National Teacher and Principal Survey

of 2023-24 (NTPS 2023-24) Preliminary Field Activities

OMB# 1850-0598 v.42

Appendix A

Communication Materials

National Center for Education Statistics (NCES) U.S. Department of Education

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District Research Application Cover Letter

[Name] [Position, Department] [School District] [Street Address] [City, State, Zip]

Dear [District Contact Name]

The National Center for Education Statistics (NCES) of the U.S. Department of Education is requesting approval to conduct the **National Teacher and Principal Survey (NTPS)** in some of your district's schools beginning in the 2023-24 school year.

NTPS is administered on a recurring basis by the U.S. Census Bureau on behalf of NCES. Its data are crucial in helping legislators and education leaders make informed decisions to improve education. The responses from your district's sampled schools represent the concerns of similar schools that were not sampled, both within and outside of your district.

[*For districts where sample has been drawn, insert:* "From your district, XX schools were sampled for NTPS."] **No student or classroom time is involved in this survey.**

Thank you for your consideration of the research application for the NTPS. The enclosed application and materials describe the purposes, survey topics, sample sizes, and respondent burden for NTPS. Draft versions of the questionnaires for NTPS are also included for your review. In addition, a District Approval Form is enclosed for you to indicate whether your district has approved participation in NTPS. Please return the form as soon as possible to the contact listed on the form.

If you have any questions about NTPS or the research application, please contact the study's district research application team, by e-mail at ntps@census.gov or by telephone at 1-800-221-1204. You can find additional information about NTPS and results from prior NTPS administrations at <u>http://nces.ed.gov/surveys/ntps</u>.

Sincerely,

Chris Chapman Associate Commissioner National Center for Education Statistics U.S. Department of Education

Enclosures

[Date]

NTPS Brochure Text

(We have NTPS brochures that were printed for use in the NTPS 2020-21 but were never used in production data collection; we may provide these to special handling districts as part of our research application.)

What is the National Teacher and Principal Survey (NTPS)?

The National Teacher and Principal Survey (NTPS) is a system of related questionnaires that provide policymakers and researchers with relevant and timely data on the characteristics and conditions of America's public, charter, and private K–12 schools and the professionals who work in them. The data collected permit detailed analyses of the characteristics of schools, principals, and teachers. We can link NTPS questionnaires, which enables researchers to examine the relationships between these elements of the education system. For example, researchers can study teacher attrition using information provided by teachers *and* their principals.

Why is the NTPS important?

This survey is a primary source of information about what is happening in K–12 schools across the United States from the perspective of administrators and teachers. The NTPS collects data on core topics, including teacher and principal preparation, classes taught, school characteristics, and demographics of the teacher and principal labor forces. NTPS data are used by a wide variety of stakeholders interested in K–12 education, including national, state, and local policymakers, researchers, education associations, principals, teachers, journalists, and the general public. We select only a small percentage of schools to participate. That means your school is important for the success of this study. We cannot substitute another school for yours.

What topics do the questionnaires cover?

The NTPS is made up of three questionnaires: a School Questionnaire, a Principal Questionnaire, and a Teacher Questionnaire.

The primary purpose of the School Questionnaire (SQ) is to collect school-level data for each sampled school. The intended recipient of this questionnaire is the best-suited person available (principal, vice-principal, school administrator, secretary, or other knowledgeable school staff) to provide information about the school. Topics include, but are not limited to: enrollment, school type, length of the school day and week, instructional approaches (availability of online instruction, magnet program, foreign language immersion, before-school or after-school instruction, etc.), school staffing, community service requirements, and special programs and services. The primary purpose of the Principal Questionnaire (PQ) is to collect principal-level data for each sampled school. The intended recipient of this questionnaire is the school principal. Topics include, but are not limited to: principals' experience, training, goals, school climate and safety, working conditions, perceptions, and demographic information.

The primary purpose of the Teacher Questionnaire (TQ) is to collect teacher-level data for each sampled school. A subset of teachers within each school are selected for the NTPS from the school's completed Teacher Listing Form or from publicly available sources of teacher information. The intended recipient of the Teacher Questionnaire is the teacher sampled for the survey. We cannot replace sampled teachers because it would have an unintended impact on the study results. Topics include, but are not limited to: teachers' experience, classroom organization, education and training, certification, early career experiences, working conditions, school climate, perceptions, general employment and background information, and demographic information.

To see findings and other information on the NTPS, please visit NTPS's website at <u>https://nces.ed.gov/surveys/NTPS</u>.

2023-24 NTPS Frequently Asked Questions

What is NTPS?

The National Teacher and Principal Survey (NTPS) is the most comprehensive source of data on schools, teachers, and principals in the United States. NTPS provides critical information about the characteristics and qualifications of teachers and principals, teacher hiring practices, class size, and other conditions in a wide variety of schools across the nation. There is a longitudinal component to the NTPS in which principals and a subsample of teachers will be contacted one year later to complete a short follow-up survey aimed at obtaining information on the career paths of teachers and principals.

The NTPS is conducted by the National Center for Education Statistics (NCES) within the U.S. Department of Education. The U.S. Census Bureau administers the NTPS surveys on behalf of NCES. NCES is authorized to conduct NTPS by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543). **This survey does not involve students, parents, or any use of classroom time.**

Why participate in NTPS?

NTPS helps researchers and policymakers learn about our nation's schools, teachers, and principals so they can work on improving education for all students. The longitudinal components provide vital information about teacher and principal retention and attrition. NTPS is the only national survey that provides this kind of information. All districts ultimately benefit when good data help legislators and administrators make good decisions. By participating in this survey, you will ensure that information about your district's schools, principals, and teachers is included in those decisions. The data they provide will better inform and help to shape future education policies.

Do public school organizations endorse these surveys?

NTPS is endorsed by numerous public school organizations, including: American Association of School Administrators, Association of American Educators, Association for Supervision and Curriculum Development, American Federation of Teachers, Association for Middle Level Education, Council of the Great City Schools, National Association of Elementary School Principals, National Association of Secondary School Principals, National Association of School Librarians, and the National Parent Teacher Association.

How will NTPS data be used?

Congress, the Department of Education, other Federal agencies, State Departments of Education, education associations, and the education research community will use data from NTPS to present research on a range of issues related to K-12 education. In the past, some of the most frequently published topics have included class size, number and characteristics of new teachers, teaching certification, teacher professional development, teacher attrition/retention, principal attrition and mobility, and teacher qualifications. Based on previous administrations of NTPS, we anticipate that the data will be used to produce national statistics on:

- Teacher capacity as defined by teacher qualifications, career paths, professional development activities, and school and district support for teachers' careers; and
- School capacity as defined by school organization and decision making, management of curriculum and instruction, school programs, and school safety.

How many schools, principals, and teachers are selected for NTPS?

The NTPS 2023-24 samples will include approximately 10,600 public schools and school principals, and approximately 47,000 public school teachers. The longitudinal components will include approximately 11,000 teachers and 8,500 principals.

How are schools and principals selected for NTPS?

Public schools selected for NTPS are sampled from the Common Core of Data (CCD), the universe of public schools supplied annually by state educational agencies to NCES. To make sure that the sample contains a sufficient number of respondents for various types of estimates, NTPS uses a sample design that provides for:

- National estimates of public schools and public school characteristics;
- National estimates of combined grade public schools (schools with a grade span that overlaps the elementary, middle, and/or high school levels);
- National estimates of public charter schools; and

• State-level estimates.

For all schools, the principal will be included in the survey as a result of the school being selected.

Principals who completed their Principal Questionnaire during NTPS will be included in the longitudinal principal component during the following school year and will be asked to complete a brief questionnaire to gather information about their occupational status.

How are teachers selected for NTPS 2023-24?

The teacher sample will be selected from a list of teachers provided by the school. For each school in the sample, all teachers who teach students in grades K-12 during the fall of 2020, except for short-term substitutes, student teachers, and teacher aides, are eligible for sampling. To avoid placing an undue burden on schools, NCES intends to survey an average of 7 teachers per school.

The sample for the longitudinal teacher follow-up component of NTPS is a subsample of teachers who completed their Teacher Questionnaire during the prior school year. Nationally, a planned total of approximately 11,000 teachers will be selected to participate.

How will the survey be administered?

NTPS is a self-administered survey that is offered to respondents through a mail questionnaire and an online survey. We will ask the school to assign a survey coordinator to serve as a central point of contact for NTPS. The survey does not require the use of any school personnel to administer it, other than the direct time it takes for the respondent to complete the questionnaire. **This survey does not involve students**. Sampled principals, teachers, and the most appropriate school staff are requested to record their answers either (a) on the printed questionnaire and return the questionnaire by mail to the U.S. Census Bureau or (b) using the online survey option to send in their responses via a secure server link. **There is no use of classroom time required for the completion of these questionnaires**.

