

Uses of Award (UOA) Report
Data Points Description and Related Guidance
OMB Approval No. 1559-0032

NOTE: All capitalized terms used herein but not defined have such definitions as specified in the BEA Interim Rule, CDFI Program Interim Rule, applicable BEA Program Notice of Funds Availability, applicable CDFI Fund Program Notice of Funds Availability, Award Agreement, or Assistance Agreement.

Bank Enterprise Award Program (BEA Program), Community Development Financial Institutions Program (CDFI Program), and Native American CDFI Assistance Program (NACA Program) Recipients submit the Uses of Award (UOA) in the Awards Management Information System (AMIS) once a year, three (3) months after their Period of Performance (BEA Program) or fiscal year end (CDFI and NACA Programs). Recipients respond to the questions below by providing numerical figures, “yes” or “no” answers, or narrative responses, as appropriate. This report is used to determine Recipient compliance with the applicable performance goals in their BEA Program Award Agreement or CDFI NACA Program Assistance Agreement (“Agreement”), and to demonstrate how award funds are expended.

Prior to submitting the report, the Recipient will be required to select checkboxes to certify, on behalf of the organization, the following:

1. The person entering the data and making these certifications is an Authorized Representative.
2. Any information or data provided to the CDFI Fund that is input in AMIS (or other submission method) is true, accurate, and complete, and accurately represents the activities and/or performance of the Recipient.
3. The Recipient is compliant with all applicable assurances, certifications, representations and warranties, covenants, and agreements set forth in the Agreement or, alternatively, has notified the CDFI Fund of the Recipient’s inability to be compliant with such provisions of the Agreement through the submission of a Material Event notification.

Paperwork Burden Statement

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid Office of Management and Budget (OMB) Approval Number. This form's Approval Number is 1559-0032. Public reporting burden for this collection of information is estimated to average 1 hour per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Community Development Financial Institutions Fund, 1500 Pennsylvania Ave, NW, Washington, DC 20220.

Uses of Award Report Data Fields and Guidance

Data Point ²	Applicable Program			Data Point Guidance
	BEA	CDFI/NACA Financial Assistance	CDFI/NACA Technical Assistance	
Fiscal Year	X	X	X	Select the fiscal year for the reported Period of Performance
No Activities/Transactions to report	X	X	X	Select if no CDFI Fund award dollars were expended during Period of Performance
Money earned in excess of \$500 in an interest-bearing account?		X		Select yes or no
If yes, how much?		X		Input the total dollar amount
If yes, have you remitted to HHS?		X		Select yes or no
Eligible Market Attestation		X		Select if CDFI Fund award dollars were expended in/or to benefit an Eligible Market
Fund/Record Type	X	X	X	Select the applicable fund type
Transaction Date	X			Input the date of transaction
Category of Activity ³	X	X	X	Select the applicable category of the activity
Description of Activity		X	X	Select a record type or enter a description of the activity
Total Dollar Amount	X	X	X	Input the total dollar amount of the activity expended during the Period of Performance

² Other data fields not identified on this list are automatically populated in the Uses of Award Report, using the information stored in the organizations Awards Management Information System (AMIS) account. Please refer to the appropriate Uses of Award Report user guide for more information on the data fields that are auto-populated.

³ Authorized BEA Program Activities are defined in Schedule 2 of the BEA Program Award Agreement. Authorized CDFI Program and NACA Program Activities are defined in Schedule 3 "General Award Terms and Conditions" of the Assistance Agreement.

CDFI Partner(s)	X		For a CDFI Related Activity, list the name of the certified CDFI that received the loan, technical assistance, or qualifying deposits defined in the applicable BEA Notice of Funds Availability (NOFA) or BEA Program Regulations. If the activity was a Distressed Community Financing, Service Activity, or Direct Administrative Expense, no entry is required.
Impact	X		For the activity types listed below, which are associated with either Distressed Community Financing Activities or Service Activities, provide the aggregate number of the appropriate measure indicated for all transactions funded within the category ⁴ : <ul style="list-style-type: none"> • Affordable Housing Development • Small Business • Commercial Real Estate • Community Services • Financial Services and Targeted Financial Services • Targeted Retail Savings/Investment Products
Census Tract (if the transaction is a Distressed Community Financing Activity or Service Activity)	X		For Distressed Community Financing Activities or Service Activities, input the census tract number that confirms the funds were deployed in a Distressed Community as defined in the applicable BEA NOFA and BEA Program Regulations. If the activity was a CDFI Related Activity or Direct Administrative Expense, no entry is required.

⁴ **Affordable Housing Development:** Total number of units developed or rehabilitated as part of the transaction.
Small Business: Total number of full-time equivalent jobs created or maintained by borrower.
Commercial Real Estate: Total number of commercial real estate properties acquired, developed or rehabilitated.
Community Services: Number of individuals who received the identified service funded with the Award.
Financial Services and Targeted Financial Services: Number of accounts opened, checks cashed, etc. as a result of the related program funded with the Award
Targeted Retail Savings/Investment Products: Number of products developed with funds from the Award sold or opened.

Persistent Poverty County (PPC)	X			This field is automatically populated and will be monitored to determine if Recipients have met their PPC commitment, if any.
Explanation of Noncompliance questions	X			Required if Recipient does not meet qualified transaction amounts or other performance goals described in their BEA Program Award Agreement or CDFI/ NACA Assistance Agreement by the end of its Period of Performance ⁵ .

⁵ The CDFI Fund will use the Recipient's responses to the Explanation of Noncompliance, its performance toward expending the Award on Authorized Program Activities, its overall activity levels, and any other information deemed appropriate by the CDFI Fund to determine whether the Recipient is noncompliant with its BEA Program Award Agreement or CDFI/ NACA Assistance Agreement.