 1)	A. Mental Health Block Grant	B. Medicaid (Federal, State, and Local)	C. Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare), SAMHSA, etc.)	D. State Funds	E. Local funds (excluding local Medicaid)	F. Other
1. CSC Evidence-Based Practices for First Episode Psychosis*	\$	\$	\$	\$	\$	\$
2. Training for CSC Practices						
3. Planning for CSC Practices						
4. Other Early Serious Mental Illnesses programs (other than FEP or partial CSC programs)						
3.. Training for ESMI	\$	\$	\$	\$	\$	\$
4.. Planning for ESMI						
Total	\$	\$	\$	\$	\$	\$
Comments on Data:						

\*When reporting CSC Evidence-Based Practices for First Episode Psychosis, report only those programs that are providing all the components of a CSC model. If the state uses only certain components of a CSC model specifically for FEP, please report them in row 2. Note, the totals for this table should equal the amounts reported on Row 3 (Evidence-Based Practices for Early Serious Mental Illness) on MHBG Table 2a (URS Table 7a)

**MHBG Table 2c - MHBG State Agency Crisis Services Expenditures Report**

This table describes expenditures for Crisis Response services provided or funded by the state mental health agency by source of funding.

MHBG State Agency Crisis Services Expenditures Report							
MHBG Table 2c							
Report Period- From:				To:			
State Identifier:							
Source of Funds							
Services	A. Mental Health Block Grant	B. Medicaid (Federal, State, and local)	C. Other Federal Funds (e.g., ACF (TANF), CDC, CMS (Medicare), SAMHSA, etc.)	D. State Funds	E. Local funds (excluding local Medicaid)	F. Other	G. Total
1. Call Centers	\$	\$	\$	\$	\$	\$	\$
2. 24/7 Mobile Crisis Teams	\$	\$	\$	\$	\$	\$	\$
3. Crisis Stabilization Programs	\$	\$	\$	\$	\$	\$	\$
4. Training and Technical Assistance	\$	\$	\$	\$	\$	\$	\$
5. Strategic Planning and Coordination	\$	\$	\$	\$	\$	\$	\$
Total	\$	\$	\$	\$	\$	\$	\$
Comments on Data:							

\* Mental Health Block Grant funding includes one-time funding i.e.: 5% Crisis Set-Aside, COVID-19, and ARP sources. If the funding source is not captured in the table, please report the name of 'Other' funding per service under the comments section.

**MHBG Table 3 *Set-Aside for Children’s Mental Health Services***

States and jurisdictions are required to not spend less than the amount expended in FY 1994.

Statewide Expenditures for Children’s Mental Health Services	
MHBG Table 3:	
State Identifier:	
Report Period: From:	To:
Actual SFY 1994	Actual SFY 2021

**MHBG Table 4 (URS Table 8) Profile of Community Mental Health Block Grant Expenditures for Non-Direct Service Activities**

This table describes the use of MHBG funds for non-direct service activities that are sponsored or conducted by the State Mental Health Authority.

Please enter the total amount of the block grant expended for each activity.

Non-Direct Services/System Development				
MHBG Table 4				
State Identifier:				
Report Period- From:		To:		
Activity	A. MHBG	B. COVID--19 Funds <sup>a</sup>	C. ARP Funds <sup>b</sup>	
1. Information Systems	\$	\$	\$	
2. Infrastructure Support	\$	\$	\$	
3. Partnerships, community outreach, and needs assessment	\$	\$	\$	
4. Planning Council Activities (MHBG required, SABG optional)	\$	\$	\$	
5. Quality assurance and improvement	\$	\$	\$	
6. Research and Evaluation	\$	\$	\$	
7. Training and Education	\$	\$	\$	
8. Total	\$	\$	\$	
Comments on Data				

<sup>a</sup>The 24-month expenditure period for the COVID-19 Relief supplemental funding is March 15, 2021 – March 14, 2023, which is different from the expenditure period for the “standard” MHBG. Per the instructions, the standard MHBG expenditures captured in Columns A - C are for the state expenditure period of July 1 – June 30 of the same year for most states. Column B should reflect the spending for the state reporting period. The total may reflect the COVID-19 Relief allotment portion used during the state budget reporting period.

<sup>b</sup>The expenditure period for The American Rescue Plan Act of 2021 (ARP) supplemental funding is September 1, 2021 – September 1, 2025, which is different from the expenditure period for the “standard” MHBG. Per the instructions, the standard MHBG expenditures captured in Columns A – C are for the state expenditure period of July 1 – June 30 of the same year, for most states. Column C should reflect the spending for the state reporting period. The total may reflect the ARP allotment portion used during the state reporting period.



**MHBG Table 6 Maintenance of Effort for State Expenditures on Mental Health Services**

Maintenance of Effort for State Expenditures on Mental Health Services		
MHBG Table 6:		
State Identifier:		
Report Period- From:	To:	
Total Expenditures for State:		
Period	Expenditures	$\frac{B1 (2018) + B2 (2019)}{2}$
A	B	C
SFY 2019 (1)		
SFY 2020 (2)		
SFY 2021 (3)		

Are the expenditure amounts reported in Column B “actual” expenditures for the fiscal years involved?

	Yes	No
SFY 2019		
SFY 2020		
SFY 2021		

If any estimated expenditures are provided, please indicate when “actual” expenditure data will be submitted to SAMHSA: \_\_\_/\_\_\_/\_\_\_  
mm/dd/yyyy



States are required to submit sufficient information for the Secretary to make a determination of compliance with the statutory Maintenance of Effort (MOE) requirements.<sup>1</sup> MOE information is necessary to document that the state maintained expenditures for community mental health services at a level that is not less than the average level of such expenditures for the 2-year period preceding the fiscal year for which the state applies for the grant. The state shall only include community mental health services expenditures for individuals that meet the federal or state definition of SMI adults and SED children. States that received approval to exclude funds from the maintenance of effort calculation should include the appropriate MOE approval documents.

#### ***A. MOE Exclusion***

The Secretary may exclude from the aggregate amount any state funds appropriated to the principal agency for authorized activities of a non-recurring nature and for a specific purpose.<sup>2</sup>

A request for MOE exclusion should meet the following requirements:

The state shall request the exclusion separately from the application.

The request shall be signed by the state's Chief Executive Officer or by an individual authorized to apply for the CMHS Block Grant on behalf of the Chief Executive Officer.

The state shall provide documentation that supports its position that the funds were appropriated by the state legislature for authorized activities which are of a non-recurring nature and for a specific purpose; indicates the length of time the project is expected to last in years and months; and affirms that these expenditures would be in addition to funds needed to otherwise meet the state's MOE requirement for the year in which it is applying for exclusion.

The state may not exclude funds from the MOE calculation until such time as the SAMHSA Administrator has approved in writing the state's request for exclusion.

#### ***B. MOE Shortfalls***

States are expected to meet the MOE requirement. If a state cannot meet the MOE requirement, the legislation permits relief based on the recognition that extenuating circumstances may explain the shortfall. These conditions are described below.

##### ***1. Waiver for Extraordinary Economic Conditions***

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<sup>1</sup>Section 1915(b)(1) of the PHS Act (42 USC 300x-4).

<sup>2</sup>Section 1915(b)(2) of the PHS Act (42 USC 300x-4).

A state may request a waiver to the MOE requirement if it can be demonstrated that the MOE deficiency was the result of extraordinary economic conditions that occurred during the State Fiscal Year in question. An extraordinary economic condition is defined as a financial crisis in which the total tax revenues declined at least one and one-half percent, and either the unemployment increases by at least one percentage point, or employment declines by at least one and one-half percent.

