

**Centers for Medicare & Medicaid Services (CMS) Quality Program  
Extraordinary Circumstances Exceptions (ECE) Request Form**

A facility may request an exception, as specified by CMS, for quality reporting and value-based purchasing programs due to extraordinary circumstances beyond the control of the facility. Such circumstances may include (but are not limited to) natural disasters (such as a severe hurricane or flood), issues with CMS data-collection systems that directly affected the ability of facilities to submit data, or extreme circumstances that prevent facilities from electronic clinical quality measure (eCQM) or electronic health record (EHR)-based reporting. Please refer to the *Federal Register* and *Code of Federal Regulations* for program-specific rules on availability of this exception. To request an exception, please complete and submit this form. For events affecting the submission of data, this form must be submitted **within 90 calendar days of the extraordinary circumstance, except the submission of eCQMs under the Hospital Inpatient Quality Reporting Program, which has an ECE Request deadline of April 1** following the end of the reporting period.

For events adversely impacting performance, for the Hospital Value-Based Purchasing, Hospital Acquired-Condition Reduction, and Hospital Readmission Reduction Programs, this form must be submitted **no later than 90 calendar days of the extraordinary circumstance.**

**An asterisk (\*) indicates required fields. All sections must be complete and specific in order for the CMS to consider the request.**

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**Facility Contact Information**

\*Facility Name \_\_\_\_\_

\*CMS Certification Number (CCN) \_\_\_\_\_

\*National Provider Identifier Number (NPI) (ASC only) \_\_\_\_\_  
(Place additional NPIs in Additional Comments section.)

**\*CEO/Designee Contact Information**

\*Name \_\_\_\_\_ \*Title \_\_\_\_\_

\*Address (must include physical street address) \_\_\_\_\_

\*City \_\_\_\_\_ \*State \_\_\_\_\_ \*Zip Code \_\_\_\_\_

\*Telephone Number \_\_\_\_\_ \*Extension \_\_\_\_\_

\*Email Address \_\_\_\_\_

**Additional Contact Information**

Name \_\_\_\_\_ Title \_\_\_\_\_

Address (must include physical street address) \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

Telephone Number \_\_\_\_\_ Extension \_\_\_\_\_

Email Address \_\_\_\_\_

**\*Dates**

\*Date of Request \_\_\_\_\_ \*Date of Extraordinary Circumstance \_\_\_\_\_

## Centers for Medicare & Medicaid Services (CMS) Quality Program Extraordinary Circumstances Exceptions (ECE) Request Form

**\*Program(s) and Program Requirement(s) for Which Facility is Requesting Exception**

Please indicate which program requirement(s) and quarter(s) were affected by the extraordinary circumstance and if you are requesting the requirement to be excepted from public reporting.

Program	Measure and/or Program Requirement	Quarter(s)
Ambulatory Surgical Center Quality Reporting (ASCQR) Program	<input type="checkbox"/> Web-based measure(s)	
	<input type="checkbox"/> Claims-based measure(s)	
	<input type="checkbox"/> COVID-19 Vaccination Among Healthcare Personnel (HCP) measure	
End-Stage Renal Disease Quality Incentive Program (ESRD QIP)	<input type="checkbox"/> Clinical Depression Screening and Follow-up Plan	
	<input type="checkbox"/> Clinical Measure(s)	
	<input type="checkbox"/> In-Center Hemodialysis Consumer Assessment of Healthcare Providers and Systems (ICH CAHPS) Survey	
	<input type="checkbox"/> ICH CAHPS Attestation	
	<input type="checkbox"/> National Healthcare Safety Network (NHSN)	
	<input type="checkbox"/> ESRD Quality Reporting System (EQRS)	
	<input type="checkbox"/> Claims-based measure(s)	
	<input type="checkbox"/> Validation	
Hospital-Acquired Condition (HAC) Reduction Program	<input type="checkbox"/> Claims-based measure(s)	
	<input type="checkbox"/> NHSN Healthcare-associated infection (HAI) measure(s) data use	
	<input type="checkbox"/> NHSN HAI measure(s) data submission requirements	
	<input type="checkbox"/> Validation	
Hospital Inpatient Quality Reporting (IQR) Program	<input type="checkbox"/> Chart-abstracted measure(s)	
	<input type="checkbox"/> Claims-based measure(s)	
	<input type="checkbox"/> Electronic Clinical Quality Measures (eCQMs)	
	<input type="checkbox"/> Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS) Survey	
	<input type="checkbox"/> Influenza Vaccination Among Healthcare Personnel (HCP) measure	
	<input type="checkbox"/> COVID-19 Vaccination Among Healthcare Personnel (HCP) measure	
	<input type="checkbox"/> Web-based measure(s)	
	<input type="checkbox"/> Structural measure(s)	
	<input type="checkbox"/> Population and Sampling	
	<input type="checkbox"/> Validation	
	<input type="checkbox"/> Non-measure related requirement(s) (Please specify) _____ _____	

