



OMB Approval No. 3245-0320
Expiration Date: 07/31/2022

<input type="button" value="Voluntary Withdrawal"/> <input type="button" value="Continue Certification"/> <input type="button" value="Exit"/>	
HUBZone Program Annual Recertification Attestation	
<p>Please read carefully the following certification statements and have the authorized officer or officers of the firm sign and date the form. The U.S. Small Business Administration (SBA) relies on the information in the firm's online submission, this form and any documents or supplemental information submitted in connection with this application to determine whether the firm qualifies as a HUBZone small business concern (SBA). The definitions for the terms used in this certification and throughout this application are set forth in the Small Business Act (15 U.S.C. § 657a), SBA regulations (13 C.F.R. Part 126), and also any statutory and regulatory provisions referenced in those authorities. In addition, please note that SBA will request further clarification or supporting documentation in order to assist in the verification of any of the information provided and that each person signing this certification may be prosecuted if they have provided false information. Any action taken with respect to this application does not affect the Government's right to pursue criminal, civil or administrative remedies for incorrect or incomplete information given on the application form, even if correct information has been included in other materials submitted to SBA.</p>	
<p>The undersigned has reviewed, verified and certifies that as of the anniversary date of the HUBZone certification (all questions must be answered):</p>	
* OWNERSHIP	
<p>The firm represents that it meets the HUBZone Program's ownership requirements because it complies with one of the ownership structures below (check only one).</p>	
The firm is at least 51% owned and controlled by one or more individuals who are United States citizens.	<input checked="" type="radio"/>
The firm is at least 51% owned by one or more Indian Tribal Governments, or by a corporation that is wholly owned by one or more Indian Tribal Governments.	<input type="radio"/>
The firm is an Alaska Native Corporation (ANC) or at least 51% owned by an ANC or a wholly-owned business entity of an ANC.	<input type="radio"/>
The firm is at least 51% owned by one or more Community Development Corporations.	<input type="radio"/>
The firm is at least 51% owned by one or more Native Hawaiian Organizations (NHOs), or by a corporation that is wholly owned by one or more NHOs.	<input type="radio"/>
The firm is a small agricultural cooperative organized or incorporated in the United States, or at least 51% owned by one or more small agricultural cooperatives organized or incorporated in the United States	<input type="radio"/>
The firm does not meet the HUBZone Program's ownership requirements	<input type="radio"/>
* SIZE	
The firm represents that it meets SBA size requirements because, together with its affiliates, the firm qualifies as a small business under the size standard corresponding to one or more NAICS codes in which it does business as defined in 13 C.F.R. Part 121.	<input checked="" type="radio"/> Yes <input type="radio"/> No

* PRINCIPAL OFFICE	
Principal office means the location where the greatest number of the concern's employees at any one location perform their work.	
The firm represents that the address of its principal office at the time of its most-recent certification anniversary date was (enter full address)	
Street <input type="text"/>	City <input type="text"/>
State <input type="text" value="--Select--"/>	Zip Code <input type="text"/>
The firm represents that it meets the HUBZone program's principal office requirement because:	
The firm's principal office is located in a HUBZone	<input checked="" type="radio"/>
The firm's principal office is no longer located in a HUBZone but is eligible to continue to be deemed to be located in a HUBZone pursuant to the long-term investment provision	<input type="radio"/>
<p>Long-term investment provision: A firm that has made a long-term investment (i.e., purchased a building or entered a lease of at least 10 years) in a principal office in a Qualified Census Tract, Qualified Nonmetropolitan County, Indian Reservation, Qualified Base Closure Area, or Governor-Designated Covered Area at the time of its initial certification or annual recertification occurring after December 26, 2019, will be deemed to have its principal office located in a HUBZone for up to 10 years from the date of that certification or recertification, as long as the firm maintains the long-term lease or continues to own the property upon which the principal office designation was made, and as long as that location continues to be the firm's principal office.</p> <ul style="list-style-type: none"> A firm is not eligible for this provision if its principal office is located in a Redesignated Area or Qualified Disaster Area at the time of the firm's certification or recertification. However, if a firm's principal office is in a location that is both a qualifying area (e.g., Qualified Census Tract) and a non-qualifying area (e.g., Redesignated Area) at the time of the firm's certification or recertification, the firm would be eligible for this provision. A firm is not eligible for this provision if its principal office is shared with one or more other businesses. A firm is not eligible for this provision if its principal office is a home office (e.g., a location that also serves as a personal residence). 	
The firm does not meet the HUBZone program's principal office requirement.	<input type="radio"/>

