Screenshots

PBGC - e-FILI	NG PORTAL	Help
Account Sign-Up		
First Name: Last Name:		
Company:		
Title:		
Work Address:		
City:		
State:	- select a state - V	
Province (if outside the USA):		
Country:	UNITED STATES OF AMERICA V	
Zip Code:	(ex. 12345-1234)	
Telephone:	(ex. 202-111-1111) Ext.	
E-mail address:	(ex. sa@s.com)	
Confirm E-mail address:	(ex. sa@s.com)	
Secret Question	- select a secret question -	
Secret Answer:		
	Cancel Next >	
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Help

Log In

Welcome to PBGC's e-Filing Portal

You can use PBGC's e-Filing Portal to submit important information to PBGC including:

- 4010 Filings Annual filings required under section 4010 of ERISA
- 2. Reportable Events Filings required under section 4043 of ERISA (Forms 10, 10-Advance, and 200)
- Multiemployer Filings Filings required under certain multiemployer sections of ERISA (Notices of Termination, Insolvency, Insolvency Benefit Level, Combined Insolvency and Insolvency Benefit Level; Actuarial Valuation Information; Withdrawal Liability Information; Applications for Financial Assistance. <u>Please see the attached instructions</u>. Other Filings include Annual Funding Notice and Critical/Endangered Notices.)

Click <u>here</u> to access a user manual with step-by-step instructions on how to create and manage your e-Filing Portal account.

SECURITY NOTICE AND WARNING

This website is a U.S. Government system and the PBGC reserves the right to monitor it for performance, stability, and security. This site, and the information on it, is protected by federal laws, including, but not limited to, federal privacy laws and any activity inconsistent with the protections provided by federal law may lead to civil and criminal sanctions. By logging into e-Filing Portal, you acknowledge that you understand that you are bound by these provisions. If you do not, please close your browser or enter another URL to leave the site entirely.

Important Alerts

Notice: Disabling TLS 1.0

Starting on July 21, 2017, TLS 1.0 encryption over HTTPS will be disabled. Connections to and from E-Filing Portal will use TLS 1.1 or TLS 1.2 only. Any older browsers that do not support TLS 1.1 or higher (Microsoft Internet Explorer version 10 or lower, rare old versions of Chrome and Firefox on PC's with automatic updates disabled) will no longer work.

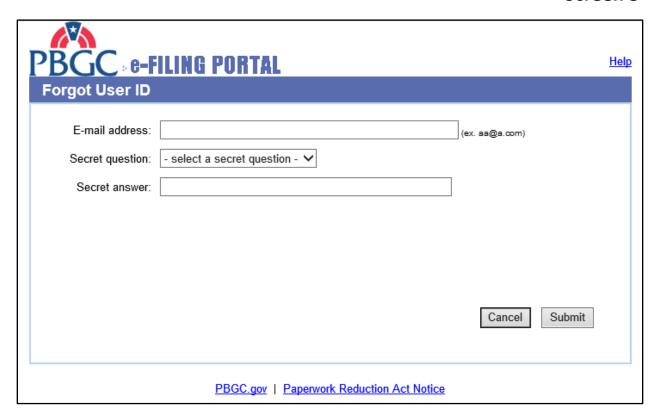
New to e-Fi	ling Portal?	Apply for ar	Account
Already Hav	ve an Accour	nt? Log in.	
User ID:			Forgot your User ID?
Password:			Forgot your password?
		Login	

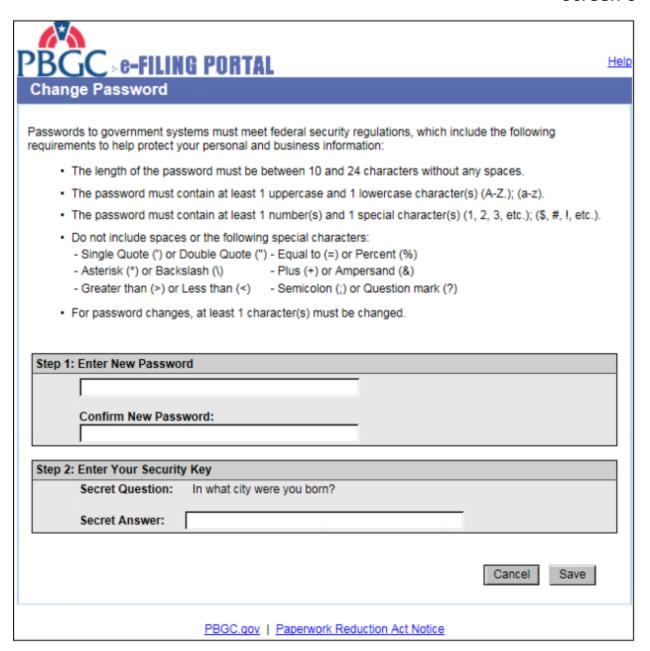
PBGC.gov | Paperwork Reduction Act Notice | Privacy Policy