## **2. Material Compliance**

If the state is unable to meet the requirements for a waiver under extraordinary economic conditions, the authorizing legislation does permit the Secretary, under certain circumstances, to make a finding that even though there was a shortfall on the MOE, the state maintained material compliance with the MOE requirement for the fiscal year in question. Therefore, the state is given an opportunity to submit information that might lead to a finding of material compliance. The relevant factors that SAMHSA considers in making a recommendation to the Secretary include: (1) whether the state maintained service levels; (2) the state's mental health expenditure history; and (3) the state's future commitment to funding mental health services.

### **D. Populations and Services Report**

States and jurisdictions are required to provide information regarding individuals that are served by the state mental health authority using MHBG Tables 7 through 14.

**MHBG Table 7** (URS Table 1) *Profile of the State Population by Diagnosis*. MHBG Table 7 provides the number of Adults with serious mental illness (SMI) and Children with serious emotional disturbance (SED) in the reporting year and in three years forward. Data for this table is prepared for the states by SAMHSA.

**MHBG Tables 8a and 8b** (URS Tables 2A and 2B) *Profile of Persons Served, All Programs by Age, Gender and Race/Ethnicity*. MHBG Tables 8a and 8b provide an aggregate profile of unduplicated persons in the reporting year. The reporting year should be the latest SFY for which data are available. This profile is based on a client receiving services in programs provided or funded by the state mental health agency. The client profile takes into account institutional and community services for all such programs. States and jurisdictions are to provide this information on all programs by age, gender, and race/ethnicity.

**MHBG Table 9** (URS Table 3) *Profile of Persons Served in the Community Mental Health Settings, State Psychiatric Hospitals, and Other Settings*. MHBG Table 9 provides an aggregate profile of unduplicated persons in the reporting year for services provided or funded through the MHBG. The reporting year should be the latest SFY for which data are available. The client profile considers institutional and community services for all such programs. States and jurisdictions are to provide this information on all programs by age, gender, and race/ethnicity.

**MHBG Table 10a and 10b** (URS Tables 5A and 5B) *Profile of Clients by Type of Funding Support*. MHBG Tables 10a and 10b provide the number of female and male clients by race and ethnicity that have Medicaid Only, Non-Medicaid Sources, Both Medicaid and Non-Medicaid, and Status Not Available.

**MHBG Table 11** (URS Table 6) *Profile of Client Turnover*. MHBG Table 11 requests information regarding the profile of client turnover in various out-of-home settings (e.g. state hospitals, inpatient psychiatric hospitals, and residential treatment centers). Information collected by this table requests admissions, discharges, and length of stay.

**MHBG Table 12** (URS Table 12) *State Mental Health Agency Profile*. MHBG Table 12 provides the populations covered in state hospitals and community program in age categories 0-3, 4-17, and 18 and above.

**MHBG Tables 13a and 13b** (URS Tables 14A and 14B) *Profile of Persons with SMI/SED Served By Age, Gender and Race/Ethnicity*. MHBG Tables 13a and 13b request counts for persons with SMI or SED using the definitions provided by CMHS. These tables include individuals receiving services in programs provided or funded by the state mental health agency. States and jurisdictions should report using the federal definitions of SMI and SED if they can, if not, please report using the state’s definitions of SMI and SED and provide information in these tables describing your state’s definition.

**MHBG Table 14** (URS Table 15A) *Profile of Persons Served in the Community Mental Health Settings, State Psychiatric Hospitals, and Other Settings for Adults with SMI and Children with SED*. MHBG Table 14 provides a profile for Adults with Serious Mental Illnesses (SMI) and Children With Serious Emotional Disturbances (SED) that received public funded mental health services in community mental health settings, in state psychiatric hospitals, in other psychiatric inpatient programs, and in residential treatment centers for children.

MHBG Table 7 (URS Table 1). Profile of the State Population by Diagnosis		
<i>This table summarizes the estimates of adults residing within the state with serious mental illness (SMI) and children residing within the state with serious emotional disturbances (SED). The table calls for estimates for two time periods, one for the report year and one for three years into the future. CMHS will provide this data to states based on the standardized methodology developed and published in the Federal Register and the state level estimates for both adults with SMI and children with SED.</i>		
MHBG Table 7		
Report Period- From:	To:	
State Identifier:		
	Current Report Year	Three Years Forward
Adults with SMI		
Children with SED		
<b>Note: CMHS will complete this table for the states.</b>		

MHBG Table 8a (URS Table 2A) *Profile of Persons Served, All Programs by Age, Gender, Race/Ethnicity*

*This table provides an aggregate profile of persons in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client receiving services in programs provided or funded by the state mental health agency. The client profile takes into account all institutional and community services for all such programs. Please provide unduplicated counts if possible.*

**MHBG Table 8a**

Report Period- From:

To:

State Identifier:

	Total					American Indian or Alaska Native				Asian				Black or African American				
	Female	Male	Other	N/A	Total	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	
0-12 years																		
13-17 years																		
18-20 years																		
21-24 years																		
25-44 years																		
45-64 years																		
65-74 years																		
75 and older																		
Age not Available																		
Total																		
Pregnant Women																		
<b>Are these numbers unduplicated?</b>																		
Comments on Data (for Age):																		
Comments on Data (for Gender):																		
Comments on Data (for Race/Ethnicity):																		
Comments on Data (Overall):																		

(Continued on next page)

MHBG Table 8a (Cont.)

Report Year- From:

To:

State Identifier:

	Native Hawaiian or Other Pacific Islander				White				More Than One Race Reported				Race Not Available			
	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A
0-12 years																
13-17 years																
18-20 years																
21-24 years																
25-44 years																
45-64 years																
65-74 years																
75 and older																
Age not Available																
Total																
Pregnant Women																

**MHBG Table 8b (URS Table 2B) Profile of Persons Served, All Programs by Age, Gender and Race/Ethnicity**

Of the total persons served, please indicate the age, gender and the number of persons who are Hispanic/Latino or not Hispanic/Latino. Total persons served would be the total as indicated in MHBG Table 8a.

Please report the data under the categories listed - "Total" is calculated automatically.

<b>MHBG Table 8b</b>																	
Report Year- From:				To:													
State Identifier:																	
	Not Hispanic				Hispanic or Latino				Hispanic or Latino Origin Not Available				Total				
	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Total
0-12 years																	
13-17 years																	
18-20 years																	
21-24 years																	
25-44 years																	
45-64 years																	
65-74 years																	
75 and older																	
Age not available																	
Total																	
Pregnant Women																	
Comments on Data (for Age):																	
Comments on Data (for Gender):																	
Comments on Data (for Ethnicity):																	
Comments on Data (Overall):																	

Are these unduplicated?

- Unduplicated | 
  Duplicated: between Hospitals and Community | 
  Duplicated Among Community Programs | 
  Duplicated between children and adults | 
  Other: describe:
- (Continued on next page)

**MHBG Table 9 (URS Table 3) Profile of Persons served in the Community Mental Health Settings, State Psychiatric Hospitals and Other Settings**

<b>MHBG Table 9</b>																
Report Year- From:									To:							
State Identifier:																
Service Setting	Age 0-17				Age 18-20				Age 21-64				Age 65+			
	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A
Community Mental Health Programs																
State Psychiatric Hospitals																
Other Psychiatric Inpatient																
Residential Treatment Centers																
Institutions in the Justice System																

*(Continued on next page)*





**MHBG Table 10a Profile of Clients by Type of Funding Support (URS Table 5A)**

This table provides a summary of clients by Medicaid coverage. Since the focus of the reporting is on clients of the public mental health service delivery system, this table focuses on the clientele serviced by public programs that are funded or operated by the State Mental Health Authority. Persons are to be counted in the Medicaid row if they received a service reimbursable through Medicaid.

