



## Export/Re-Export/Re-Import of Traveling Animal Exhibitions or Museum Exhibitions (and Reissuance) (CITES/ESA)

New  Reissue/Renew  Amendment



### APPLICATION FORM INSTRUCTIONS

The following instructions pertain to U.S. Fish and Wildlife Service (FWS) permit applications. The General Permit Procedures in [50 CFR 13](#) address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

If you are an individual, who would be named on the face of the permit, you need to complete the **Individual Applicant** section of this application.

If you are an individual seeking permits issued to yourself for activities not associated with your business or institution, you need to complete the **Individual Applicant** section as an individual permittee.

If you are an individual associated with a business or institution, and the business or institution's name would appear on the face of the permit, you need to complete the **Business Applicant** section of this application.

If you are a business applying on behalf of an individual, you need to complete the **Business Applicant** section of this application and include a limited power of attorney from the person for whom you represent.

A person/entity is qualified to obtain a U.S. Fish and Wildlife Service permit or license when they are "subject to the jurisdiction of the United States", per 16 USC 1532(13). A foreign person/entity with no permanent physical presence in the United States only qualifies for an import/export license when they physically enter or exit the U.S. with the wildlife items. The foreign entity is required to designate a U.S. agent for purposes of record keeping.

### GENERAL INSTRUCTIONS:

- An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity. Items marked with an asterisk (\*) are required fields.
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application. Faxes or copies of the original signature will not be accepted.
- If you choose to mail your submission, mail the original application to the address listed below or, if applicable, on the attached address list. We encourage electronic filing in the ePermits system.
- Keep a copy of your completed application.
- Please plan ahead. Unless otherwise indicated, allow at least 60 days for your application to be processed, however, some applications may take longer than 90 days to process (50 CFR 13.11). Longer processing times will be noted on those applications.
- Applications are processed in the order they are received.

### Individual or Business Applicants:

Individual Applicant. **Complete if applying as an individual** [do not complete this application if applying for Import/Export License (3-200-3) or a Designated Port Exception Permit (3-200-2)]:

- Enter the information requested. Required fields must be completed. This is used to create your profile and consumer information in the ePermits system. **If you do not have an email address, enter not applicable.**
- **Doing business as (dba) / Affiliation:** The Division of Management Authority (DMA) and the Office of Law Enforcement (OLE) do **not** accept *doing business as* affiliations for individuals seeking permits on behalf of themselves. "Doing business as" affiliations apply to individuals seeking permits for a business, agency, Tribe, organizational, or institutional affiliation *directly* related to the activity requested in the application. For example, a taxidermist is an individual whose business can *directly* relate to the requested activity of exporting taxidermy (dba relevant permit); however, said taxidermist should not apply as a business for permits to non-business related travel with their pet exotic parrot (dba is **not** relevant to the permit; **complete the Business Applicant** section).

**Business Applicant. Complete if applying as a business, corporation, public agency, Tribe, or institution:**

- Enter the information requested. Required fields must be completed. This is used to create your contact and account information in the ePermits system. **If you do not have an email address, enter not applicable.**
- If you are applying on behalf of a client, a document evidencing **power of attorney** must be included with the application.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, Tribe, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President.
- **The Contact** is the person at the business, corporation, public agency, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application. Each person that is associated with the business that would need to access the business account needs to create their own contact in the ePermits system.

**Application processing fee:**

- An application processing fee is required at the time of application, unless exempted under 50 CFR 13. The application processing fee is assessed to partially cover the cost of processing a request. **The fee does not guarantee the issuance of a permit, nor will fees be refunded for applications for which processing has begun. Checks or money orders must be for the exact amount for each application submitted. If you are making more than one request, you must submit a separate payment for each request, otherwise your application will be returned.**
- **Documentation of fee exempt status is not required for applications submitted by Federal, Tribal, State, or local government agencies; but must be supplied by those applicants acting on behalf of such agencies.** Such applications must include a letter on agency letterhead and signed by the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

**CERTIFICATION:**

- The individual identified in the Individual Applicant Section, the principal officer named in the Business Applicant Section, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application. This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

**ALL APPLICANTS COMPLETE THE QUESTIONS ASSOCIATED WITH YOUR APPLICATION**

**Please continue to next page. DO NOT RETURN THIS PAGE WITH THE APPLICATION**

Mail applications to: U.S. Fish and Wildlife Service; Division of Management Authority; Branch of Permits, MS:IA; 5275 Leesburg Pike; Falls Church, Virginia 22041-3803 or as directed by specific applications.

