

Instructions

The Office of Personnel Management (OPM) requires you as a Federal retiree who is under age 60 during the survey year and receiving disability benefits to provide information **if you had** income from wages or self-employment during 2021. By law, you may earn money from working while also receiving disability benefits, **providing** your income from wages and self-employment is **less than 80%** of the current rate of pay for the position from which you retired.

If your earned income during 2021 **met or exceeded the 80% limitation**, you are considered to be "restored to earning capacity" and we will **discontinue your disability benefits effective June 30, 2022**. If this should happen, we will notify you in writing before your final disability payment is sent. We will also notify you at that time if you are eligible for an immediate non-disability retirement or a deferred retirement.

Do you need to complete and return this form within 30 days? Yes

Please report the Amount of Wages and/or Net Earnings from Self Employment for 2021:

Please report this income if you have done the following:

**Worked and earned money after retiring from Federal Service; or
Were reemployed by the Federal government and are still employed.**

*****If you have Wages or Net Earnings From Self Employment, please complete this survey form.**

What does the term "Earned Income" mean?

1. Any salary or pay you received working for someone else (*including overtime, vacation pay, bonuses, and severance pay, etc.*)
2. If you are self-employed, any net profit you made from working or managing your own business.
3. Deferred income (*income you earned but didn't receive during this calendar year*).
4. If you are reemployed in Federal service, the gross income before your employing agency offsets your salary.

Generally, all income subject to Federal employment taxes or self-employment is considered earned income. It is on any W-2 statement issued by your employer. **DO NOT INCLUDE MONEY EARNED BEFORE YOU RETIRED FROM FEDERAL SERVICE.**

Not Considered Earned Income

Income reported on form 1099, such as Civil Service Retirement benefits, annuities, pensions, Social Security benefits, Veteran's benefits and military retired pay, withdrawals from 401K plans, unemployment compensation, workers' compensation, interest and dividends from savings accounts, stocks, personal loans or home mortgages held, insurance proceeds, gifts, inheritances, estates, trusts, endowments, prizes, awards, gambling or lottery winnings, alimony/child support, scholarships or fellowships, pay for jury duty, capital gains from the sale of personal property, amounts received in court actions, and rents or royalties **UNLESS** received in the course of your trade or business.

Need Help?

If you need another form or have additional questions about what is considered earned income or about completing and returning this form, you can call us weekdays at (202) 606-0249. For those individuals living outside the Washington DC metro area, you can call our Retirement Information Office toll free at 1-888-767-6738 (*Monday - Friday from 7:40 AM to 5:00 PM, Eastern Time*). Hearing impaired users should utilize the *Federal Relay Service* by dialing 711 or their local communications provider to reach a Communications Assistant. If you prefer, you can write to us at:

Office of Personnel Management
Retirement Surveys and Students Branch, Room 2416
1900 E Street, NW
Washington, DC 20415-0001

Privacy Act Statement

Pursuant to 5 U.S.C. § 552a(e)(3), this Privacy Act Statement serves to inform you of why OPM is requesting the information on this form. **Authority:** OPM is authorized to collect the information requested on this form by 5 U.S.C., Chapter 83, Section 8337 and Chapter 84, Section 8455, which indicates, bar payment of annuity based on disability to persons under age 60 who, in any calendar year, earn more than 80 percent of the current rate of pay of the position from which they separated for retirement. **Purpose:** OPM will use this form to identify persons who may not be entitled to continued annuity benefits. OPM is requesting this information in order to determine if disability retirees under age 60 have earned income which will result in the termination of their annuity benefits.

Routine Uses: The information may be shared externally as a "routine use" to other Federal agencies and third parties when it is necessary to review your eligibility. For example, OPM may share your information with other Federal, state, or local agencies and organizations in order to determine benefits under their programs, to obtain information necessary for continuation of your eligibility for annuity benefits or to report income for tax purposes. OPM may also share your information with law enforcement agencies if it becomes aware of a violation or potential violation of civil or criminal law.

A complete list of the routine uses can be found in OPM/Central 1 Civil Service Retirement and Insurance Records system of records notice, available at www.opm.gov/privacy. **Consequences of Failure to Provide Information:** Providing this information to OPM is voluntary. However, failure to provide this information may result in the termination of your annuity benefits.

Public Burden Statement

We estimate this form takes an average of 35 minutes per response to complete, including the time for reviewing instructions, getting needed data, and reviewing the completed form. Send comments regarding our estimate or any other aspect of this form, including suggestions for reducing completion time, to the Office of Personnel Management, Retirement Services Publications Team (3206-0034), Washington, D.C. 20415-0001. The OMB Number 3206-0034 is currently valid. OPM may not collect this information and you are not required to respond unless this number is displayed.