Please note that the same person may be served in both Medicaid and Non-Medicaid programs during the same reporting period.

MHBG Table 10a															
Report Period- From:				To:											
	Total					American Indian or Alaska Native				Asian				Black or African American	
	Female	Male	Other	N/A	Total	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male
Medicaid (only Medicaid)															
Non-Medicaid Sources (only)															
People Served by Both Medicaid and Non-Medicaid Sources															
Medicaid Status Not Available															
<b>Total Served</b>															

Data Based on Medicaid Services  Data Based on Medicaid Eligibility, not Medicaid Paid Services  People Served by Both includes people with any Medicaid

Comments on Data (for Race):
Comments on Data (for Gender):
Comments on Data (Overall):

Each row should have a unique (unduplicated) count of clients: (1) Medicaid Only, (2) Non-Medicaid Only, (3) Both Medicaid and Other Sources funded their treatment, and (4) Medicaid Status Not Available.

If a state is unable to differentiate between people whose care is paid for by Medicaid only or Medicaid and other funds, then all data should be reported into the 'People Served by Both Medicaid and Non-Medicaid Sources' and the 'People Served by Both includes people with any Medicaid' check box should be checked.

(Continued on next page)

**MHBG Table 10a**  
**(Cont.)**

Report Period-  
From: To:

State Identifier:

	Native Hawaiian or Other Pacific Islander				White				More Than One Race Reported				Race Not Available			
	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A
Medicaid (only Medicaid)																
Non-Medicaid Sources (only)																
People Served by Both Medicaid and Non-Medicaid Sources																
Medicaid Status Not Available																
<b>Total Served</b>																

**MHBG Table 10b (URS Table 5B) Profile of Clients by Type of Funding Support**

*Of the total persons covered by Medicaid, please indicate the gender and number of persons who are Hispanic/Latino or not Hispanic/Latino. Total persons covered by Medicaid would be the total indicated in MHBG Table 10a.*

Please note that the same person may be served in both Medicaid and Non-Medicaid programs during the same reporting period.

<b>MHBG Table 10b.</b>																	
Report Period- From:	To:																
State Identifier:																	
	Not Hispanic or Latino				Hispanic or Latino				Hispanic or Latino Origin Unknown				Total				
	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Total
Medicaid Only																	
Non-Medicaid Only																	
People Served by Both Medicaid and Non-Medicaid Sources																	
Medicaid Status Unknown																	
<b>Total Served</b>																	
Comments on Data (for Ethnicity):																	
Comments on Data (for Gender):																	
Comments on Data (Overall):																	

Each row should have a unique (unduplicated) count of clients: (1) Medicaid Only, (2) Non-Medicaid Only, (3) Both Medicaid and Other Sources funded their treatment, and (4) Medicaid Status Unknown.





Adults (18 years and over)			
Age Not Available			
Comments on Data (State Hospital):			
Comments on Data (Other Inpatient):			
Comments on Data (Residential Treatment):			
Comments on Data (Community Programs):			
Comments on Data (Overall):			

**MHBG Table 12 (URS Table 12) State Mental Health Agency Profile**

*The purpose of this profile is to obtain information that provides a context for the data provided in the tables. This profile covers the populations served, services for which the state mental health agency is responsible, and data reporting capacities especially related to duplication of numbers served as well as certain summary administrative information.*

MHBG Table 12		
Report Year- From:		To:
State Identifier:		

**Populations Served**

1	Which of the following populations receive services operated or funded by the state mental health agency? Please indicate if they are included in the data provided in the tables (Check all that apply)			
	Populations Covered		Included in Data	
	State Hospitals	Community Programs	State Hospitals	Community Programs
1. Aged 0 to 3	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
2. Aged 4 to 17	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
3. Adults Aged 18 and over	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
4. Forensics	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
<b>Comments on Data:</b>				

<b>2</b>	<b>Do all of the adults and children served through the state mental health agency meet the federal definitions of serious mental illness and serious emotional disturbances?</b>
----------	---

- Serious Mental Illness
- Serious Emotional Disturbance

2.a.	If no, please indicate the percentage of persons served for the reporting period who met the federal definitions of serious mental illness and serious emotional disturbance:
2.a.1	Percent of adults meeting federal definition of SMI:
2.a.2	Percentage of children/adolescents meeting federal definition of SED:
<b>3 Co-Occurring Mental Health and Substance Abuse:</b>	
3.a.	What percentage of persons served by the SMHA for the reporting period have a dual diagnosis of mental illness and substance abuse?
3.a.1	Percentage of adults served by the SMHA who also have a diagnosis of substance abuse problem:
3.a.2	Percentage of children/adolescents served by the SMHA who also have a diagnosis of substance abuse problem:
3.b.	Percentage of persons served for the reporting period who met the federal definitions of adults with SMI and children with SED have a dual diagnosis of mental illness and substance abuse:
3.b.1	Percentage of adults meeting federal definition of SMI who also have a diagnosis of substance abuse problem:



3.b.2	Percentage of children/adolescents meeting the federal definition of SED who also have a diagnosis of substance abuse problem:			
3b.3	Please describe how you calculate and count the number of persons with co-occurring disorders:			
<b>4</b>	<b>State Mental Health Agency Responsibilities</b>			
a. Medicaid: Does the State Mental Health Agency have any of the following responsibilities for mental health services provided through Medicaid? <b>(Check All that Apply)</b>				
1. State Medicaid Operating Agency	<input type="checkbox"/>	Yes		
2. Setting Standards	<input type="checkbox"/>	Yes		
3. Quality Improvement/Program Compliance	<input type="checkbox"/>	Yes		
4. Resolving Consumer Complaints	<input type="checkbox"/>	Yes		
5. Licensing	<input type="checkbox"/>	Yes		
6. Sanctions	<input type="checkbox"/>	Yes		
7. Other				
<b>b. Managed Care (Mental Health Managed Care)</b>			Are Data for these programs reported on URS Tables?	
4.b.1	Does the state have a Medicaid Managed Care initiative?	<input type="checkbox"/>	Yes	
4.b.2	Does the State Mental Health Agency have any responsibilities for mental health services provided through Medicaid Managed Care?	<input type="checkbox"/>	Yes	
<b><i>If yes, please check the responsibilities the SMHA has:</i></b>				
4.b.3	Direct contractual responsibility and oversight of the MCOs or BHOs	<input type="checkbox"/>		Yes
4.b.4	Setting Standards for mental health services	<input type="checkbox"/>		Yes
4.b.5	Coordination with state health and Medicaid agencies	<input type="checkbox"/>		Yes
4.b.6	Resolving mental health consumer complaints	<input type="checkbox"/>		Yes
4.b.7	Input in contract development	<input type="checkbox"/>		Yes
4.b.8	Performance monitoring	<input type="checkbox"/>		Yes
4.b.9	Other			

**5 Data Reporting: Please describe the extent to which your information system allows the generation of unduplicated client counts between different parts of your mental health system. Please respond in particular for MHBG Table 13a and MHBG Table 13b, which require unduplicated counts of clients served across your entire mental health system.**

<i>Are data reporting in the tables?</i>		
5.a.	<b>Unduplicated:</b> counted once even if they were served in both State hospitals and community programs and if they were served in community mental health agencies responsible for different geographic or programmatic areas.	<input type="checkbox"/>
5.b.	<b>Duplicated:</b> across state hospital and community programs	<input type="checkbox"/>
5.c.	<b>Duplicated:</b> within community programs	<input type="checkbox"/>
5.d.	<b>Duplicated:</b> between Child and Adult Agencies	<input type="checkbox"/>
5.e.	<b>Plans for Deduplication:</b> If you are not currently able to provide unduplicated client counts across all parts of your mental health system, please describe your plans to obtain unduplicated client counts by the end of your Data Infrastructure Grant.	