How long does it take to fill out the survey?

The NTPS questionnaires strive to collect in-depth data without putting an undue burden on respondents. Each survey item has been selected based upon its contribution to the data needed by researchers and policymakers. The estimated average response time for each questionnaire in the NTPS 2023-24 is presented in the following table.

NTPS Questionnaire	Estimated average response time per respondent
Screener	3 minutes
Teacher Listing Form	30 minutes
School Questionnaire	13 minutes
Principal Questionnaire	25 minutes
Teacher Questionnaire	40 minutes
Teacher Status Form	10 minutes
Teacher Follow-up Questionnaire	20 minutes
Principal Follow-up Questionnaire	5 minutes

When will the survey be administered?

The planned data collection schedule is below.

NTPS Planned Timetable	Approximate Schedule
Initial mail-out of questionnaires to schools	September 2023
Initial mail-out of questionnaires to teachers	November 2023
Final acceptance of all questionnaires	July 2024
Data processing and analysis	July 2024-June 2025
Mail teacher status form to NTPS schools	September 2024
Data collection for teachers and principals selected for the longitudinal components	January 2025
Follow-up activities for teachers and principals selected for the	January – May 2025
longitudinal components Final acceptance of teacher and principal follow-up questionnaires	July 2025

Release NTPS reports and data files	July 2025
Release NTPS final reports and data files (including longitudinal	July 2026
data)	541 <u>7</u> 2020

What happens if a school, principal, or teacher refuses to participate?

All participation is voluntary. There are no penalties for not participating in the study. When completing the questionnaires, respondents can skip any question they do not want to answer. If individuals or schools are hesitant to be a part of the study, please reach out to NTPS staff so we can address any concerns you may have.

Will the names of participants and their responses be kept confidential?

All of the information provided by schools and staff may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151). By law (20 U.S.C. §9573), a violation of the confidentiality restrictions is a felony, punishable by imprisonment of up to 5 years and/or a fine of up to \$250,000. All government or contracted staff working on the NTPS study and having access to the data, including NTPS field staff, are required to sign an NCES Affidavit of Nondisclosure and have received public-trust security clearance.

Who do I contact for further information about NTPS?

For additional information, call the NTPS information number, [*phone*], or send an email to [*email*]. You may also learn more about the NTPS survey program and read results from prior administrations by visiting the NTPS website at http://nces.ed.gov/surveys/ntps.

NTPS Generic Research Application

CONTACT

Applicant:	Maura Spiegelman
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	United States Department of Education
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NTPS Research Application Contact: District Research Application Team 1-800-221-1204 ntps@census.gov

TITLE

National Teacher and Principal Survey (NTPS)

Anticipated Start Date: July 2023 Anticipated End Date: June 2025

PURPOSE OF THE STUDY

The National Teacher and Principal Survey (NTPS) is the most comprehensive source of data on schools, teachers, and principals in the United States. NTPS provides critical information about the characteristics and qualifications of teachers and principals, teacher hiring practices, class size, and other conditions in a wide variety of schools across the nation. The NTPS system of related questionnaires allows for school, principal, and teacher characteristics to be analyzed in relation to one another. There is a longitudinal component to the NTPS in which principals and a subsample of teachers will be contacted one year later to complete a short follow-up survey.

NTPS does not involve students, parents, or any use of classroom time.

NTPS is conducted by the National Center for Education Statistics (NCES) within the U.S. Department of Education. The U.S. Census Bureau administers the NTPS surveys on behalf of NCES. NCES is authorized to conduct NTPS by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543).

The need for contextual data on teachers, school principals, and school policies and programs has been well established. In response to concerns about the status of teaching and education, state and local education policymakers have sought more information about the school environment, the composition of the school workforce, and policies affecting the recruitment, retention, and retirement of teachers.

NTPS is a redesigned successor to the Schools and Staffing Survey (SASS), conducted periodically by NCES since 1987. NTPS maintains the role SASS served as the Department of Education's primary source of information on the teacher labor market and what is happening in elementary and secondary schools from the perspectives of teachers and administrators. NTPS is integrated with other ED data collections to reduce requests for redundant information from schools and districts.

NTPS, which is conducted on a 2- or 3-year cycle and was last conducted in 2020-21, is a large survey of elementary and secondary education schools, teachers, and principals in the United States. The data obtained through NTPS are used by a wide variety of people interested in elementary and secondary education, including legislators, other education policymakers, researchers, teacher professional organizations, education advocacy groups, and journalists. NTPS provides a unique resource of information on elementary and secondary education by linking data provided by schools with their respective principals and teachers. Data can be analyzed not only within each component (i.e., the school, principal, and teacher data files), but also across components or data files. This linkage across the different respondent groups makes NTPS data unique among school surveys and allows researchers can study teacher attrition using information from not only teachers but also from their schools and principals. Moreover, the integrated survey design allows NCES to collect information from the school personnel who can best supply it, providing more accurate information and resulting in less inconvenience to respondents. In addition, because NTPS collects data every two to three years, changes in education issues over time can be assessed.

SIGNIFICANCE OF THE STUDY AND BENEFITS OF PARTICIPATION

NTPS helps researchers and policymakers learn about our nation's schools, teachers, and principals so they can work on improving education for all students. NTPS is the only national survey that provides this kind of information. All districts ultimately benefit when good data help legislators and administrators make good decisions. The ability of NCES to provide this important information is contingent upon the voluntary participation of sampled schools, principals, and teachers; participation of these schools and staff is dependent upon their district's approval. Because your district, and your schools and staff, represent yourselves and many others like you, your participation is crucial for producing high quality information. By participating in this survey, you will ensure that information about your district's schools, principals, and teachers is included in those decisions. The data you and your schools provide will better inform and help to shape future education policies.

HYPOTHESES AND MEASUREMENT

Congress, the U.S. Department of Education, and other Federal agencies, State Departments of Education, education associations, and the education research community will use data from the next administration of NTPS to present research on a range of issues related to K-12 education. In the past, some of the most frequently published topics have included class size, number and characteristics of new teachers, teaching certification, teacher professional development, teacher attrition/retention, principal attrition and mobility, and teacher qualifications. Based on previous administrations of NTPS, the data will be used to produce national statistics on topics such as:

- Teacher qualifications, career paths, professional development activities, and school and district support for teachers' careers; and
- School organization and decision-making, management of curriculum and instruction, school programs, and school climate and safety.

Examples of the types of topics that can be explored with NTPS data are briefly described below in terms of the broad education issues measured in NTPS.

Teacher Quality and Career Paths

Teacher quality and the factors that influence it are the focus of many educational researchers. The movement of teachers in and out of the teaching workforce has been a central concern of NTPS/SASS since 1987. Building upon this base, more detailed indicators of teachers' career paths may help explain entry into teaching and teacher attrition. Teachers' experiences during the recruitment process and their first year of teaching can greatly influence decisions to continue teaching. Teacher quality items help inform about the characteristics of training, which can be related to teaching assignments. Quality is defined as the match

between teacher preparation (degrees, certification, and endorsements) and teaching assignment fields. These topics are measured in detail in the NTPS.

Teacher Recruitment, Retention, and Evaluation

Recruiting and retention methods for teachers are a major topic of interest as schools and districts investigate ways to recruit and retain high-quality teachers. Questions in the School Questionnaire explore teacher vacancies and the ease with which positions are filled. Teachers are asked about their plans to remain in the teaching profession in the Teacher Questionnaire. The Principal Questionnaire explores the evaluation of teachers. The NTPS longitudinal components yield data on teacher and principal attrition.

Goals, Influence, and Decision Making

The amount of influence that principal and teachers perceive they have in setting school policies is another focus of educational researchers. The NTPS Principal questionnaire asks principals about their most important educational goals and how much influence they think they have as principals on decisions concerning school policies and processes. The NTPS Teacher Questionnaire asks teachers how much influence they think they have over school policies and how much control they have in their classroom.

School Climate and Safety

Increased attention to school climate and safety has led policymakers to begin examining these topics at the national level. School climate and safety items in the NTPS Principal Questionnaire include the principal's knowledge of violence and other school problems, and items in the NTPS Teacher Questionnaire include teachers' perspectives on climate and incidents of teachers being threatened or physically attacked.

Basic Descriptive Information

Each of the NTPS surveys contains questions that provide basic descriptive information on the respondent:

- The Principal and Teacher Questionnaires include items on gender, age, and race/ethnicity;
- The Principal Questionnaire includes items on principals' licensure, highest degree earned, years of teaching experience, and salary;
- The Teacher Questionnaire contains items on years of teaching experience, education degrees and fields, certification, salary, and main teaching assignment; and
- The School Questionnaire contains items on enrollment, programs and services in the school, and classroom organization.

