

## State Health Department HAI Mandate and Validation Survey

### Section 1: State Reporting Requirements Section

---

This section inquires of any state reporting requirements that were in place during the 2017 calendar year. Please indicate the HAI specific requirements and the period they were effecting. These questions will be asked for each HAI measure by location and facility type.

1. Did your state have a reporting requirement (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **CLABSI** data to NHSN from **ANY LOCATION type within the facility (e.g., ICU, Ward, NICU)** at any time during 2017 for any of the following facility types (check all that apply)?
  - Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) for any facility type
  
2. Was this **CLABSI** state mandate (e.g. legislative or policy including reportable conditions) for **ANY LOCATION** implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
  - Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) for any facility types
  
3. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **CLABSI** data to NHSN from adult and/or pediatric **ICU (critical care)** locations at any time during 2017 for any of the following facility types (check all that apply)?
  - Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - No mandate (e.g. legislative or state-required mandate) for any facility types

Assurance of Confidentiality: The voluntarily provided information obtained in this surveillance system that would permit identification of any individual or institution is collected with a guarantee that it will be held in strict confidence, will be used only for the purposes stated, and will not otherwise be disclosed or released without the consent of the individual, or the institution in accordance with Sections 304, 306 and 308(d) of the Public Health Service Act (42 USC 242b, 242k, and 242m(d)).

Public reporting burden of this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Reports Clearance Officer, 1600 Clifton Rd., MS D-74, Atlanta, GA 30333, ATTN: PRA (0920-0666).

4. Was this **CLABSI** state mandate (e.g. legislative or policy including reportable conditions) for adult and/or pediatric **ICU (critical care)** locations implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
  - Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - No mandate (e.g. legislative or state-required mandate) for any facility types
  
5. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **CLABSI** data to NHSN from **WARD** locations at any time during 2017 for any of the following facility types (check all that apply)?
  - Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) for any facility types
  
6. Was this **CLABSI** state mandate (e.g. legislative or policy including reportable conditions) for **WARD** locations implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
  - Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) for any facility types
  
7. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **CLABSI** data to NHSN from **NICU** locations at any time during 2017 for any of the following facility types (check all that apply)?
  - Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - No mandate (e.g. legislative or state-required mandate) for any facility types
  
8. Was this **CLABSI** state mandate (e.g. legislative or policy including reportable conditions) for **NICU** locations implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
  - Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - No mandate (e.g. legislative or state-required mandate) at any facility types

9. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **CAUTI** data to NHSN from **ANY LOCATION** at any time during 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) at any facility types
10. Was this **CAUTI** state mandate (e.g. legislative or policy including reportable conditions) for **ANY LOCATION** implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) at any facility types
11. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **CAUTI** data to NHSN from adult and/or pediatric **ICU (critical care)** locations at any time during 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - No mandate (e.g. legislative or state-required mandate) at any facility types
12. Was this **CAUTI** state mandate (e.g. legislative or policy including reportable conditions) for adult and/or pediatric **ICU (critical care)** locations implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - No mandate (e.g. legislative or state-required mandate) at any facility types
13. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **CAUTI** data to NHSN from **WARD** locations at any time during 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) at any facility types

14. Was this **CAUTI** state mandate (e.g. legislative or policy including reportable conditions) for **WARD** locations implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) at any facility types
15. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **VAE** data to NHSN from **ANY LOCATION** at any time during 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) at any facility types
16. Was this **VAE** state mandate (e.g. legislative or policy including reportable conditions) for **ANY LOCATION** implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) at any facility types
17. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **VAE** data to NHSN from adult and/or pediatric **ICU (critical care)** locations at any time during 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - No mandate (e.g. legislative or state-required mandate) at any facility types
18. Was this **VAE** state mandate (e.g. legislative or policy including reportable conditions) for adult and/or pediatric **ICU (critical care)** locations implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - No mandate (e.g. legislative or state-required mandate) at any facility types

19. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for healthcare facilities to report **VAE** data to NHSN from **WARD** locations at any time during 2017 for any of the following facility types (check all that apply)?