If you would like expedited mailing, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

Please refer to the [fee schedule](#) for the appropriate fees for the activity you are requesting.

**If you are making more than one request, you must submit a separate payment for each request, otherwise your application will be returned.**

## INDIVIDUAL APPLICANTS

*First Name	Middle Name	*Last Name

*Email Address	Telephone Number

*Street Address	*City

*State/Province	*Zip or Postal Code	*Country

If you wish to have your permit mailed to a different address, complete the following:

*Street Address	*City

*State/Province	*Zip or Postal Code	*Country

If you would like expedited mailing, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

Have you or your client (if a broker applying on behalf of your client), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

No  Yes

If you answered "Yes", provide a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

## Certification Statement

Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, [Part 13](#) of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.

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Signature and date

Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, as **a nonrefundable processing fee [50 CFR 13.11(d)(4)]** (see instructions above). Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – ***attach documentation of fee exempt status as outlined in instructions.*** (50 CFR 13.11(d))

**\*Required fields. Applications without this information are subject to delays or returns.**

## BUSINESS APPLICANTS

<b>*Business Name</b>	<b>doing business as (dba)</b>

\*Indicate your business type:

Business or other for profit    
  Small business    
  Government (Federal/State/Local/Tribal)  
 Farm    
  Not-for-profit institution

<b>Name that will appear on the permit if you are applying on behalf of an individual/business</b>

<b>*Primary Contact Name</b>	<b>*Primary Contact Email Address</b>
<b>*Business Email Address</b>	<b>*Preferred Contact Method</b> (e.g. phone, email)

<b>*Principal Officer Name</b>	<b>*Principal Officer Title</b>	<b>*Principal Officer Email</b>
<b>Tax ID Number</b>	<b>*Business Phone</b>	<b>Alternate Phone</b>

<b>*Street Address</b>	<b>*City</b>

<b>*State/Province</b>	<b>*Zip or Postal Code</b>	<b>*Country</b>

If you wish to have your permit mailed to a different address, complete the following:

<b>*Street Address</b>	<b>*City</b>

<b>*State/Province</b>	<b>*Zip or Postal Code</b>	<b>*Country</b>

If you would like expedited mailing, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

Have you or your client (if a broker applying on behalf of your client), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

No  Yes

If you answered "Yes", provide a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

## Certification Statement

Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, [Part 13](#) of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.

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Signature and date

Include a check or money order, payable to the U.S. FISH AND WILDLIFE SERVICE, as **a nonrefundable processing fee [\[50 CFR 13.11\(d\)\(4\)\]](#)** (see instructions above). Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – ***attach documentation of fee exempt status as outlined in instructions.*** (50 CFR 13.11(d))

**\*Required fields. Applications without this information are subject to delays or returns.**

## EXPORT/RE-EXPORT/REIMPORT OF TRAVELING ANIMAL EXHIBITIONS OR MUSEUM EXHIBITIONS (And Reissuance) (CITES/ESA)

This application covers a wide range of CITES and/or ESA-listed animals, whether live or dead. Please check to determine whether the species is regulated under [CITES](#), when the species was listed, and if any exemptions apply to your requested activity (no CITES permit required). Additionally, determine whether the species is regulated under the [ESA](#) when the species was listed, and if any exemptions apply to your requested activity (no ESA authorization required).

A **Traveling Exhibition Certificate (Resolution Conf. 12.3 (Rev. CoP13))** applies only to an animal or non-living specimens that qualifies as **pre-Convention**<sup>1</sup> or **Bred in Captivity**<sup>2</sup> as defined in [Resolution Conf. 10.16 \(Rev.\)](#). A CITES certificate may be issued up to 3 years for a single animal or a group of (non-living) specimens.