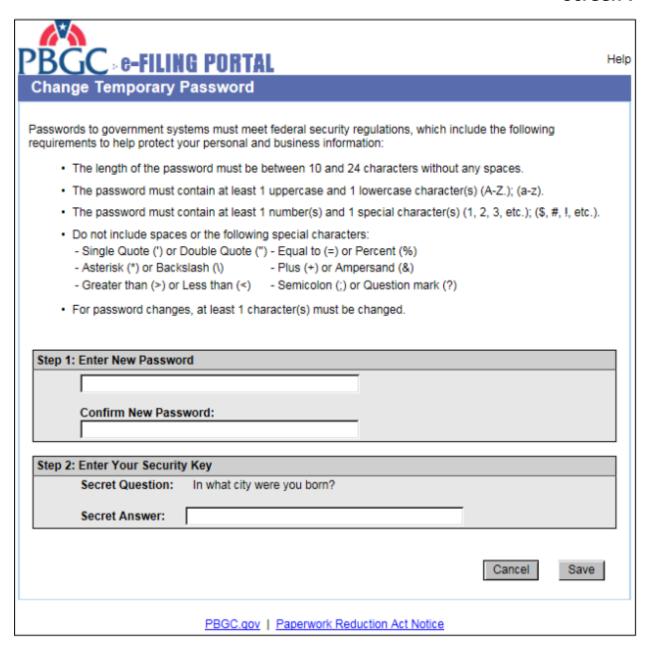
PBGC - e-FILING PORTAL	<u>Hel</u>
Select New User ID and Password	
Passwords to government systems must meet federal security regulations, which include the following requirements to help protect your personal and business information:	
Step 1: Enter New User ID	
Your User ID:	
Can be any combination of letters and/or numbers;	
Must be between 8 and 25 characters long;	
Is not case sensitive;	
Must be different from the temporary one you were provided; and	
Can not be changed after you enter one.	
Step 2: Enter New Password	
Passwords to government systems must meet federal security regulations, which include the followi requirements to help protect your personal and business information:	ng
 The length of the password must be between 10 and 24 characters without any spaces. 	
 The password must contain at least 1 uppercase and 1 lowercase character(s) (A-Z.); (a-z). 	
 The password must contain at least 1 number(s) and 1 special character(s) (1, 2, 3, etc.); (\$ #, !, etc.). 	à,
Do not include spaces or the following special characters: Single Quote (') or Double Quote (")- Equal to (=) or Percent (%) Asterisk (*) or Backslash (\) Greater than (>) or Less than (<) Semicolon (;) or Question mark (?)	
For password changes, at least 1 character(s) must be changed.	
Confirm New Password:	
Step 3: Enter Your Security Key	
Secret Question: In what city were you born?	
Secret Answer:	
Cancel	

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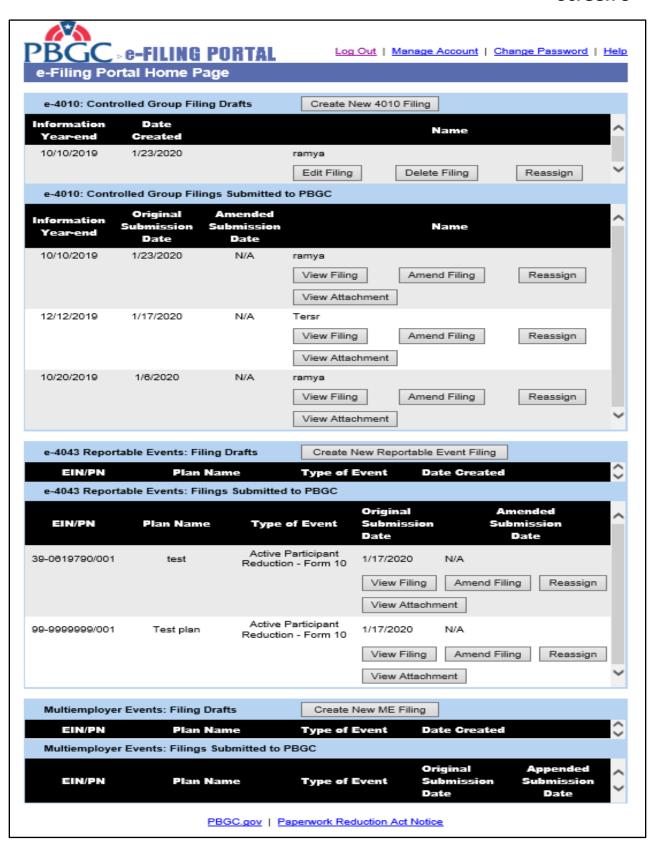
PBGC . e-F			<u>Help</u>
E-mail address: Secret question: Secret answer:	- select a secret question - 🗸	(ex. sa@a.com)	
	PBGC.gov Paperwork Reduction Act Notice	Cancel Submit	

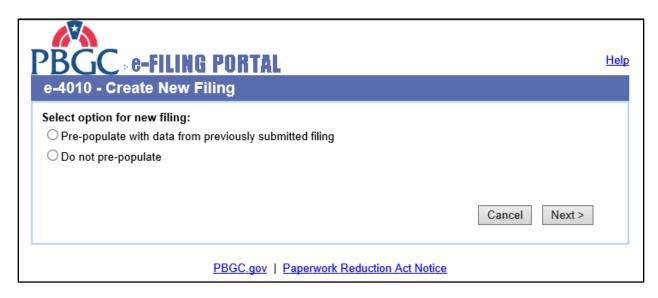


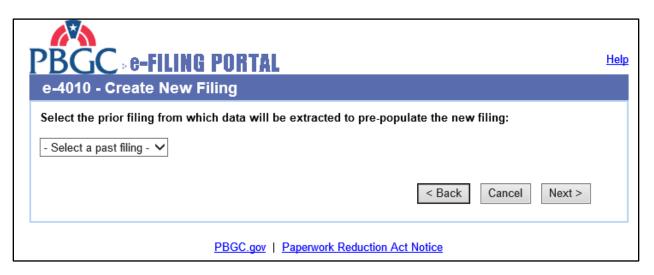


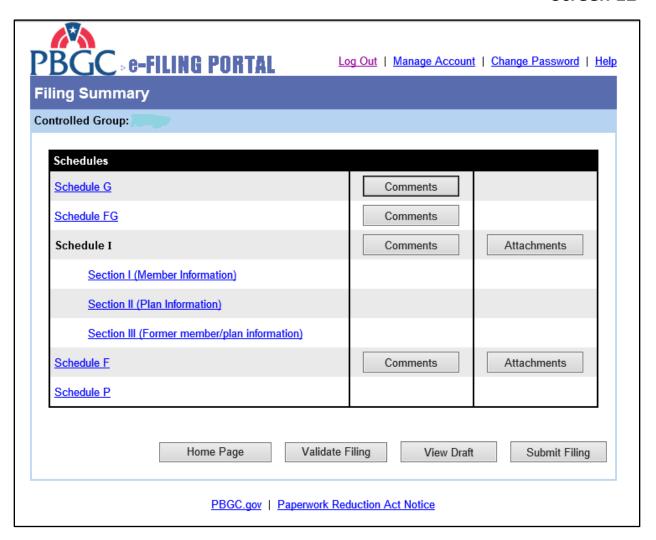


PBGC · e-FILI	NG PORTAL		<u>Hel</u> j
Manage Account			
First Name: Last Name: Company: Title: Work Address: City: State: Province (ir outside the USA): Country: Zip Code: Telephone: E-mail address: Confirm E-mail address:	- select a state - V UNITED STATES OF AMERICA (ex. 12345-1234) (ex. 202-111-1111) Ext. In what city were you born?	(ex. aa@a.com)	
		Cancel	Next >
	PBGC.gov Paperwork Reduction Act Notice		





