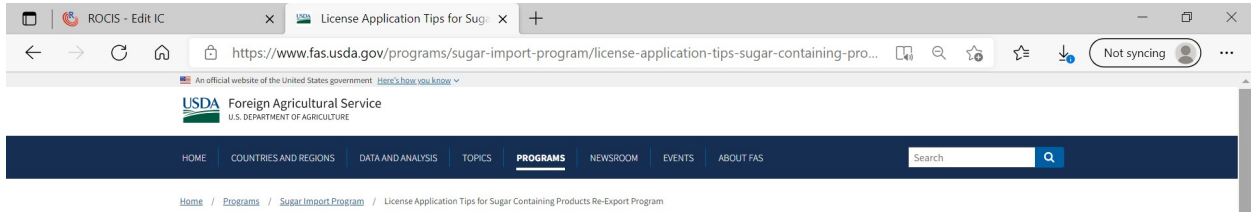


Instructions for Applying for a License under the Sugar Re-Export Program

Part I: FAS Website with instructions on required documents for submission



License Application Tips for Sugar Containing Products Re-Export Program

The following sample provides guidance in the license application process for the Sugar Containing Products Re-Export Program (program). Items 1-6 are required under 7 CFR Section 1530.104, "Application for a License." In addition, this sample includes information that our documentation committee has requested. We recommend that you e-mail us a draft application for our initial review. We may have questions or suggestions for improving your application that we will communicate to you in writing or by phone. Once our documentation committee approves your revised application, we will notify you and request that you notarize and submit your final application. Your application can be modified at any time to include new information.

Sugar Import Program

[Applying for a Global Specialty Sugar Certificate](#)

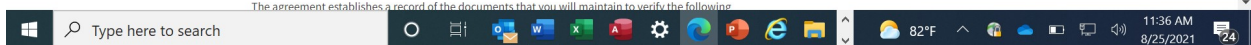
SAMPLE LICENSE APPLICATION (Includes Sample Documentation Agreement)



SAMPLE LICENSE APPLICATION (Includes Sample Documentation Agreement)

1. Your name and address;
2. Address where your records will be maintained;
3. Address of your processing plant(s) and the address of any co-packer plant (s);
- If applying for a consolidated license, please include the names and addresses of any wholly-owned subsidiaries along with documentation (tax identification numbers and a letter from your general counsel or other company official) outlining the subsidiary relationship.
4. The percentage of refined sugar (100-degree polarity – dry-weight basis) in your products;
- Please include a statement that manufacturing records will be available for review during audits of your records
- If you are applying for a license for the first time, we may request samples of your products.
- [Excel template for sample exports and list of products](#)
5. Certification that you are not affiliated with any other licensee, or an explanation of any existing affiliations
(see section 1530.101 of the regulation for a definition of "affiliated"); Link: <http://www.fas.usda.gov/sugars/FASSugarsLicensees.aspx>
- Please include the names and addresses of any agents you are using to assist with your program transactions.
6. A Documentation Agreement (agreement).

The agreement establishes a record of the documents that you will maintain to verify the following



with your program transactions.

6. A Documentation Agreement (agreement).

The agreement establishes a record of the documents that you will maintain to verify the following aspects of your program transactions (see 7 CFR 1530.110 for more detail):


- The date and quantity of sugar exported or transferred;
- The final destination of exported sugar;
- Your license number;
- Buyer and seller specifications
- Names and addresses of the buyer, seller, agent, co-packer, and transport company involved in the transaction;
- Port of export, name of export carrier, and container number; and
- Any U.S. Customs forms submitted in the export process.

Our documentation committee has determined that the documents listed below adequately substantiate program transactions in most cases.

A. For exports by sea or air:

- Commercial sales invoice;
- Straight bill of lading showing the product's movement from your facility to the port of export;
- Signed or non-negotiable ocean bill of lading;
- Signed U.S. Commerce Department form 7525 "Shipper's Export Declaration" (SED) or hard copy of electronically filed SED on the Automated Export System (AES); and
- [Sample SED](#)
- Any additional U.S. Customs forms associated with the transaction.

B. For exports to Canada:

 **Software changes are required**
Your IT department requires the software on your computer for options.

[Sample B-3 form](#)

- Any additional U.S. Customs forms associated with the transaction.

B. For exports to Canada:

- Commercial sales invoice;
- Straight bill of lading;
- Canadian B-3, or a Canadian Customs Invoice that is signed or stamped at the border
- [Sample B-3 form](#)
- Any additional U.S. Customs forms associated with the transaction.