**6 Summary Administrative Data**

6.a.	Report Year
6.b.	State Identifier

*Summary Information on Data Submitted by SMHA:*

6.c.	Year being reported: From: _____ To _____
6.d.	Person Responsible for Submission:
6.e.	Contact Phone Number:
6.f.	Contact Address:
6.g.	E-mail:

**MHBG Table 13a (URS Table 14A) Profile of Persons with SMI/SED served by Age, Gender and Race/Ethnicity**

This table requests counts for persons with SMI or SED using the definitions provided by SAMHSA. MHBG Table 8a and 8b (URS Table 2A and 2B) included all clients served by publicly operated or funded programs. This table counts only clients who meet the federal definition of SMI or SED. For many states, this table may be the same as MHBG Tables 8a and 8b (URS Table 2A and 2B). States should report using the federal definitions of SMI and SED if they can, if not, please report using your state's definitions of SMI and SED and provide information below describing your state's definition.

Please report the data under the categories listed - "Total" is calculated automatically.

MHBG Table 13a																					
Report Year- From:											To:										
State Identifier:																					
	Total					American Indian or Alaska Native				Asian				Black or African American				Native Hawaiian or Other Pacific Islander			
	Femal e	Male	Other	N/A	Total	Femal e	Male	Other	N/A	Femal e	Male	Other	N/A	Femal e	Male	Other	N/A	Femal e	Male	Other	N/A
0-12 years																					
13-17 years																					
18-20 years																					
21-24 years																					
25-44 years																					
45-64 years																					
65-74 years																					
75 and older																					
Age Not Available																					
Total																					
Comments on Data (for Age):																					
Comments on Data (for Gender):																					
Comments on Data (for Race/Ethnicity):																					
Comments on Data (Overall):																					

(Continued on next page)

MHBG Table 13a.

Report Year:

State Identifier:

	White				More Than One Race Reported				Race Not Available			
	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A
0-12 years												
13-17 years												
18-20 years												
21-24 years												
25-44 years												
45-64 years												
65-74 years												
75 and older												
Age Not Available												
Total												

1. State Definitions Match the Federal Definitions:			
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Adults with SMI, if No describe or attach state definition:
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Diagnoses included in state SMI definition:
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Children with SED, if No describe or attach state definition:
	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Diagnoses included in State SED definition:

**MHBG Table 13b (URS Table 14B) Profile of Persons with SMI/SED Served by Age, Gender and Ethnicity**

Of the total persons served, please indicate the age, gender and the number of persons who meet the federal definition of SMI and SED and who are Hispanic/Latino or not Hispanic/Latino. The total persons served who meet the federal definition of SMI or SED should be the total as indicated in MHBG Table 13b.

Please report the data under the categories listed - "Total" is calculated automatically.

MHBG Table 13b																	
Report Year- From:									To:								
State Identifier:																	
	Not Hispanic or Latino				Hispanic or Latino				Hispanic or Latino Origin Not Available				Total				
	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Total
0-12 years																	
13-17 years																	
18-20 years																	
21-24 years																	
25-44 years																	
45-64 years																	
65-74 years																	
75 and older																	
Age Not Available																	
<b>Total</b>																	
Comments on Data (for Age):																	
Comments on Data (for Gender):																	
Comments on Data (for Race/Ethnicity):																	
Comments on Data (Overall):																	

**MHBG Table 14 (URS Table 15A) Profile of persons served in community mental health setting, state psychiatric hospitals, and other settings for adults with SMI and children with SED**

This table provides a profile for **adults with Serious Mental Illness (SMI) and children with serious emotional disturbance (SED)** that received public funded mental health services in community services in hospitals, other psychiatric inpatient programs, residential treatment centers and Institutions under Justice System.

MHBG Table 14											
Report Year- From:								To:			
State Identifier:											
Service Setting	Age 0-17				Age 18-20				Age 21-64		
	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other
Community Mental Health Programs											
State Psychiatric Hospitals											
Other Psychiatric Inpatient											
Residential Treatment Centers											
Institutions under Justice Systems											

(Continued on next page)

MHBG Table 14													
Report Year- From:							To:						
State Identifier:													
Service Setting	Age 65+				Age Not Available				Total				
	Female	Male	Other	N/A	Female	Male	Other	N/A	Female	Male	Other	N/A	Total
Community Mental Health Programs													
State Psychiatric Hospitals													
Other Psychiatric Inpatient													
Residential Treatment Centers													
Institutions under Justice Systems													
Comments on Data (for Age):													
Comments on Data (for Gender):													
Comments on Data (Overall):													

**Note:** Clients can be duplicated between rows (e.g., the same client may be served in both state psychiatric hospitals and community mental health centers during the same year and thus would be reported in counts for both rows).

**Instructions:**

- 1 States that have county psychiatric hospitals which serve as surrogate state hospitals should report persons served in such settings as receiving services in state hospitals.
- 2 If forensic hospitals are part of the state mental health agency system, include them.
- 3 Persons who receive non-inpatient care in state psychiatric hospitals should be included in the Community MH Program Row.
- 4 Persons who receive inpatient psychiatric care through a private provider or medical provider licensed, or contracted through the SMHA, should be counted in the "Other Psychiatric Inpatient" row. Persons who receive Medicaid funded inpatient services through a provider that is not licensed or contracted by the SMHA should not be counted here.
- 5 A person who is served in both community and inpatient settings should be included in both rows.
- 6 RTC: CMHS has a standardized definition of RTC for Children: "An organization, not licensed as a psychiatric hospital, whose primary purpose is the provision of individually planned programs of mental health treatment services in conjunction with residential care for children and youth primarily 17 years old and younger. It has a clinical program that is directed by a psychiatrist, psychologist, social worker, or psychiatric nurse who has a master's degree or doctorate. The primary reason for the admission of the clients is mental illness that can be classified by either DSM-V or ICD-10 codes other than the codes for mental retardation, developmental disorders, and substance-related disorders such as drug abuse and alcoholism (unless these are co-occurring with a mental illness)."



## E. Performance Data and Outcomes

MHBG Table 15a (URS Table 4) *Profile of Adult Clients by Employment Status*. MHBG Table 15a describes the status of adult clients served in the report year by the public mental health system in terms of employment status. The focus is on employment for the working age population, recognizing, however, that there are clients who are disabled, retired or who are homemakers, caregivers, etc., and not a part of the workforce. These persons should be reported under the “Not in Labor Force” category. This category has two subcategories: retired and other (the totals of these two categories should equal the number listed in the "Not in Labor Force" column). Unemployed refers to persons who are looking for work but have not found employment. Data should be reported for clients in non-institutional settings at time of discharge or last evaluation.

MHBG Table 15b (URS Table 4A) *Optional Table: Profile of Adult Clients by Employment Status, by Primary Diagnosis Reported*. MHBG Table 15b requests information on the status of adult clients served in the report year by the public mental health system in terms of employment status by primary diagnosis reported.

MHBG Table 16 (URS Table 9) *Social Connectedness and Improved Functioning*. MHBG Table 16 requests states and jurisdictions to provide information for youth and adults regarding social connectedness. In addition, states are required to provide information on functional domains that provide a general sense of an individual’s ability to develop and maintain relationships, cope with challenges, and develop a sense of community belonging.

MHBG Table 17a (URS Table 11) *Summary Profile of Client Evaluation of Care*. MHBG Table 17a requests information that evaluates the “experience” of care for individuals that participate in the public mental health system. Specifically, the evaluation focuses on several areas including access, quality and the appropriateness of services, participation in treatment planning, and general satisfaction with services. Please provide unduplicated counts, if possible. This table provides an aggregate profile of persons served in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client's last known living situation.