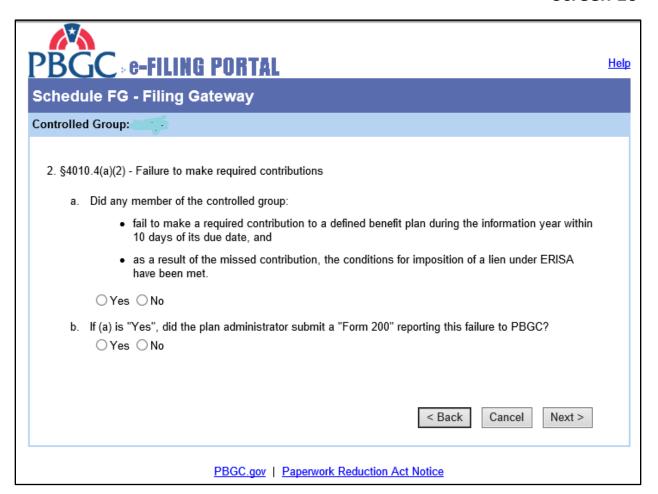


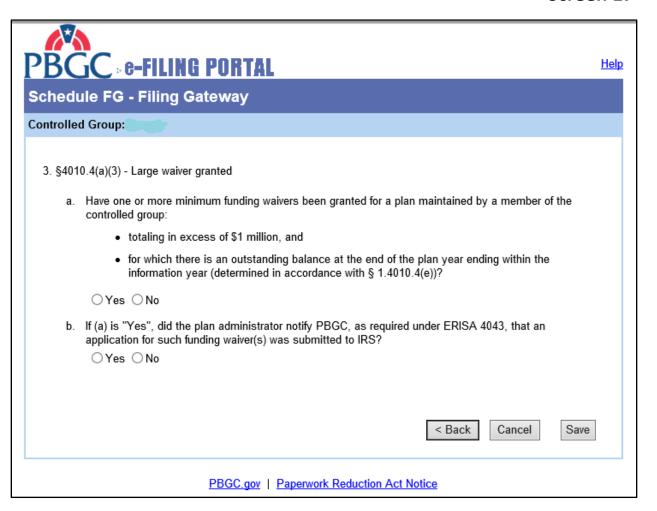


	<u>C - e-filing</u>		
nedu	le G - General	Information	
Cont	rolled Group Inform	nation	
Fu	ıll name of ultimate parent company:		
Is the	ultimate parent a fo	reign entity?	
OY	′es ○No		
Date	current information year ends:	(ex. MM/DD/YYYY)	
Filin	g Contact		
ar per q	me, phone number and email address of son to contact with uestions about this (leave blank if that person is the filing coordinator)		
Gene	eral Filing Informat	tion	
Was	a 4010 filing required	I last year?	
OY	′es ○No		
ls a 4	1010 filing required th	is year?	
OY	'es ○ No ○ Help m	ne determine	
Пс	hack this hov if 8401	0.6(c) exception for previously submitted materials applies (see instructio	ine)
	noon and box ii 3 to i	o.o(c) exception for profitacity cashinated materials applied (see metales)	,
		Cancel Next >	











Help

e-4010 Filing is Required

Based on the information you have provided, an e-4010 Filing is required. Click on the button below to go to the e-4010 Filing Summary Page.

Continue to e-4010 Filing Summary Page

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Help

e-4010 Filing Not Required (Only Schedules G and FG)

Based on the information you have provided, an e-4010 filing is not required for the current information year. However, because a filing was required for the prior information year, you must submit Schedules G and FG that you have just completed to notify PBGC that a filing is not required for the current information year.

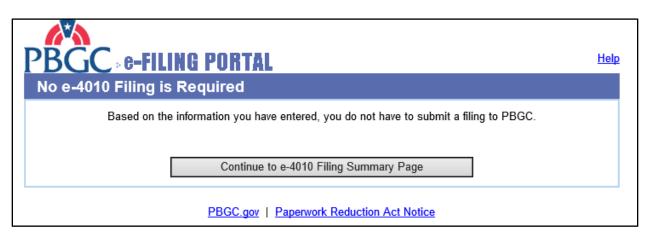
Click on the button below to display the Filing Summary page. From there, you can choose to submit these two schedules to PBGC or review the information entered into Schedules G and FG prior to submission.

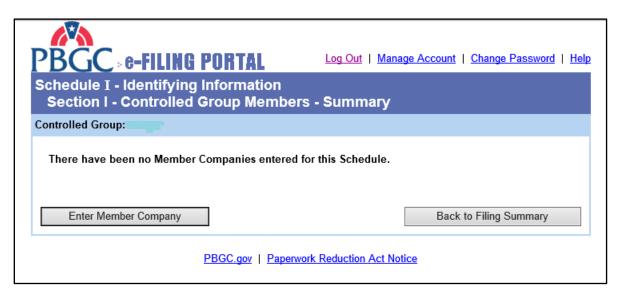
Warning: Because you need only submit Schedules G and FG, when you click on the "Go to Filing Summary" page, any information currently entered on other schedules (i.e., Schedules I, F, or P) will be deleted. If that is not your intention, select the "Back" button to change your prior responses.