### Use this form for exports/re-exports and re-import for:

- Temporary travel (export and re-import) to exhibit animals, museum exhibitions, or other traveling exhibitions where specimens do not change ownership (e.g., not being bought or sold while outside the United States).

### This form should NOT be used for:

- Transferring ownership or offering specimens for sale outside the United States: submit Form [3-200-23](#) (pre-Convention specimens) or Form [3-200-37d](#) (ESA/CITES specimens).
- Individuals or Orchestras traveling with musical instruments; submit Form [3-200-88](#) (Musical Instrument Certificate).
- Activities involving live marine mammals; use form [3-200-53](#) (Export /Re-Export of Live Captive Held Marine Mammals (CITES))

**NOTE:** One-time shipments for specimens that do not qualify for a traveling exhibition certificate as described above.

**If you are applying on behalf of a client, provide Power of Attorney documentation authorizing you to act on behalf of the client.**

This application can be used to request:

**New** Allows multiple cross-border movements with your non-commercial exhibition containing CITES or ESA-listed species throughout the validity of the certificate. Specimens must qualify as bred-in-captivity under [Resolution Conf. 10.16 \(Rev.\)](#), artificially propagated under [Resolution Conf. 11.15 \(Rev. CoP 18\)](#) or pre-Convention to apply for a traveling exhibition certificate. Items must return to the United States at the end of the valid date and when renewing.

**Amend** Allows you to amend a currently valid traveling exhibition certificate. You must complete the relevant sections within the application (3-200-52 **may not be used** to amend or renew this certificate), providing information on any changes to the quantity, your facility, operations, or species for the animals or non-living specimens that you wish to export.

**Re-issue or amend and re-issue** Allows you to renew your traveling exhibition certificate that has or will soon expire. You must complete relevant sections within the application (3-200-52 **may not be used** to amend or reissue this certificate), including providing information on any changes to the quantity, your facility, operations, or species for the animals or non-living specimens that you wish to export.

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<sup>1</sup> Specimen was acquired (removed from the wild or held in captivity or a controlled environment) before the [date CITES](#) applied to it ([50 CFR 23.45](#)).

<sup>2</sup> Specimen was born/hatched from parents mated in captivity in the U.S. with reliable documentation to that effect. See Annex 1.

## GENERAL INFORMATION

Review this application carefully and **provide complete answers to all questions in the sections relevant to the activity for which you are requesting authorization**. If you are applying for multiple species, be sure to indicate which species you are addressing in each response. If a question is not applicable, answer with "N/A". **If more space is needed, attach a separate sheet with your responses numbered according to the questions.**

To accommodate the different purposes of this application, please complete the sections that are applicable to your request as laid out below:

**Part I** – Species Information (page 9).

**Part II** – Live Animals (pgs. 10 - 12).

**Part III** – Non-living Specimens (pgs.12 - 13).



**Part I – Species Information**

For EACH live animal, provide the following. If your application covers more than one animal, be sure to answer questions 2 through 11 for each animal being addressed in the application

Scientific name (genus, species, and if applicable, subspecies) and Common name	Gender, if known	Date of Birth (MM/DD/YYYY)	Source (Wild or Born in captivity)	Country of last re-export	Description (e.g., I.D. #, band #, tattoo #, tag #, size, microchip #, house name). If microchip #, provide manufacturer and location of microchip <sup>3</sup> . (Border officials must be able to verify that the permit and the animal correspond.)

For EACH non-living specimen, provide the following. If your application covers more than one specimen, be sure to answer questions 12 through 20 for each specimen being addressed in the application.

Scientific name (genus, species, and if applicable, subspecies) and Common name	Date of Manufacture	Description of item (published description from a catalog or art book)	Size (in metrics)	Date of acquisition (e.g. bill of sale, US Customs import declaration, transfer document)

- Description of proposed activity:** Generally describe your exhibition, including known locations of your exhibition and estimated return date to the United States.

<sup>3</sup> The exporter may be asked to have a microchip reader on hand to read the microchip at the time of export.

