

| Section | NOTES Notes | Reporting | | | | |
|--|--|-----------------------|--------------------------|--------------|---------------------|--------------------|
| | | Number of Respondents | Responses per Respondent | Responses | Burden per Response | Total Burden Hours |
| 37.11(a) | No exemption applications anticipated | 1,400 | 0 | 0 | 1 | 0 |
| 37.25(c) | Reinvestigations are conducted every 10 years. Based on the date of issuance of orders, no reinvestigations are anticipated during this OMB clearance period | 1,400 | 0 | 0 | 1 | 0 |
| 37.23(b)(2), 37.27 (a)(1), 37.27(c), 37.43(d)(3)(ii), | Fingerprinting requirement, burden captures reviewing officials & estimated 3 new employees per licensee annually. | 1,400 | 3 | 4200 | 0.25 | 1050 |
| 37.41(a)(3) | Requires any licensee that has not previously implemented the Security Orders or been subject to the provisions of subpart C shall provide written notification to the NRC regional office at least 90 days before aggregating radioactive material to a quantity that equals or exceeds the category 2 threshold. None estimated. | 0 | 0 | 0 | 1 | 0 |
| 37.45(a)(2)&(5) in PR, 37.45(b)(1)&(2) in FR | Notify regional office on LLEA none response, none estimated | 0 | 0 | 0 | 0.25 | 0 |
| 37.57(a) | Event notification | 3 | 1 | 3 | 0.25 | 0.75 |
| 37.57(b) | Phone call of suspicious activity | 110 | 1 | 110 | 0.25 | 27.5 |
| 37.57(c) | Written follow up report for notifications within 30 days | 3 | 1 | 3 | 20 | 60 |
| 37.77(a)&(b), NRC Form 755, "Advance Notification to the NRC of the Shipment of Category 1 Quantities of Radioactive Material" | Advance written notification of Category 1 shipments | 16 | 21.9 | 350.1 | 1 | 350.1 |
| 37.77 (c), | Revision notification | 16 | 2 | 32 | 0.25 | 8 |
| 37.77 (d) | Cancel notification | 16 | 0 | 0 | 0.25 | 0 |
| 37.81(a), (b), (c), (d), (e), &(f) | Notification of lost/missing cat 1 & 2 materials and recovery | 3 | 1 | 3 | 0.25 | 0.75 |
| 37.81(g)&(h) | Written report following lost/missing cat 1 & 2 materials | 3 | 1 | 3 | 20 | 60 |
| TOTAL | | | | 4,704 | | 1,557 |

Third Party Disclosure

| Section | Notes | Rspndnts | Rsp. Per Rspndt | Responses | Burden per Response | Total Burden Hours |
|---|--|-----------------|------------------------|------------------|----------------------------|---------------------------|
| 37.23(d) | Employees providing personal history information | 4,200 | 1 | 4,200 | 4 | 16,800 |
| 37.23(g)(1) | Notification of employees that they have the right to correct and complete information. No responses anticipated | 0 | 0 | 0 | 1 | 0 |
| 37.27(a)(2), 37.23© | Notify each individual how their fingerprints will be used. | 1,400 | 3 | 4,200 | 0.1 | 420 |
| 37.43(c) | Training | 1,400 | 1 | 1,400 | 1 | 1,400 |
| 37.45(a)(1)&(2), (d) | Annual LLEA coordination | 1,400 | 1 | 1,400 | 3 | 4,200 |
| 37.45(b)(1) & (2) | notify the regional office if LLEA has not responded to the request for coordination or does not plan to participate in coordination activities. None anticipated. | 0 | | 0 | | 0 |
| 37.49(d) | Request armed response from LLEA- burden in 37.45(a)(10). none anticipated | 0 | 0 | 0 | 0.1 | 0 |
| 37.57(a) - includes 37.11(c)(4) | Event notification | 3 | 1 | 3 | 0.25 | 1 |
| 37.57(b) | Notify ops center with 4 hrs of suspicious activity | 110 | 1 | 110 | 1 | 110 |
| 37.75(a)(1) | Pre-planning and coordination of Category 1 shipping with receiving licensee | 16 | 21.88 | 350 | 0.5 | 175 |
| 37.75(a)(2) | Pre-planning and coordination with States thru which shipment passes of Category 1 Shipments | 16 | 218.75 | 3,500 | 0.25 | 875 |
| 37.75(b) | Pre-planning and coordination of Category 2 shipping with receiving licensee | 530 | 75 | 39,750 | 0.5 | 19,875 |
| 37.75(c) | Notification of shipping licensee upon receipt on Category 2 shipments | 530 | 75 | 39,750 | 0.08 | 3,180 |
| 37.75(d) | Change in arrival time | 110 | 3 | 330 | 0.16 | 53 |
| 37.77(a)&(b) | Advance written notification to the states of Category 1 shipments | 16 | 21.88 | 350 | 4 | 1,400 |
| 37.77 (c), | Revision notification to state | 16 | 2 | 32 | 0.25 | 8 |
| 37.77 (d) | Cancel notification to state | 16 | 0 | 0 | 0.25 | 0 |
| 37.79(b)(1)(iii) in PR, 37.79(b)(1)(ii) in FR | Periodic reports to communication center | 0 | 0 | 0 | 0.03 | 0 |
| 37.81(a),(c)&(e) | Category 1, zero estimated | 0 | 0 | 0 | 0.25 | 0 |
| TOTAL | | | | 95,375 | | 48,497 |