MHBG Table 17b (URS Table 11A) *Optional Table: Consumer Evaluation of Care by Consumer Characteristics: Race/Ethnicity*. MHBG Table 17b requests information that evaluates the “experience” of care for individuals that participate in the public mental health system, broken down by race, ethnicity, and age category (adult or child/adolescent).

MHBG Table 18 (URS Table 15), *Living Situation Profile*. MHBG Table 18 requests information regarding the number of Individuals in Each Living Situation as collected by the most recent assessment in the reporting period. Specifically, information is collected on the individual’s last known living situation. Living situations include, but are not limited to, private residence, foster care, residential care, jail/correctional facility, homeless shelter and other.

MHBG Table 19 (URS Table 11) *Profile of Adults with Serious Mental Illness and Children with Serious Emotional Disturbances Receiving Specific Services*. MHBG Table 19 requests information regarding the number and demographics of individuals that are receiving specific evidence-based services. In addition, the table requests information on if and how states and jurisdictions monitor the fidelity for the evidence-based services.

MHBG Table 19a (URS Table 16a) *Adults with Serious Mental Illness and Children with Serious Emotion Disturbances receiving Evidence Based Services for First Episode Psychosis*. Table 19a requests information regarding the number of individuals that are receiving evidence-based services for First Episode Psychosis (FEP). In addition, the table requests information on if, and how, states and jurisdictions monitor the fidelity for the FEP evidence-based services.

MHBG Table 19b *Adults with Serious Mental Illness and Children with Serious Emotional Disturbances Receiving Crisis Response Services*. Table 19b requests information to assess the usage of crisis response services by adults and children in the state.

MHBG Table 20a (URS Table 16) *Profile of Adults with Serious Mental Illnesses and Children with Serious Emotional Disturbances Receiving Specific Services*. MHBG Table 20a requests information regarding the number and demographics of individuals that are receiving specific evidence-based services. In addition, the table requests information on if, and how, states and jurisdictions monitor the fidelity for the evidence-based services.

MHBG Table 20b (URS Table 17) *Profile of Adults with Serious Mental Illnesses Receiving Specific Services during the Year*. MHBG Table 20b provides the number of unduplicated Adults with SMI receiving family psycho education, integrated treatment for co-occurring disorders, illness self-management and medication management.

MHBG Table 21 (URS Table 19A) *Profile of Criminal Justice or Juvenile Justice Involvement*. MHBG Table 21 requests information to measure the change in arrests over time.

MHBG Table 22 (URS Table 19B) *Profile of Change in School Attendance*. MHBG Table 22 measures the change in days attended over time. Information required includes information on suspensions, expulsions, and changes in the school attendance.

MHBG Table 23a (URS Table 20A) *Profile of Non-Forensic (Voluntary and Civil-Involuntary) Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge*. MHBG Table 23a provides the total number of discharges within the year, the number of readmissions within 30-days and 180-days and the percent readmitted by age, gender, race, and ethnicity.

MHBG Table 23b (URS Table 20B) *Profile of Forensic Patients Readmission to Any State Psychiatric Inpatient Hospital within 30/180 Days of Discharge*. MHBG Table 23 provides the total number of discharges within the year, the number of readmissions within 30-days and 180-days and the percent readmitted by age, gender, race, and ethnicity.

MHBG Table 24 (URS Table 21) Optional Table: *Profile of Non-Forensic (Voluntary and Civil-Involuntary Patients) Readmission to Any Psychiatric Inpatient Care Unit (State Operated or Other Psychiatric Inpatient Unit) within 30/180 Days of Discharge*. MHBG Table 24 provides the total number of discharges from inpatient care units within the year, the number of readmissions within 30-days and 180-days and the percent readmitted by age, gender, race, and ethnicity.

**MHBG Table 15a (URS Table 4) Profile of Adult Clients by Employment Status**

This table describes the status of adult clients served in the reporting year by the public mental health system, in terms of employment status. The focus is on employment for the working age population, recognizing, however, there are clients who are disabled, retired, or who are homemakers, caregivers, etc., and not a part of the workforce. These persons should be reported in the "Not in Labor Force" category. This category has two subcategories: retired and other, (the totals of these two categories should equal the number listed in the "Not in Labor Force" column). Unemployed refers to persons who are looking for work but have not found employment. **Data should be reported for clients in non-institutional settings at time of discharge or last evaluation.**

MHBG Table 15a																					
Report Year- From:											To:										
State Identifier:																					
	18-20				21-64				65+				Age Not Available				Total				
	Femal e	Mal e	Othe r	N/ A	Femal e	Mal e	Othe r	N/ A	Femal e	Male	Othe r	N/ A	Femal e	Mal e	Othe r	N/ A	Femal e	Mal e	Othe r	N/ A	Tot al
<b>Employed:</b>																					
Competitively Employed Full or Part Time (includes Supported Employment)																					
<b>Unemployed</b>																					
<b>Not in Labor Force:</b>																					
Retired, Sheltered Employment, Sheltered Workshops, Other (homemaker, student, volunteer, disabled, etc.)																					
<b>Not Available</b>																					
<b>Total</b>																					
<b>How Often Does your State Measure Employment Status?</b>																					
<b>What populations are included:</b>																					
Comments on Data (for Age):																					
Comments on Data (for Gender):																					
Comments on Data (Overall):																					

**MHBG Table 15b (URS Table 4A) Optional Table: Profile of Adult Clients by Employment Status: by Primary Diagnosis Reported**

The workgroup exploring employment found that the primary diagnosis of consumer results in major differences in employment status. The workgroup has recommended that we explore the ability of states to report employment by primary diagnosis and the impact of diagnosis on employment. The workgroup recommended 5 diagnostic clusters for reporting.

<b>MHBG Table 15b</b>					
Report Year- From:			To:		
State Identifier:					
<b>Clients Primary Diagnosis</b>	<b>Employed: Competitively Employed Full or Part Time (includes Supported Employment)</b>	<b>Unemployed</b>	<b>Not In Labor Force: Retired, Sheltered Employment, Sheltered Workshops, Other (homemaker, student, volunteer, disabled, etc.)</b>	<b>Employment Status Not Available</b>	<b>Total</b>
Schizophrenia & Related Disorders (F20, F25)					
Bipolar and Mood Disorders (F30, F31, F32, F33, F34.1, F60.89, F34.0, F32.9)					
Other Psychoses (F22, F23, F24, F29)					
All Other Diagnoses					
No DX and Deferred DX (R69, R99, Z03.89)					
<b>Diagnosis Total</b>					
Comments on Data (for Diagnosis):					

<b>MHBG Table 16 (URS Table 9): SOCIAL CONNECTEDNESS AND IMPROVED FUNCTIONING</b>				
MHBG Table 16: NOMS Social Connectedness & Functioning				
Report Year (Year Survey was Conducted):				
State Identifier:				
<b>Adult Consumer Survey Results:</b>				
1. Social Connectedness				
2. Functioning				
<b>Child/Adolescent Consumer Survey Results:</b>				
3. Social Connectedness				
4. Functioning				
Comments on Data:				