Go to Filing Summary Page

Back

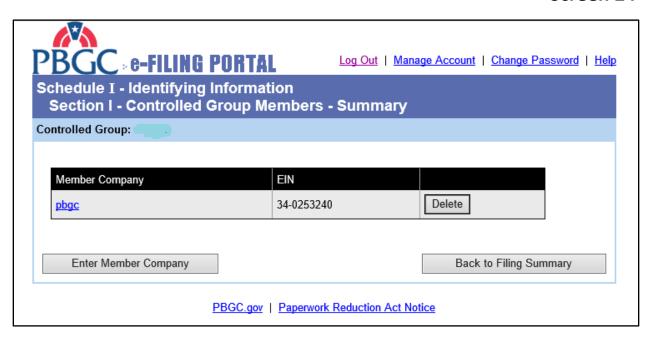
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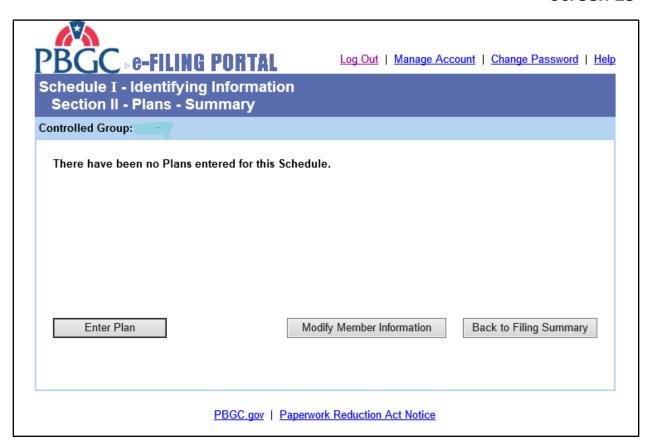




PBGC • e-FILING PORTAL Schedule I - Identifying Information	<u>Help</u>
Section I - Controlled Group Members	
Company Name:	
EIN, only if U.S. entity: (ex. 12-1234587)	
Address:	
City:	
State: ☐- select a state - ✔	
Province (if outside the USA):	
Country: UNITED STATES OF AMERICA	
Zip Code: (ex. 12345-1234)	
Telephone: (ex. 202-111-1111) Ext.	
If controlled group contains more than 10 non-exempt members, check box and see instructions re: required attachment: Otherwise, enter the relationship of this member to the rest of the controlled group Check box if this is an exempt member of the Controlled Group that sponsors an exempt plan:	
PBGC.gov Paperwork Reduction Act Notice	>

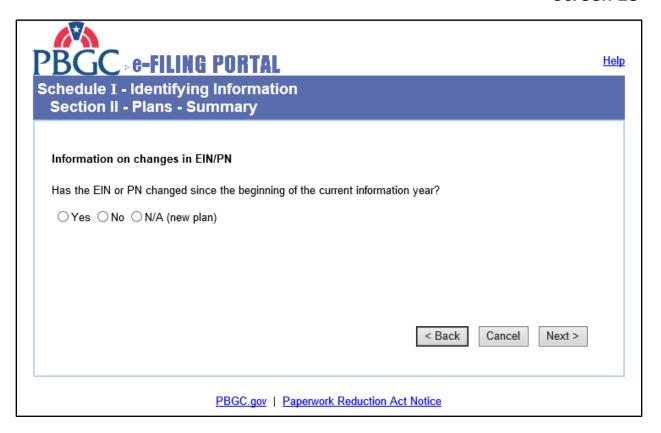
PBGC - e-FILING PORTAL			<u>Help</u>
Schedule I - Identifying Information Section I - Controlled Group Members			
Information on new members			
Was this member a member of the controlled group immediately before the current information year began?			
 ○ Yes ○ No, member joined controlled group during information year 	Date Joined:	(ex. MM/DD/YYYY)	
○ No, other			
< Back Cancel	Save	Enter Next Member	
PBGC.gov Paperwork Reduction	Act Notice		





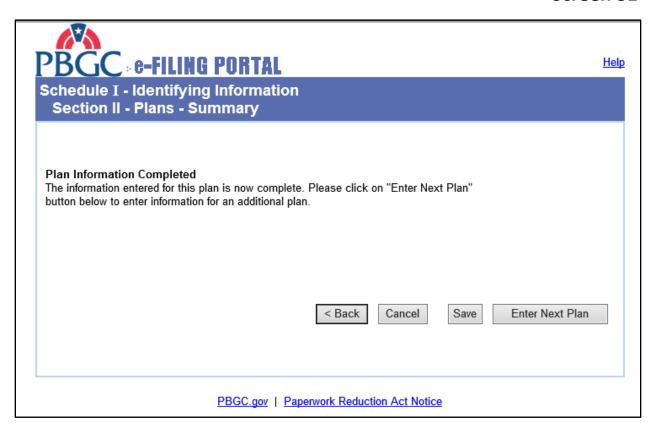
PBGC - 6-FILING P Schedule I - Identifying In	nformation	<u>Help</u>
Section II - Plans - Sum Information for current year	mary	
Plan Name:	- select a Plan Sponsor - V	
Plan Number (PN): Is this plan a multiple employer pla	(ex. 333)	
	ial information waived either because the plan is an exempt plan (as define arial information is being reported by another filer (in accordance with §	d by
	enefit accrual purposes (see instructions)?	
	Cancel Next >	
<u> </u>	BGC.gov Paperwork Reduction Act Notice	

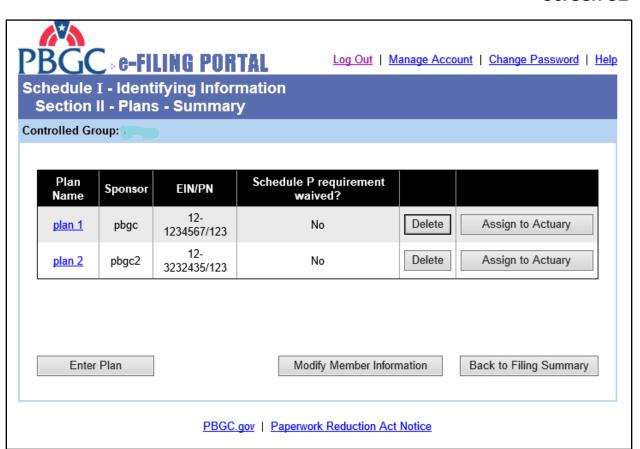
chedule I - Identifying Information Section II - Plans - Summary			
ontrolled Group:			Member: pb
	Plan:	plan 1	
Information related to plan freezes			
Date of freeze: (ex. MM/DD/YYYY	1		
Date of Neeze.	,		
Nature of freeze:			
O Plan closed to new entrants			
O Both pay and service are frozen			
Service is frozen, but pay is not			
Other/combination, enter explanation:			
			^
			~