## Part II – Live Animals

### BORN IN CAPTIVITY

2. If you are the breeder, provide a statement that the animal was bred and born/hatched at your facility. This statement must include:
  - a. Birth/hatch date, and if applicable band/tag number, and sex of each specimen;
  - b. Name and address of your facility where the animal was bred and born;
  - c. Location of parental stock; and
  - d. Your signature and date.
3. If you are NOT the breeder, provide copies of documentation showing that you acquired the animal from the breeder or documentation demonstrating the history of transactions (chain of ownership of each animal) and a signed statement from the breeder or breeder's record that includes:
  - a. That the animal was bred and born/hatched at his/her facility;
  - b. Birth/hatch date, and, if applicable, band/tag number, or other identifiers;
  - c. Sex of each species;
  - d. Location (Name, Street address, City, State) of Parental Stock;
  - e. Complete name and address of the breeder's facility.

### COLLECTED FROM THE WILD

4. If the animal was collected from the wild, provide the following:
  - a. Location of where, when, and by whom (name/address) the specimen was removed from the wild;
  - b. Purpose of removal;
  - c. Copy of any permits or other documents from the State or local wildlife authorities approving the activities;
  - d. Documentation showing that the specimens were legally acquired by the applicant; and
  - e. Copies of any applicable State permits or licenses that are required to house, maintain, and breed these species (contact your State for information).
5. If this animal qualifies as **pre-Convention**, provide documents, signed statements or other evidence that the wildlife was born or removed from the wild prior to the date CITES applied to it (e.g., bill of sale, ISIS record, breeder's record).
  - a. If the species was previously imported after the CITES listing date provide:
    - i. A copy of the canceled CITES export or re-export document issued by the appropriate CITES office in the country from which the wildlife was imported; and,
    - ii. For animals only, cleared Wildlife Declaration for Import Form 3-177.

6. For animals protected by the U.S. Endangered Species Act (ESA):

Is the animal **pre-Act** (acquired or held in a controlled environment on or before (a) December 28, 1973 or the date when the species was listed, and (b) has not entered into commerce (e.g., been bought, sold, or offered for sale by you or anyone else) since December 28, 1973, or the date when listed)?

Yes, provide evidence that meets the above criteria.

No, you must be able to show that your proposed activity would meet the issuance criteria under ESA. For endangered species, permits can be issued for activities that enhance the survival or propagation of the species or scientific research. For threatened species, permits can be issued for the above reasons, as well as education, zoological display, or other purposes that would meet the purpose of the Endangered Species Act.

Provide a statement, and documentation to support the statement, on how the activities will **enhance or benefit the wild population** (in-situ and ex-situ projects). While permits cannot be issued solely for educational or display purposes for endangered species, if your activities include conservation education, provide copies of educational materials (e.g., handouts, text of signage or public presentations). If you are submitting more than one application at this time for a group of animals, you can complete this section on one application and refer any subsequent application to this statement. If you need more explanation on this question, please contact the Division of Management Authority.

7. If your activity includes public presentations, please provide the following:

- a. Type of presentation in which the specimen is proposed to be a part of (e.g., live performance, movie, TV)?
- b. Provide a copy of the actual script or material that will be presented to the audience at each performance, show, or viewing of the specimen(s). This material must be original in nature (e.g., photocopies from a reference book are not appropriate) and must be the actual material that will be presented each time the animal is viewed by the target audience.
- c. Will fact sheets, brochures, or posters be used? Is the written material available free-of-charge?
- d. When will the material be presented? If the material will be presented orally, when will this presentation be given? What activities will be occurring at the same time the message is being provided? Could the activity diminish the effectiveness of the presentation? If the material will be presented in a written form, will an oral announcement be made regarding the availability of this material? If the material is in poster form, will the posters be placed so that the material will be accessible by the public?
- e. When exhibiting in countries where English is not the primary language, explain how the conservation education information will be presented to the non-English speaking audience.