- Acute Care Hospital (ACH)
- Critical Access Hospital (CAH)
- Long Term Acute Care Facility (LTAC)
- Inpatient Rehabilitation Facility (IRF)
- No mandate (e.g. legislative or state-required mandate) at any facility types

20. Was this **VAE** state mandate (e.g. legislative or policy including reportable conditions) for **WARD** locations implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?

- Acute Care Hospital (ACH)
- Critical Access Hospital (CAH)
- Long Term Acute Care Facility (LTAC)
- Inpatient Rehabilitation Facility (IRF)
- No mandate (e.g. legislative or state-required mandate) at any facility types

21. Did your state have a mandate (e.g. legislative or policy including reportable conditions) for acute care hospitals (ACH) to report **SSI** data to NHSN from any of the following procedure types at any time during 2017 (check all that apply)?

Procedure	Was this reporting mandate in place at any time during 2017?	Was this reporting mandate in effect on January 1, 2017?
No reporting mandates for any procedure in place	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
AAA	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
APPY	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
CARD	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
CBGB/CBGC	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
COLO	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
CSEC	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
FUSN	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
HPRO	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
HYST	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
KPRO	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
LAM	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
PVBY	<ul style="list-style-type: none"> <li>• Yes</li> </ul>	<ul style="list-style-type: none"> <li>• Yes</li> </ul>

	• No	• No
REC	• Yes • No	• Yes • No
VHYS	• Yes • No	• Yes • No
XLAP	• Yes • No	• Yes • No

22. Did your state have a mandate (e.g. legislative or state-required mandate) for critical access hospitals (CAH) to report inpatient **SSI** data to NHSN from any of the following procedure types at any time during 2017 (check all that apply)?

Procedure	Was this reporting mandate in place at any time during 2017?	Was this reporting mandate in effect on January 1, 2017?
No reporting mandates for any procedure in place	• Yes • No	• Yes • No
AAA	• Yes • No	• Yes • No
APPY	• Yes • No	• Yes • No
CARD	• Yes • No	• Yes • No
CBGB/CBGC	• Yes • No	• Yes • No
COLO	• Yes • No	• Yes • No
CSEC	• Yes • No	• Yes • No
FUSN	• Yes • No	• Yes • No
HPRO	• Yes • No	• Yes • No
HYST	• Yes • No	• Yes • No
KPRO	• Yes • No	• Yes • No
LAM	• Yes • No	• Yes • No
PVBY	• Yes • No	• Yes • No
REC	• Yes • No	• Yes • No
VHYS	• Yes • No	• Yes • No
XLAP	• Yes • No	• Yes • No

23. Did your state have a mandate (e.g. legislative or state-required mandate) for healthcare facilities to report **MRSA Bacteremia Laboratory-identified (LabID) Events** to NHSN from **Facility-wide inpatient locations (FacWideIN)** at any time during 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) for any facility type
24. Was this **MRSA Bacteremia LabID Event** state mandate (e.g. legislative or state-required mandate) for **FacWideIN** locations implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate)
25. Did your state have a mandate (e.g. legislative or state-required mandate) for healthcare facilities to report **C. difficile Laboratory-identified (LabID) Events** to NHSN from **Facility-wide inpatient locations (FacWideIN)** at any time during 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate) at any facility types
26. Was this **CDI LabID Event** state mandate (e.g. legislative or state-required mandate) for **FacWideIN** locations implemented and in effect on January 1, 2017 for any of the following facility types (check all that apply)?
- Acute Care Hospital (ACH)
  - Critical Access Hospital (CAH)
  - Long Term Acute Care Facility (LTAC)
  - Inpatient Rehabilitation Facility (IRF)
  - No mandate (e.g. legislative or state-required mandate)

This section asks about any validation activities that were conducted during the 2017 calendar year. Please let us know if your validation activities and the period they were effective. These questions will be asked for each HAI measure stratified by facility type.