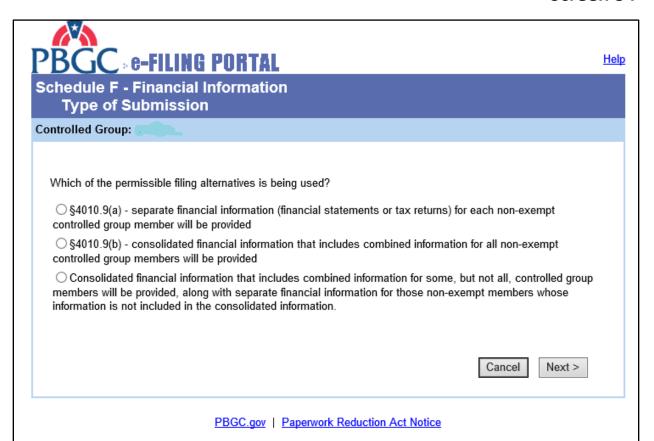
PBGC • e-FILING PORTAL Schedule I - Identifying Information Section II - Plans - Summary	Help
Information on changes in EIN/PN	
Prior EIN: (ex. 11-1234587)	
Prior PN: (ex. 333)	
< Back Cancel Save Enter Next Plan	
PBGC.gov Paperwork Reduction Act Notice	

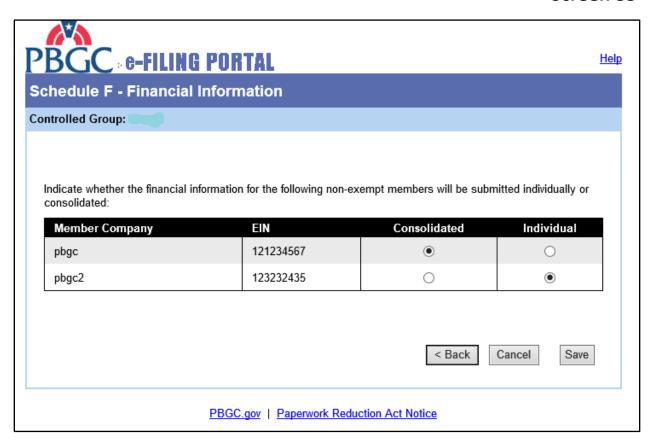
	nary
ontrolled Group:	Member: pb
	Plan: plan 1
New plan information	
Date plan was first maintained by controlled group:	(ex. MM/DD/YYYY)
Explanation:	
 Newly established plan 	
○ Spun-off or transferred from plan	senonegred by member outside controlled group
Spun-off or transferred from plan Spun-off from plan sponsored by	n sponsored by member outside controlled group y member within controlled group
·	
O Spun-off from plan sponsored by	
O Spun-off from plan sponsored by	
O Spun-off from plan sponsored by	
O Spun-off from plan sponsored by	
O Spun-off from plan sponsored by	
O Spun-off from plan sponsored by	



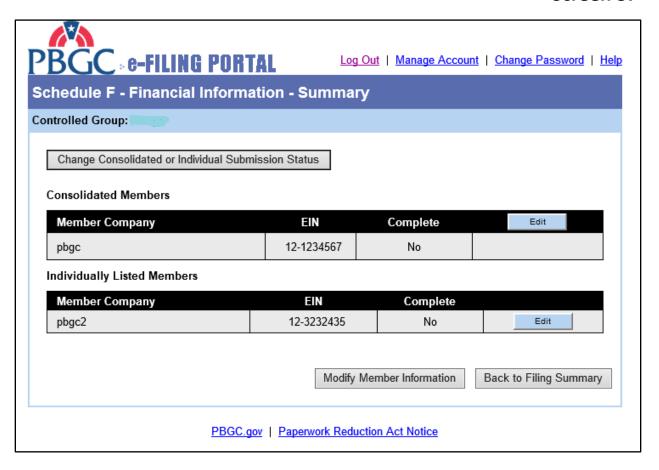


BGC - e-FILING POR	TAL	He
chedule I - Identifying Infor Section III - Former Membe		
ntrolled Group:		
Former controlled group members		
If any entity, other than an exempt entity year, enter required information with resp	y, ceased to be a member of the controlled group during the information pect to that entity (see instructions).	
	^	
	- V	
Γ		
Former plans		
If any plan, other than an exempt plan, o	ceased to be maintained by the controlled group during the information pect to that plan (see instructions).	
If any plan, other than an exempt plan, o		
If any plan, other than an exempt plan, o		
Former plans If any plan, other than an exempt plan, or year, enter required information with response.		
If any plan, other than an exempt plan, o		
If any plan, other than an exempt plan, o		

