UI REPORT HANDBOOK NO.401 ETA 9049 – PROFILING AND REEMPLOYMENT SERVICES OUTCOMES

ETA 9049 – PROFILING AND REEMPLOYMENT SERVICES OUTCOMES

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ETA 9049 – PROFILING AND REEMPLOYMENT SERVICES OUTCOMES

A. Facsimile of Form.

	STATE:		REGION:		REPORT FOR PERIOD ENDING:		
SECTION A. CLAIMS EXPERIENCE FOR THOSE PROFILED AND REFERRED CLAIMANTS WHO WERE FIRST REFERRED IN REPORT QUARTER:							
1.	Number Exhausted						
2.	Average Compensated Duration						
3.	Average Benefits Paid						
4.	Base Period Wages						
SECTION B. EMPLOYMENT ACTIVITY FOR THOSE PROFILED CLAIMANTS WHO WERE FISRT REFERRED IN THE REPORT QUARTER:							
			Quarter After Referral				
			1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	
Quarter Ending							
1.	Number Employed						
2.	Base Period Wages						
3.	Number Employed in Different	Industry					
4.	Wages Earned in Quarter						

Comments:

O M B No.: 1205-0353 **O M B Expiration Date:** xx/xx/xxxx **O M B Burden Hours:** 5 minutes

O M B Burden Statement: These reporting instructions have been approved under the Paperwork reduction Act of 1995. Persons are not required to respond to this collection of information unless it displays a valid OMB control number. Public reporting burden for this collection of information includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Submission is mandatory under SSA 303(a)(6). Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, Office of Unemployment Insurance, Room S.4524, 200 Constitution Ave., NW, Washington, DC, 20210.

B. Purpose

The ETA 9049 report contains information on the employment outcomes of claimants who are identified as likely to exhaust their UI benefits through the Worker Profiling and Reemployment Services (WPRS) program. The population for this report is the claimants who were selected for referral to reemployment services and referred to such services. The report uses existing administrative data to compute the numbers of individuals who subsequently became reemployed under covered employment and the numbers who were employed in a different industry. The report also details their current wages, allowing comparisons to their base period earnings.

C. Due Date and Transmittal

The report is due in the ETA National Office on the 20th of the 20th month following the quarter in which the claimants were first referred to services.

Quarter First Referred	Quarters Reemployment Experience	Due Date	
Jan – Mar 2006	Apr 2006 – Mar 2007	Nov 20, 2007	
Apr – Jun 2006	Jul 2006 – Jun 2007	Feb 20, 2008	
Jul – Sep 2006	Oct 2006 – Sep 2007	May 20, 2008	
Oct – Dec 2006	Jan 2007 – Dec 2007	Aug 20, 2008	

Examples of time frames are given below:

D. General Reporting Instructions

Data on this report deal with individuals in the regular Unemployment Insurance program who are profiled as to permanency of layoff and likelihood of exhaustion of benefits and who subsequently are selected from a "pool" of individuals identified as likely to exhaust benefits and referred from the unemployment insurance system to a service provider. Each report submission follows a cohort of these individuals who were first referred to services in a particular quarter to determine:

- 1. What is their experience under the regular program,
- 2. If they become reemployed in the following four quarters, in which quarters are they employed,
- 3. What wages they earn in those quarters, and
- 4. If the new employment reflected an industry change.

Section B. will be obtained from the state's wage records using a Social Security Number match. Information reported is for claimants referred during the report quarter.

Edit checks can be found in Handbook 402, Unemployment Insurance Required Reports User's Manual, Appendix C.

E. Definitions

Definitions, unless otherwise specifically noted, will follow the definitions found on the ETA 9048 and the ETA 5159. The profiled and referred claimants used as a basis for this report will be equal to the number of individuals reported as profiled and referred from the selection pool on the ETA 9048, item A.3., which was reported for the same report quarter.

- 1. <u>Number Employed</u>. The total number of claimants who were referred from the selection pool to services in the report quarter and who appeared on wage record files as having been employed in the quarter of reference.
- 2. <u>Base Period Wages.</u> The total amount of base period wages for all those profiled and referred claimants in the category specified. In Section A, it will be for all profiled claimants who were referred from the selection pool in the report quarter. For Section B, this will be the total amount of base period wages for all profiled claimants referred in the report quarter and who appeared on wage record files as having been employed in the quarter of reference.
- 3. <u>Number Employed in Different Industry</u>. The total number of profiled claimants who were referred to services from the selection pool in the report quarter who appeared in wage record files as having been employed in the quarter of reference and who were employed in a different two digit North American Industry Classification System (NAICS) code than that of their employer of record for their base period. Some states use primary employer while others use separating employer as their base period employer of record. Either is acceptable as long as it is reported consistently.
- 4. <u>Wages Earned in the Quarter.</u> The total amount of wages earned in the quarter of reference for those profiled claimants who were referred from the selection pool to services in the report quarter and who appeared on wage record files as having been employed in the quarter of reference. Each claimant's wages should be reported in each quarter in which he/she worked.

F. Item by Item Instructions

- 1. <u>Section A. Claims Experience.</u>
 - a. <u>Item 1. Number Exhausted</u>. Enter the number of profiled claimants who were first referred from the selection pool to services during the report quarter who subsequently exhausted their benefits.
 - b. <u>Item 2. Average Compensated Duration</u>. Enter the average number of weeks compensated for profiled claimants who were first referred from the selection

pool to services during the report quarter. This is calculated by adding up all weeks compensated for these individuals and dividing by the number of individuals.

- c. <u>Item 3. Average Total Benefits Paid</u>. Enter the average benefits paid to those profiled and referred claimants in their benefit year who were first referred from the selection pool to services during the report quarter.
- d. <u>Item 4. Base Period Wages</u>. Enter the total base period wages for all profiled and referred claimants who were first referred from the selection pool during the report quarter.
- 2. <u>Section B. Employment Activity</u>
 - a. <u>Item 1. Number Employed</u>. For each quarter, enter the number of profiled claimants who were first referred to services during the report quarter who were employed in the referenced quarter. Count each claimant in each quarter in which he/she was employed.
 - b. <u>Item 2. Base Period Wages</u>. For each quarter, enter the total amount of base period wages for all those in B.1.
 - c. <u>Item 3. Number Employed in Different Industry</u>. For each quarter, enter the number of claimants reported in B.1. who were employed in an industry other than the industry of the base period employer. The State may use either last employer or primary employer, whichever is customary but should be consistent. Industry comparison will be done at the two digit North American Industry Classification System (NAICS) level.
 - d. <u>Item 4. Wages Earned in Quarter</u>. For each quarter, enter the total amount of wages reported in the quarter for those in B.1. Report wages in each quarter in which the claimant was employed.