Adult Social Connectedness and Functioning Measures		
1. Did you use the recommended new Social Connectedness Questions? <input type="checkbox"/> Yes <input type="checkbox"/> No		Measure used
2. Did you use the recommended new Functioning Domain Questions? <input type="checkbox"/> Yes <input type="checkbox"/> No		Measure used
3. Did you collect these as part of your MHSIP Adult Consumer Survey? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If no, what source did you use?		
Child/Family Social Connectedness and Functioning Measures		
4. Did you use the recommended new Social Connectedness Questions? <input type="checkbox"/> Yes <input type="checkbox"/> No		Measure used
5. Did you use the recommended new Functioning Domain Questions? <input type="checkbox"/> Yes <input type="checkbox"/> No		Measure used
6. Did you collect these as part of your YSS-F Survey? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If No, what source did you use?		
Recommended Scoring Rules		
<i>Please use the same rules for reporting Social Connectedness and Functioning Domain scores as for calculating other Consumer Survey Domain scores for Table MHBG Table 18a: e.g.:</i>		
1. Recode ratings of “not applicable” as missing values.		
2. Exclude respondents with more than 1/3 of the items in that domain missing.		
3. Calculate the mean of the items for each respondent.		
4. FOR ADULTS: calculate the percent of scores less than 2.5 (percent agree and strongly agree).		
5. FOR YSS-F: calculate the percent of scores greater than 3.5 (percent agree and strongly agree).		
MHBG Table 17a (URS Table 11): Summary Profile of Client Evaluation of Care		
MHBG Table 17a		
Report Year (Year Survey was Conducted):		
State Identifier:		
<b>Adult Consumer Survey Results:</b>		
1. Reporting Positively About Access		
2. Reporting Positively About Quality and Appropriateness for Adults		
3. Reporting Positively About Outcomes		
4. Adults Reporting on Participation in Treatment Planning		
5. Adults Positively about General Satisfaction with Services		
<b>Child/Adolescent Consumer Survey Results:</b>		
1. Reporting Positively About Access		
2. Reporting Positively about General Satisfaction for Children		
3. Reporting Positively about Outcomes for Children		
4. Family Members Reporting on Participation in Treatment Planning for their Children		
5. Family Members Reporting High Cultural Sensitivity of Staff		
<b>Please enter the number of persons responding positively to the questions and the number of total responses within each group. Percent positive will be calculated from these data.</b>		
<b>* Please report Confidence Intervals at the 95% level. See directions below regarding the calculation of confidence intervals.</b>		
Comments on Data:		
Adult Consumer Surveys		
1. Was the Official 28 Item MHSIP Adult Outpatient Consumer Survey Used?		
1. a. If no, which version:		

1. Original 40 Item Version				
2. 21-Item Version				
3. State Variation of MHSIP				
4. Other Consumer Survey				
1. b. If other, please attach instrument used.				
1. c. Did you use any translations of the MHSIP into another language?				
2. Other Language:				
<b>Adult Survey Approach:</b>				
2. Populations covered in survey? (Note all surveys should cover all regions of state)				
2.a. If a sample was used, what sample methodology was used?				
4. Other Sample:				
<b>Adult Consumer Surveys (Continued)</b>				
2.b Do you survey only people currently in services, or do you also survey persons no longer in service?				
3. Please Describe the populations included in your sample: (e.g., all adults, only adults with SMI, etc.)				
3.4 Other: describe: (for example, if you survey anyone served in the last 3 months, describe that here):				
4. Methodology of collecting data? ( <b>Check all that apply</b> )				
	<b>Self-Administered</b>	<b>Interview</b>		
<b>Phone</b>				
<b>Mail</b>				
<b>Face-to-face</b>				
<b>Web-Based</b>				
4.b Who administered the Survey? ( <b>Check all that apply</b> )				
6. Other: describe:				
5. Are Responses Anonymous, Confidential, or Linked to other Patient Databases?				
6. Sample Size and Response Rate:				
6.a How many Surveys were Attempted (sent out or calls initiated)?				
6.b How many survey Contacts were made? (surveys to valid phone numbers or addresses)				
6.c How many surveys were completed? (survey forms returned or calls completed)				
6.d What was your response rate? (number of Completed surveys divided by number of Contacts)				
6.e If you receive "blank" surveys back from consumers (surveys with no responses on them), did you count these surveys as "completed" for the calculation of response rates?				
7. Who Conducted the Survey?				
7.a SMHA Conducted or contracted for the Survey (survey done at state level)				
7.b Local Mental Health Providers/County mental health providers conducted or contracted for the survey				
(survey was done at the local or regional level)				
7.c Other: Describe:				
<p>* Report Confidence Intervals at the 95 percent confidence level</p> <p><i>Note: The confidence interval is the plus-or-minus figure usually reported in newspaper or television opinion poll results. For example, if you use a confidence interval of 4 and 47 percent of your sample picks an answer you can be "sure" that if you had asked the question of the entire relevant population between 43 percent (47-4) and 51 percent (47+4) would have picked that answer.</i></p> <p><i>The confidence level tells you how sure you can be. It is expressed as a percentage and represents how often the true percentage of the population who would pick an answer lies within the confidence interval. The 95 percent confidence level means you can be 95 percent certain; the 99 percent confidence level means you can be 99</i></p>				

percent certain. Most researchers use the 95 percent confidence level.  
 When you put the confidence level and the confidence interval together, you can say that you are 95 percent sure that the true percentage of the population is between 43 percent and 51 percent. (From www.surveysystem.com)

**Child/Family Consumer Surveys**

1. Was the MHSIP Children/Family Survey (YSS-F) Used?

If No, what survey did you use?

*If no, please attach instrument used.*

1.c Did you use any translations of the Child MHSIP into another language?

2. Other Language:

**Child Survey Approach:**

2. Populations covered in survey? (Note: all surveys should cover all regions of state)

2.a If a sample was used, what sample methodology was used?

4. Other Sample:

2.b Do you survey only people currently in services, or do you also Survey Persons no longer in service?

2a. If yes to 2, please describe how your survey persons no longer receiving services.

3. Please Describe the populations included in your sample: (e.g., all children, only children with SED, etc.)

3.4 Other: describe: (for example, if you survey anyone served in the last 3 months, describe that here):

4. Methodology of collecting data? **(Check all that apply)**

	<b>Self-Administered</b>	<b>Interview</b>		
<b>Phone</b>				
<b>Mail</b>				
<b>Face-to-face</b>				
<b>Web-based</b>				

4.b. Who administered the Survey? (Check all that apply)

6. Other: describe:

5. Are Responses Anonymous, Confidential, or Linked to other Patient Databases?

6. Sample Size and Response Rate:

6.a. How many Surveys were Attempted (sent out or calls initiated)?

6.b. How many survey Contacts were made? (surveys to valid phone numbers or addresses)

6.c. How many surveys were completed? (survey forms returned or calls completed)

6.d. What was your response rate? (number of Completed surveys divided by number of Contacts)

6.e. If you receive "blank" surveys back from consumers (surveys with no responses on them), did you count these surveys as "completed" for the calculation of response rates?