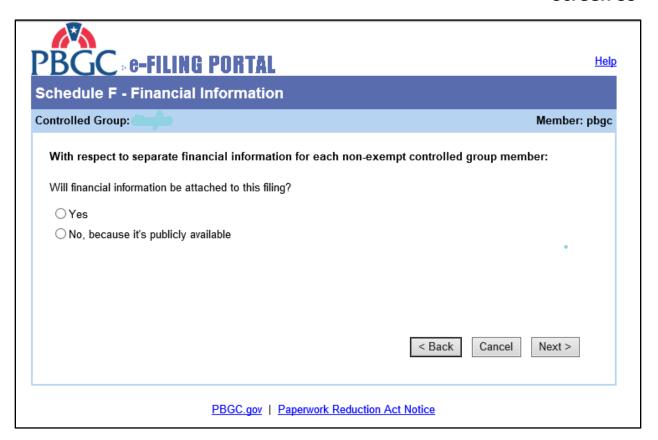


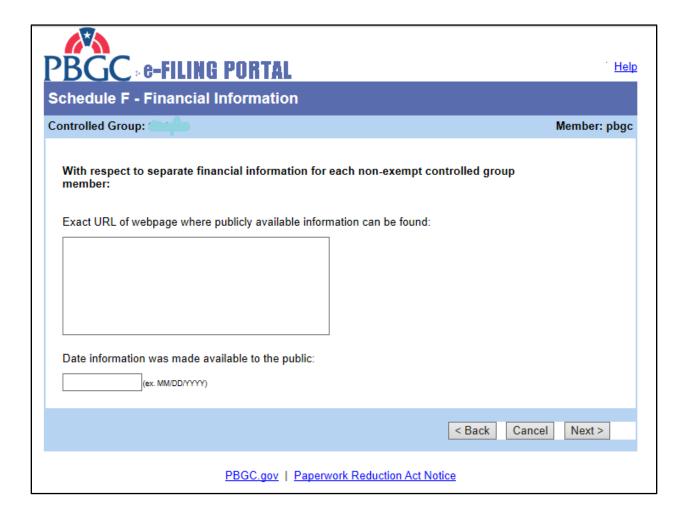


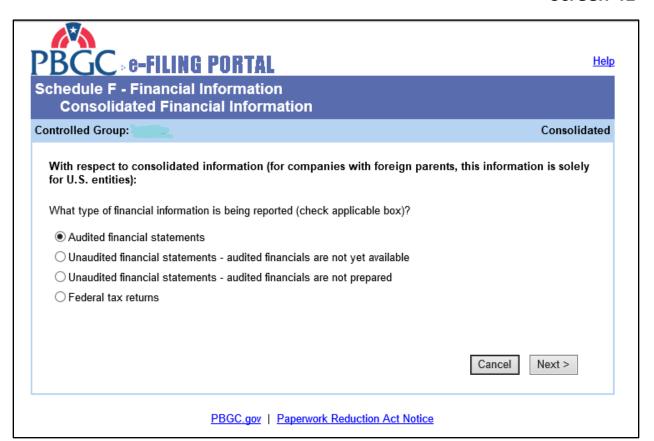
PBGC - e-FILING PORTAL	Help			
Schedule F - Financial Information Type of Submission				
Controlled Group:				
Is financial information for any U.S. non-exempt members consolidated with the financial information of the ultimate foreign parent? Yes No				
< Back Cancel Next >				
PBGC.gov Paperwork Reduction Act Notice				

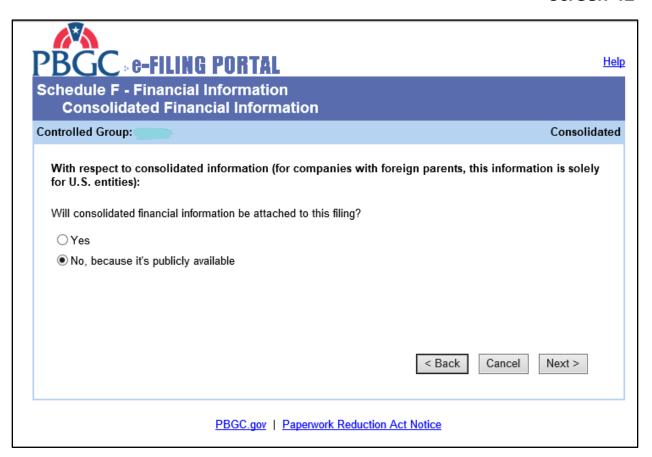


BGC - e-FILING PORTAL	<u>Hel</u>
chedule F - Financial Information	
ontrolled Group:	Member: pbgc
With respect to separate financial information for each non-exemp	ot controlled group member:
What type of financial information is being reported (check applicable box	x)?
O Audited financial statements	
O Unaudited financial statements - audited financials are not yet availab	ole
\bigcirc Unaudited financial statements - audited financials are not prepared	
Federal tax returns	
	Cancel Next >