QUESTIONNAIRES

Copies of the draft 2023-24 NTPS questionnaires and the 2024-25 NTPS follow-up questionnaires for public schools are enclosed. The NTPS school, principal, and teacher questionnaire components are described below. Additional information about NTPS is available at http://nces.ed.gov/surveys/ntps.

School Questionnaire. The School Questionnaire is targeted towards an administrator or administrative assistant in sampled schools and consists of the following sections:

- 1. *General Information About This School.* Includes items on grade levels served, enrollment, average daily attendance, length of school year, whether the school is a regular or special program/emphasis school, kindergarten programs, library media centers, courses taught entirely online, presence of before- or after-school programs, and instruction for English-language learners.
- 2. *Instructional Time*. Asks about how much students receive instruction in certain subjects, such as reading and math, in a typical week.
- 3. *Students and Classroom Organization*. Asks about the method in which the school organizes classes or students.
- 4. *Community Service Requirements*. Asks whether the district that the school is a part of grants high

school diplomas and has a community service requirement for a standard diploma. If so, the section asks for the number of community service hours required for high school graduation.

- 5. *Special Programs and Services.* Asks about Individual Education Plans and services for students with disabilities, prekindergarten, participation in the National School Lunch Program, and participation in Title 1.
- 6. *Contact Information*. Asks for contact information for the person who completed most of the questionnaire, including the date completed and how many minutes it took to complete the form.

Principal Questionnaire. The Principal Questionnaire is targeted towards the principals of sampled schools and consists of the following sections:

- 1. *Principal Experience and Training*. Includes items on experience prior to becoming a principal and as a principal, participation in a development program for aspiring school principals, highest degree earned, license or certification in school administration, and current teaching status.
- 2. *Goals and Decision Making*. Asks principals to rate their three most important educational goals and to indicate how much influence they think they have as principals on decisions concerning school policies and processes.
- 3. *School Climate and Safety*. Asks principals to indicate to the best of their knowledge how often various types of problems occur at the school; to report on measures of parent involvement in school; whether teachers are required to help students with academic, social, and emotional needs outside of regular school hours; and whether the school has a formal teacher induction program for beginning teachers.
- 4. *Teacher Evaluation*. Asks about the principal's general knowledge and perceptions of teacher evaluations, formal evaluations on tenured and non-tenured teachers, the impact of student achievement on evaluations, and the influence of evaluations on the teaching practice within the school.
- 5. *Teacher Professional Development*. Asks about the principal's knowledge and perceptions of teacher professional development.
- 6. *Principal Evaluation*. Asks about principals' knowledge and perceptions of principal evaluations, whether they received feedback, and the impact of student achievement on their evaluations.
- 7. *Principal Professional Development*. Asks about the principal's knowledge about and participation in various principal professional development activities.
- 8. *Principal Engagement*. Asks about principals' engagement and connection with the school and with colleagues.
- 9. *Principal Demographic Information*. Asks about sex, race, ethnicity, year of birth, and current annual salary for the principal's position at the sampled school.
- 10. *Contact Information*. Asks principals for name, home address, work, cell, and home telephone numbers, and work and home e-mail addresses. It also asks the date completed and how many minutes it took to complete the questionnaire. This information is used during the following year's principal longitudinal component (see Principal Follow-up Questionnaire, below).

Principal Follow-up Questionnaire. The principal follow-up questionnaires are targeted towards principals who responded to the NTPS and collects occupation status information about the NTPS principal during the school year *after* the NTPS.

Teacher Questionnaire. The Teacher Questionnaire is targeted towards teachers sampled for NTPS based on school-level teacher rosters. It consists of the following sections:

- 1. *General Information*. Includes items confirming eligibility for the teacher sample, including their position at the school and whether they are teaching in any of grades K-12 or comparable ungraded levels. It also contains items on year began teaching (for the first time and at the sampled school), number of schools taught in, and number of years teaching.
- 2. *Class Organization*. Asks the teacher to report grades taught, number of students with an IEP and of limited-English proficiency, main teaching assignment subject field, looping, use of instructional software, how classes are organized, number of students taught and class size, hours spent teaching various academic subjects (for self-contained classroom teachers), and details on each class period or section taught (for subject-matter teachers).
- 3. *Education and Training*. Asks details about major and, if applicable, minor fields of study for all levels of educational attainment from vocational certificate through doctorate degrees; courses taken on teaching methods, including classroom management and using student performance data; and student teaching including number of classrooms and number of weeks.
- 4. *Certification*. Asks detailed information about the content area(s) and grade range(s) in which sample members are certified to teach, and whether the teacher entered teaching through an alternative route to certification program.
- 5. *Teacher Evaluations*. Asks about teachers' knowledge and perceptions of teacher evaluations, the type of feedback they received, and the influence of evaluations on their teaching.
- 6. *Teacher Professional Development*. Asks about the current methods/modes of teacher professional development and other opportunities to learn, and their implications on teachers' approaches to teaching.
- 7. *Teacher Engagement*. Asks about teachers' engagement and connection with the school and with colleagues.
- 8. *General Employment and Background Information*. Includes items on earnings, union membership, tenure, sex, marital status, race, ethnicity, and year of birth.
- 9. *Contact Information*. Asks for contact information for the sampled teacher, including phone numbers, and email addresses. This information is used during the following year's teacher longitudinal component (see Teacher Follow-up Questionnaire, below).

Teacher Follow-up Questionnaire. The teacher follow-up questionnaires are targeted towards a subsample of teachers who responded to the NTPS. Two separate paper questionnaires will be used for teacher follow-up data collection – one for current teachers and one for former teachers; the question paths are accounted for by pathing in the internet instrument. The Questionnaire for Former Teachers (TFS-2) obtains information about those sampled persons who left teaching within the year after the NTPS, such as information about their present occupation or activity, reasons for leaving teaching, comparison of current position to teaching, and demographic characteristics that may have changed since the previous year. The TFS Questionnaire for Current Teachers (TFS-3) obtains information about current teachers, including teachers who continued to teach in the same school as in the previous year and those who changed schools. It collects information about their current teaching assignment, satisfaction with teaching, reasons for moving to a new school, comparison of current teaching position with last year's position, and demographic characteristics that may have changed since the previous year.

NTPS also collects information from schools on the Teacher Listing Form (TLF). The TLF will be sent to sampled schools at the beginning of data collection. The TLF will be used to sample teachers to participate in the Teacher Questionnaire. For each teacher, it requests name, subject taught (in eight categories: special education, general elementary, math, science, English/language arts, social studies, vocational/technical, and other), teaching status (full- or part-time), and teacher email address.

During the fall of the school year following the NTPS collection, a Teacher Status Form (TFS-1) will be mailed to all schools in which at least one sampled teacher completed a Teacher Questionnaire during the NTPS. A knowledgeable person at the school will be asked to complete the TFS-1 by indicating the current teaching status of each teacher listed on the form. Teacher status data collected on the TFS-1 will be used to stratify the teachers for 2023-24 TFS sampling into groups of "stayers" (still teaching at the same school), "movers" (still teaching, but at a different school), or "leavers" (no longer teaching).

METHODOLOGY AND SAMPLING

The U.S. Census Bureau is the collection agent for NTPS data on behalf of NCES. The NTPS questionnaires are self-administered and are offered to respondents through mail questionnaires and online survey instruments. As part of an effort to increase survey response rates, subject to approval, a subsample of respondents will receive a prepaid monetary incentive in their mailed survey package.

Sampled schools are asked to assign a survey coordinator to serve as a central point of contact for NTPS. The survey does not require the use of any school personnel to administer it, other than the direct time it takes for the respondent to complete the questionnaire. **The survey does not involve students**. Sampled principals, teachers, and the most appropriate school staff are requested to record their answers either (a) on the paper questionnaire and return the questionnaire by mail to the U.S. Census Bureau or (b) using the online survey option to send in their responses via a secure server link. **There is no use of classroom time required for the completion of these questionnaires.**

Nationally, the 2023-24 NTPS will include approximately 9,920 public schools and school principals, and approximately 49,250 public school teachers. Sampling for NTPS begins by selecting schools as the primary sampling unit, and then including the principal and a relatively small sample of teachers within each selected school. Public schools selected for NTPS are sampled from the Common Core of Data (CCD), the universe of public schools supplied annually by state educational agencies to NCES. NCES modifies the list of schools from the CCD to meet the definition of a school as used by NTPS. To make sure that the sample contains sufficient numbers for estimates, the NTPS uses a stratified probability sample design. Some major stratification variables include instructional level of the school, locale, school enrollment, charter school status, and state. The main design objective is to provide the following data for public schools:

- National estimates of public schools and public school characteristics;
- National estimates of combined grade public schools (schools with a grade span that overlaps the elementary, middle, and/or high school levels);
- National estimates of public charter schools and selected school characteristics; and
- State-level estimates.