8. If the animal a non-human primate, provide a copy of your current Centers for Disease Control (CDC) registration.

9. For live exhibitions, provide the following on your facilities:

- a. Name and address of the permanent facility where the animal(s) is/are maintained.
  - i. Name of Facility
  - ii. Address
  - iii. City, State, Zip code
- b. A detailed description of permanent facilities, including size, construction materials, protection from the elements, and photographs or detailed diagrams;

- c. Provide the same as above for transportation units used while traveling within the United States and abroad. The transport conditions must comply with [50 CFR Part 14, Subpart J](#), the [CITES Guidelines for Transport of Live Animals](#), as well as the Animal Welfare Act and, in the case of air transport, with the [International Air Transport Association \(IATA\)](#) live animal regulations. Your description must include:
  - i. The type, size, and construction of any shipping container; and
  - ii. The arrangements for watering or otherwise caring for the wildlife during transport.
  - iii. Description of the qualifications of the individuals to train and care for the animal, including the number of years' experience with this species or similar species, and names of current caretakers.
10. For live exhibitions, provide a list all mortalities of ESA listed species which occurred at your facility during the past five years, and explain the cause of the mortalities and measures taken to prevent future mortalities if appropriate.
11. Provide copies of any applicable permits, licenses, and registrations (State Fish and Game, U.S. Department of Agriculture Animal Welfare Act (AWA), Center for Disease Control and Prevention).

**All international shipment(s) must be through a designated port.** A [list of designated ports](#) (where a wildlife inspector is posted) is available. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (Form [3-200-2](#)).

### **Part III – Non-living Specimens BORN IN CAPTIVITY**

12. If you are the breeder of the animal from which the specimen was obtained, provide a statement that the animal was bred and born/hatched at your facility. This statement must include:
  - a. Birth/hatch date, and if applicable band/tag number, and sex of each specimen;
  - b. Name and address of your facility where the animal was bred and born;
  - c. Location of parental stock; and
  - d. Your signature and date.
13. If you are NOT the breeder of the animal from which the specimen was obtained, provide copies of documentation showing that you acquired the animal from the breeder or documentation demonstrating the history of transactions (chain of ownership of each animal) and a signed statement from the breeder or breeder's record that includes:
  - a. That the animal was bred and born/hatched at his/her facility;
  - b. Birth/hatch date, and, if applicable, band/tag number, or other identifiers;
  - c. Sex of each specimen;
  - d. Location (Name, Street address, City, State) of Parental Stock;
  - e. Complete name and address of the breeder's facility.

### **COLLECTED FROM THE WILD**

14. If the specimen was collected from the wild, provide the following:
  - a. Location of where, when, and by whom (name/address) the specimen was removed from the wild;
  - b. Purpose of removal;
  - c. Copy of any permits or other documents from the State or local wildlife authorities approving the activities;
  - d. Documentation showing that the specimens were legally acquired by the applicant; and
  - e. Copies of any applicable State permits or licenses that are required to house, maintain, and breed these specimens (contact your State for information).

15. If this specimen qualifies as pre-Convention, provide documents, signed statements or other evidence that the wildlife was born or removed from the wild prior to the date CITES applied to it (e.g., bill of sale, ISIS record, breeder's record).
16. If this animal qualifies as pre-Convention, provide documents, signed statements or other evidence that the wildlife was born or removed from the wild prior to the date CITES applied to it (e.g., bill of sale, ISIS record, breeder's record).
17. If the species was previously imported after the CITES listing date provide:
  - a. A copy of the canceled CITES export or re-export document issued by the appropriate CITES office in the country from which the wildlife was imported; and
  - b. For animals only, cleared Wildlife Declaration for Import Form 3-177.
18. For specimens protected by the U.S. Endangered Species Act (ESA):

Is the specimen **pre-Act** (acquired or held in a controlled environment on or before (a) December 28, 1973 or the date when the species was listed, and (b) has not entered into commerce (e.g., been bought, sold, or offered for sale by you or anyone else) since December 28, 1973, or the date when listed)?

Yes, provide evidence that meets the above criteria.

No, you must be able to show that your proposed activity would meet the issuance criteria under ESA. For endangered species, permits can be issued for activities that enhance the survival or propagation of the species or scientific research. For threatened species, permits can be issued for the above reasons, as well as education, zoological display, or other purposes that would meet the purpose of the Endangered Species Act.

