



UNITED STATES DEPARTMENT OF COMMERCE
 National Oceanic and Atmospheric Administration
 National Marine Fisheries Service (NMFS)
 West Coast Region, Long Beach Fisheries Permits Office
 501 W Ocean Blvd., Suite 4200
 Long Beach, CA 90802
 wcr-permits@noaa.gov (562)980-4238

OMB Control No. 0648-0204
 Expires XX/XX/20XX

Federal Limited Entry Deep-Set Buoy Gear Permit Identification of Ownership Interest Application Form

Please apply online directly at <http://fisheriespermits.noaa.gov>.

Fill this section out with your Initial Qualification Application, Annual Renewal Application, or Transfer Application as needed.

SECTION A- PERMIT APPLICANT IDENTIFICATION

Name of Point of Contact for Business	Vessel Name <i>(if unidentified, leave blank)</i>	USCG Documentation or State Registration Number
Business Entity Name		Tax Identification Number <i>(TIN)</i>
Business Mailing Address <i>(Street or PO Box)</i>		Business Phone <i>(required)</i> ()
		Business Fax <i>(optional)</i> ()
City	State	Zip Code
Business Email <i>(required)</i>		

SECTION B- IDENTIFICATION OF SHAREHOLDERS AND PARTNERS

NAME <i>(Last, First, Middle Initial)</i>	DATE OF BIRTH <i>(mm/dd/yyyy)</i>	BUSINESS MAILING ADDRESS <i>(Street or PO Box, City, State, Zip Code)</i>

When renewing, you will have the opportunity to review Ownership Interest information on the National Permits System website (<http://fisheriespermits.noaa.gov>). Please include any changes in shareholders that have occurred in the corporation, business, or entity since the previous application was submitted, including any additions or divestments. Ownership interest is **not transferable**, except for certain exceptions detailed in the Instructions Section of this form.*

SECTION C- CERTIFICATION OF APPLICANT

Under penalties of perjury, I hereby declare that I, the undersigned, completed this application, and the information contained herein is true, correct, and complete to the best of my knowledge and belief.

Signature of Authorized Representative

Date

Printed Name of Authorized Representative

INSTRUCTIONS

IMPORTANT- This application must be submitted by legally recognized corporations, partnerships and other business entities who own or hold a Federal Limited Entry Deep-Set Buoy Gear Permit. This form must be submitted by the permit applicant with the annual permit renewal (due by March 30) and with any transfer request, when the resulting Federal Limited Entry Deep-Set Buoy Gear Permit will list a business entity as either the permit applicant or vessel owner. A Federal Limited Entry Deep-Set Buoy Gear Permit that lists a business entity will not be renewed until such time that NMFS receives an ownership interest form from the permit applicant that identifies all shareholders.

Note- A person is defined as any individual, corporation, partnership, association, any Federal, state, or local gov, or any entity that is eligible to own a documented vessel. A "partnership" is defined as two or more individuals, partnerships, or corporations, or combinations thereof, who have ownership interest in a permit, including married couples and legally recognized partnerships, such as limited partnerships (LP), general partnerships (GP), and limited liability partnerships (LLP).

***Transfer Exceptions-** Federal Limited Entry Deep-Set Buoy Gear Permits are **not transferable**, except for a one-time transfer to a family member upon the death or legal incapacitation of the permit holder. A family member would be defined as spouse, domestic partner, cohabitant, child, stepchild, grandchild, parent, stepparent, mother-in-law, father-in-law, son-in-law, daughter-in-law, grandparent, great grandparent, brother, sister, half-brother, half-sister, step-sibling, brother-in-law, sister-in-law, aunt, uncle, niece, nephew, or first cousin. One-time transfers would also apply to the member of a partnership, corporation, or other entity. For example, if John Doe is a member of a partnership with John Smith, and John Doe died, John Doe's ownership interest could pass to a family member while John Smith's ownership interest remains unchanged. Changes to ownership, including the addition of individuals or entities as owners of the permit, would otherwise not be allowed. NMFS would not consider it an ownership change if shares among the existing owners changes, or if a member of a partnership, corporation, or other entity leaves and is not replaced.

The purpose of this form is to provide NMFS with information to ensure the number of Federal Limited Entry Deep-Set Buoy Gear Permits owned or held by an individual does not exceed the designated limit (one permit or one portion of ownership interest per person), and to determine if any change in ownership has occurred to corporations and partnerships since the control date.

