

Pneumonia (PNEU)

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*required for saving **required for completion

Facility ID:	Event #:
*Patient ID:	Social Security #:
Secondary ID:	Medicare #:
Patient Name, Last:	First: Middle:
*Gender: F M Other	*Date of Birth:
Sex at Birth: F M Unknown	Gender Identity (Specify):
Ethnicity (Specify):	Race (Specify):
*Event Type: PNEU	*Date of Event:
Post-procedure PNEU: Yes No	Date of Procedure:
NHSN Procedure Code:	ICD-10-PCS or CPT Procedure Code:

***MDRO Infection Surveillance:**

- Yes, this infection's pathogen & location are in-plan for Infection Surveillance in the MDRO/CDI Module
 No, this infection's pathogen & location are **not** in-plan for Infection Surveillance in the MDRO/CDI Module

*Date Admitted to Facility: *Location:

Risk Factors

 *Ventilator: Yes No Location of Device Insertion: _____ Date of Device Insertion: ___ / ___ / ___
 For NICU only: Birth weight: grams

Event Details

 *Specific Event: PNU1 PNU2 PNU3 *Immunocompromised: Yes No

*Specific Criteria Used: (check all that apply)

Imaging Test Results

New or progressive and persistent infiltrate Consolidation Cavitation Pneumatoceles (in ≤1 y.o.)

Signs & Symptoms

- | | | | |
|--|--|---|---|
| <input type="checkbox"/> Fever | <input type="checkbox"/> Consolidation | <input type="checkbox"/> Cavitation | <input type="checkbox"/> Pneumatoceles (in ≤1 y.o.) |
| <input type="checkbox"/> Leukopenia or leukocytosis | <input type="checkbox"/> Organism(s) identified from blood specimen | <input type="checkbox"/> Organism(s) identified from pleural fluid | |
| <input type="checkbox"/> Altered mental status (in ≥70 y.o.) | <input type="checkbox"/> Positive quantitative culture from LRT specimen | <input type="checkbox"/> ≥5% BAL cells w/ bacteria | |
| <input type="checkbox"/> New onset/change in sputum | <input type="checkbox"/> Positive quantitative culture of lung tissue | <input type="checkbox"/> Histopathologic exam w/ abscess formation or lung parenchyma invasion by fungal hyphae | |
| <input type="checkbox"/> New onset/worsening cough, dyspnea, tachypnea | <input type="checkbox"/> Virus, <i>Bordetella</i> , <i>Legionella</i> , <i>Mycoplasma</i> or <i>Chlamydia</i> identified from respiratory secretions or tissue | <input type="checkbox"/> 4-fold rise in paired sera for pathogen | |
| <input type="checkbox"/> Rales or bronchial breath sounds [†] | <input type="checkbox"/> 4-fold rise in <i>L pneumophila</i> antibody titer | <input type="checkbox"/> <i>L pneumophila</i> serogroup 1 antigens in urine | |
| <input type="checkbox"/> Worsening gas exchange | <input type="checkbox"/> Matching <i>Candida</i> spp. identified from blood & sputum, endotracheal aspirate, BAL or protected specimen brushing | <input type="checkbox"/> Fungi from LRT specimen | |
| <input type="checkbox"/> Hemoptysis | | | |
| <input type="checkbox"/> Pleuritic chest pain | | | |
| <input type="checkbox"/> Temperature instability | | | |
| <input type="checkbox"/> Apnea, tachypnea, nasal flaring with retraction of chest wall or grunting | | | |
| <input type="checkbox"/> Hypothermia | | | |
| <input type="checkbox"/> Wheezing, rales, or rhonchi [†] | | | |
| <input type="checkbox"/> Cough | | | |
| <input type="checkbox"/> Bradycardia or tachycardia | | | |

[†] There are two criteria referring to rales in the PNU 1 signs and symptoms list. Please choose the one that corresponds to the specific algorithm used to identify this pneumonia (Any Patient or Alternate Criteria based on age).