Below are additional questions that appear and must be completed if the firm indicates that they meet the principal office requirement because of the long-term investment provision:

The firm represents that the principal office is eligible for the long-term investment provision because:	
The firm represents that it owns a principal office that is no longer in a HUBZone but that is eligible to continue to be deemed to be located in a HUBZone pursuant to 13 C.F.R. § 126.200(c)(1).	<input type="radio"/> Yes <input checked="" type="radio"/> No
The firm represents that it purchased the building in which its principal office is located on <input type="text"/>	
The firm represents that it has entered into a long-term lease of at least 10 years for a principal office that is no longer in a HUBZone but that is eligible to continue to be deemed to be located in a HUBZone pursuant to 13 C.F.R. § 126.200(c)(1).	<input type="radio"/> Yes <input checked="" type="radio"/> No
The firm represents that the lease term for the location which serves as its principal office is <input type="text"/> to <input type="text"/>	

* HUBZONE EMPLOYEE RESIDENCY	
The firm represents that it meets the program's HUBZone employee residency requirement because:	
At least 35% of its employees reside in a HUBZone, without the inclusion of HUBZone Legacy Employees.	<input checked="" type="radio"/>
<p>"Legacy Employee" Provision: An employee who no longer resides in a HUBZone may continue to be counted as a HUBZone resident employee if he/she: resided in a HUBZone for at least 180 days prior to the firm's certification (or recertification) occurring after December 26, 2019; continued to live in a HUBZone for at least 180 days immediately after certification (or recertification); and has remained an employee of the firm (i.e., worked at least 40 hours per month) since that time.</p> <ul style="list-style-type: none"> • This provision only applies to employees who resided in a Qualified Census Tract, Qualified Non-Metropolitan County, Indian Reservation, Qualified Base Closure Area, or Governor-Designated Covered Area during the relevant time periods (i.e., 180 days before and 180 days after the firm's certification or recertification). • This provision does not apply to employees who resided in a Redesignated Area or Qualified Disaster Area during the relevant time periods. However, if the employee resided in a location that was both a qualifying area (e.g., Qualified Census Tract) and a non-qualifying area (e.g., Redesignated Area), this provision would apply. • A firm is only eligible for this provision if it has a principal office in a Qualified Census Tract, Qualified Non-Metropolitan County, Qualified Base Closure Area, Indian Reservation, or Governor-Designated Covered Area. • A firm is not eligible for this provision if its principal office is located in a Redesignated Area or a Qualified Disaster Area. However, if a firm's principal office is in a location that is both a qualifying area (e.g., Qualified Census Tract) and a non-qualifying area (e.g., Redesignated Area), the firm would be eligible for this provision. 	
At least 35% of its employees reside in a HUBZone, with the inclusion of HUBZone Legacy Employees.	<input type="radio"/>
The firm is performing a HUBZone contract and is attempting to maintain compliance with the HUBZone residency requirement and at least 20% of the firm's employees currently reside in a HUBZone, without the inclusion of HUBZone Legacy Employees.	<input type="radio"/>
<p>HUBZone contracts are contracts awarded to a certified HUBZone small business concern, regardless of the place of performance, through any of the following procurement methods: (a) Sole source awards to certified HUBZone small business concerns; (b) Set-aside awards, including partial set-asides, based on competition restricted to certified HUBZone small business concerns; (c) Awards to certified HUBZone small business concerns through full and open competition after a price evaluation preference is applied to an other than small business in favor of certified HUBZone small business concerns; (d) Awards based on a reserve for certified HUBZone small business concerns in a solicitation for a Multiple Award Contract (see §125.1); or (e) Orders set-aside for certified HUBZone small business concerns under a Multiple Award Contract that was awarded in full and open competition.</p>	
The firm is performing a HUBZone contract and is attempting to maintain compliance with the HUBZone residency requirement and at least 20% of the firm's employees currently reside in a HUBZone, with the inclusion of HUBZone Legacy Employees.	<input type="radio"/>
The firm is owned in whole or in part by one or more Indian Tribal Governments (or by a corporation that is wholly owned by Indian Tribal Governments) and certifies that when performing a HUBZone contract, at least 35% of its employees engaged in performing that contract will reside within any Indian reservation governed by one or more of the Indian Tribal Government owners, or reside within any HUBZone adjacent to such Indian reservation.	<input type="radio"/>
The firm does not meet the HUBZone employee residency requirement.	<input type="radio"/>