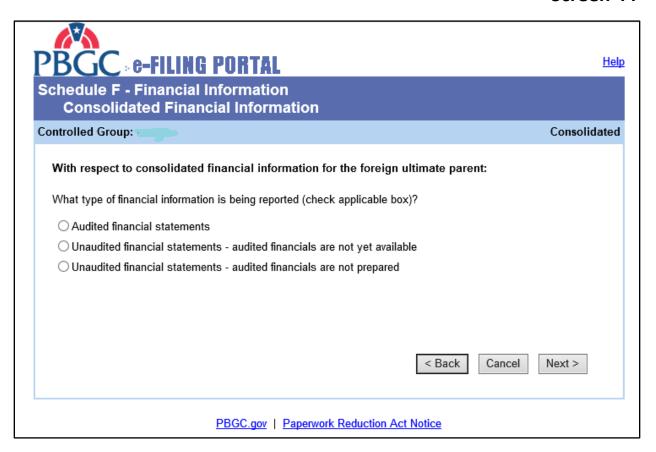


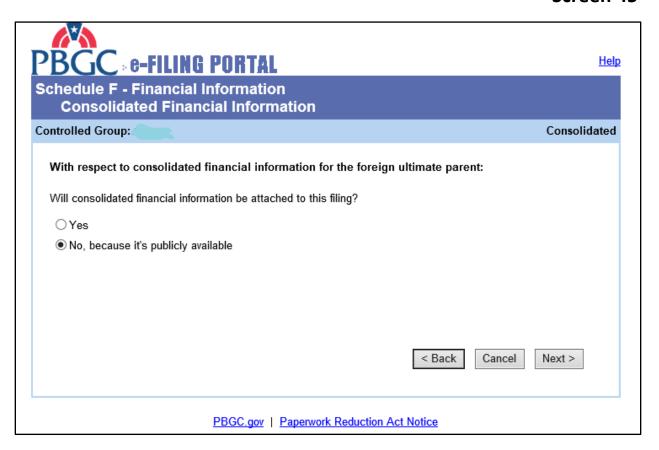




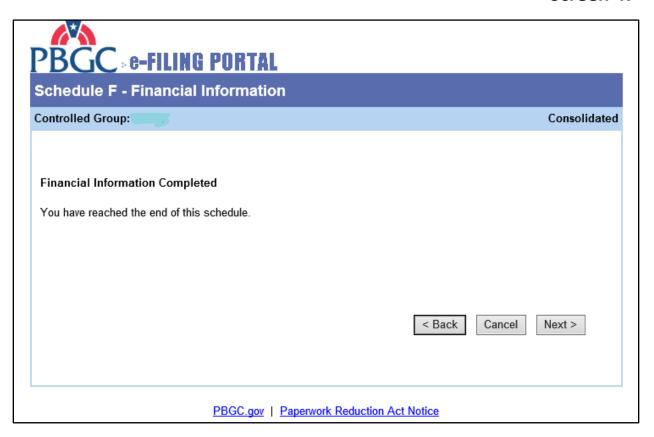


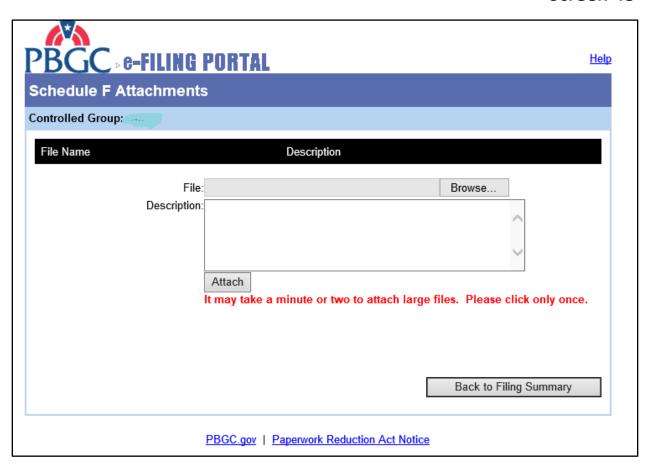
PBGC - e-FILING PORTAL	· ` Help
Schedule F - Financial Information	
Controlled Group:	Consolidated
With respect to consolidated information (for companies with foreign parents, this information is solely for U.S. entities):	
Exact URL of webpage where publicly available information can be found:	
Date information was made available to the public:	
(ex. MM/DD/YYY)	
< Back Cance	Next >
PBGC.gov Paperwork Reduction Act Notice	



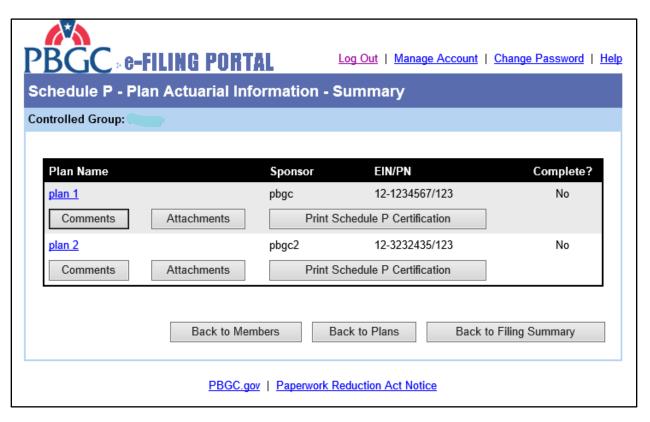


BGC - e-FILING PORTAL	` <u>He</u>
Schedule F - Financial Information	
ontrolled Group:	Consolidated
With respect to consolidated financial information for the foreign ultimate parent:	
Exact URL of webpage where publicly available information can be found:	
Date information was made available to the public: (ex. MM/DD/YYYY)	
< Back Ca	nncel Next >









PBGC - e-FILING PO	DRTAL ±	lelp
Schedule P - Plan Actuaria Basic Plan Informatio	al Information	
Controlled Group:	Plan Sponsor: pbg	gc
	Plan: plan 1: 12-1234567/123	
Enrolled Actuary Information		
First Name:		
Last Name:		
EA Number:	(ex. 05-1111)	
Telephone:	(ex. 202-111-1111) Ext.	
E-mail:	(ex. aa@a.com)	
Enter the following information w	vith respect to the plan year ending within the information year	
Date plan year begins:	(ex. MM/DD/YYYY)	
Date plan year ends:	(ex. MM/DD/YYYY)	
ls this plan year a s	short plan year?	
○Yes ○No		
	Cancel Next >	
	BGC.gov Paperwork Reduction Act Notice	

ntrolled Group:		Plan Sponsor: pbg
	Plan: plan 1:	12-1234567/123
Participant Count and Be	nefit Liabilities	
Participant Status	Number of Participants	Benefit Liabilities at plan year-end (Before reflecting expense load)
Active		\$
Terminated vested		\$
Receiving benefits		\$
Total	(\$0
Benefit Liabilities after re	flecting expense load	
Expense Load per §4044.52(d	d)	\$
Total Benefit Liabilities*		\$0
* Determined using retirement	age, interest, mortality, expense load vided in § 4010.8(d)(2).	provided in § 4044.51-57 Calculate Total