*Secondary Bloodstream Infection: Yes No *COVID-19: Yes No

**Died: Yes No PNEU Contributed to Death: Yes No

Discharge Date: *Pathogens Identified: Yes No *If Yes, specify on pages 2-3

Assurance of Confidentiality: The voluntarily provided information obtained in this surveillance system that would permit identification of any individual or institution is collected with a guarantee that it will be held in strict confidence, will be used only for the purposes stated, and will not otherwise be disclosed or released without the consent of the individual, or the institution in accordance with Sections 304, 306 and 308(d) of the Public Health Service Act (42 USC 242b, 242k, and 242m(d)).

Public reporting burden of this collection of information is estimated to average 30 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Reports Clearance Officer, 1600 Clifton Rd., MS D-74, Atlanta, GA 30333, ATTN: PRA (0920-0666).

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Pathogen #	Gram-positive Organisms							
	<i>Staphylococcus coagulase-negative</i> (specify species if available):	CEFOX/OX	VANC					
		S R N	S I R N					
	<u>Enterococcus faecium</u> <u>Enterococcus faecalis</u> <u>Enterococcus</u> spp. (Only those not identified to the species level)	DAPTO	GENTHL^s	LNZ	VANC			
		S I/S-DD NS R N	S R N	S I R N	S I R N			
	<i>Staphylococcus aureus</i>	CEFOX/METH/OX	CEFTAR	CIPRO/LEVO/MOXI	CLIND	DAPTO	DOXY/MINO	GENT
		S R N	S S-DD I R N	S I R N	S I R N	S N S N	S I R N	S I R N
		LNZ	RIF	TETRA	TMZ	VANC		
		S R N	S I R N	S I R N	S I R N	S I R N		
Pathogen #	Gram-negative Organisms							
	<i>Acinetobacter</i> (specify species)	AMK	AMPSUL	CEFE	CEFTAZ/CEFOT/CEFTRX	CIPRO/LEVO	COL/PB	DORI/MERO
		S I R N	S I R N	S I R N	S I R N	S I R N	S R N	S I R N
		DOXY/MINO	GENT	IMI	PIPTAZ	TMZ	TOBRA	
		S I R N	S I R N	S I R N	S I R N	S I R N	S I R N	
	<i>Escherichia coli</i>	AMK	AMP	AMPSUL/AMXCLV	AZT	CEFAZ	CEFEP	CEFOT/CEFTRX
		S I R N	S I R N	S I R N	S I R N	S I R N	S I/S-DD R N	S I R N
		CEFTA VI	CEFT AZ	CEFTOTAZ	CIPRO/LEVO/MOXI	COL/PB[†]	DORI/IMI/MERO	DOXY/MINO/TETRA
		S R N	S I R N	S I R N	S I R N	I R N	S I R N	S I R N
		ERTA	GENT	IMIREL	MERVAB	PIPTAZ	TIG	TMZ
		S I R N	S I R N	S I R N	S I R N	S I R N	S I R N	S I R N
		TOBRA						
		S I R N						
	<i>Enterobacter</i> (specify species)	AMK	AZT	CEFEP	CEFOT/CEFTRX	CEFTA VI	CEFTA Z	CEFTOTA Z
		S I R N	S I R N	S I/S-DD R N	S I R N	S R N	S I R N	S I R N
		CIPRO/LEVO/MOXI	COL/PB[†]	DORI/IMI/MERO	DOXY/MINO/TETRA	ERTA	GENT	IMIREL
		S I R N	I R N	S I R N	S I R N	S I R N	S I R N	S I R N
		MERVAB	PIPTAZ	TIG	TMZ	TOBRA		
		S I R N	S I R N	S I R N	S I R N	S I R N		