Below is an additional question that appears and must be completed if the firm indicates they are performing a HUBZone contract and attempting to maintain compliance:

The firm represents that it is currently performing the following HUBZone contract(s) (enter contract number):

The firm represents that at the time of its most-recent certification anniversary date, the total number of employees of the firm was: <input type="text"/>	
The firm represents that it will make good faith efforts to "attempt to maintain" (see 13 C.F.R. § 126.103) having 35% of its employees reside in a HUBZone during the performance of any HUBZone contract it receives. If the firm is owned in whole or in part by one or more Indian Tribal Governments (or by a corporation that is wholly owned by one or more Indian Tribal Governments), the firm represents that it will "attempt to maintain" the applicable employment percentage during the performance of any HUBZone contract it receives.	<input checked="" type="radio"/> Yes <input type="radio"/> No
Attempt to maintain means making substantive and documented efforts, such as written offers of employment, published advertisements seeking employees, and attendance at job fairs and applies only to concerns during the performance of any HUBZone contract. A certified HUBZone small business concern that has less than 20% of its total employees residing in a HUBZone during the performance of a HUBZone contract has failed to attempt to maintain the HUBZone residency requirement.	
The firm represents that it will immediately notify SBA if it fails to "attempt to maintain" during the performance of any HUBZone contract it is awarded.	<input checked="" type="radio"/> Yes <input type="radio"/> No
* LIMITATIONS ON SUBCONTRACTING AND OTHER REQUIREMENTS	
The firm represents that for any contracts awarded to it as a certified HUBZone small business concern, the firm will comply with the limitations on subcontracting as set forth in 13 C.F.R. § 125.6 and/or the non-manufacturer rule as set forth in 13 C.F.R. § 121.406.	<input checked="" type="radio"/> Yes <input type="radio"/> No
The firm represents that it will notify SBA immediately if it merges with, acquires, or has been acquired by another firm since the date of its HUBZone certification.	<input checked="" type="radio"/> Yes <input type="radio"/> No
The firm represents that neither the concern nor any of its owners have an active exclusion in the System for Award Management.	<input checked="" type="radio"/> Yes <input type="radio"/> No
I acknowledge that the firm must have supporting documentation available to provide to SBA in the event of a HUBZone status protest, program examination, or in response to any other request from SBA.	<input checked="" type="radio"/> Yes <input type="radio"/> No
I certify that all the statements and information provided are true, accurate and complete. If assistance was obtained in completing this form, I have personally reviewed the information and it is true and accurate. I understand that these statements are made for the purpose of determining this firm's continuing eligibility in the HUBZone Program.	<input checked="" type="radio"/> Yes <input type="radio"/> No
I understand that the information submitted may be given to Federal, State and local agencies for determining violations of law and other purposes. I also understand that the certifications in this document are continuing in nature. Each HUBZone prime contract or subcontract for which the firm submits an offer/quote or receives an award while a HUBZone small business concern constitutes a restatement and reaffirmation of these certifications.	<input checked="" type="radio"/> Yes <input type="radio"/> No
I understand that the firm may not misrepresent its status as a HUBZone small business concern to: (1) obtain a contract under the Small Business Act; or (2) obtain any benefit under a provision of Federal law that references the HUBZone Program for a definition of program eligibility	<input checked="" type="radio"/> Yes <input type="radio"/> No
I am an officer of the firm authorized to represent the firm and sign this certification on its behalf.	<input checked="" type="radio"/> Yes <input type="radio"/> No
<input type="button" value="Voluntary Withdrawal"/> <input type="button" value="Continue Certification"/> <input type="button" value="Exit"/>	