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Program	Measure and/or Program Requirement	Quarter(s)
Hospital Outpatient Quality Reporting (OQR) Program	<input type="checkbox"/> Chart-abstracted measure(s)	
	<input type="checkbox"/> Web-based measure(s)	
	<input type="checkbox"/> Claims-based measure(s)	
	<input type="checkbox"/> COVID-19 Vaccination Among Healthcare Personnel (HCP) measure	
	<input type="checkbox"/> Validation	
	<input type="checkbox"/> Non-measure related requirement(s) (Please specify): _____ _____	
Hospital Readmissions Reduction Program (HRRP)	<input type="checkbox"/> Claims-based measure(s)	
Hospital Value-Based Purchasing (VBP) Program	<input type="checkbox"/> Claims-based measure(s)	
	<input type="checkbox"/> Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS) Survey	
	<input type="checkbox"/> NHSN Healthcare-associated infection (HAI) measure(s)	
Inpatient Psychiatric Facility Quality Reporting (IPFQR) Program	<input type="checkbox"/> Chart-abstracted measure(s)	
	<input type="checkbox"/> COVID-19 Vaccination Among Healthcare Personnel (HCP) measure	
	<input type="checkbox"/> Claims-based measure(s)	
	<input type="checkbox"/> Non-measure related requirement(s) (Please specify) _____ _____	
PPS-Exempt Cancer Hospital Quality Reporting (PCHQR) Program	<input type="checkbox"/> Web-based measure(s)	
	<input type="checkbox"/> Claims-based measure(s)	
	<input type="checkbox"/> Hospital Consumer Assessment of Healthcare Providers and Systems (HCAHPS) Survey	
	<input type="checkbox"/> Influenza Vaccination Among Healthcare Personnel (HCP) measure	
	<input type="checkbox"/> COVID-19 Vaccination Among Healthcare Personnel (HCP) measure	
	<input type="checkbox"/> NHSN Healthcare-associated infection (HAI) measure(s)	
	<input type="checkbox"/> Non-measure related requirement(s) (Please specify) _____ _____	
Skilled Nursing Facility Value-Based Purchasing (SNF VBP) Program	<input type="checkbox"/> Claims-based measure(s)	
	<input type="checkbox"/> Non-measure related requirement(s) (Please specify): _____ _____	
	<input type="checkbox"/> Other measures or requirements: _____ _____	

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**Exception or Extension Request Information**

\*Date ECE relief would end \_\_\_\_\_

**\*Provide justification for the ECE end date.**

**\*Enter specific reasons for requesting an exception. Please include the specific requirements or data for which you are seeking an exception. Please indicate how the extraordinary circumstance negatively impacted performance or how the extraordinary circumstance prevented your facility from meeting the program requirement for the measure(s) for which an exception is being sought (if applicable). Attach supporting documentation when necessary.**

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**\*Provide evidence of the impact of the extraordinary circumstance including (but not limited to) photographs, web links, newspaper, and other media articles. Attach supporting documentation when necessary.**

**Additional Comments (Attach additional documentation/comments if necessary.)**

\*CEO/Designee Signature: \_\_\_\_\_ \*Date: \_\_\_\_\_

**Extraordinary Circumstances Exceptions Request Form Submission Instructions**

Complete and submit this form, via the *Hospital Quality Reporting Secure Portal*, Managed File Transfer to [QRFormsSubmission@hsag.com](mailto:QRFormsSubmission@hsag.com). If unable to submit via Managed File Transfer, please submit via email to [QRFormsSubmission@hsag.com](mailto:QRFormsSubmission@hsag.com) or secure fax to (877) 789-4443.

**For SNF VBP Program only requests**, complete and submit this form to the SNF VBP Program Help Desk at [SNFVBP@rti.org](mailto:SNFVBP@rti.org).

Following receipt of the request form, CMS will (1) Provide a written acknowledgement using the contact information provided in the request, to the CEO and any additional designated facility personnel, notifying them that the facility's request has been received and (2) provide a formal response to the CEO and any additional designated facility personnel using the contact information provided in the request notifying them of our decision. CMS will strive to complete its review of each ECE request within 90 calendar days of receipt of the request.

**PRA Disclosure Statement**

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is **0938-1022 (Expires XX/XX/XXXX)**. The time required to complete this information collection is estimated to average 15 minutes per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, MD 21244-1850. **\*\*\*\*CMS Disclosure\*\*\*\* Please do not send applications, claims, payments, medical records, or any documents containing sensitive information to the PRA Reports Clearance Office. Please note that any correspondence not pertaining to the information collection burden approved under the associated OMB control number listed on this form will not be reviewed, forwarded, or retained. If you have questions or concerns regarding where to submit your documents, please contact the Inpatient Value, Incentives, and Quality Reporting Outreach and Education Support Contractor at (844) 472-4477.**