C. For exports to Mexico:

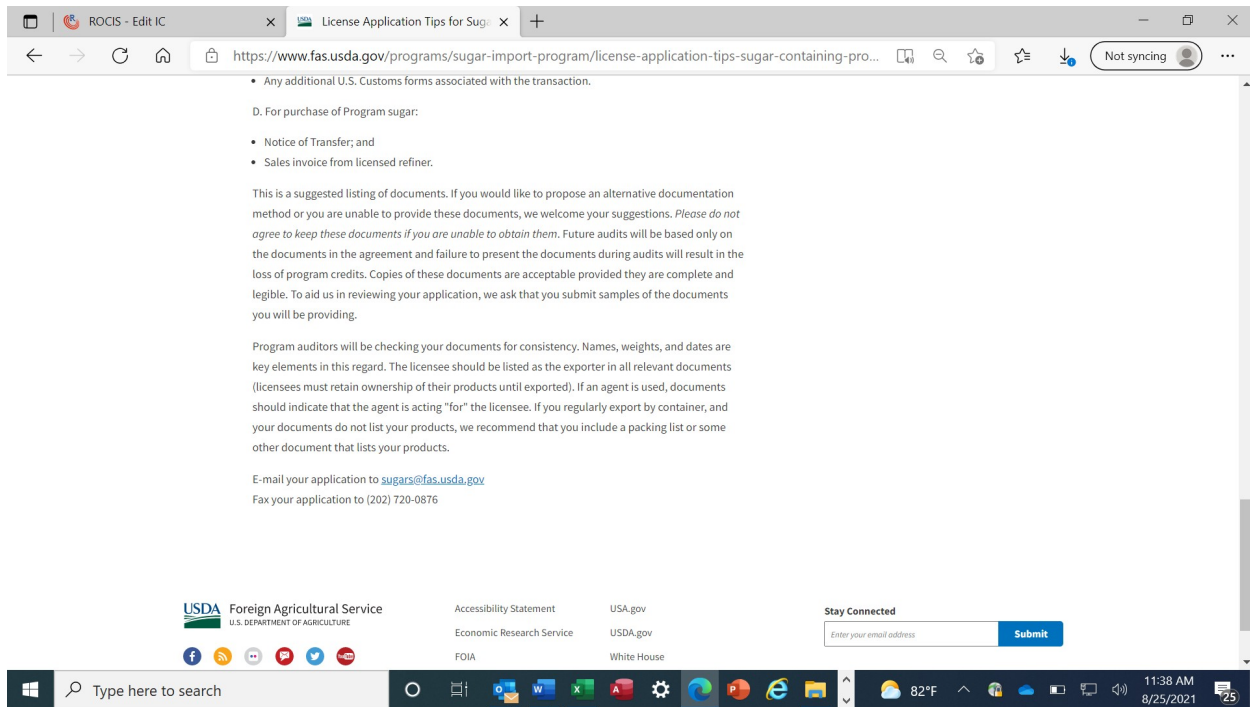
- Commercial sales invoice;
- Straight bill of lading;
- [Pedimento](#)
- Any additional U.S. Customs forms associated with the transaction.

D. For purchase of Program sugar:

- Notice of Transfer; and
- Sales invoice from licensed refiner.

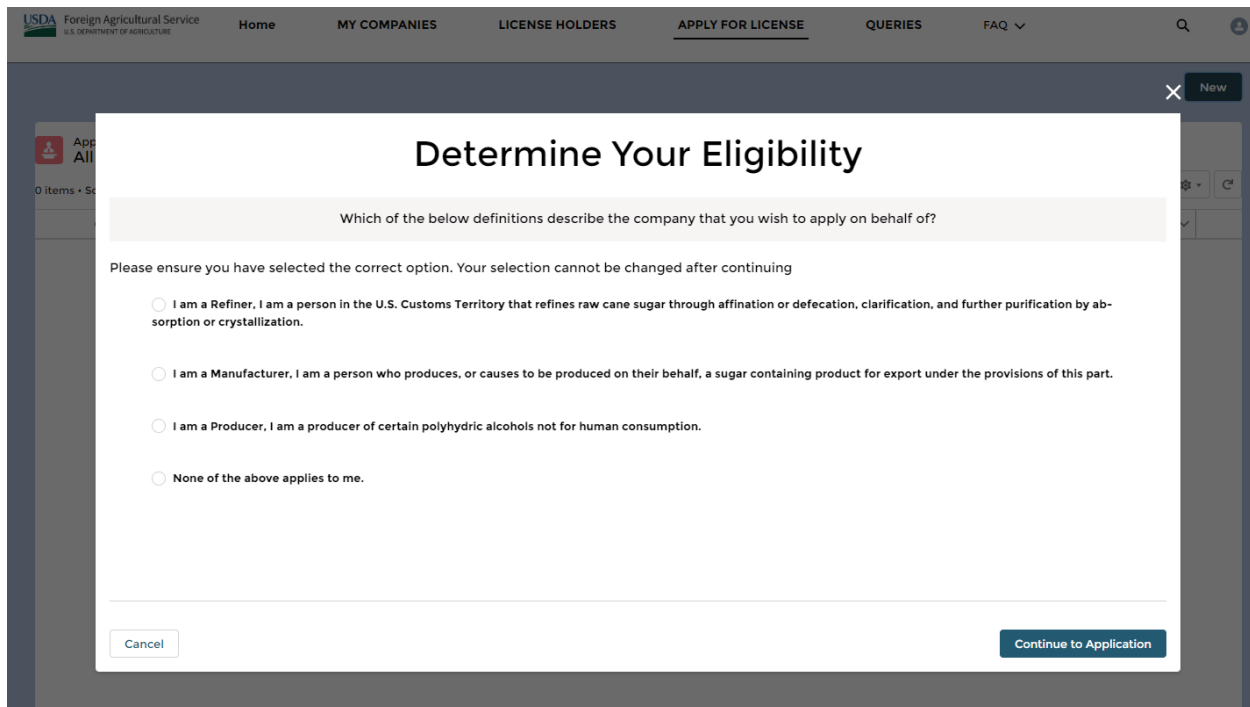
This is a suggested listing of documents. If you would like to propose an alternative documentation method or you are unable to provide these documents, we welcome your suggestions. *Please do not agree to keep these documents if you are unable to obtain them.* Future audits will be based only on the documents in the agreement and failure to present the documents during audits will result in the loss of program credits. Copies of these documents are acceptable provided they are complete and legible. To aid us in reviewing your application, we ask that you submit samples of the documents you will be providing.