**7. Who Conducted the Survey?**

7.a. SMHA Conducted or contracted for the Survey (survey done at state level)

7.b. Local Mental Health Providers/County mental health providers conducted or contracted for the survey (survey was done at the local or regional level)

7.c. Other: Describe:

**MHBG Table 17b (URS Table 11A) Consumer Evaluation of Care by Consumer Characteristics: (Optional Table by Race/Ethnicity.)**

Table 17b.																			
Report Year: From:		To:																	
State Identifier:																			
<b>Adult Consumer Survey Results:</b>																			
*State used the 2 question version for Hispanic Origin		Yes		No		<input type="radio"/>												<i>Please check the appropriate box on the left. The "Totals" formula will automatically adjust to account for which method your state used to ask about Hispanic Origin/Status</i>	
Indicators	Total		American Indian or Alaska Native		Asian		Black or African American		Native Hawaiian or Other Pacific Islander		White		More than One Race Reported		Other/ Not Available		Hispanic Origin*		
	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	
Adult Consumer Survey Results:																			
1. Reporting Positively About Access.																			
2. Reporting Positively About Quality and Appropriateness.																			
3. Reporting Positively About Outcomes.																			
4. Reporting Positively about Participation in Treatment Planning																			
5. Reporting Positively about General Satisfaction																			
6. Social Connectedness																			
7. Functioning																			
<b>Child/Adolescent Family Survey Results:</b>																			
*State used the 2 question version for Hispanic Origin		Yes		No		<input type="radio"/>												<i>Please check the appropriate box on the left. The "Totals" formula will automatically adjust to account for which method your state used to ask about Hispanic Origin/Status</i>	
Indicators	Total		American Indian or Alaska Native		Asian		Black or African American		Native Hawaiian or Other Pacific Islander		White		More than One Race Reported		Other/ Not Available		Hispanic Origin*		
	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	# Positive	Responses	
Child/Adolescent Family Survey Results:																			
Reporting Positively About Access.																			
Reporting Positively About General Satisfaction																			
Reporting Positively About Outcomes.																			
Reporting Positively Participation in Treatment Planning for their Children.																			
Reporting Positively About Cultural Sensitivity of Staff.																			
6. Social Connectedness																			
7. Functioning																			
<p>Comments on Data:</p> <p>Please enter the number of persons responding positively to the questions and the number of total responses within each group. Percent positive will be calculated from these data.</p>																			

**MHBG Table 18 (URS Table 15). Living Situation Profile:**

**Number of Clients in Each Living Situation as Collected by the Most Recent Assessment in the Reporting Period  
All Mental Health Programs by Age, Gender, and Race/Ethnicity**

Please provide unduplicated counts, if possible. This table provides an aggregate profile of persons served in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client's last known Living Situation.

<b>MHBG Table 18</b>											
Report Year- From:						To:					
State Identifier:											
	Private Residence	Foster Home	Residential Care	Crisis Residence	Children's Residential Treatment	Institutional Setting	Jail/Correctional Facility	Homeless/ Shelter	Other	NA	Total
0-17											
18-64											
65 +											
Not Available											
<b>TOTAL</b>											
Female											
Male											
Other											
Not Available											
<b>TOTAL</b>											
American Indian/Alaska Native											
Asian											
Black/African American											
Hawaiian/Pacific Islander											
White/Caucasian											
More than One Race Reported											
Race/Ethnicity Not Available											
<b>TOTAL</b>											

(Continued on next page)



**MHBG Table 18 (cont.) Living Situation Profile:**

**Number of Clients in Each Living Situation as Collected by the Most Recent Assessment in the Reporting Period  
All Mental Health Programs by Age, Gender, and Race/Ethnicity**

Please provide unduplicated counts, if possible. This table provides an aggregate profile of persons served in the reporting year. The reporting year should be the latest state fiscal year for which data are available. This profile is based on a client's last known Living Situation.

Please report the data under the Living Situation categories listed - "Total" is calculated automatically.

MHBG Table 18											
Report Year- From:						To:					
State Identifier:											
	Private Residence	Foster Home	Residential Care	Crisis Residence	Children's Residential Treatment	Institutional Setting	Jail/ Correctional Facility	Homeless/ Shelter	Other	NA	Total
Hispanic or Latino Origin											
Non-Hispanic or Latino Origin											
Hispanic or Latino Origin Not Available											
<b>TOTAL</b>											
Comments on Data:											

At Admission   
  At Discharge   
  Monthly   
  Quarterly   
  Other: describe

**How Often Does your State Measure Living Situation?**

\* Hispanic: Only use the "Hispanic" row under Race if data for Hispanic as an Ethnic Origin are not available

# Table 19 - (URS Services:

**PLEASE 1**

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Table 19.



**MHBG Table 19b (URS Table 16B) Adults with Serious Mental Illness and Children with Serious Emotional Disturbances Receiving Crisis Response Services.**

This table provides the number and percentage of the respective population of adults with serious mental illness and children with serious emotional disturbances that are receiving Crisis Response services. The reporting year should be the latest state fiscal year for which data are available.

<b>Table 16b</b>				
State Identifier:				
Report Period	<b>From:</b>		<b>To:</b>	
<b>Service</b>	<b>Actual Number of Adults Served via Service</b>	<b>Estimated Percentage of Adult Population with Access to Service</b>	<b>Actual Number of Children Served via Service</b>	<b>Estimated Percentage of Child Population with Access to Service</b>
Call Centers				
24/7 Mobile Crisis Teams				
Crisis Stabilization Programs				

**MHBG Table 20 (URS Table 17): Profile of Adults with Serious Mental Illnesses Receiving Specific Services During the Year.**

<b>MHBG Table 20</b>				
Report Year- From	To:			
State Identifier:				
	<b>ADULTS WITH SERIOUS MENTAL ILLNESS</b>			
	Receiving Family Psychoeducation	Receiving Integrated Treatment for Co-occurring Disorders (MH/SA)	Receiving Illness Self-Management	Receiving Medication Management
<b>Age</b>				
18-20				
21-64				
65-74				
75+				
Not Available				
<b>TOTAL</b>				
<b>Gender</b>				
Female				
Male				
Other				
Not Available				
<b>Race</b>				
American Indian/ Alaska Native				
Asian				
Black/African American				
Hawaiian/Pacific Islander				
White				
More than one race				
Unknown				
<b>Ethnicity</b>				
<b>Hispanic/Latino Origin</b>				
<b>Non-Hispanic/Latino</b>				
<b>Hispanic origin not available</b>				
Do you monitor fidelity for this service?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Yes <input type="checkbox"/> No	Yes <input type="checkbox"/> No
<b>IF YES,</b>				
What fidelity measure do you use?				
Who measures fidelity?				
How often is fidelity measured?				
Have staff been specifically trained to implement the EBP?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

\* Hispanic is part of the total served.

Comments on Data (overall):

Comments on Data (Family Psycho-education):

Comments on Data (Integrated Treatment for Co-occurring Disorders):

Comments on Data (Illness Self-Management):

Comments on Data (Medication Management):

\* Hispanic: Only use the "Hispanic" row under Race, if data for Hispanic as an Ethnic Origin, are not available



**Please Describe the Sources of your Criminal Justice Data**

Source of <b>adult</b> criminal justice information	<input type="checkbox"/> 1) Consumer survey (recommended questions)	<input type="checkbox"/> 2) Other Consumer Survey: Please send copy of questions	<input type="checkbox"/> 3) Mental health MIS
	<input type="checkbox"/> 4) State criminal justice agency	<input type="checkbox"/> 5) Local criminal justice agency	<input type="checkbox"/> 6) Other (specify)_____
Sources of <b>children/youth</b> criminal justice Information:	<input type="checkbox"/> 1) Consumer survey (recommended questions)	<input type="checkbox"/> 2) Other Consumer Survey: Please send copy of questions	<input type="checkbox"/> 3)Mental health MIS
	<input type="checkbox"/> 4) State criminal/juvenile justice agency	<input type="checkbox"/> 5) Local criminal/juvenile justice agency	<input type="checkbox"/> 6) Other (specify)_____

Measure of **adult** criminal Involvement: 1) Arrests 2) Other (specify):

Measure of **children/youth** criminal justice Involvement: 1) Arrests 2) Other (specify):

Mental health programs included: 1)Adults with SMI only 2) Other adults (specify)\_\_\_\_\_ 3) Both (all adults)  
1) Children with SED only 2) Other children (specify)\_\_\_\_\_ 3) Both (all children)

Region for which **adult** data are reported: 1) The whole state 2) Less than the whole state (please describe):

Region for which **children/youth** data are reported: 1) The whole state 2) Less than the whole state (please describe):

What is the Total Number of Persons Surveyed, or for whom Criminal Justice Data are Reported

	Child/Adolescents	Adults
1. If data is from survey, what is the total number of people from which the sample was drawn?		
2. What was your sample size (How many individuals were selected for the sample)?		
3. How many survey contacts were made (surveys to valid phone numbers or addresses)?		
4. How many surveys were completed (survey forms returned or calls completed), if data source was not a survey? How many persons were CJ data available for?		
5. What was your response rate? (number of completed surveys divided by number of contacts):		

**State Comments/Notes:**

**Instructions:**

If you have responses to a survey by person not in the expected age group, you should include those responses with other responses from the survey (e.g., if a 16 or 17 year old responds to the Adult MHSIP survey, please include their responses in the Adult categories, since that was the survey they used).