After schools are stratified and sampled, teachers within the schools are also stratified and sampled based on the broad type of teaching assignment area and full- or part-time teaching status. The sample of teachers will be selected from a list of teachers provided by the school. For each school in the sample, all teachers who teach students in grades K-12 during the fall of 2023, except for short-term substitutes, student teachers, and teacher aides, are eligible for sampling. An average of 7 teachers will be sampled from each selected school. For all public schools, the principal will be included in the survey from each selected school.

The sample for the longitudinal teacher follow-up component to NTPS is a subsample from the 2023-24 NTPS teacher sample. The sampling frame consists of traditional public, public charter, and private school teachers who completed a Teacher Questionnaire during the prior year's administration of NTPS. Any sampled NTPS teacher who did not complete an interview or was otherwise found to be out of scope for the NTPS is not included in the frame. Nationally, a planned total of approximately 11,000 teachers will be selected to participate.

During the fall of 2024, a Teacher Status Form will be mailed to sampled schools in which one or more teachers completed an NTPS Teacher Questionnaire during the previous school year. A knowledgeable

person at the school will be asked to complete the form by indicating the current teaching status of each teacher listed on the form. These data will be used to stratify the teachers for sampling into groups of former teachers (NTPS teachers who have left the teaching profession, or "Leavers"), current teachers (NTPS teachers who have remained in the teaching profession), and teachers who have left their 2023-24 school, but for whom there is no information available (the 'unknown' group). The current teachers group will be further stratified into two groups: those who remained in the school they were teaching in 2020-21 ("Stayers") and those who moved to different schools ("Movers").

The sampling frame for the longitudinal principal component consists of all traditional public, public charter, and private school principals who completed an NTPS Principal Questionnaire during the prior year's administration of NTPS. Any sampled NTPS principal who did not complete their questionnaire or was otherwise found to be out of scope for NTPS will not be included in the PFS frame. Nationally, a planned total of approximately 8,500 principals will be selected to participate.

DATA COLLECTION

The U.S. Census Bureau handles the NTPS data collection for NCES. The NTPS is a mixed mode survey with paper and internet response options. Follow-up with non-responding schools, principals, and teachers will be conducted by mail, email, and telephone, and in person by field staff. U.S. Census Bureau staff who administer the NTPS receive training in preparation for the survey and have undergone a criminal background check as a condition of employment.

The 2023-24 NTPS data collection begins with a letter mailed to all sampled school principals in July 2023, prompting the principal to complete a short (less than five minutes) Screener Survey via a secure website. The purpose of the Screener Survey is to confirm the school's eligibility to participate in NTPS 2023-24 and to establish a survey coordinator. Principals who do not complete the online screener will be contacted by telephone in August 2023 to collect the information.

The next step of data collection is to collect the school-level questionnaires – the Teacher Listing Form, School Questionnaire, and Principal Questionnaire – from schools beginning in September 2023. If the principal established a survey coordinator during the Screener, the package with these materials will be addressed to the coordinator; otherwise, it will be addressed to the principal. Schools that do not respond to the initial request will receive follow-up by mail, email, and telephone or personal visit from Census field staff.

Once the Teacher Listing Form is received, processed, and the teacher sample selected, invitations to complete the teacher questionnaire will be sent out to teachers on a flow basis by email and mailed paper letters, beginning in November 2023. Teachers who do not respond to the initial request will receive follow up by mail, email, and telephone or personal visit from Census field staff. NTPS data collection for school, principal, and teacher questionnaires will end in July 2024.

Data collection for both longitudinal components of the NTPS will begin in January 2025. The first contact with sampled principals for the principal follow-up questionnaire will be through an initial contact letter sent by mail to their NTPS school. In late January, a reminder letter will also be sent to the schools of nonrespondents. For principals whose schools did not respond to the initial or reminder letters sent in January, an additional letter will be sent to their home address (if available) in mid-March, along with an email invitation to participate (unless a valid email address is unavailable). In late March, a reminder letter will be sent to nonrespondents, as well as a final reminder email. Data collection will end in early June 2025.

The first contact with sampled teachers for the teacher follow-up questionnaire will be through an initial contact letter sent by mail inviting them to participate and providing credentials for them to log on and complete the web-based survey. Concurrently with the initial contact letter, an email invitation to participate (unless a valid email address is unavailable) will also be sent to the sample member with a

link to the survey and their log in credentials. In late January, nonrespondents will be sent a second mailout, and a third mailout will be sent to nonrespondents in early March. The fourth mailed package will be sent in mid-April and will include a paper copy of the applicable paper questionnaire type, as will the fifth (and final mailed contact) mailed package in mid-May. In addition to mailed survey materials, reminder emails containing the survey link will be sent to nonrespondents. Data collection will end in early July 2025.

NTPS Planned Timetable	Approximate Schedule
Mail advance screener letter to school principals	July 2023
Screener telephone follow-up to name survey coordinator	August 2023
Initial mail-out of questionnaires to schools	September 2023
Initial mail-out of questionnaires to teachers	November 2023
Final acceptance of all NTPS questionnaires	July 2024
Mail teacher status form to NTPS schools	September 2024
Data collection begins for teachers and principals selected for the	January 2025
longitudinal components	
Follow-up activities for teachers and principals selected for the	January – May 2025
longitudinal components	
Final acceptance of teacher and principal follow-up	July 2025
questionnaires	
Release NTPS reports and data files	July 2025
Release NTPS final reports and data files (including longitudinal	July 2026
data)	

The planned data collection schedule for the NTPS is outlined below.

RESPONSE BURDEN

NTPS relies on the voluntary participation of principals, teachers, and school personnel. The quality of national and state-level estimates is dependent on the level of respondent participation. The data provided by individual schools and staff are combined with the information provided by other schools and staff in statistical reports. Every effort is made to create questionnaires that collect in-depth data without putting an undue burden on the respondent. The estimated average response times for each questionnaire in the NTPS is shown below.

NTPS Questionnaires	Estimated average response time per respondent
Screener	3 minutes
Teacher Listing Form	30 minutes
School questionnaire	13 minutes
Principal questionnaire	25 minutes
Teacher questionnaire	40 minutes
Teacher Status Form	10 minutes
Teacher Follow-up Questionnaire	20 minutes
Principal Follow-up Questionnaire	5 minutes

The NTPS does not require student or parent participation, and no classroom time is required for completion of the questionnaires. All participation is voluntary. There are no penalties for not participating in the study. When completing the questionnaires, respondents can skip any question they do not want to answer.

ANALYSIS AND RELEASE OF INFORMATION

Once the U.S. Census Bureau receives the completed survey forms, staff create electronic data files of the survey forms. Names, addresses, and other identifying information for schools, principals, and teachers are removed from the data files to protect respondents' confidentiality. When the respondents' identifying information is removed from the data files, a school identification number is used to link principal and teacher data from the schools in which they work.

The data are used in statistical summaries only. NCES issues its own reports and allows researchers to access the data through restricted-use licensing for other analyses. Only users who have official clearance from NCES may have access to the restricted-use data files.

NTPS publications will be provided for download on the NCES publications page (<u>http://nces.ed.gov/pubsearch/</u>).

BENEFIT TO YOUR SCHOOL DISTRICT

NTPS provides critical information about the characteristics and qualifications of teachers and principals, including information on teacher and principal retention and attrition, teacher hiring practices, class size, and other conditions in a wide variety of schools across the nation. NTPS is the only national survey that provides this kind of information. All districts ultimately benefit when good data help legislators and administrators make good decisions. The ability of NCES to provide this important information is contingent upon the voluntary participation of sampled schools, principals, and teachers; participation of these schools and staff is dependent upon their district's approval. Because your district and its schools and staff represent themselves and many others like them, your and your schools' participation is crucial for producing high quality information. By participating in this survey, you will ensure that information about your district's schools, principals, and teachers is included in those important decisions.

CONFIDENTIALITY

Data security and confidentiality protection procedures have been put in place for NTPS to ensure that all NCES contractors and agents working on all NTPS components comply with all privacy requirements including, as applicable: the Inter-agency agreement with NCES for this study; *Privacy Act of 1974* (5 U.S.C. §552a); *Privacy Act Regulations* (34 CFR Part 5b); *Computer Security Act of 1987*; *U.S.A. Patriot Act of 2001* (P.L. 107-56); *Education Sciences Reform Act of 2002* (ESRA 2002, 20 U.S.C. §9543); the *Foundations of Evidence-Based Policymaking Act of 2018*, *Title III, Part B, Confidential Information Protection*; *Cybersecurity Enhancement Act of 2015* (6 U.S.C. §151); the U.S. Department of Education General Handbook for Information Technology Security General Support Systems and Major Applications Inventory Procedures (March 2005); the U.S. Department of Education Incident Handling Procedures (February 2009); the U.S. Department of Education, ACS Directive OM: 5-101, Contractor Employee Personnel Security Screenings; NCES Statistical Standards; and all new legislation that impacts the data collected through the inter-agency agreement for this study.

