## Pilot Plan for the Interim Local Health Department (LHD) Strategy for Response, Control, and Prevention of Healthcare Associated Infections (HAI) and Antibiotic Resistance (AR)

Request for OMB approval of a New Information Collection

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**Supporting Statement B** 

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The data collection does not involve statistical methods. The purpose of the collection is to garner feedback from individual local health departments to update and improve the Interim Local Strategy and will not make statistical generalizations beyond the particular respondents.

#### 1. Respondent Universe and Sampling Methods

CDC will collect information from LHDs based on their elective participation in CDC's piloting of the Interim Local Strategy and/or their enrollment in an upcoming funding opportunity with one of CDC's partners, the National Association of County and City Health Officials (NACCHO). CDC and NACCHO will fund up to 30 LHDs based on their response to a forthcoming RFA. Those that participate via NACCHO funding will be required to submit their feedback on the Interim Local Strategy to CDC as a condition of participation/receiving funds. LHDs that do not receive funding from NACCHO are able to voluntarily submit feedback on the interim strategy, though they are not required to do so.

#### 2. Procedures for the Collection of Information

CDC will conduct a structured data collection from LHDs through interviews and electronic surveys that will capture feedback on the Interim Local Strategy's usability and effectiveness for enhancing LHD's capacity for HAI/AR prevention, response, and control. Participating LHDs will be recruited based on 1) expressed interest and 2) through a competitive award Request for Application (RFA) as part of a CDC-funded cooperative agreement with the NACCHO. Of the participants recruited through expressed interest, participants will select their level of participation from two options: Review Only or Review and Implement. Participants with 'Review Only' capacity will have six weeks to review the strategy and supporting materials and reflect on how they can be used to outline a strategic plan. They will be asked to complete an electronic feedback form (survey) via REDCap. At the conclusion of their review and completion of the feedback form, they will participate in a one-hour interview scheduled and administered by CDC or NACCHO. Results from the surveys and interviews will be collected (using RedCap) to be coded and analyzed for qualitative analysis to identify themes in challenges, successes, and opportunities.

LHDs with 'Review and Implement' capacity will include those recruited through expressed interest that choose this option, along with those that participate through the competitive award RFA as part of the CDC funded cooperative agreement with NACCHO. They will also have six weeks to review the

strategy materials and complete an electronic feedback form (survey) via REDCap. Following the sixweek review period, LHDs with 'Review and Implement' capacity will spend approximately one year implementing the strategic plans they designed during the pilot review process. Upon completion of the pilot, LHDs will participate in a final survey to assess their experiences with implementing the Interim Local Strategy and the strategic plan they outlined using the Strategy.

#### 3. Methods to maximize Response Rates and Deal with No Response

CDC will send reminder emails to LHDs to complete the feedback form at the six-week, one month, two-week, and one-week marks, as well on the day of the feedback form deadline to maximize response rates. Should participating LHDs fail to submit their feedback form by the deadline, CDC will follow up by email as needed. NACCHO will follow a similar approach; however, the frequency and method of outreach may differ. Should a participant fail to show up to their interview or touchpoint, CDC/NACCHO will reschedule as needed. Elective, non-funded participants can opt out of participation at any time without consequence, as participation in entirely voluntary. For NACCHO funded LHD participants, the terms of participation will be determined by NACCHO.

#### 4. Tests of Procedures or Methods to be undertaken

CDC has tested all surveys and data collection procedures to ensure proper functioning of data collection tools and as a means of refining questions to minimize burden on behalf of respondents. If technical problems arise with any of the instruments, these will be addressed on a case-by-case basis with the participants.

# 5. Individuals Consulted on Statistical Aspects and Individuals Collecting and/or Analyzing Data

Individuals consulted on statistical aspects of the design: no external consultants were used in the study design.

The data collection was designed by CDC's Division of Healthcare Quality Promotion (DHQP) in collaboration with Deloitte Consulting and with input from the National Association of County and City Health Officials (NACCHO), Local Health Departments, State Health Departments. DHQP will collect and analyze the data with support from partners as needed. No external consultants will be utilized for this analysis.