27. Select the appropriate HAIs and facility types for which you (state health department) or a partner organization have access to 2017 data via NHSN. (Please select a response for each HAI listed below)

No Access to any of the data listed	<input type="checkbox"/>	Yes								
CLABSI	<input type="checkbox"/>	No Data Access	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
CAUTI	<input type="checkbox"/>	No Data Access	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
SSI-COLO	<input type="checkbox"/>	No Data Access	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
SSI-HYST	<input type="checkbox"/>	No Data Access	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
MRSA Bacteremia LabID Event	<input type="checkbox"/>	No Data Access	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
CDI LabID Event	<input type="checkbox"/>	No Data Access	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
VAE	<input type="checkbox"/>	No Data Access	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF

28. Has your state health department or partner organization performed data quality checks on **at least 6 months** of 2017 NHSN data from any of the following HAIs within any healthcare facility types **prior to August 1, 2018**? This includes an assessment of outliers, data entry errors, trends, data completeness, etc. (Please select a response for each HAI listed below)

No data quality checks performed with any of the data listed	<input type="checkbox"/>	Yes								
CLABSI	<input type="checkbox"/>	No data quality checks performed	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
CAUTI	<input type="checkbox"/>	No data quality checks performed	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
SSI-COLO	<input type="checkbox"/>	No data quality checks performed	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
SSI-HYST	<input type="checkbox"/>	No data quality checks performed	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
MRSA Bacteremia LabID Event	<input type="checkbox"/>	No data quality checks performed	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF
CDI LabID Event	<input type="checkbox"/>	No data quality checks performed	<input type="checkbox"/>	ACH	<input type="checkbox"/>	CAH	<input type="checkbox"/>	LTAC	<input type="checkbox"/>	IRF



• No data quality checks performed	• ACH	• CAH	• LTAC	• IRF
VAE • No data quality checks performed	• ACH	• CAH	• LTAC	• IRF

29. Does your state health department or partner organization contact hospitals if outliers, errors, missing data, or incomplete data are found?

- Yes
- No

30. Has your state health department completed an external audit (medical record review of any HAI, or a review of laboratory records for MRSA or *C.difficile* LabID Events) of 2017 NHSN data from any of the following healthcare facility types **prior to August 1, 2018?**

- Yes
- No

31. Which HAIs and facility types were validated during the external audit? (Please answer all fields)

CLABSI • ACH	• CAH	• LTAC	• IRF
CAUTI • ACH	• CAH	• LTAC	• IRF
SSI-COLO • ACH	• CAH	• LTAC	• IRF
SSI-HYST • ACH	• CAH	• LTAC	• IRF
MRSA Bacteremia LabID Event • ACH	• CAH	• LTAC	• IRF
CDI LabID Event • ACH	• CAH	• LTAC	• IRF
VAE • ACH	• CAH	• LTAC	• IRF

32. Does your state have a mandate (e.g. legislation or policy) to conduct an annual external audit (medical record review of any HAI either onsite or via remote desktop electronic review) of NHSN data for any facility types (ACH, CAH, LTACH, or IRF)?

- Yes, there is a state mandate to conduct annual external audits
- No mandate, the state conducts external audits on an ad hoc basis
- No mandate and no external audits are performed on HAI data

33. Please select the HAIs for each facility that had a state mandate (e.g. legislation or policy) to conduct an external audit of NHSN data during 2017. (Please answer all fields)

CLABSI	• ACH	• CAH	• LTAC	• IRF
CAUTI	• ACH	• CAH	• LTAC	• IRF
SSI-COLO	• ACH	• CAH	• LTAC	• IRF
SSI-HYST	• ACH	• CAH	• LTAC	• IRF
MRSA Bacteremia LabID Event	• ACH	• CAH	• LTAC	• IRF
CDI LabID Event	• ACH	• CAH	• LTAC	• IRF
VAE	• ACH	• CAH	• LTAC	• IRF