The U.S. Census Bureau will collect data under an interagency agreement with NCES, and maintain the individually identifiable questionnaires per the security plan, including:

- 1. Provisions for data collection in the field;
- 2. Provisions to protect the data-coding phase required before machine processing;
- 3. Provisions to safeguard completed survey documents;
- 4. Authorization procedures to access or obtain files containing identifying information; and
- 5. Provisions to remove printouts and other outputs that contain teacher identification information from normal operation. Such materials will be maintained in secured storage areas and will be securely destroyed as soon as practical.

By law (20 U.S.C. §9573), a violation of the confidentiality restrictions is a felony, punishable by imprisonment of up to 5 years and/or a fine of up to \$250,000. All government or contracted staff working on the NTPS study and having access to the data, including NTPS field staff, are required to sign an NCES Affidavit of Nondisclosure and have received public-trust security clearance.

From the initial contact with the participants in this survey through all of the follow-up efforts, potential survey respondents will be informed that (a) the U.S. Census Bureau administers NTPS on behalf of NCES; (b) NCES is authorized to conduct NTPS by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543); (c) all of the information they provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151); and that their participation is voluntary.

The following language will be included in respondent contact materials and on data collection instruments:

All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151).

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this voluntary information collection is 1850-0598. The time required to complete this information collection is estimated to average [XX] minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have any comments concerning the accuracy of the time estimate, suggestions for improving this collection, or comments or concerns about the contents or the status of your individual submission of this questionnaire, please e-mail: https://www.ntional.com Center for Education Statistics, PCP, 550 12th Street SW, #4035, Washington, DC 20202.

INSTITUTIONAL REVIEW BOARD (IRB) OR HUMAN SUBJECTS REVIEW

Under the federal policy for the protection of human subjects, NTPS is exempt from IRB review because it utilizes survey procedures and is conducted in established or commonly accepted educational settings, involving normal educational practices. There is no potential for harm to human subjects.

The Department of Education has adopted a common set of regulations known as the *Federal Policy for the Protection of Human Subjects* or "*Common Rule*." The design of these regulations is based on established, internationally recognized ethical principles. The specific regulation is Title 34, Code of Federal Regulations, Part 97, Protection of Human Subjects, which includes Subpart A, Basic Policy, and Subpart D, Additional Protections for Children. These regulations classify as exempt certain categories of research. Research that is nonexempt is **covered** by the regulations. Research activities in which the only involvement of human subjects will be in one or more of the following categories are exempt [34 CFR 97.101(b)(2)]:

Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior, unless the information identifies the subjects and disclosure could reasonably place the subjects at risk of criminal or civil liability or be damaging to the subjects' financial standing, employability, or reputation. Subpart D amends this exemption, in part: *If the subjects are children, research involving interview or survey procedures and research involving observations of public behavior in which the researcher(s) participate in the activities being observations of public behavior in which the researcher(s) do not participate in the activities being observed are exempt.*

For more information, please see http://www2.ed.gov/policy/fund/guid/humansub/overview.html.

INFORMED CONSENT

The nature of this self-administered, cross-sectional survey is not considered intrusive, and has been ruled to be exempt under the protection of human subjects' provisions in federal research. There are no individual student data (such as test scores or Social Security numbers) associated with any of the data acquired in this

data collection. Since no data are collected about individual students, it is not necessary to obtain active, informed consent from students' parents/guardians.

Participation in the NTPS is completely voluntary, and individual survey items can be left blank, at the discretion of each respondent. On each questionnaire for each respondent, there is a statement indicating that participation is voluntary. A respondent gives implied consent to participate by completing the applicable NTPS questionnaire.

Example Consent Form – will be used only in districts that require a consent form and will be adjusted based on individual district requirements; the following sentence will not be changed:

"I fully understand that all of the information I provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151)."

PARTICIPANT INFORMED CONSENT FORM NATIONAL TEACHER AND PRINCIPAL SURVEY (NTPS)

You are being asked to participate in a survey called the National Teacher and Principal Survey (NTPS). [District name] has approved your school's participation in this important study. This form provides you with information about the study, and the [title], [name], who can be reached at [phone] or [email] is also available to answer your questions. Please read the information below and ask any questions you might have before deciding whether to take part.

The NTPS is conducted by the National Center for Education Statistics (NCES) to collect important data on public elementary and secondary schools and staff in the United States. The U.S. Census Bureau administers the NTPS on behalf of NCES. NCES is authorized to conduct NTPS by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543). The NTPS provides data on the characteristics and qualifications of teachers and principals, teacher hiring practices, professional development, class size, and other conditions in schools across the nation. There is a longitudinal component to the NTPS in which principals and a subsample of teachers will be contacted one year later to complete a short follow-up survey. Participants are asked to complete their survey online via a secure internet instrument or via a mailed paper questionnaire and return it in the pre-addressed, postage-paid envelope provided. Details about the data collection instrument, respondent type, and time required to complete the questionnaire are below.

Data Collection Instrument	Respondent Type	Estimated Time Required to Complete Questionnaire
Screener	Principal	3 minutes
Teacher Listing Form	School Coordinator	30 minutes
School Questionnaire	School Coordinator	13 minutes
Principal Questionnaire	Principal	25 minutes
Teacher Questionnaire	Teacher (Average of 7 per school)	40 minutes
Teacher Status Form	Knowledgeable office staff	10 minutes
	Teacher (subsample of Teacher	20 minutes
Teacher Follow-up Questionnaire	Questionnaire respondents)	
Principal Follow-up Questionnaire	Principal	5 minutes

Each NTPS Principal or Teacher Questionnaire contains questions that provide basic descriptive information on the respondent. Some of these items are: gender, age, race/ethnicity, principals' licensure, highest degree earned, salary, years of teaching experience, education degrees earned and fields, certification, main teaching assignment, teacher salary schedules, and hours worked per week. A security plan for protecting individual respondent data has been developed for NTPS. Under this plan, the 2023-24 NTPS will conform to the applicable federal laws and regulations – specifically, the *Privacy Act of 1974* (5 U.S.C. 552a); *Privacy Act Regulations* (34 CFR Part 5b); the *Computer Security Act of 1987*; the *Federal Statistical Confidentiality Order of 1997* (an OMB directive); the U.S.A. Patriot Act of 2001 (P.L. 107-56); the *Education Sciences Reform Act of 2002* (20 U.S.C. §9573); the *Cybersecurity Enhancement Act of 2015* (6 U.S.C. §151); the *Foundations of Evidence-Based Policymaking Act of 2018, Title III, Part B, Confidential Information Protection; Confidential Information Protection*, NCES *Restricted-Use Data Procedures Manual;* and the NCES *Statistical Standards*. By law (20 U.S.C. §9573), a violation of the confidentiality restrictions is a felony, punishable by imprisonment of up to 5 years and/or a fine of up to \$250,000. All government or contracted staff working on the NTPS study and having access to the data, including NTPS field staff, are required to sign an NCES Affidavit of Nondisclosure and have received public-trust security clearance.

Once the U.S. Census Bureau receives the survey data for completed questionnaires, data are reviewed for accuracy. Names, addresses, and other identifying information for schools, principals, and teachers are removed from the data files to protect respondents' confidentiality.

The data are used in statistical summaries only. NCES issues its own reports and requires researchers to access the data through restricted-use licensing for other analyses. Only users who have official clearance from NCES may have access to the restricted-use data files. Several reports are issued once the data are finalized, which may include: a general report that provides an overview of the data, a teacher report, a school report, and a principal report. NTPS publications will be provided for download on the NCES publications page http://nces.ed.gov/pubsearch/.

Congress and the U.S. Department of Education use these data to critically examine existing educational programs and services and to plan new programs intended to improve education throughout the nation.

There are no risks involved in participating in this important survey. Your participation is entirely voluntary and you can refuse to participate without any penalty or loss of benefits to you, your school, or [district name]. If you decide to participate, you are free to withdraw your participation at any time during the study without any adverse consequences from the U.S. Department of Education, the U.S. Census Bureau, or your school or district.

Please check one of the following:

_____ I am willing to participate in the research project. _____ I am *not* willing to participate in the research project.

I fully understand that all of the information I provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151), and that my signature gives my consent to voluntarily participate in this research project. I understand that, while this study has been reviewed by the [District Name], [District Name] is not conducting the study.

You are making a decision whether or not to participate in this study. Your signature below indicates that you have read and understood the information provided above, have had an opportunity to ask questions, and agree to participate in this research study. If you later decide to withdraw your consent for participation in the study, you should contact the [name] at [email]. You may discontinue participation at any time. You should keep a copy of this form for your records.