Provide a statement, and documentation to support the statement, on how the activities will **enhance or benefit the wild population** (in-situ and ex-situ projects). While permits cannot be issued solely for educational or display purposes for endangered species, if your activities include conservation education, provide copies of educational materials (e.g., handouts, text of signage or public presentations). If you are submitting more than one application at this time for a group of animals, you can complete this section on one application and refer any subsequent application to this statement. If you need more explanation on this question, please contact the Division of Management Authority.

19. If your activity includes public presentations, please provide the following:
  - a. In what type of presentation are you proposing to use the specimen (e.g., live performance, movie, TV)?
  - b. Provide a copy of the actual script or material that will be presented to the audience at each performance, show, or viewing of the specimen(s). This material must be original in nature (e.g., photocopies from a reference book are not appropriate) and must be the actual material that will be presented each time the animal is viewed by the target audience.
  - c. Will fact sheets, brochures, or posters be used? Is the written material available free-of-charge?
  - d. When will the material be presented? If the material will be presented orally, when will this presentation be given? What activities will be occurring at the same time the message is being provided? Could the activity diminish the effectiveness of the presentation? If the material will be presented in a written form, will an oral announcement be made regarding the availability of this material? If the material is in poster form, will the posters be placed so that the material will be accessible by the public?
  - e. When exhibiting in countries where English is not the primary language, explain how the conservation education information will be presented to the non-English speaking audience.

20. Current location of specimen(s):

## Annex I

# FOR THE PURPOSE OF EXPORT FROM THE UNITED STATES SPECIES THAT QUALIFY AS CAPTIVE-BRED IN ACCORDANCE WITH CONF. 10.16

## MAMMALS

### Order Primates

Callithrix jacchus - Common marmoset [App. I]  
Cercocebus spp. other than galeritus (i.e., agilis, albigena, aterrimus, and torquatus)  
- Mangabeys [App. II] \*  
Cercopithecus diana- Diana monkey [App. I]  
Erythrocebus patas - Patas monkey [App. II]  
Lemur catta - Ring-tailed lemur [App. I] \*  
Lemur macaco macaco - Black lemur [App. I] \*  
Lemur variegatus variegatus (=Varecia variegata variegata) - Ruffed lemur [App. I] \*  
Leontopithecus rosalia - Golden lion tamarin [App. I] \*  
Macaca fascicularis - Crab-eating macaque [App. II]  
Macaca mulatta - Rhesus monkey [App. II]  
Macaca silenus - Lion-tailed macaque [App. I] \*  
Microcebus murinus - Lesser mouse lemur [App. I]  
Pan troglodytes - Chimpanzee [App. I] \*  
Papio (=Mandrillus) sphinx - Mandrill [App. I]  
Pongo pygmaeus abelii - Sumatran orangutan [App. I] \*  
Pongo pygmaeus pygmaeus - Bornean orangutan [App. I] \*  
Saguinus oedipus (including S. o. geoffroyi) - Cotton-top tamarin [App. I] \*

### Order Carnivora

Ailurus fulgens - Lesser panda [App. I] \*  
Canis lupus - Gray wolf [App. II]  
Caracal (=Felis) caracal - Caracal [App. I - Asian population]  
Leopardus (=Felis) pardalis - Ocelot [App. I]  
Leopardus (=Felis) wiedii - Margay [App. I]  
Leptailurus (=Felis) serval - Serval [App. II]  
Panthera leo - Lion [App. I and II] \*  
Panthera onca - Jaguar [App. I] \*  
Panthera pardus - Leopard [App. I]  
Panthera tigris - Tiger [App. I] \*  
(asterisk applies only to P. t. altaica [Siberian] and P. t. sumatrae [Sumatran] subspecies)  
Speothos venaticus - Bush dog [App. I]  
Tremarctos ornatus - Spectacled bear [App. I] \*  
Uncia (=Panthera) uncia - Snow leopard [App. I] \*

