OMB Control No. 1018-0093 Expires xx/xx/xxxx



# **Export of Trophies by Hunters or Taxidermists (CITES)**

□New □Reissue/Renew □Amendment



#### **APPLICATION FORM INSTRUCTIONS**

The following instructions pertain to U.S. Fish and Wildlife Service (FWS) permit applications. The General Permit Procedures in <u>50</u> CFR 13 address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

If you are an individual, who would be named on the face of the permit, you need to complete the **Individual Applicant** section of this application.

If you are an individual seeking permits issued to yourself for activities not associated with your business or institution, you need to complete the **Individual Applicant** section as an individual permittee.

If you are an individual associated with a business or institution, and the business or institution's name would appear on the face of the permit, you need to complete the **Business Applicant** section of this application.

If you are a business applying on behalf of an individual, you need to complete the **Business Applicant** section of this application and include a limited power of attorney from the person for whom you represent.

A person/entity is qualified to obtain a U.S. Fish and Wildlife Service permit or license when they are "subject to the jurisdiction of the United States", per 16 USC 1532(13). A foreign person/entity with no permanent physical presence in the United States only qualifies for an import/export license when they physically enter or exit the U.S. with the wildlife items. The foreign entity is required to designate a U.S. agent for purposes of record keeping.

#### **GENERAL INSTRUCTIONS:**

- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity. Items marked with an asterisk (\*) are required fields.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application. Faxes or copies of the original signature will not be accepted.
- If you choose to mail your submission, mail the original application to the address listed below or, if applicable, on the attached address list. We encourage electronic filing in the ePermits system.
- Keep a copy of your completed application.
- Please plan ahead. Unless otherwise indicated, allow at least 60 days for your application to be processed, however, some applications may take longer than 90 days to process (50 CFR 13.11). Longer processing times will be noted on those applications.
- Applications are processed in the order they are received.

# **Individual or Business Applicants:**

Individual Applicant. **Complete if applying as an individual** [do not complete this application if applying for Import/Export License (3-200-3) or a Designated Port Exception Permit (3-200-2)]:

- Enter the information requested. Required fields must be completed. This is used to create your profile and consumer information in the ePermits system. If you do not have an email address, enter not applicable.
- **Doing business as (dba) / Affiliation**: The Division of Management Authority (DMA) and the Office of Law Enforcement (OLE) do **not** accept *doing business as* affiliations for individuals seeking permits on behalf of themselves. "Doing business as" affiliations apply to individuals seeking permits for a business, agency, Tribe, organizational, or institutional affiliation *directly* related to the activity requested in the application. For example, a taxidermist is an individual whose business can *directly* relate to the requested activity of exporting taxidermy (dba relevant permit); however, said taxidermist should not apply as a business for permits to non-business related travel with their pet exotic parrot (dba is **not** relevant to the permit; **complete** the **Business Applicant** section).

Business Applicant. Complete if applying as a business, corporation, public agency, Tribe, or institution:

- Enter the information requested. Required fields must be completed. This is used to create your contact and account information in the ePermits system. If you do not have an email address, enter not applicable.
- If you are applying on behalf of a client, a document evidencing **power of attorney** must be included with the application.
- Principal Officer is the person in charge of the listed business, corporation, public agency, Tribe, or institution. The principal
  officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President.
- The Contact is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application. Each person that is associated with the business that would need to access the business account needs to create their own contact in the ePermits system.

#### Application processing fee:

- An application processing fee is required at the time of application, unless exempted under 50 CFR 13. The application processing
  fee is assessed to partially cover the cost of processing a request. The fee does not guarantee the issuance of a permit, nor
  will fees be refunded for applications for which processing has begun. Checks or money orders must be for the exact
  amount for each application submitted. If you are making more than one request, you must submit a separate payment
  for each request, otherwise your application will be returned.
- Documentation of fee exempt status is not required for applications submitted by Federal, Tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies. Such applications must include a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

#### **CERTIFICATION:**

• The individual identified in the Individual Applicant Section, the principal officer named in the Business Applicant Section, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application. This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

## ALL APPLICANTS COMPLETE THE QUESTIONS ASSOCIATED WITH YOUR APPLICATION

## Please continue to next page. DO NOT RETURN THIS PAGE WITH THE APPLICATION

Mail applications to: U.S. Fish and Wildlife Service; Division of Management Authority; Branch of Permits, MS:IA; 5275 Leesburg Pike; Falls Church, Virginia 22041-3803 or as directed by specific applications.

If you would like expedited mailing, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

Please refer to the <u>fee schedule</u> for the appropriate fees for the activity you are requesting.

If you are making more than one request, you must submit a separate payment for each request, otherwise your application will be returned.

