OMB Control No. 1018-0093 Expires xx/xx/xxxx



Certificate of Ownership for Personally Owned Wildlife "Pet Passport" (CITES)

□New □Reissue/Renew □Amendment



APPLICATION FORM INSTRUCTIONS

The following instructions pertain to U.S. Fish and Wildlife Service (FWS) permit applications. The General Permit Procedures in <u>50</u> CFR 13 address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

If you are an individual, who would be named on the face of the permit, you need to complete the **Individual Applicant** section of this application.

If you are an individual seeking permits issued to yourself for activities not associated with your business or institution, you need to complete the **Individual Applicant** section as an individual permittee.

If you are an individual associated with a business or institution, and the business or institution's name would appear on the face of the permit, you need to complete the **Business Applicant** section of this application.

If you are a business applying on behalf of an individual, you need to complete the **Business Applicant** section of this application and include a limited power of attorney from the person for whom you represent.

A person/entity is qualified to obtain a U.S. Fish and Wildlife Service permit or license when they are "subject to the jurisdiction of the United States", per 16 USC 1532(13). A foreign person/entity with no permanent physical presence in the United States only qualifies for an import/export license when they physically enter or exit the U.S. with the wildlife items. The foreign entity is required to designate a U.S. agent for purposes of record keeping.

GENERAL INSTRUCTIONS:

- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the
 appropriate application form for the proposed activity. Items marked with an asterisk (*) are required fields.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application. Faxes or copies of the original signature will not be accepted.
- If you choose to mail your submission, mail the original application to the address listed below or, if applicable, on the attached address list. We encourage electronic filing in the ePermits system.
- Keep a copy of your completed application.
- Please plan ahead. Unless otherwise indicated, allow at least 60 days for your application to be processed, however, some applications may take longer than 90 days to process (50 CFR 13.11). Longer processing times will be noted on those applications.
- Applications are processed in the order they are received.

Individual or Business Applicants:

Individual Applicant. **Complete if applying as an individual** [do not complete this application if applying for Import/Export License (3-200-3) or a Designated Port Exception Permit (3-200-2)]:

- Enter the information requested. Required fields must be completed. This is used to create your profile and consumer information in the ePermits system. If you do not have an email address, enter not applicable.
- **Doing business as (dba) / Affiliation**: The Division of Management Authority (DMA) and the Office of Law Enforcement (OLE) do **not** accept *doing business as* affiliations for individuals seeking permits on behalf of themselves. "Doing business as" affiliations apply to individuals seeking permits for a business, agency, Tribe, organizational, or institutional affiliation *directly* related to the activity requested in the application. For example, a taxidermist is an individual whose business can *directly* relate to the requested activity of exporting taxidermy (dba relevant permit); however, said taxidermist should not apply as a business for permits to non-business related travel with their pet exotic parrot (dba is **not** relevant to the permit; **complete** the **Business Applicant** section).

Business Applicant. Complete if applying as a business, corporation, public agency, Tribe, or institution:

- Enter the information requested. Required fields must be completed. This is used to create your contact and account information in the ePermits system. If you do not have an email address, enter not applicable.
- If you are applying on behalf of a client, a document evidencing power of attorney must be included with the application.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, Tribe, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President.
- The Contact is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application. Each person that is associated with the business that would need to access the business account needs to create their own contact in the ePermits system.

Application processing fee:

- An application processing fee is required at the time of application, unless exempted under 50 CFR 13. The application processing
 fee is assessed to partially cover the cost of processing a request. The fee does not guarantee the issuance of a permit, nor
 will fees be refunded for applications for which processing has begun. Checks or money orders must be for the exact
 amount for each application submitted. If you are making more than one request, you must submit a separate payment
 for each request, otherwise your application will be returned.
- Documentation of fee exempt status is not required for applications submitted by Federal, Tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies. Such applications must include a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

CERTIFICATION:

• The individual identified in the Individual Applicant Section, the principal officer named in the Business Applicant Section, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application. This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

ALL APPLICANTS COMPLETE THE QUESTIONS ASSOCIATED WITH YOUR APPLICATION

Please continue to next page. DO NOT RETURN THIS PAGE WITH THE APPLICATION

Mail applications to: U.S. Fish and Wildlife Service; Division of Management Authority; Branch of Permits, MS:IA; 5275 Leesburg Pike; Falls Church, Virginia 22041-3803 or as directed by specific applications.

If you would like expedited mailing, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

Please refer to the <u>fee schedule</u> for the appropriate fees for the activity you are requesting.