### Order Perissodactyla

Equus grevyi - Grevy's zebra [App. I] \*  
Equus hemionus - Asiatic wild ass [App. I & II]  
Equus przewalskii - Przewalski's or Asian wild horse [App. I] \*  
Equus zebra hartmannae - Hartmann's mountain zebra [App. II] \*

### ORDER ARTIODACTYLA

Addax nasomaculatus - Addax [App. I] \*<sup>4</sup>  
Antilope cervicapra - Blackbuck [App. III]  
Bos gaurus - Gaur [App. I] \*  
Capra falconeri - Markhor [App. I]

<sup>4</sup> For these species there is no need to obtain comments from the Chairman of the AZA Permits Evaluation Committee regarding the export of sport-hunted trophies taken from game ranches in the United States; see OSA General Advice of April 9, 1993.

Cervus duvauceli - Swamp deer (Barasingha) [App. I] \*  
Cervus eldi thamin - Burmese brow-antlered deer [App. I]  
(subspecies of Eld's brow-antlered deer)  
Gazella dama - Dama gazelle [App. I]  
Hexaprotodon (=Choeropsis) liberiensis - Pygmy hippopotamus [App. II] \*  
Hippopotamus amphibius - Hippopotamus [App. II]  
Lama guanicoe - Guanaco [App. II]  
Oryx dammah - Scimitar-horned oryx [App. I] \*  
Oryx leucoryx - Arabian oryx [App. I] \*  
Tragelaphus spekei - Sitatunga [App. III]

## BIRDS

### Order Anseriformes

Anas laysanensis - Laysan teal [App. I]  
Branta sandvicensis - Hawaiian goose, Nene [App. I]  
Cygnus melanocoryphus - Black-necked swan [App. II]

### ORDER FALCONIFORMES

Buteo buteo - European buzzard (App. II)  
Vulture gryphus - Andean condor [App. I] \*

### ORDER GALLIFORMES

Argusianus argus - Great argus pheasant [App. II]  
Catreus wellichii - Cheer pheasant [App. I]  
Crossoptilon crossoptilon - White eared pheasant [App. I]  
Crossoptilon mantchuricum - Brown eared pheasant [App. I]  
Gallus sonneratii - Sonnerat's junglefowl. Gray junglefowl [App. II]  
Lophophorus impejanus - Himalayan monal [App. I]  
Lophura edwardsi - Edward's pheasant [App. I]  
Lophura swinhoii - Swinhoe's pheasant [App. I]  
Polyplectron bicalcaratum - Gray peacock pheasant [App. II]  
Polyplectron emphanum - Palawan peacock pheasant [App. I]  
Polyplectron germaini - Germain's peacock pheasant [App. II]  
Polyplectron malacense - Malaysian peacock pheasant [App. II]  
Syrmaticus ellioti - Elliot's pheasant [App. I]  
Syrmaticus humiae - Bar-tailed pheasant [App. I]  
Syrmaticus mikado - Mikado pheasant [App. I]  
Tragopan caboti - Cabot's tragopan [App. I]  
Tragopan satyra - Satyr tragopan [App. III]

### Order Psittaciformes

Agapornis fischeri - Fisher's lovebird [App. II]  
Agapornis roseicollis - Peach-faced lovebird [App. II]  
Aratinga jandaya - Jendaya conure [App. II]  
Barnardius barnardi - Mallee ringneck parrot [App. II]  
Bolborhynchus lineola - Lineolated parakeet [App. II]  
Cyanoramphus auriceps - Yellow-fronted parakeet (App. II)  
(C. a. forbesi is Appendix I and is not included on this list)  
Cyanoramphus novaezealandiae - Red-fronted parakeet [App. II]  
Forpus coelestis - Pacific parrolet [App. II]  
Neophema bourkii - Bourke's parrot [App. II]  
Neophema chrysostoma - Blue-winged parrot [App. II]  
Neophema elegans - Elegant parrot [App. II]  
Neophema pulchella - Turquoise parrot [App. II]  
Neophema splendida - Scarlet-chested parrot [App. II]  
Platycercus adalade - Adelaide rosella [App. II]