Recordkeeping

| Section | Notes | Number of Recordkeepers | Burden per Recordkeeper | Total Annual Burden Hours | Retention Period |
|--|---|-------------------------|-------------------------|---------------------------|---|
| 37.23(e)(5) and 37.23(h)(3) | List of persons approved access | 1,400 | 1 | 1,400 | 3 years from the date the individual no longer requires unescorted access |
| 37.23(g)(1) | Notification records | 1,400 | 0.25 | 350 | 1 year |
| 37.23(e)(3), 37.23(h)(1), 37.25(a)(2), 37.25(a)(7), 37.25(b)(2), 37.29(a)(12)&(13), and 37.29(b) | Record of procedure and trustworthiness | 1,400 | 2.25 | 3150 | 3 years from the date the individual no longer requires unescorted access |
| 37.23(h)(2) and 37.23(f) | Current procedures for conducting background investigations | 1,400 | 0.5 | 700 | 3 yrs after procedure no longer need, or license termination |
| 37.31(a) | Written procedures for protection of the record | 1,400 | 0.75 | 1,050 | 3 yrs from date no longer requires access |
| 37.31(e) | Fingerprint and criminal history records | 1,400 | 0.75 | 1,050 | 3 yrs from date no longer requires access |
| 37.33(b)&(c) | Document results of program review – 3 years | 1,400 | 1.5 | 2,100 | 3 years |
| 37.43(a)(4) | Security plan | 1,400 | 3.3 | 4,620 | 3 yrs after plan is superseded or until license termination |
| 37.43(b)(3) and 37.43(d)(8)(i) and (ii) | Security plan implementing procedures and information protection procedures | 1,400 | 0.25 | 350 | 3 years after the record is superseded. |
| 37.43(c)(4) | Training records for security plan | 1,400 | 3 | 4,200 | 3 years |
| 37.43(d)(8)(i) and (ii) and 37.43(d)(5) & (6) | List of trust worthy person | 1,400 | 0.25 | 350 | 3 years |
| 37.45(c) | Records of coordination with LLEA | 1,400 | 1 | 1400 | 3 years |
| 37.51(b) | Records of maintenance and testing | 1,400 | 0.25 | 350 | 3 years |
| 37.55(c) | Records of security plan review | 1,400 | 1.5 | 2100 | 3 years |
| 37.71(c) in PR, 37.71(a)-(d) in FR | Copy of document verification before transfer | 530 | 0.75 | 397.5 | 3 years |
| 37.75(a)(3), (b), & (e) | Copy of preplanning info for shipments | 546 | 0.75 | 409.5 | 3 years |
| 37.77(e) | Copy of advance notification, revision, cancellation notices | 16 | 0.01 | 0.16 | 3 years |
| 37.79(c) in PR, 37.79(a)(1)(iii) &(v) in FR | Written procedures for road shipment of category 1 material | 16 | 0.75 | 12 | 3 years |
| 37.79(b)(1)(i) | Procedures for rail shipments | 0 | 0.75 | 0 | 3 years |
| TOTAL | | 1,400 | | 23,989 | |

| | Hours | Responses | Cost @\$288/hr |
|------------------------|---------------|----------------|----------------------|
| Reporting | 1,557 | 4,704 | \$ 448,416 |
| Recordkeeping | 23,989 | 1,400 | \$ 6,908,832 |
| Third-Party Disclosure | 48,497 | 95,375 | \$ 13,967,136 |
| Total | 74,043 | 101,479 | \$ 21,324,384 |

| Respondents | |
|--|--------------|
| Agreement State Licensees | 1,140 |
| NRC Licensees | 260 |
| Individuals making personal history disclosures under 37.23(d) | 4,200 |
| Total | 5,600 |

| Other costs | |
|-----------------------------|-------------------|
| Recordkeeping costs | \$ 2,764 |
| Fingerprint processing fees | \$ 147,000 |
| Total | \$ 149,764 |

| | Burden Change | | | | | |
|------------------------|----------------------------|------------------|------------------------|------------------|---------------|------------------|
| | Previously Approved | | Current Request | | Change | |
| | Hours | Responses | Hours | Responses | Hours | Responses |
| Reporting | 1,557 | 4,704 | 1,557 | 4,704 | - | - |
| Recordkeeping | 23,989 | 1,400 | 23,989 | 1,400 | - | - |
| Third-Party Disclosure | 48,497 | 95,375 | 48,497 | 95,375 | - | - |
| Total | 74,043 | 101,479 | 74,043 | 101,479 | - | - |