



DEPARTMENT OF HOMELAND SECURITY
U.S. Customs and Border Protection

OMB CONTROL NUMBER: 1651-0053
EXPIRATION DATE: 11/30/2022

**APPLICATION FOR CBP APPROVED
GAUGERS AND ACCREDITED LABORATORIES**

19 CFR 151.12; 151.13

INSTRUCTIONS: Submit application, including all additional continuation sheets (*if required*) and attachments in duplicate to the Executive Director, Laboratories and Scientific Services Directorate.

| SECTION I - APPLICANT | | | |
|---|--|---|--|
| 1. Applicant's Name & Principal Office Address - indicate fictitious name (<i>if applicable</i>) Name (Last, First, MI): Street Address: City: State: Zip Code: | | | |
| 2. Type of License Applied For <input type="checkbox"/> Laboratory <input type="checkbox"/> Gauger <input type="checkbox"/> Laboratory/Gauger | 3. CBP Port & Port Code | 4. Have you ever applied for a CBP accreditation/approval? <input type="checkbox"/> No <input type="checkbox"/> Yes (<i>Explain in Block 16</i>) | |
| 5. Has the applicant (<i>or any officer, member, or principal thereof</i>) ever had an accreditation/approval suspended, refused, revoked, or cancelled? <input type="checkbox"/> No <input type="checkbox"/> Yes (<i>Explain in Block 16</i>) | | 6. Is the applicant (<i>or any officer, member or principal thereof</i>) an officer or employee of the United States? <input type="checkbox"/> No <input type="checkbox"/> Yes (<i>Explain in Block 16</i>) | |
| 7. Date of Birth | 8. Birthplace (<i>City & State</i>) | 9. SSN | 10. Telephone Numbers & Email Home: Business: Ext. Email (<i>Business</i>): |
| 11. U.S. Citizenship <input type="checkbox"/> Natural Born <input type="checkbox"/> Naturalized (<i>If so, answer question →</i>) | | If naturalized, when & where were you naturalized? Date: Place: | |
| 12. Have you ever been arrested, charged, convicted of or forfeited collateral for, any felony, misdemeanor, or other violation? (<i>Read statement → before answering</i>) <input type="checkbox"/> No <input type="checkbox"/> Yes (<i>Explain in Block 16</i>) | | <u>You may omit:</u> 1. traffic violations for which you paid a fine of \$250 or less; 2. any incident which happened before your 16th birthday. All other incidents must be included, even though the case records were expunged or suppressed under a rehabilitation program, or you were sentenced under a State statute which provides that you need not report the incident when apply for employment, a license, etc. | |
| 13. Address of Principal Place of Business (<i>if different from Block 1; if same, check box →</i>) Street Address: City: State: Zip Code: | | | <input type="checkbox"/> Same Address |
| 14. In the last 5 years, have you, or a company ever which you exercised some control, filed for bankruptcy, been declared bankrupt, been subject to a tax lien, or had legal judgement rendered against you for a debt? <input type="checkbox"/> No <input type="checkbox"/> Yes (<i>Explain in Block 16</i>) | | | |
| 15. List Names of all Officers and Directors | | | |
| 16. Remarks/Additional Information (<i>In responding to questions above, include Block number. If more space is needed use blank sheet</i>) | | | |

As conditions for commercial laboratory accreditation and/or gauger approval, I agree:

- 1) To comply with the requirements of 19 CFR, Part 151, U.S. Customs and Border Protection (CBP) Regulations, and to conduct professional services in conformance with approved standards and procedures, including procedures which may be required by the Commissioner of U.S. Customs and Border Protection or the Executive Director, Laboratories and Scientific Services (LSS);
- 2) To have no interest in or other connection with any business or other activity which might affect the unbiased performance of duties as a Customs-accredited laboratory. It is understood that this does not prohibit acceptance of the usual fees for professional services;
- 3) To maintain the ability (*i.e., the instrumentation, equipment, qualified staff, facilities, etc.*) to perform the services for which the laboratory/gauger is accredited/approved, and allow the Executive Director to evaluate that ability on a periodic basis by such means as on-site inspections, demonstrations of analysis procedures, reviews of submitted records, and proficiency testing through check samples;
- 4) To retain those laboratory/gauger records beyond the five-year record-retention period and samples (*see 19 CFR, Part 151.12(j) for laboratories; and 19 CFR, Part 151.13(h) for gaugers*) specified by CBP as necessary to address matters concerned in pending litigation, and, if laboratory operations or accreditation cease, to contact Customs immediately regarding the disposition of records/samples retained;
- 5) To promptly investigate any circumstance which might affect the accuracy of work performed as an accredited laboratory/approved gauger, to correct the situation immediately, and to notify both the Port Director and the Executive Director of such matters, their consequences, and any corrective action taken or that needs to be taken;
- 6) To immediately notify both the Port Director and the Executive Director of any attempt to impede, influence, or coerce laboratory personnel in the performance of their duties, or of any decision to terminate laboratory operations or accredited status. Further, within 5 days of any changes involving legal name, address, ownership, parent-subsidiary relationships, bond, other offices or sites, or approved signatories to notify the Executive Director by Certified Mail;
- 7) To execute a bond in accordance with 19 CFR, Part 113 (*Customs Duties Regulations*) and submit it to the CBP Port Director stated in CBP Form 6478, item #3; and
- 8) To be bound by the obligations of 19 CFR Part 151.12(c) for laboratories and/or Part 151.13(b) for gaugers.

Last Name

First Name

MI

(Signature)

(Date)

Privacy Act Statement:**Application for Commercial Gauger and Laboratory Approval and Accreditation**

Pursuant to 5 U.S.C. § 552a(e)(3), this Privacy Act Statement serves to inform you of why DHS is requesting the information on this form.

Authority:

Customs and Border Protection is authorized to collect the information requested on this form pursuant to 19 U.S.C. § 1499(b), 19 CFR § 151.12, and 19 CFR §151.13.

Purpose:

U.S. Customs and Border Protection (CBP) is requesting this information to determine if the commercial gauger or laboratory meets the established conditions to receive and maintain approval or accreditation. CBP will use this information to determine the overall competence, independence, and character of the entity seeking approval and accreditation.

Routine Uses:

The information requested on this form may be shared externally as a "routine use" to other components within the Department of Homeland Security in order to perform background investigations. A complete list of the routine uses can be found in the system of records notice associated with this form, DHS/CBP-010 Persons Engaged in "International Trade in CBP Licensed/Regulated Activities", dated, December 19, 2008 and DHS/ALL-020-Department of Homeland Security Internal Affairs, which maintains the information collected for investigative purposes, dated April 28, 2014. The Department's full list of system of records notices can be found on the Department's website at <http://www.dhs.gov/system-records-notices-sorns>.

Consequences of Failure to Provide Information:

Providing this information to CBP is voluntary. However, failure to provide this information may result in denial to become a CBP-approved gauger or CBP accredited laboratory.