# **INDIVIDUAL APPLICANTS**

*First Name	Middle Name		*Last Name
*Email Address		Telephone	Number
*Street Address		*City	
Officer Address		Oity	
*State/Province	*Zip or Postal Code		*Country
If you wish to have your permit r	nailed to a diffe	rent address	, complete the following:
*Street Address		*City	
*Ctata/Duovingo	*7: Doots	l Cada	***************************************
*State/Province	*Zip or Posta	ii Code	*Country
If you would like expedited mailing, please	enclose a self-addre	ssed, pre-paid, o	computer-generated, courier service
airway bill. If unspecified, all documents wil	ll be mailed via the U	J.S. Postal Servio	ce.
Have you or your client (if a broke		•	•
civil penalty or convicted of any the activity for which the applica	_	-	=
nolo contendere, for a felony vio			, , ,
the Bald and Golden Eagle Prot			al; OR are currently under
charges for any violation of the l	aws mentioned	above?	
□ No □ Yes			
If you answered "Yes", provide a	•		<b>3</b> , <b>3</b> , , ,
location of incident; e) court and "Yes" response does not automatic	•		

# **Certification Statement**

Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.

Signature and date

Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, as a nonrefundable processing fee [50 CFR 13.11(d)(4)] (see instructions above). Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))

\*Required fields. Applications without this information are subject to delays or returns.

\*Business Name

# **BUSINESS APPLICANTS**

doing business as (dba)

*Indicate your business type:						
Business or other for profit	Small business Government (Federal/State/Local/Tribal)					
Farm	_	_ Not-	-for-profit institu	tion		
Name that will appear on the perm	nit if you are	apply	ing on behal	f of	an individual/business	
*Primary Contact Name		*Primary Contact Email Address				
*Business Email Address		*Preferred Contact Method (e.g. phone, email)				
#D.:	# <b>D</b>		r <b>=</b> '41.		*D Office . E	
*Principal Officer Name	*Principa	ıı Of	ficer Title		*Principal Officer Email	
Tax ID Number	*Pusines	o Di	2000		Alternate Phone	
Tax ID Nulliber	*Business Phone			Alternate Phone		
*Street Address			*City			
Officer Address			Oity			
*State/Province	*Zip or Postal Code		*	Country		
					•	
If you wish to have your permit	mailed to a	diffe	rent address,	, COI	mplete the following:	
*Street Address			*City			
			<u> </u>			
*State/Province	*Zip or P	osta	I Code	*	Country	

If you would like expedited mailing, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

Have you or your client (if a broker applying on behalf of your client), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

□ No □ Yes

If you answered "Yes", provide a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

# **Certification Statement**

Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.

Signature and date

Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, as a nonrefundable processing fee [50 CFR 13.11(d)(4)] (see instructions above). Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))

\*Required fields. Applications without this information are subject to delays or returns.

#### OMB Control No. 1018-0093 Expires xx/xx/xxxx

# **Export of Trophies by Hunters or Taxidermists (CITES)**

**NOTE 1:** If you are a U.S. or Canadian resident exporting your personal, sport-hunted trophy to CANADA with you in your accompanying personal baggage (hand-carrying, not shipping by freight), you may not require a CITES export permit. The US recognizes "personal effects" and has a bilateral agreement with Canada that certain trophies (black bear/sandhill crane), either hand carried or shipped as part of your personal baggage, do not require a CITES export permit. Please note, however, only Canada and the United States have a bilateral agreement and that other countries that are Parties to CITES would require that an export permit be issued. If you have questions, please contact the Division of Management Authority (DMA) at managementauthority@fws.gov.

**NOTE 2**: If you are the taxidermist or freight forwarder applying on behalf of your client, you must complete the Business Applicant Section of this form. As exporter of record, you are responsible for meeting the permit requirements and providing the documentation to show you have Power of Attorney to act on your client's behalf. The application must be submitted to DMA.

**NOTE 3:** If you hunted in Alaska only, you may apply through the Office of Law Enforcement in Anchorage, Alaska (<u>Mail</u>: U.S. Fish & Wildlife Service, Office of Law Enforcement, P.O. Box 190045, Anchorage, AK 99519).

Provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". **If needed, use a separate sheet of paper.** On all attachments or separate sheets you submit, indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer all of the questions for each trophy.

1. Provide the following for each trophy:

Country, Postal Code:

Scientific name (genus, species, and, if applicable, subspecies)	State Tag #, Seal Tag #, CITES Tag #	Sex	Description: Raw or Finished (if Finished, describe - e.g. life size mount, skin, skull, rug)	Month and Year Animal Harvested

2.	Provide the hunter's name and foreign address (i.e., where the trophy is being exported):
	Name:
	Street Address:
	City:
	State/Province:
	Country, Postal Code:
3.	Location where trophy was taken (e.g., area, region, state, ranch, nearest city, GIS coordinates):
4.	Current location of trophy(ies):
	Name:
	Street Address:
	City:
	State/Province:

- 5. If the trophy was a native U.S. species hunted in the United States, provide a copy of the State hunting license under which the specimen was taken. If a copy of the license is unavailable, provide name of hunter, license number, State of take, and date of take. If a tag or seal is required, provide the tag/seal number.
- 6. If the trophy was a non-native (i.e., exotic) species hunted in the United States, provide:
  - a. A statement from the ranching operation or appropriate authorities of the hunting area that includes the scientific name; common name; month, year, and place of birth of the trophy animal; the date on which the animal was hunted; and the name of the authorized hunter. This statement must be signed by the person in charge of the ranching operation or hunting area and include the location where trophy was taken (area, region, or ranch and nearest city). For species protected under the Endangered Species Act (ESA), include a copy of the ranch's valid ESA take permit (the ranch should supply this document to you).
  - b. A copy of the State hunting license under which the specimen was taken, if required. If a copy of the license is unavailable, provide name of hunter, license number, State of take, and date of take. If a tag or seal is required, provide the tag/seal number.

For **CITES Appendix I** species, provide a copy of the CITES import permit issued by the Management Authority of the country you plan to export the wildlife (country of recipient in question 2 above).

All international shipment(s) must be through a designated port. A <u>list of designated ports</u> (where a wildlife inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (Form 3-200-2).

## **CITES PERMIT OFFICES**

**NOTE:** The application **must** be submitted to Division of Management Authority <u>or</u> to the Office of Law Enforcement in the region where the applicant is located.

# **Division of Management Authority (DMA)**

5275 Leesburg Pike, MS-IA Falls Church, VA 22041-3803

# Office of Law Enforcement (OLE)

## Anchorage, AK

U.S. Fish & Wildlife Service Office of Law Enforcement P.O. Box 190045 Anchorage, AK 99519 Phone: (907) 271-6198 Fax: (907) 271-6199

<u>Courier Services Only</u> 4600 Postmark Drive, Suite NB207 Anchorage, AK 99519

#### Atlanta, GA

U.S. Fish & Wildlife Service Office of Law Enforcement 4341 International Parkway, Suite #104 Atlanta, GA 30354 Phone: (404) 763-7959 Fax: (404) 366-7031

## Chicago, IL

U.S. Fish & Wildlife Service Office of Law Enforcement 10255 W. Higgins Road, Suite 350 Rosemont, IL 60018 Phone: (847) 298-3250 Ext. 30110

Fax: (847) 298-7669

## Denver, CO

U.S. Fish & Wildlife Service Office of Law Enforcement Denver International Airport P.O. Box 492098 Denver, CO 80249 Phone: (303) 342-7430 Fax: (303) 342-7433

#### Guam

U.S. Fish & Wildlife Service Office of Law Enforcement 415 Chalan San Antonio Road, Suite 209 Tamuning, Guam 96913-3620 Phone: (671) 647-6064 Fax: (671) 647-6068

#### Honolulu, HI

U.S. Fish & Wildlife Service Office of Law Enforcement 3375 Koapaka St., #B296 Honolulu, HI 96819-1867 Phone: (808) 861-8525 Fax: (808) 861-8515

## Miami, FL

U.S. Fish & Wildlife Service Office of Law Enforcement 3701 NW 82nd Avenue, Ste #1 Doral, Florida 33166 Phone: (305) 526-2994 or 2620 Fax: (305) 526-7480

## New Orleans, LA

U.S. Fish & Wildlife Service Office of Law Enforcement 2424 Edenborn, Room 100 Metairie, LA 70001 Phone: (504) 219-8870 Fax: (504) 219-8868

#### Seattle, WA

U.S. Fish & Wildlife Service Office of Law Enforcement 17930 International Blvd. Suite 500 Seatac WA 98188 Phone: (206) 241-0191 Fax: (206) 241-1760

## Valley Stream, NY

U.S. Fish & Wildlife Service Office of Law Enforcement 70 E. Sunrise Hwy, Suite 419 Valley Stream, NY 11580 Phone: (516) 825-3950 Fax: (516) 825-3597

## PRIVACY ACT STATEMENT

**NOTICES** 

**Authority:** The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14

**Purpose:** The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

**Routine Uses:** The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

**Disclosure:** The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

## PAPERWORK REDUCTION ACT STATEMENT

We are collecting this information subject to the Paperwork Reduction Act (PRA; 44 U.S.C. 3501 *et seq.*) in order provide the U.S. Fish and Wildlife Service the information necessary, under the applicable laws governing the requested activity, for which a permit is requested. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the applicable laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the PRA, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0093.

## **ESTIMATED BURDEN STATEMENT**

We estimate public reporting for this collection of information to average 20 minutes (electronically) to 30 minutes (paper-based), including time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB (JAO/3W), Falls Church, VA 22041-3803, or via email at Info\_Coll@fws.gov. Please do not send your completed form to this address.

Questions regarding permits from Management Authority should be sent to managementauthority@fws.gov.

OMB Control No. 1018-0093

Expires xx/xx/xxxx