

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p><b>PROCESSING FEE</b>  <b>Under 37 CFR 1.17(i)</b>  <b>TRANSMITTAL</b>                  (Fees are subject to annual revision)</p> <p>Send completed form to: <b>Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450</b></p>	Application Number Filing Date First Named Inventor Art Unit Examiner Name Practitioner Docket No.
---	---

Enclosed is a paper filed under 37 CFR \_\_\_\_\_ that requires a processing fee (37 CFR 1.17(i)(1) or (2)).  
 Payment of \$ \_\_\_\_\_ is enclosed.  
 This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable.  
 For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p or PTO/AIA/17p, as applicable.

- Applicant asserts small entity status. See 37 CFR 1.27.  
 Applicant certifies micro entity status. See 37 CFR 1.29.  
 Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.

**Payment of Fees**

- The Commissioner is hereby authorized to charge the following fees to Deposit Account No. \_\_\_\_\_:  
 processing fee under 37 CFR 1.17(i)(1)     processing fee under 37 CFR 1.17(i)(2)     any deficiency of fees and credit of any overpayments
- Check in the amount of \$ \_\_\_\_\_ is enclosed.                       Payment via EFS-Web.  
 Payment by credit card (Form PTO-2038 or equivalent is enclosed). Do not provide credit card information on this form.

**Processing Fees Under 37 CFR 1.17(i)(1):**

**Undiscounted Fee \$140 (Fee Code 1053)    Small Entity Fee \$70 (Fee Code 2053)    Micro Entity Fee \$35 (Fee Code 3053)**

- For papers filed under:  
 § 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error in small entity status.  
 § 1.29(k)(3) - for processing a non-itemized fee deficiency based on an error in micro entity status.  
 § 1.41(b) - for supplying the name or names of the inventor or joint inventors in an application without either an application data sheet or the inventor's oath or declaration, except in provisional applications.  
 § 1.48 - for correcting inventorship, except in provisional applications.  
 § 1.52(d) - for processing a nonprovisional application filed with a specification in a language other than English.  
 § 1.53(c)(3) - to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).  
 § 1.71(g)(2) - for processing a belated amendment under § 1.71(g).  
 § 1.102(e) - for requesting prioritized examination of an application.  
 § 1.103(b) - for requesting limited suspension of action, continued prosecution application for a design patent (§ 1.53(d)).  
 § 1.103(c) - for requesting limited suspension of action, request for continued examination (§ 1.114).  
 § 1.103(d) - for requesting deferred examination of an application.  
 § 1.291(c)(5) - for processing a second or subsequent protest by the same real party in interest.  
 § 3.81 - for a patent to issue to assignee, assignment submitted after payment of the issue fee.

**Processing Fees Under 37 CFR 1.17(i)(2):**

**Fee \$140 (small and micro entity discounts are not available for the 37 CFR 1.17(i)(2) processing**

- fee)** For papers filed under:  
 § 1.217 - for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication. **FEE CODE 1808**  
 § 1.221 - for requesting voluntary publication or republication of an application. **FEE CODE 1803**

SUBMITTED BY			
Signature	Registration No. (Attorney/Agent)	Telephone	
Name (Print/Type)			Date

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Administrative Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. The United States Patent and Trademark Office (USPTO) collects this information under authority of 5 CFR 339.205. The information in this system of records is used to manage all records of applicant including name, citizenship, residence, post office address and other information pertaining to the applicant's activities in connection with the invention for which a patent is sought. Statements containing various kinds of information with respect to inventors who are deceased or incapacitated, or who are unavailable or unwilling to make application for patent. The information obtain is protected from disclosure to third parties in accordance with the Privacy Act.

However, routine uses of this information may include disclosure to the following: to law enforcement and investigation in the event that the system of records indicates a violation or potential violation of law; to a Federal, state, local, or international agency, in response to its request; to an agency, organization, or individual for the purpose of performing audit or oversight operations as authorized by law; to non-federal personnel under contract to the agency; to a court for adjudication and litigation; to the Department of Justice for Freedom of Information Act (FOIA) assistance; to members of congress working on behalf of an individual; to the Office of Personnel Management (OPM) for personnel research purposes; to National Archives and Records Administration for inspection of records; and to the Office of Management and Budget (OMB) for legislative coordination and clearance. Failure to provide any part of the requested information may result in an inability to process requests for access and information. The applicable Privacy Act System of Records Notice for this information is COMMERCE/PAT-TM-7 Patent Application Files, available at Federal Register /Vol. 78, No. 61 / Friday, March 29, 2013 /Notices 19243. <https://www.govinfo.gov/content/pkg/FR-2013-03-29/pdf/2013-07341.pdf>