If you are making more than one request, you must submit a separate payment for each request, otherwise your application will be returned.

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INDIVIDUAL APPLICANTS

*First Name	Middle Name		*Last Name		
*Email Address		Telephone N	lumber		
	T	J-014			
*Street Address		*City			
*State/Province	*Zip or Posta	I Code	*Country		
	P		,		
			<u>I</u>		
If you wish to have your permit m	nailed to a differ	ent address,	complete the following:		
*Street Address		*City			
*State/Province	*Zip or Posta	I Code	*Country		
If you would like ownedited mailing places					
If you would like expedited mailing, please of airway bill. If unspecified, all documents will			. •		
Have you or your client (if a brok	er applying on l	behalf of your	client), been assessed a		
civil penalty or convicted of any o	•	•			
the activity for which the applicat nolo contendere, for a felony viol					
the Bald and Golden Eagle Prote		•			
charges for any violation of the la	aws mentioned	above?			
□ No □ Yes					
If you answered "Yes", provide a) the individual'	s name; b) da	te of charge; c) charge(s); d)		
location of incident; e) court and	f) action taken	for each violat	tion. Please be aware that a		
"Yes" response does not automa	itically disqualif	y you from get	tting a permit.		

Certification Statement

Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.

Signature and date

Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, as a nonrefundable processing fee [50 CFR 13.11(d)(4)] (see instructions above). Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))

*Required fields. Applications without this information are subject to delays or returns.

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BUSINESS APPLICANTS

*Business Name	doing bu	doing business as (dba)		
*Indicate your business type:		<u> </u>		
Business or other for profit	Small bus	iness	Government (Federal/State/Local/	Tribal)
Farm	-	_ Not-for-profit ins	stitution	
Name that will appear on the per	mit if you are	applying on be	ehalf of an individual/business	;
*Brimary Contact Name		*Primary Con	atact Email Address	
*Primary Contact Name		Primary Con	ntact Email Address	
*Business Email Address		*Preferred Co	ontact Method (e.g. phone, emai	 I)
			()	·
*Principal Officer Name	*Princip	al Officer Title	*Principal Officer E	mail
Tax ID Number	*Busine	ss Phone	Alternate Phone	
*Street Address		*C:4.		
"Street Address		*City		
*State/Province	*Zip or I	Postal Code	*Country	
If you wish to have your perm	nit mailed to a	different addre	ess, complete the following:	
*Street Address		*City		
*State/Province	*Zip or I	Postal Code	*Country	

If you would like expedited mailing, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

Have you or your client (if a broker applying on behalf of your client), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

□ No □ Yes

If you answered "Yes", provide a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

Certification Statement

Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.

Signature and date

Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, as a nonrefundable processing fee [50 CFR 13.11(d)(4)] (see instructions above). Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))

*Required fields. Applications without this information are subject to delays or returns.

CERTIFICATE OF OWNERSHIP FOR PERSONALLY OWNED WILDLIFE "PET PASSPORT" (CITES)

NOTE: This form is used to request a passport-like certificate for a single animal (one pet per application).

- Your primary residence must be in the United States (i.e., residing the majority of the year in the United States) to be eligible for this certificate.
- Certificates cannot be re-issued when the pet is outside the United States; therefore, the pet must return to the United States prior to the expiration of the certificate.
- Certificates issued are typically valid for three years and are intended for multiple border crossings.
- Please review our webpage "Personal Pets" for important guidance and reminders.
- Per <u>USDA requirements</u>, birds may not enter the U.S. at a land border port from Mexico.

This form should NOT be used for:

This application can be used for:

- Obtaining a single permit for multiple animals without needing multiple crossings, or are intending to move your pet overseas permanently, (use Form 3-200-46).
- Re-issuance of expiring certificate (use Form <u>3-200-52</u>).

and question 8 if you do not know the origin of your pet.

Complete all questions on the application. Mark questions that are not applicable with "N/A". **If needed, use separate sheets of paper**. On all attachments or separate sheets you submit, indicate the application question number you are addressing. **A separate application and certificate is needed for each animal.**

	☐ New Application						
	☐ Amendment [Permit no	umber:]			
2	. Provide the following info Certificate of Ownership):	•	most cases, your a	nimal n	nust be permane	ntly marked to	qualify for a
	Scientific name (genus, species, and, if applicable, subspecies)	Common Name	Birth/Hatch date mm/dd/yyyy	Sex	Permanent marking¹ and/or name	Household name	Source (wild / captive-born)
		1		I			
3	3. What is the current location of your pet?						
4	4. Will you be: (check one)						
	☐ traveling on holiday (vacation)						
	☐ relocating with your pe	et					
5	. Indicate date of travel and country or countries of destination (if currently known):						