SECTION A- PERMIT APPLICANT/VESSEL OWNER IDENTIFICATION:

Name of Point of Contact for Business/Vessel Name/Vessel Registration Number: List the name of the point of contact for the business, the name of the vessel registered to the permit, and the U.S. Coast Guard documentation or state vessel registration number.

Name/TIN: Enter the name of the business entity that owns or holds the permit and its tax identification number (TIN).

Business Mailing Address: Enter the business mailing address, including street or PO Box number, state, and zip code, where the item(s) should be sent.

Business Phone, Fax and Email: List the business email, telephone and fax numbers including the area codes; **email is required.**

SECTION B- IDENTIFICATIONS OF SHAREHOLDERS AND PARTNERS: List each individual shareholder or partner name (Last, First, Middle Initial) and their business address. **The date of birth is required for each individual.** If the shareholder/partner is a corporation/partnership, list the individual names of all shareholders/partners of that entity. NMFS may request further documentation as proof of ownership.

SECTION C- CERTIFICATION OF APPLICANT: The authorized agent must sign and date the application. By signing and dating the application, the authorized agent certifies that all information set forth in the application is true, correct, and complete to the best of the applicant's knowledge and belief. The application will not be considered without the authorized agent's signature.

WARNING STATEMENT- A false statement on this form is punishable by permit sanctions (revocation, suspension, or modification) under 15 CFR 904, a civil penalty of up to \$100,000 under 16 USC 1858, and as a federal crime under 18 USC 1001.

PAPERWORK REDUCTION ACT - A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995 unless the information collection has a currently valid OMB Control Number. The approved OMB Control Number for this information collection is 0648-0204. Without this approval, we could not conduct this information collection. Public reporting for this information collection is estimated to be approximately 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. Some of the information collection described above is confidential under section 402(b) of the Magnuson-Stevens Act. It is also confidential under NOAA Administrative Order 216-100, Protection of Confidential Fisheries Statistics. Phone number, fax and email information and TIN are not released to the public. All responses to this information collection are mandatory/required to obtain benefits pursuant to 50 CFR 660. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the National Marine Fisheries Service at: 501 W. Ocean Blvd., Suite 4200, Long Beach, CA 90802, Attn: Permits Office, wcr-permits@noaa.gov

PRIVACY ACT STATEMENT -

Authority: The collection of this information is authorized under the Magnuson-Stevens Fishery Conservation and Management Act, 16 U.S.C 1801 et seq., the High Seas Fishing Compliance Act, the Tuna Conventions Act of 1950, the Antarctic Marine Living Resources Convention Act, the Western and Central Pacific Fisheries Convention Implementation Act (WCPFCIA; 16 U.S.C. 6901 et seq), the Marine Mammal Protection Act, the Endangered Species Act and the Fur Seal Act. The authority for the mandatory collection of the Tax Identification Number (TIN) is 31 U.S.C. 7701. Your date of birth and TIN are confidential and protected under the Privacy Act. Provision of your date of birth and TIN is mandatory as part of this collection. The primary purpose for requiring the date of birth is to verify the identity of individuals/entities doing business with the government to provide a unique identification for assistance to comply with the Debt Collection Improvement Act of 1996. (Public Law 104-134) and for enforcement activities.

Purpose: In order to manage U.S. fisheries, the NOAA National Marine Fisheries Service (NMFS) requires the use of permits or registrations by participants in the United States. Information on NOAA Fisheries permit applicants and renewing holders includes vessel owner contact information, date of birth, TIN and vessel descriptive information. Permit applicant information may be used as sampling frames for surveys, as part of Fishery Management Council (FMC) analysis to support FMC decisions.

Routine Uses: The Department will use this information to determine permit eligibility and to identify fishery participants. Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a), to be shared within NMFS offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. Disclosure of this information is also subject to all of the published routine uses as identified in the Privacy Act System of Records Notice COMMERCE/NOAA-19, Permits and Registrations for the United States Federally Regulated Fisheries.

Disclosure: Furnishing this information is voluntary; however, failure to provide complete and accurate information will prevent the determination of eligibility for a permit.

This information collected is part of a Privacy Act System of Records, COMMERCE/NOAA #19, Permits and Registrations for United States Federally Regulated Fisheries. An amended notice was published in the Federal Register on XXXX (X FR XXXX) and became effective on XXXX (X FR XXXX).