

**“Request for Approval under the “Generic Clearance for NIH Citizen
Science and Crowdsourcing Projects”
(OMB#: 0925-0766 Expiration Date: 04/30/2023)**

TITLE OF INFORMATION COLLECTION: NCI Small Business Innovation Research (SBIR)
Investor Initiatives Application

PURPOSE:

The purpose of this information collection is to increase cost-effectiveness to maximize the return on taxpayer dollars, address various societal needs, and to identify and disseminate resources more broadly to the public, on the NCI SBIR website.

The Investor Initiatives program provides NCI SBIR-awarded companies the opportunity to extend the value of their NCI awards by interacting with investors, strategic partners, and resources that they may have yet to experience. Non-dilutive government funding is often necessary capital for companies to develop their technologies. However, it often requires larger amounts of capital for these NCI-funded small businesses to advance their research and development efforts and bring their technologies to patients. This program allows for these small businesses to network with and pitch to potential investors and partners that can help them take their NCI-funded products through commercialization. The ultimate goal of this program is to provide these additional opportunities for NCI-funded projects in order to expedite their journey from bench to bedside.

As a result of submitting the application, all companies receive written feedback about their technology and company, in addition to being selected to access additional resources (e.g., presentation at a conference, published in the Investor Book, etc.).

This application details the company’s technology, significance, competitive advantage, management team, IP portfolio, fundraising milestones and needs, and so on. The NCI SBIR collects these applications and works with a review panel of 70 third-party investors and strategic partners to determine the top 30-35 companies ready to attract investment or partnerships. If eligible and seeking funds or partnerships, SBIR-awarded companies can apply to the program once a year.

DESCRIPTION OF RESPONDENTS:

To ensure that R&D activities are still relevant, applicants are restricted to those who have received a phase I NCI SBIR/STTR award in the past 2 years or who have received a phase II NCI SBIR/STTR award in the past 4 years. They are notified about the program, but it is optional and up to them if they decide to apply.

TYPE OF COLLECTION: (Check one)

- | | |
|--|---|
| <input type="checkbox"/> Data Catalogue | <input type="checkbox"/> Repository of Tools and Best Practices |
| <input type="checkbox"/> Recommendations of scientific reviewers | <input checked="" type="checkbox"/> Resources |
| <input type="checkbox"/> Call for Nominations | <input checked="" type="checkbox"/> Other: <u>Application</u> |

CERTIFICATION:

I certify the following to be true:

1. The collection is voluntary.
2. The collection is a low burden for respondents and a low cost for the Federal Government.
3. The collection is non-controversial and does not raise issues of concern to other federal agencies.
4. Information gathered will not be used to inform effective policy decisions substantially.
5. The collection is targeted to soliciting opinions from respondents who have experience with the program or may have experience with the program in the future.

Name: Brittany Connors

To assist review, please provide answers to the following question:

Personally Identifiable Information:

1. Is personally identifiable information (PII) collected? [X] Yes [] No
2. If Yes, is the information that will be collected included in records subject to the Privacy Act of 1974? [X] Yes [] No
3. If Applicable, has a System or Records Notice been published? [X] Yes [] No

Gifts or Payments:

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? [] Yes [X] No

ESTIMATED BURDEN HOURS and COSTS

Category of Respondent	No. of Respondents	No. of Responses per Respondent	Time per Response (in hours)	Total Burden Hours
Individuals	100	1	1	100
Totals		100		100

Category of Respondent	Total Burden Hours	Hourly Wage Rate*	Total Burden Cost
Individuals	100	\$49.44	\$4,944.00
Total			\$4,944.00

*Source of the mean Hourly Wage Rate is provided by the Bureau of Labor Statistics, Occupation title "Medical Scientists" 19-1040, https://www.bls.gov/oes/2021/May/oes_nat.htm#19-1040.

FEDERAL COST: The estimated annual cost to the Federal government is \$14,600.85

Staff	Grade/Step	Salary*	% of Effort	Fringe (if applicable)	Total Cost to Gov't
Federal Oversight					
Program Analyst	12/2	\$97,339	15%		\$14,600.85
Contractor Cost					\$0
Travel					\$0
Other					\$0
Total					\$14,600.85

*the Salary in the table above is cited from <https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/23Tables/html/DCB.aspx>

If you are conducting a focus group or survey or plan to employ statistical methods, please provide answers to the following questions:

The selection of your targeted respondents

1. Do you have a customer list or something similar that defines the universe of potential respondents, and do you have a sampling plan for selecting from this universe?

Yes No

If yes, please describe both below (or attach the sampling plan). If the answer is no, please tell how you plan to identify your potential respondents and how you will select them.

Applicants to the NCI SBIR Investor Initiatives program

Administration of the Instrument

1. How will you collect the information? (Check all that apply)
 Web-based or other forms of Social Media
 Telephone
 In-person
 Mail
 Other, Explain
2. Will interviewers or facilitators be used? Yes No

Please ensure that all instruments, instructions, and scripts are submitted with the request.