Platycercus adscitus - Pale-headed rosella [App. II]  
Platycercus elegans - Crimson rosella [App. II]  
Platycercus eximius - Eastern rosella [App. II]  
Platycercus icterotis - Western (Stanley) rosella [App. II]  
Platycercus venustus - Northern rosella [App. II]  
Polytelis alexandrae - Princess parrot [App. II]  
Polytelis anthopeplus - Regent parrot [App. II]  
Polytelis swainsonii - Superb parrot [App. II]  
Psephotus chrysoterygius - Golden-shouldered parakeet [App. I]  
Psephotus haematonotus - Red-rumped parakeet [App. II]  
Psephotus varius - Mulga parakeet [App. II]  
Psittacula eupatria - Alexandrine parakeet [App. II]  
Purpureicephalus spurius - Red-capped parrot [App. II]  
Trichoglossus chlorolepidotus - Scaly-breasted lorikeet [App. II]

#### Order Passeriformes

Leucopsar rothschildi - Rothschild's starling or myna (=Bali myna) [App. I] \*  
Poephila cincta cincta - Parson finch [App. II]

#### REPTILES

##### ORDER SQUAMATA

Antaresia (-Liasis) childreni - Children's python [App. II]  
Antaresia (-Liasis) maculosa - Spotted python [App. II]  
Antaresia (=Liasis) perthensis - Pygmy python [App. II]  
Boa constrictor constrictor - Boa constrictor [App. II]  
Boa constrictor imperator - Central American boa [App. II]  
Boa constrictor melanogaster - Black-bellied boa [App. II]  
Boa constrictor mexicana - Mexican boa [App. II]  
Boa constrictor ortonii - Peruvian boa [App. II]  
Eryx johnii johnii - Smooth-scaled sand boa [App. II]  
Eryx johnii persicus - Northern smooth-scaled sand boa [App. II]  
Eunectes murinus murinus - Green anaconda [App. II]  
Eunectes murinus gigas - Northern green anaconda [App. II]  
Eunectes murinus noteaus - Yellow anaconda [App. II]  
Morelia spilota variegata - Carpet python [App. II]  
Python curtus breitensteini - Blood or Borneo short-tailed python [App. II]  
Python curtus brongersmai - Blood or short-tailed python [App. II]  
Python curtus curtus - Blood or Sumatran short-tailed python [App. II]  
Python reticulatus - Reticulated python [App. II]  
Python molurus bivittatus - Burmese python [App. II]  
Python regius - Ball or royal python [App. II]  
Python sebae - African rock python [App. II]  
Python sebae natalensis - Southern African rock python [App. II]

#### AMPHIBIANS

##### Order Caudata

Ambystoma mexicanum - Axolotl [App. II]

\* SSP species requiring comments from AZA Permits Evaluation Committee Chairman.



## NOTICES

### PRIVACY ACT STATEMENT

**Authority:** The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

**Purpose:** The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assess the impact of permitted activities on the conservation and management of species and their habitats.

**Routine Uses:** The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

**Disclosure:** The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

### PAPERWORK REDUCTION ACT STATEMENT

We are collecting this information subject to the Paperwork Reduction Act (PRA; 44 U.S.C. 3501 *et seq.*) in order provide the U.S. Fish and Wildlife Service the information necessary, under the applicable laws governing the requested activity, for which a permit is requested. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the applicable laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. According to the PRA, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned Control No. 1018-0093.

### ESTIMATED BURDEN STATEMENT

We estimate public reporting for this collection of information averages 45 minutes (original submission - electronic) and 1 hour (original submission - paper-based) and 20 minutes (amended submission - electronic) and 30 minutes (amended submission - paper-based), including time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. Direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, Fish and Wildlife Service, U.S. Department of the Interior, 5275 Leesburg Pike, MS: PRB (JAO/3W), Falls Church, VA 22041-3803, or via email at [Info\\_Coll@fws.gov](mailto:Info_Coll@fws.gov). Please do not send your completed form to this address.

Questions regarding permits from Management Authority should be sent to [managementauthority@fws.gov](mailto:managementauthority@fws.gov).