Signature _____

Printed Name

Date

Please return this form to [name] via email to [email] or mail to:

Participant

[Address]

Special Contact District Approval Form

National Teacher and Principal Survey (NTPS) District Approval Form

Please mark one of the boxes below to let us know your district's decision to allow sampled school(s) to participate in the National Teacher and Principal Survey (NTPS).

The U.S. Department of Education <u>has</u> permission to administer the National Teacher and Principal Survey (NTPS) to one or more schools in the [District Name].

L The U.S. Department of Education <u>does not have</u> permission to administer the National Teacher and Principal Survey (NTPS) to any school in the [District Name].

Printed Name:	 Date:	
Signature:	 Title:	
Address:	 Phone:	
Email:		

Please return this form to [*contact info*] by email to [*email*], or by mail to:

[address]

Special District Refusal Conversion Email

Subject: Action Required: Request from the U.S. Department of Education

Body:

Dear < District Contact Name>

On behalf of the National Center for Education Statistics (NCES) within the U.S. Department of Education, I'm writing to provide you with an opportunity to learn more about schools, principals, and teachers in the 2023-24 school year in <State name> by approving selected schools in <District Name> to participate in the National Teacher and Principal Survey (NTPS).

NTPS data on topics such as teacher and principal preparation, school climate, demographics of the teacher and principal labor forces, and attrition and retention rates *[sub other topics here as denoted in the district's mission statement]* are crucial in helping federal, state, and local legislators and education leaders make informed decisions to improve education policy and financing. **No student or classroom time is involved in this survey.**

Schools in your district were some of a select number invited to participate in the NTPS, and the responses from your district's sampled schools will represent the concerns of similar schools that were not sampled, both within and outside of your district. For example, thanks to participation in the last administration of the NTPS, we know that:

- During the 2020-21 school year, <X percent> of public school teachers and <X percent> of public school principals in <Locale/size> strongly or somewhat agreed that they'd leave teaching as soon as possible if they could get a higher paying job.
- <X percent> of public school teachers in <Locale/size> in 2020-21 spent their own money on classroom supplies an average of <\$> a year.

As you can see, NTPS data can provide valuable insights into the experiences of principals and teachers – but without <District name> approval, we won't be able to learn from educators in *your* schools and the upcoming NTPS collection will not represent their experiences and perspectives. [For districts for which approval is needed to generate state estimates, add the sentence: Since <District name> is unique in <State name>, without your approval NCES will be unable to provide legislators and education leaders with state-level NTPS data for **all** <State name> educators>.]

Thank you for your time and consideration. **We are asking that your district approve our research application as soon as possible** to give us time to engage your schools and prepare them for the NTPS.

If you have any questions about NTPS or the research application, please contact the study's district research application team by e-mail at ntps://ntps.extraction.com or by telephone at 1-800-221-1204. You can find additional information about NTPS and results from prior NTPS administrations at http://ntps.extraction.com or by telephone at 1-800-221-1204. You can find additional information about NTPS and results from prior NTPS administrations at http://ntps.extraction.com.

Sincerely,

Chris Chapman Associate Commissioner National Center for Education Statistics U.S. Department of Education

Endorsement letter for associations that have previously endorsed NTPS

[Name] [Organization] [Street Address] [City, State, Zip]

Dear <insert name>,

[Date]

I am writing to notify your organization of the upcoming 2023-24 National Teacher and Principal Survey (NTPS) and NTPS follow-up surveys, important studies administered every three years by the U.S. Census Bureau on behalf of the National Center for Education Statistics (NCES). As an organization that has previously endorsed the NTPS, I wanted to extend my deepest gratitude to you for your continued endorsement and support of this and future collections.

The NTPS and its follow-up surveys are very important studies that measure critical aspects of schools and teaching, the composition of the principal and teacher workforce, overall conditions in schools, and principal and teacher attrition. Data collected from this survey are used by Congress, NCES, state education agencies, private school associations, and education research organizations to examine a variety of issues, including but not limited to: the effects of school workplace conditions, salaries, and training opportunities on the educational work force, school staffing practices and personnel policies, and the Department of Education's program planning in the areas of teaching shortage incentives, teaching policies, and teacher education, among other things. Thus, your continued support of this study is both appreciated and recognized for its contributions to the overall success of NTPS data collection efforts.

For your reference, I have enclosed the most recent draft copies of the survey forms used for NTPS data collection. If you would like to continue your endorsement of the NTPS for the upcoming 2023-24 survey cycle and any future collections, no action is required at this time.

Please contact me directly with any questions you may have regarding endorsement of the NTPS by phone at (202) 245-6581 or by e-mail at Maura.Spiegelman@ed.gov. Additionally, you may also contact Walter Holmes at the U.S. Census Bureau by phone at (301) 763-9370 or by e-mail at Walter.L.Holmes@census.gov.

Sincerely,

Maura Spiegelman Project Director National Teacher and Principal Survey, NCES

Enclosures

Endorsement letter for associations that did not previously endorse NTPS

[Name] [Organization] [Street Address] [City, State, Zip]

Dear <insert name>,

I am writing to invite your organization to endorse the National Teacher and Principal Survey (NTPS), an important study that the U.S. Census Bureau is administering on behalf of the National Center for Education Statistics (NCES) during the upcoming 2023-24 school year. The NTPS is critical to understanding elementary and secondary schools nationwide and the teachers and administrators who staff them; thus, I hope you will consider endorsing this survey for both the 2023-24 administration as well as any future collection.

The NTPS is a large-scale, nationwide sample survey that includes both public and private elementary and secondary schools and their staff. It is conducted every three years and is designed to measure critical aspects of schools and teaching, the composition of the principal and teacher workforce, and overall conditions in schools. It provides information on the status of K-12 education from the perspective of school staff. It also provides national- and state-representative data on public schools, principals, and teachers, and national- and affiliation-representative data for private schools, principals, and teachers. There is a longitudinal component to the NTPS in which principals and a subsample of teachers will be contacted one year later to complete a short follow-up survey. Data collected from the NTPS are used by Congress, NCES, state education agencies, private school associations, and education research organizations to evaluate the effects of school workplace conditions, salaries, and training opportunities on the educational work force, assess school staffing practices and personnel policies, and aid in the Department of Education's program planning in the areas of teaching shortage incentives, teaching policies, and teacher education, among other things. Findings from previous NTPS data collections include, for example:

- In the 2019-20 school year, XX% of public school teachers spent their own money on classroom supplies.
- In the 2020–21 school year, the average base salary for regular, full-time teachers in public schools was \$XX.
- In the 2020-21 school year, the average start time for public high schools was X:XX.

Your endorsement of the NTPS will help to improve the credibility of this study in the eyes of teachers, principals, and other staff who may be selected to participate. By endorsing this survey and allowing us to print the name of your organization on the front cover of applicable survey forms and mailing materials, you can also help to improve the response rates of your members, as well as other education professionals. For your convenience, I have enclosed an endorsement letter and draft copies of the survey forms used for data collection. If after reviewing these materials you would like to endorse this survey, please sign and return the endorsement letter as soon as possible so that we may include your organization on our list of official endorsers.

If you have any questions pertaining to the endorsement of the NTPS, please contact me directly by phone at (202) 245-6581 or by e-mail at Maura.Spiegelman@ed.gov. Additionally, you may also contact Walter Holmes at the U.S. Census Bureau by phone at (301) 763-9370 or by e-mail at Walter.L.Holmes@census.gov.

Sincerely,

Maura Spiegelman Project Director National Teacher and Principal Survey, NCES

Enclosures

[Date]

Endorsement letter for state associations

[Name] [Organization] [Street Address] [City, State, Zip]

Dear <insert name>,

[Date]

I am writing to invite your organization to endorse the National Teacher and Principal Survey (NTPS), an important study that the U.S. Census Bureau is administering on behalf of the National Center for Education Statistics (NCES) during the upcoming 2023-24 school year. Your endorsement of this important survey is requested for both the 2023-24 administration as well as any future collections.

The NTPS is a large-scale, nationwide sample survey that includes both public and private elementary and secondary schools and the teachers and administrators who staff them. It is conducted every three years, and is designed to measure critical aspects of schools and teaching, the composition of the principal and teacher workforce, and overall conditions in schools. It provides information on the status of K-12 education from the perspective of school staff. It also provides national- and state-representative data on public schools, principals, and teachers, and national- and affiliation-representative data for private schools, principals, and teachers. Data collected from the NTPS are used by Congress, NCES, state education agencies, private school associations, and education research organizations to evaluate the effects of school workplace conditions, salaries, and training opportunities on the educational work force, assess school staffing practices and personnel policies, and aid in the Department of Education's program planning in the areas of teaching shortage incentives, teaching policies, and teacher education, among other things. Findings from previous NTPS data collections include, for example:

- In the 2019-20 school year, XX% of public school teachers spent their own money on classroom supplies.
- In the 2020–21 school year, the average base salary for regular, full-time teachers in public schools was \$XX.
- In the 2020-21 school year, the average start time for public high schools was X:XX.