Pathogen #	Gram-negative Organisms (continued)							
	<u><i>Klebsiella pneumoniae</i></u>	AMK SIR N	AMPSUL/ AMXCLV SIR N	AZT SIR N	CEFAZ SIR N	CEFEP IR N	CEFOT/CEFTRX SIR N	CEFTAVI SR N
	<u><i>Klebsiella oxytoca</i></u>	CEFTA Z SIR N	CEFTOTAZ SIR N	CIPRO/LEVO/ MOXI SIR N	COL/PB [†] SIR N	DORI/IMI/ MERO SIR N	DOXY/MINO/ TETRA SIR N	ERTA SIR N
	<u><i>Klebsiella aerogenes</i></u>	GENT SIR N	IMIREL SIR N	MERVAB SIR N	PIPTAZ SIR N	TIG SIR N	TMZ SIR N	TOBRA SIR N
	<u><i>Pseudomonas aeruginosa</i></u>	AMK SIR N	AZT SIR N	CEFEP SIR N	CEFTAVI SR N	CEFTAZ SIR N	CEFTOTAZ SIR N	CIPRO/LEVO SIR N
		COL/PB SIR N	DORI/IMI/MERO SIR N	GENT SIR N	PIPTAZ SIR N	TOBRA SIR N		
Pathogen #	Fungal Organisms							
	<i>Candida</i> (specify species if available) _____	ANID SIR N	CASPO SIR N	FLUCO S S-DD R N	MICA SIR N	VORI SIR N		
Pathogen #	Other Organisms							
	Organism 1 (specify) _____	Drug 1 SIR N	Drug2 SIR N	Drug3 SIR N	Drug 4 SIR N	Drug 5 SIR N	Drug 6 SIR N	Drug 7 SIR N
	Organism 1 (specify) _____	Drug 1 SIR N	Drug2 SIR N	Drug3 SIR N	Drug 4 SIR N	Drug 5 SIR N	Drug 6 SIR N	Drug 7 SIR N
	Organism 1 (specify) _____	Drug 1 SIR N	Drug2 SIR N	Drug3 SIR N	Drug 4 SIR N	Drug 5 SIR N	Drug 6 SIR N	Drug 8 SIR N

Result Codes

S = Susceptible I = Intermediate R = Resistant NS = Non-susceptible S-DD = Susceptible-dose dependent

N = Not tested

[§] GENTHL results: S = Susceptible/Synergistic and R = Resistant/Not Synergistic

[†] Clinical breakpoints are based on CLSI M100-ED30:2020, Intermediate MIC ≤ 2 and Resistant MIC ≥ 4

Drug Codes:			
AMK = amikacin	CEFTAR = ceftaroline	GENT = gentamicin	OX = oxacillin
AMP = ampicillin	CEFTAVI = ceftazidime/avibactam	GENTHL = gentamicin –high level test	PB = polymyxin B
AMPSUL = ampicillin/sulbactam	CEFTOTAZ = ceftolozane/tazobactam	IMI = imipenem	PIPTAZ = piperacillin/tazobactam
AMXCLV = amoxicillin/clavulanic acid	CEFTRX = ceftriaxone	IMIREL = imipenem/relebactam	RIF = rifampin
ANID = anidulafungin	CIPRO = ciprofloxacin	LEVO = levofloxacin	TETRA = tetracycline
AZT = aztreonam	CLIND = clindamycin	LNZ = linezolid	TIG = tigecycline
CASPO = caspofungin	COL = colistin	MERO = meropenem	TMZ = trimethoprim/sulfamethoxazole



CEFAZ = cefazolin	DAPTO = daptomycin	MERVAB = meropenem/vaborbactam	TOBRA = tobramycin
CEFEP = cefepime	DORI = doripenem	METH = methicillin	VANC = vancomycin
CEFOT = cefotaxime	DOXY = doxycycline	MICA = micafungin	VORI = voriconazole
CEFOX= cefoxitin	ERTA = ertapenem	MINO = minocycline	
CEFTAZ = ceftazidime	FLUCO = fluconazole	MOXI = moxifloxacin	

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