Program auditors will be checking your documents for consistency. Names, weights, and dates are key elements in this regard. The licensee should be listed as the exporter in all relevant documents



Part II: Application submission forms and instructions through the SUCROSE system

Note: The refiner applicant view is portrayed in the screenshots below, but slight variations in required information exist for sugar-containing product manufacturer and polyhydric alcohol producer applicants.



Company Info Plant Info Supporting Documentation Third Party Exporters Acknowledgement

License Application - Company Information

You are eligible to apply to the Refined Sugar Re-Export Program as a Refiner. Please complete the form below.

* Company Name

Company Address Information

* Street Address

* City

* State

* Phone

* Email

* Postal Code

Required Documents are maintained at a different address.

✓ Plant Info Supporting Documentation Third Party Exporters Acknowledgement

License Application - Processing Plant Info

Processing Plant Address Information

Plant address is the same as company billing address

* Plant Name

* Street Address

* City

* State

* Postal Code

License Application - Supporting Documentation

Please attach the following documents:

1. A document containing a technical explanation substantiating that your company is a refiner
2. Schematic diagrams
3. Proof of a completed sugar import:
 - a. Invoice from foreign supplier
 - b. Ocean bill of lading
 - c. 7501 form from Customs and Border Protection
4. Company documents for transfers to manufacturers and producers:
 - a. Company sample invoice
 - b. Company sample notice of transfer
5. Proof of at least one completed sugar export:
 - a. For exports to Canada:
 - i. Invoice
 - ii. Proof of polarity greater than 99.5%
 - iii. Signed straight bill of lading with quantity listed
 - iv. B-3 form
 - b. For exports to Mexico:
 - i. Invoice
 - ii. Proof of polarity greater than 99.5%
 - iii. Signed straight bill of lading with verifying delivery of the sugar to the Mexican customer
 - iv. Mexican pedimento that clearly identifies the shipment claimed (must include language that the shipment benefits from the U.S. Refined Sugar Re-Export Program)
 - c. For exports to any other country:
 - i. Invoice
 - ii. Signed straight bill of lading with quantity listed
 - iii. Ocean bill of lading
 - iv. Shipper's export declaration form or electronic export information form

I authorize the National Institute of Standards and Technology at the U.S. Department of Commerce to review the attached technical explanation and schematic diagrams.

Files

Files

Or drop files

Title



License Application - Third Party Exporters

Refiners and manufacturers may sell sugar and sugar-containing products to a U.S. order party (broker, wholesaler/distributor), who has arranged for the sale and export of the merchandise to a foreign buyer, or to a foreign entity in the United States, who has purchased the merchandise for export. This order party or entity is referred to as a Third Party Exporter under the Re-Export Program.

Refiners, manufacturers, and third party exporters must follow all associated program rules and may contact FAS for more information on these requirements.

If you plan to use at least one third party exporter, please download the attached template and enter your company's third party exporters in the specified format and then upload the file. You may also choose to add third party exporters for your company upon approval of a license.

Instructions:

Starting in Row 2, please enter each of your company's third party exporters in a new row. For each third party exporter, you must enter a value in columns A-C.

Third Party Exporters

Attachment

Or drop files



License Application - Acknowledgement

Please acknowledge one of the following statements:

- I certify that I am not affiliated with any other licensee.
- I certify that I am affiliated with other licensees, but can provide relevant documentation to explain my affiliation.

[Cancel](#) [Previous](#)

[Save & Exit](#) [Submit](#)