Your endorsement of the NTPS will help to improve the credibility of this survey in the eyes of teachers, principals, and other staff who may be selected to participate. By endorsing this survey and allowing us to print the name of your organization on the front cover of applicable survey forms and mailing materials, you can also help to improve the response rates of your members, as well as other education professionals. For your convenience, I have enclosed an endorsement letter and draft copies of the survey forms used for data collection. If after reviewing these materials you would like to endorse this survey, please sign and return the endorsement letter as soon as possible so that we may include your organization on our list of official endorsers.

If you have any questions pertaining to the endorsement of the NTPS, you may contact me directly by phone at (202) 245-6581 or by e-mail at Maura.Spiegelman@ed.gov. Additionally, you may also contact Walter Holmes at the U.S. Census Bureau by phone at (301) 763-9370 or by e-mail at Walter.L.Holmes@census.gov.

Sincerely,

Maura Spiegelman Project Director National Teacher and Principal Survey, NCES

Enclosures

Endorsement letter for private associations that have previously endorsed NTPS and/or PSS

[Name] [Organization] [Street Address] [City, State, Zip]

Dear <insert name>,

I am writing to notify your organization of the upcoming 2023-24 National Teacher and Principal Survey (NTPS) and the 2023-24 Private School Survey (PSS), important studies administered by the U.S. Census Bureau on behalf of the National Center for Education Statistics (NCES). As an organization that has previously endorsed the NTPS and the PSS, I wanted to extend my deepest gratitude to you for your continued endorsement and support of this and future collections.

The NTPS is a very important study that measures critical aspects of schools and teaching, the composition of the principal and teacher workforce, and overall conditions in schools. There is a longitudinal component to the NTPS in which principals and a subsample of teachers will be contacted one year later to complete a short follow-up survey. Data collected from this survey are used by Congress, NCES, state education agencies, private school associations, and education research organizations to examine a variety of issues, including but not limited to: the effects of school workplace conditions, salaries, and training opportunities on the educational work force, school staffing practices and personnel policies, and the Department of Education's program planning in the areas of teaching shortage incentives, teaching policies, and teacher education, among other things.

Similarly, the PSS is an important nationwide sample survey that includes all private schools and early childhood programs providing educational instruction to students in kindergarten or at least one of grades 1-12. The PSS recognizes the importance of private schools in the United States and strives to better understand their place in the education system. Data collected from this survey are predominantly used by NCES to produce a web based private school search tool and statistical summaries on providers of private education in the United States. Your continued support of these studies is both appreciated and recognized for its contribution to the overall success of NTPS and PSS data collection efforts.

For your reference, I have enclosed the most recent draft copies of the survey forms used for NTPS and PSS data collections. No action is required to continue your endorsement of these surveys for the upcoming 2023-24 survey cycle and any future collections.

Please contact me directly with any questions you may have regarding endorsement of the NTPS by phone at (202) 245-6581 or by email at Maura.Spiegelman@ed.gov. Additionally, you may also contact Walter Holmes at the U.S. Census Bureau by phone at (301) 763-9370 or by e-mail at Walter.L.Holmes@census.gov. If you have any questions or comments pertaining to the endorsement of PSS, you may contact Stephen Broughman directly by phone at (202) 245-8338 or by e-mail at stephen.broughman@ed.gov.

Sincerely,

Maura Spiegelman Project Director National Teacher and Principal Survey, NCES

Enclosures

[Date]

Endorsement letter for private associations that did not previously endorse NTPS and/or PSS

[Name] [Organization] [Street Address] [City, State, Zip]

Dear <insert name>,

I am writing to invite your organization to endorse the National Teacher and Principal Survey (NTPS) and the Private School Survey (PSS), two important studies that the U.S. Census Bureau is administering on behalf of the National Center for Education Statistics (NCES) during the upcoming 2023-24 school year. Your endorsement of these important surveys is requested for both the 2023-24 administrations as well as any future collections.

The NTPS is a large-scale, nationwide sample survey that includes both public and private elementary and secondary schools and the teachers and administrators who staff them. It is conducted every three years and is designed to measure critical aspects of schools and teaching, the composition of the principal and teacher workforce, and overall conditions in schools. It provides information on the status of K-12 education from the perspective of school staff. It also provides national- and state-representative data on public schools, principals, and teachers, and national- and affiliation-representative data for private schools, principals, and teachers. There is a longitudinal component to the NTPS in which principals and a subsample of teachers will be contacted one year later to complete a short follow-up survey. Data collected from the NTPS are used by Congress, NCES, state education agencies, private school associations, and education research organizations to evaluate the effects of school workplace conditions, salaries, and training opportunities on the educational work force, assess school staffing practices and personnel policies, and teacher education, among other things.

The PSS is a nationwide sample survey that includes all private schools and early childhood programs providing educational instruction to students in kindergarten or at least one of grades 1-12. Private schools make up a significant segment of the nation's education system, with approximately 25 percent of all elementary and secondary schools being classified as such, and roughly 10 percent of all children and 13 percent of all teachers being enrolled in or employed by these schools, respectively. The PSS recognizes the importance of private schools in the United States and strives to better understand their place in the education system. Data collected from this survey are predominantly used by NCES to produce a web based private school search tool and statistical summaries on providers of private education in the United States.

Your endorsement of the NTPS and the PSS will help to improve the credibility of these surveys in the eyes of teachers, principals, and other staff who may be selected to participate. By endorsing these surveys and allowing us to print the name of your organization on the front cover of applicable survey forms and mailing materials, you can also help to improve the response rates of your members, as well as other education professionals. For your convenience, I have enclosed endorsement letters and draft copies of the survey forms used for NTPS and PSS data collections. If after reviewing these materials you would like to endorse one or both of these surveys, please sign and return the endorsement letters as soon as possible so that we may include your organization on our list of official endorsers.

If you have any questions pertaining to the endorsement of the NTPS, you may contact me directly by phone at (202) 245-6581 or by e-mail at Maura.Spiegelman@ed.gov. Additionally, you may also contact Walter Holmes at the U.S. Census Bureau by phone at (301) 763-9370 or by e-mail at Walter.L.Holmes@census.gov. If you have any questions or comments pertaining to the endorsement of PSS, you may contact Stephen Broughman directly by phone at (202) 245-8338 or by e-mail at stephen.broughman@ed.gov.

Sincerely,

[Date]

Maura Spiegelman Project Director National Teacher and Principal Survey, NCES

Enclosures

School Precontact Notification

Front design:

NATIONAL TEACHER AND PRINCIPAL SURVEY

DID YOU KNOW...

[Public // Private] school principals spend an average of XX hours per week on schoolrelated activities, including spending XX percent of their time on parent interactions?

The National Teacher and Principal Survey (NTPS) is a nationwide survey program from the U.S. Department of Education of elementary and secondary schools and the principals and teachers who staff them. The survey is a critical way that decisionmakers learn about what is happening in K–12 schools from the perspectives of principals and teachers themselves. Your school's participation in this survey will help us learn more about educators like you!

Here's what's coming up next for the NTPS...



We look forward to your school's participation in this important survey.

SOURCE: U.S. Department of Education, Institute of Education Sciences, National Center for Education Statistics. 2020-21 National Teacher and Principal Survey

Back design:

Postage Area



The National Teacher and Principal Survey (NTPS) is the U.S. Department of Education's primary source of information on K-12 education from schools and their staff. To learn more go to https://nces.ed.gov/surveys/ntps/

Address Area

Barcode Area

NTPS Letter Template

Letters mailed to sampled schools and their staffs will follow the format presented in the template below.



U.S. DEPARTMENT OF EDUCATION INSTITUTE OF EDUCATION SCIENCES NATIONAL CENTER FOR EDUCATION STATISTICS

<Date>

<Name> <School Name> <School Address> <School City, State ZIP Code>

Dear <Name>,

<Letter body>

Sincerely,

Peggy G. Carr, Ph.D. Commissioner National Center for Education Statistics U.S. Department of Education

NCRI is untherited to conduct this survey by the Education Sciences References of 2012 (RSRs 2012, 2013, 2 (HSRs)) All of the Information you provide may be used only for statistical purposes and may not be disclosed, or used, in Identifiable form for any other purpose escore as negative by law (2013, 5, GR73 and 6 015, 5, GR71, Reports of the Indiago from the survey will not identify participating districts, activate, or soil. Individual responses will be combined with those from other participants to produce summary statistics and reports.

STRS-RE (MM-OD-ITYT)

WASHINGTON, DC 20202-0031

Screener Letter to Principal

NTPS-8.1//8.2L (1 = No data wheel; 2 = Data wheel) (Includes Screener FAQs)

Dear <a>Principal Name>,

Your school has been selected to participate in the 2023–24 **National Teacher and Principal Survey** (NTPS), which will be administered this fall by the U.S. Census Bureau on behalf of the National Center for Education Statistics (NCES), the statistical agency within the U.S. Department of Education.