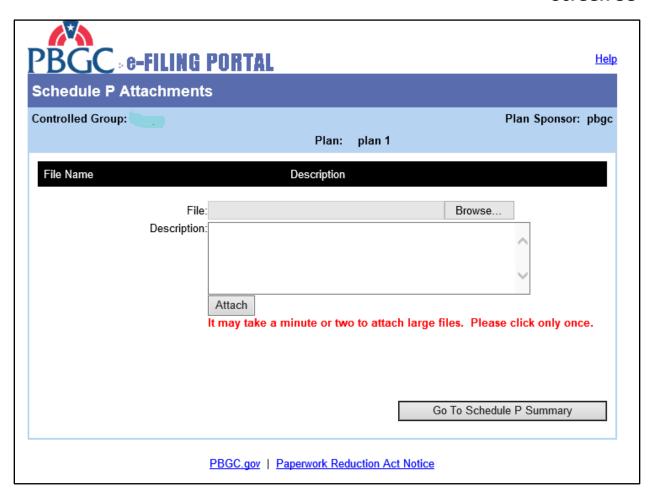
PBGC - e-FILING PORTAL	<u>Help</u>
Schedule P - Plan Actuarial Information Funded Status Information (§4044 basis)	
Controlled Group: Plan Sponsor: Plan: plan 1: 12-1234567/123	pbgc
Census Data used to determine benefit liabilities: O Projected from a date within the plan year ending within the information year O As of the end of the plan year ending within the information year or the beginning of the subsequent year Interest rate used to determine Benefit Liabilities	
Period 1:	
Fair market value of assets (excluding receivables) at plan-year end:	
< Back Cancel Next >	
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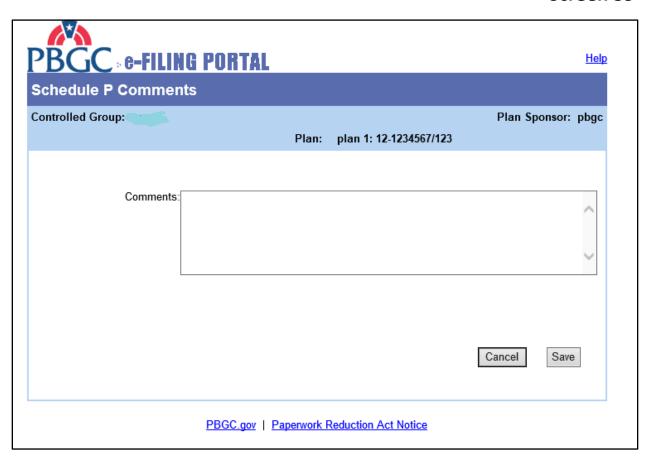
PBGC e-filing portal	<u>Hel</u>
Schedule P - Plan Actuarial Information Other Information	
Controlled Group:	Plan Sponsor: pbgc
Plan: plan 1: 12-1234567/123 The following items relate to the 10/10/2018 – 10/10/2020 plan year:	
The first item (at-risk funding target) may be left blank. The other items are requ	uired.
Funding target (as of the valuation date) determined as if the plan has been in at-risk status for a consecutive period of at least 5 plan years:	\$
4010 funding target attainment percentage (as of valuation date):	% (e.x. 92.21)
Adjusted funding target attainment percentage (as of valuation date):	% (e.x. 92.21)
Did any benefit limitations apply under ERISA 206(g) at any time during the plan year?	○Yes ○No
Has one or more minimum funding waivers been granted totaling in excess of \$1 million for which there is an outstanding balance at the end of the plan year?	○Yes ○No
The following item relates to the information year ending 10/10/2019:	
Has a statutory lien arisen during the information year as the result of missed contributions in excess of \$1 million (that were not made within 10 days of the due date)?	○Yes ○No
< Back	Cancel Next >

PBGC • e-FILING PORTAL Schedule P - Plan Actuarial Informa	ation			<u>Help</u>
Other Information Controlled Group:	Plan:	plan 1: 12-1234567/123	Plan Sponsor:	pbgc
Benefit limitations explanation Enter required information with respect to the benefit limitations applied under ERISA 206(g) (see instructions).				
		~		
PBGC.gov Pa	aperwork F	< Back Reduction Act Notice	Cancel Next >	

<u> PBGC®e-filing porta</u>	<u>Hel</u>
schedule P - Plan Actuarial Infor Additional Actuarial Inform	
ontrolled Group:	Plan Sponsor: pbgc
	Plan: plan 1: 12-1234567/123
Which of the following five statements describe §4010.8(a)(11) will be provided?	pes the method under which the additional information required under
All of the information is included in o will be submitted:	ne actuarial valuation report. It is my understanding that the report
OAs an attachment to this filing	
 Electronically within 15 days of information year 	the IRS Form 5500 filing deadline for the plan year ending within the
•	not contain all of the additional required information. Therefore, e provided. It is my understanding that the report and the omitted:
OAs an attachment to this filing	
 Electronically within 15 days of t information year 	the IRS Form 5500 filing deadline for the plan year ending within the
A combination of the two above	
	< Back Cancel Next >

PBGC - 6-FILING PORTAL Schedule P - Plan Actuarial Informa	ation			<u>Help</u>
Controlled Group:	Plan:	plan 1: 12-1234567/123	Plan Sponsor:	pbgc
You have reached the end of this schedule.		< Back	Cancel	
PBGC.gov Pa	aperwork	Reduction Act Notice		





PBGC - e-FILING PORTAL	<u>Help</u>
Reassign Filing	
Controlled Group:	
Enter the e-mail address of the person you would like to assign this filing:	
E-mail address: (ex. as@a.com)	
Note: You may only assign a filing to someone who has an e-Filing Portal account.	
Cancel Next >	
PBGC.gov Paperwork Reduction Act Notice	

PBGC - e-FILING PORTAL	<u>Help</u>
Assign to Actuary	
Controlled Group:	
Actuaries currently assigned: —Select Actuary— Enter the e-mail address of the person you would like to assign this filing: Email address:	
PBGC.gov Paperwork Reduction Act Notice	



Assign to Actuary

Are you sure you wish to assign thella.supriya@pbgc.gov as the actuary to the Schedule P for plan 1?

If you select the "Assign" button below, thella.supriya@pbgc.gov will be allowed to view and edit this schedule P. Selecting the "Back to Plan Summary" button will cancel this transaction.

Back to Plan Summary

Assign

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PBGC • e-FILING PORTAL Validate Filing

Schedule G General Information	There are no validation errors in this section
Schedule FG Filing Gateway	There are no validation errors in this section
Schedule I 1 Identifying information for controlled group members	There are no validation errors in this section
Schedule I 2 Plan Information	There are no validation errors in this section
Schedule I 3 Prior member/plan information	There are no validation errors in this section
Schedule F Financial Information	There are no validation errors in this section
Schedule P Plan actuarial information	There are no validation errors in this section
Ready to Submit	Ready to submit

Back to Filing Summary

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