### Table 22 (URS Table 19b) Profile of Change in School Attendance

1. The SAMHSA National Outcome Measure for School Attendance measures the change in days attended over time. The DIG Outcomes Workgroup pilot tested 3 consumer self-report items that can be used to provide this information. If your state has used the 3 Consumer Self-Report items on School Attendance, you may report them here.
2. If your SMHA has data on School Attendance from alternatives sources, you may also report that here. If you only have data for School attendance for consumers in this year, please report that in the T2 columns. If you can calculate the change in Attendance from T1 to T2, please use all these columns.
3. Please complete the check boxes at the bottom of the table to help explain the data sources that you used to complete this table.
4. Please tell us anything else that would help us to understand your indicator (e.g., list survey or MIS questions; describe linking methodology and data sources; specify time period for criminal justice involvement; explain whether treatment data are collected).

**Table22. Profile of Change in School Attendance**

State: _____		Time-period in which services were received: _____																		
<b>For Consumers in Service for at least 12 months</b>																				
	T1			T2			T1 to T2 Change						Impact of Services							
	"T1" Prior 12 months (more than 1 year ago)			"T2" Most Recent 12 months (this year)			If Suspended at T1 (Prior 12 Months)			If Not Suspended at T1 (Prior 12 Months)			Over the last 12 months, the number of days my child was in school have							
	# Suspended or Expelled	# Not Suspended or Expelled	No Response	# Suspended or Expelled	# Not Suspended or Expelled	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# with an Expelled or Suspended in T2	# with No Suspension or Expulsion at T2	No Response	# Greater (Improved)	# Stayed the Same	# Fewer days (gotten worse)	# Not Applicable	No response	Total Responses		
<b>Total</b>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
<b>Gender</b>																				
Female																		0		
Male																		0		
Other																		0		
Gender NA																		0		
<b>Age</b>																				
Under 18																		0		
<b>For Consumers Who Began Mental Health Services during the past 12 months</b>																				
	T1			T2			T1 to T2 Change						Impact of Services							
	"T1" 12 months prior to beginning services			"T2" Since Beginning Services (this year)			If Suspended at T1 (Prior 12 Months)			If Not Suspended at T1 (Prior 12 Months)			Since starting to receive MH Services, the number of days my child was in school have							
	# Suspended	# Not	No	# Suspended	# Not	No	# with an Expelled or	# with No Suspension		# with an Expelled or	# with No Suspension	No	# Greater	# Stayed	# Fewer days	# Not	No	Total		
<b>Total</b>	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
<b>Gender</b>																				
Female																		0		
Male																		0		
Other																		0		
Gender NA																		0		
<b>Age</b>																				
Under 18																		0		
See Page 2 for additional Questions about the source of this data.																				
Source of School Attendance Information    1) Consumer survey (recommended items)    2) Other Survey: Please send us items    3) Mental health MIS 4) State Education Department    5) Local Schools/Education Agencies    6) Other (specify)																				
Measure of School Attendance    1) School Attendance    2) Other: (Specify)																				
Mental health programs include: <input type="checkbox"/> 1) Children with SED only <input type="checkbox"/> 2) Other Children (specify) <input type="checkbox"/> 3) Both.																				
Region for which data are reported:    1) The whole state    2) Less than the whole state (please describe)																				
<b>What is the Total Number of Persons Surveyed or for whom School Attendance Data Are Reported</b>																				
1. If data is from a survey, what is the total number of people from which the sample was drawn?																				
<table border="1" style="width: 100%; height: 20px;"> <tr><td> </td></tr> <tr><td> </td></tr> <tr><td> </td></tr> </table>																				

2. What was your sample size? (How many individuals were selected for the sample)?		
3. How many survey contacts were made? (surveys to valid phone numbers or addresses)		

**MHBG Table 23a (URS Table 20A) Profile of Non-Forensic (Voluntary and Civil-Involuntary) Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge**

<b>MHBG Table 23a.</b>					
Report Year- From: To:					
State Identifier:					
	Total number of Discharges in Year	Number of Readmissions to ANY STATE Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
<b>TOTAL</b>	0	0	0		
<b>Age</b>					
0-12					
13-17					
18-20					
21-64					
65-74					
75+					
Not Available					
<b>Gender</b>					
Female					
Male					
Other					
Gender Not Available					
<b>Race</b>					
American Indian/ Alaska Native					
Asian					
Black/African American					
Hawaiian/Pacific Islander					
White					
More than one race					
Race Not Available					
<b>Hispanic/Latino Origin</b>					
Hispanic/Latino Origin					
Non-Hispanic/Latino					
Hispanic/Latino Origin Not Available					
Are Forensic Patients Included?					
Comments on Data:					

**MHBG Table 23b (URS Table 20B) Profile of Forensic Patients Readmission to Any State Psychiatric Inpatient Hospital Within 30/180 Days of Discharge**

**MHBG Table 23b.**

Report Year- From: To:

State Identifier:

	Total number of Discharges in Year	Number of Readmissions to ANY STATE Hospital within		Percent Readmitted	
		30 days	180 days	30 days	180 days
<b>TOTAL</b>	0	0	0		
<b>Age</b>					
0-12					
13-17					
18-20					
21-64					
65-74					
75+					
Not Available					
<b>Gender</b>					
Female					
Male					
Other					
Gender Not Available					
<b>Race</b>					
American Indian/ Alaska Native					
Asian					
Black/African American					
Hawaiian/Pacific Islander					
White					
More than one race					
Race Not Available					
<b>Hispanic/Latino Origin</b>					
Hispanic/Latino Origin					
Non-Hispanic/Latino					
Hispanic/Latino Origin Not Available					

Comments on Data:

---

**MHBG Table 24 (URS Table 21) Profile of Non-Forensic (Voluntary and Civil-Involuntary Patients) Readmission to Any Psychiatric Inpatient Care Unit (State Operated or Other Psychiatric Inpatient Unit) Within 30/180 Days of Discharge**

<b>MHBG Table 24</b>					
Report Year- From: To:					
State Identifier:					
	Total number of Discharges in Year	Number of Readmissions to ANY Psychiatric Inpatient Care Unit Hospital within the state		Percent Readmitted	
		30 days	180 days	30 days	180 days
<b>TOTAL</b>	0	0	0		
<b>Age</b>					
0-12					
13-17					
18-20					
21-64					
65-74					
75+					
Not Available					
<b>Gender</b>					
Female					
Male					
Other					
Gender Not Available					
<b>Race</b>					
American Indian/ Alaska Native					
Asian					
Black/African American					
Hawaiian/Pacific Islander					
White					
More than one race					
Race Not Available					
<b>Hispanic/Latino Origin</b>					
Hispanic/Latino Origin					
Non-Hispanic/Latino					
Hispanic/Latino Origin Not Available					
1. Does this table include readmission from state psychiatric hospitals?					
2. Are Forensic Patients Included?					
Comments on Data:					