The NTPS is a nationwide sample survey of the teaching and working conditions in schools across the United States, as well as characteristics of the teachers and principals who staff them. [2: Examples of data collected from the 2020–21 NTPS are included on the enclosed data wheel. These statistics are just a few examples of the critical data that the NTPS provides to researchers and policymakers on school characteristics and organization, information related to teacher recruitment and retention, and other important education topics. //1: The NTPS will provide critical data to policymakers and researchers on school organization, decision making, teacher recruitment, and other important education topics.]

Please complete a short screener survey, using our secure website, to confirm your school's eligibility for the NTPS. The survey will take approximately **3 minutes** to complete. **Please complete the survey within one week.**

- Respond now at https://research.rm.census/gov/ntpswelcome
- Log in using this User ID: <a>
 USER ID>

If you would prefer to complete the screener survey over the phone, please call the U.S. Census Bureau at 1-888-595-1338 between 8:00 a.m. and 8:00 p.m. (Eastern Time) Monday through Friday.

Thank you in advance for your assistance.

Sincerely,

Chris Chapman Associate Commissioner National Center for Education Statistics U.S. Department of Education

(Screener FAQs)

Frequently Asked Questions

What is the NTPS?

The NTPS is a system of related questionnaires that provides policymakers and researchers with relevant and timely data on the characteristics and conditions of America's public, charter, and private K–12 schools and the professionals who work in them. The data collected permit detailed analyses of the characteristics of schools, principals, teachers, and students. The data also link NTPS findings across questionnaires, including the follow-up questionnaires for principals and teachers, which enable researchers to examine the relationships among multiple elements of the education system. For example, researchers can study the relationship between principal years of experience and teacher job satisfaction or teacher attrition and school climate.

For more information about the NTPS, please visit our website at http://nces.ed.gov/surveys/ntps.

How are the NTPS data used?

The data from the NTPS are used by Congress, the U.S. Department of Education, state education agencies, public school districts, and education research organizations to:

- 1. Evaluate the effects of school workplace conditions, salaries, and training opportunities on the educational workforce;
- 2. Assess school staffing practices and personnel policies; Measure teacher and principal attrition and understand the effects of school policies and practices on teachers' and principals' decisions to remain in or leave their profession; and
- 3. Aid in the Department of Education's program planning in the areas of teacher shortage, teaching policies, and teacher education.

Why is my school's participation important?

This survey is a primary source of information about what is happening in K–12 schools across the United States from the perspective of administrators and teachers. Only a small percentage of schools are selected to participate and your school cannot be replaced; therefore, your school is important for the success of this survey and to ensure that schools like yours are represented.

What is the purpose of the NTPS Screener?

The purpose of the NTPS Screener is to gather basic information about your school to confirm its eligibility for the survey and to establish a "survey coordinator" at your school. A "survey coordinator" is a school staff member who can easily maintain contact with survey respondents in your schools and help to make the survey a success.

What are the next steps?

Data collection for the National Teacher and Principal Survey (NTPS) will begin in September. At that time, your school will receive the necessary materials to complete three related surveys – a Principal Questionnaire, a School Questionnaire, and a Teacher Listing Form.

Who authorizes this survey?

The National Center for Education Statistics (NCES), within the U.S. Department of Education, is authorized to conduct this survey by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543). The U.S. Census Bureau will administer this survey on behalf of the NCES. The Office of Management and Budget (OMB) approved this survey. The OMB control number is 1850-0598 and the approval expiration date is XX/XX/2026.

Screener E-mail to Principal

NTPS-8.1//8.2E (1 = No data wheel; 2 = Data wheel) Note: Users may receive a personalized link that logs them into their Screener survey. If a personalized link is not

feasible, they will receive a User ID.

Subject: U.S. Department of Education Brief Survey Request

Body:

Dear <a>Principal Name>,

We recently mailed you a [1: letter//2: package of materials] at your school address because your school, <SCHOOL NAME>, was selected for the 2023–24 National Teacher and Principal Survey (NTPS), which will be administered this fall by the U.S. Census Bureau on behalf of the National Center for Education Statistics (NCES), the statistical agency within the U.S. Department of Education.

The NTPS is a nationwide sample survey of the teaching and working conditions in schools across the United States, as well as characteristics of the teachers and principals who staff them. [1: Data collected from the 2020–21 NTPS are included on the data wheel enclosed in the mailed package your school recently received. These statistics are just a few examples of the critical data that the NTPS provides to researchers and policymakers on school characteristics and organization, information related to teacher recruitment and retention, and other important education topics. //2: The NTPS will provide critical data to policymakers and researchers on school organization, decision making, teacher recruitment, and other important education topics.]

Please complete a short screener survey, using our secure website, to confirm your school's eligibility for the NTPS. The survey will take approximately **3 minutes** to complete. **Please complete the survey within one week.**

<u>Click here to complete the Screener survey.</u>

Log in using this User ID: <a>
 See ID

Thank you in advance for your participation in this important survey.

Sincerely,

National Teacher and Principal Survey Team U.S. Census Bureau, on behalf of the National Center for Education Statistics (NCES) 1-888-595-1338 | ntps@census.gov | http://nces.ed.gov/surveys/ntps

NCES is authorized to conduct this survey by the Education Sciences Reform Act of 2002 (ESRA 2002, 20 U.S.C. §9543). All of the information you provide may be used only for statistical purposes and may not be disclosed, or used, in identifiable form for any other purpose except as required by law (20 U.S.C. §9573 and 6 U.S.C. §151). Reports of the findings from the survey will not identify participating districts, schools, or staff. Individual responses will be combined with those from other participants to produce summary statistics and reports.

Screener Reminder E-mail to Principal

NTPS-9.1E//9.2E//9.3E//9.4E (1 = Sent from census.gov domain with traditional subject line; 2 = Sent from census.gov domain with experimental subject line; 3 = Sent from ed.gov domain with traditional subject line; 4 = Sent from ed.gov domain with experimental subject line)

Note: Users may receive a personalized link that logs them into their Screener survey. If a personalized link is not feasible, they will receive a User ID.

Subject: [1//3: U.S. Department of Education Survey Reminder//2//4: National Education Survey Reminder]

Body:

Dear <mark><Principal Name></mark>,

We recently sent you a letter and an e-mail asking you to confirm your school's eligibility for the National Teacher and Principal Survey (NTPS) by completing a short screener survey online. According to our records, your school's screener survey has not yet been completed. If you have recently completed the screener survey, thank you. If you have not yet completed it, please complete it as soon as possible.

The survey will take approximately 3 minutes to complete. Please complete the survey within one week.

<u>Click here to complete the Screener survey.</u>

Log in using this User ID: <a>
 See USER ID

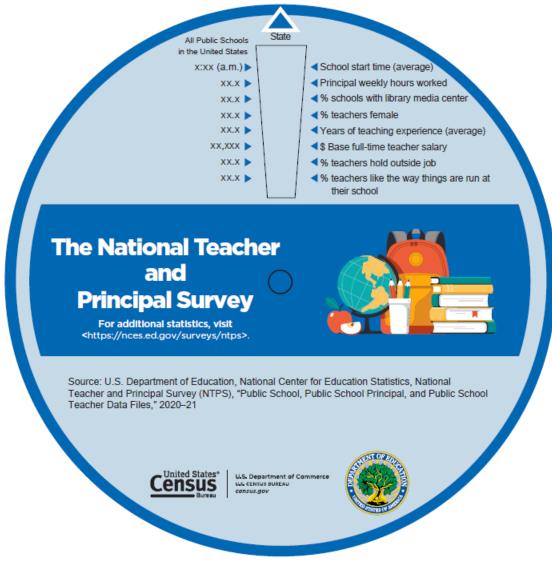
This is your school's opportunity to inform education policies. The NTPS will provide critical data to policymakers and researchers on school organization, decision-making, teacher recruitment, and other important education topics.

If you would prefer to complete the screener survey over the phone, please call the U.S. Census Bureau at 1-888-595-1338 between 8:00 a.m. and 8:00 p.m. (Eastern Time) Monday through Friday.

Sincerely,

[1//2: National Teacher and Principal Survey Team
U.S. Census Bureau, on behalf of the
National Center for Education Statistics (NCES)
1-888-595-1338 | ntps@census.gov | http://nces.ed.gov/surveys/ntps//
3//4: Maura Spiegelman, Ph.D.
Study Director, National Teacher and Principal Survey
National Center for Education Statistics]

NTPS Data Wheel for Screener Mailout



front panel side 1

(Side 2 is the same; there are 25-26 states on each side of the internal data wheel)