On a separate sheet of paper, answer question 6 if your pet was wild-caught, question 7 if your pet was captive-born;

Origin of Your Pet

- 6. For animals that were wild caught:
 - a. If your pet was removed from the wild within the United States, provide:
 - i. Evidence that it was legally acquired (State authorization);
 - ii. The purpose for which the animal was removed from the wild; and
 - iii. The date the animal was removed from the wild.
 - iv. Documentation demonstrating the history of transactions (e.g., chain of ownership(s) of animal).
 - b. If your pet was removed from the wild outside the United States, provide:
 - i. Country of origin;
 - ii. Evidence that it was legally imported into the U.S. (please refer to question 12); and
 - iii. The date you acquired the animal.
 - iv. Documentation demonstrating the history of transactions (e.g., chain of ownership(s) of animal).

7. For animals that were captive-born:

Provide a dated and signed statement from the breeder that includes the following:

- a. Scientific and common names of bird;
- b. Hatch date, band/tag number or identifying markings, and sex of the animal;
- c. Name and address of the facility where the animal was bred and born;
- d. Location of parental stock; and
- e. A statement that the animal was bred at the above facility.
- f. In addition, provide documentation demonstrating the history of transactions (e.g., chain or ownership of pet(s)).
- 8. If **you do not know the origin** of your pet, provide a signed and dated statement with a complete description of the circumstances under which you acquired the pet, including when, where, from whom, and any other pertinent information. Include pet store receipts or other information that would assist in determining the source of your pet.
- 9. For animals previously imported into the United States (i.e., did not originate from the United States), provide evidence that the animal was legally imported, including:
 - a. Copies of validated canceled CITES export permits or re-export certificates issued by the appropriate CITES
 office in the country from which the wildlife was imported, and a copy of the cleared FWS Declaration for
 Importation (Form 3-177).
 - b. If you did not make the original import, provide copies of the importer's documents outlined above and any invoices or other documents showing you purchased or otherwise obtained the wildlife from the original importer.
 - c. If you did not obtain the wildlife from the original importer, include documentation demonstrating the history of transactions.
 - d. For exotic birds previously imported, provide a copy of the Wild Bird Conservation Act (WBCA) import authorization.

- 10. If requesting a passport for a species listed on the **Migratory Bird Treaty Act** (listed in <u>50 CFR 10.13</u>), provide Federal and/or State permit(s) or license numbers that authorize possession and acquisition.
- 11. Transport conditions for live animals must comply with the <u>CITES Guidelines for Transport of Live Animals</u>. All air transport must also comply with our regulations at <u>50 CFR 14</u>, Subpart J and the <u>International Air Transport Association (IATA)</u> live animal regulations (contact airline for information). Please provide a description of the shipping conditions including:
 - a. The type, size, and construction of any shipping container; and
 - b. The arrangements for watering or otherwise caring for your pet during transport.
- 12. When travelling with your pet, there may be animal health requirements specific for that destination. As soon as you know your travel details, contact your local veterinarian to assist with the pet travel process. Factors to consider may include meeting the time frames for obtaining a health certificate, updating vaccinations, diagnostic testing, or administration of medications/ treatments. Please contact <u>USDA Animal and Plant Health Inspection Service</u>.
- 13. Be aware that certain States require pet possession certificates. Contact your State conservation agency for requirements, and submit copies of applicable documentation with your application.

14.	expect the [export/r	e-export/import] to oc	cur at the following U.S. port(s)	:
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All international shipment(s) must be through a designated port. A <u>list of designated ports</u> (where a wildlife inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (Form <u>3-200-2</u>).

NOTICES

PRIVACY ACT STATEMENT

Authority: The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14

Purpose: The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

Routine Uses: The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

Disclosure: The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

PAPERWORK REDUCTION ACT STATEMENT

We are collecting this information subject to the Paperwork Reduction Act (44 U.S.C. 3501) in order provide the U.S. Fish and Wildlife Service the information necessary, under the applicable laws governing the requested activity, for which a permit is requested. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the applicable laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0093.

ESTIMATED BURDEN STATEMENT

We estimate public reporting for this collection of information to average 45 minutes (electronically) to 1 hour (paper-based), including time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB (JAO/3W), Falls Church, VA 22041-3803, or via email at Info Coll@fws.gov. Please do not send your completed form to this address.

Questions regarding permits from Management Authority should be sent to managementauthority@fws.gov.