DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration

LISTING OF INGREDIENTS IN TOBACCO PRODUCTS

Form Approved: OMB No. 0910-0650 Expiration Date: 9/30/2022 (See page 12 for PRA Statement)

FAMILY SMOKING PREVENTION AND TOBACCO CONTROL ACT

On June 22, 2009, the President signed the Family Smoking Prevention and Tobacco Control Act (Tobacco Control Act) (Public Law 111-31) into law. The Tobacco Control Act amended the Federal Food, Drug, and Cosmetic Act (the distribution of tobacco products to protect the public health generally and to reduce tobacco use by minors.

STATUTORY REQUIREMENTS

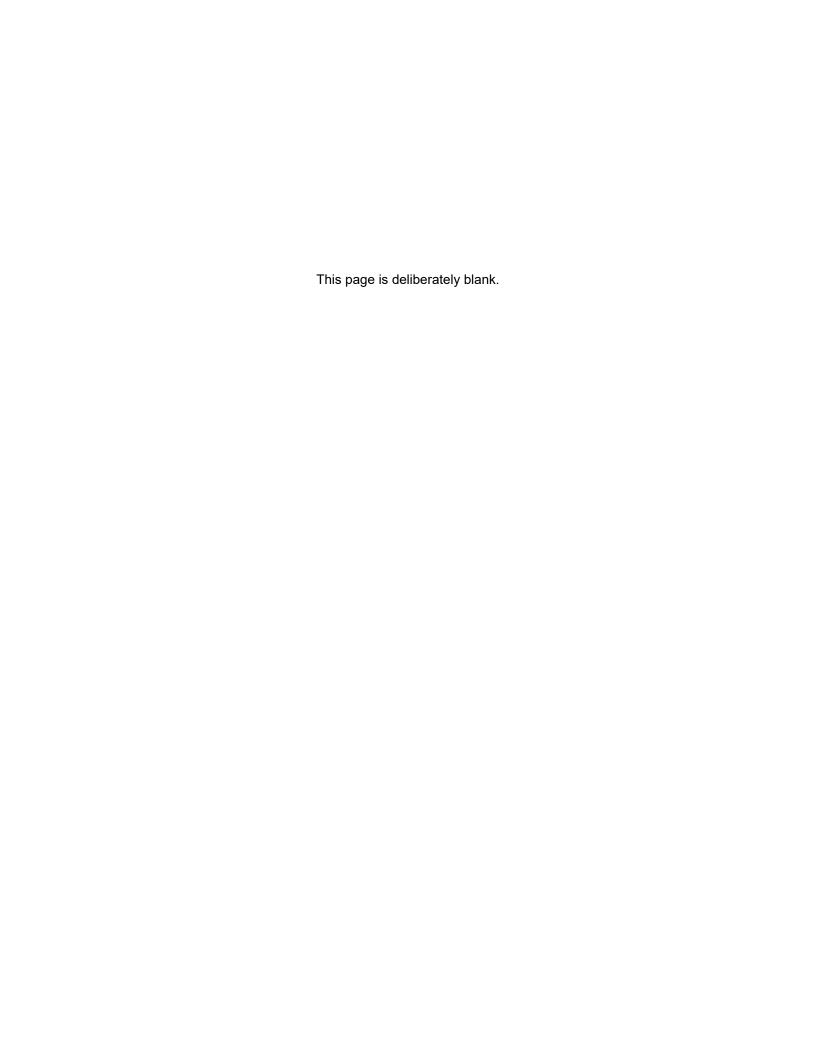
Section 904(a)(1) of the act requires that each tobacco product manufacturer or importer submit "a listing of all ingredients, including tobacco, substances, compounds, and additives that are, as of such date, added by the manufacturer to the tobacco, paper, filter, or other part of each tobacco product by brand and by quantity in each brand and subbrand."

Section 904(c)(1) of the act requires that a tobacco product manufacturer provide all information required under section 904(a) at least 90 days prior to the delivery for introduction into interstate commerce" of a tobacco product not on the market as of June 22, 2009 (for cigarettes, cigarette tobacco, roll-your-own (RYO), and smokeless tobacco) or [publication date] (for other tobacco products).

Section 904(c)(2) of the act requires that a tobacco product manufacturer advise the FDA in writing at least 90 days prior to adding any new tobacco additive or increasing in quantity an existing tobacco additive, except for those additives that have been designated by the FDA through regulation as not a human or animal carcinogen, or otherwise harmful to health under intended conditions of use.

Section 904(c)(3) of the act requires that a tobacco product manufacturer advise the FDA in writing within 60 days of eliminating or decreasing an existing additive, or adding or increasing an additive that has been designated by the FDA through regulation as not a human or animal carcinogen, or otherwise harmful to health under intended conditions of use.

To assist persons making these ingredient submissions, FDA has issued its *Guidance for Industry: Listing of Ingredients in Tobacco Products* (Guidance). This Guidance and the Tobacco Control Act are available through the web links listed on page 12. You may also refer to the Definitions and Instructions sections starting on pages 14 and 15.



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LISTING OF INGREDIENTS IN TOBACCO PRODUCTS

See pages 15-17 for Instructions

Form Approved: OMB No. 0910-0650 Expiration Date: 9/30/2022 (See page 12 for PRA Statement)

Please type. An item followed by an asterisk (*) denotes a required field.

		SECTIO	N I - SUE	BMISSI	ON TY	PE		
Submission Type the checked sub-	ne (Check only one box mission type.)*	. Please	ensure th	at all pro	oducts	under this รเ	ubmission me	eet the definition of
Type a: Initial submission per 904(a)(1) for other tobacco product(s) on the market as of June 22,			☐ Type d: Initial submission per 904(c)(2) for modification to existing product(s)†					
smokeless tob	9 (for cigarettes, cigarette tobacco, RYO, and okeless tobacco) or as of August 8, 2016 (for tobacco products)			Type e: Initial submission per 904(c)(3) for modification to existing product(s) [†]				
☐ Type b: Initial submission per 904(c)(1) for new product(s)			Type f: Amendment to correct previous product ingredient submission(s) ^{††} If Type f submission, enter the previous					
Type c: Initial submission per 904(c)(1) for modification to existing product(s)			product ingredient submission tracking number (STN): TI					
requirements, tr ^{††} If you are only r	o a product involves more eat the modification to the eporting an update or corr dicating the update or corr	e product a rection to	as falling u	nder 904(c)(2).	. , ,		,
	SECT	ΓΙΟΝ ΙΙ -	SUBMIT	TER IDE	ENTIFI	CATION		
Submitter Type <i>(Ch</i>		/lanufact	urer		Import	ter (Complet	te Section III)	
Company Name*								
Company Headquarters D&B D-U-N-S [®] Number						dquarters FI Identifier (F	DA-assigned EI) Number	Facility
Address*						City*		
State, Province or T	erritory*	Country	*				ZIP or Posta	al Code*
А	uthorized Representa	tive (Re	sponsible	official au	uthorize	ed to represe	nt the submit	ter)
Prefix (e.g., Mr., Ms	., Dr.):							
First/Given Name		M.I.	Last Nan	ne				Generational Suffix (e.g., Jr., III)
Professional Suffix(e.g., MD, Ph.D.)	Position Title				Email	Address		
Telephone (<i>Include</i>	Country Code if applica	able)		FAX				

Authorized Repres	entative (Continued)								
Company Name*	Check here if sa	me as co	ompany pr	eviously	identifi	ied as submi	tter, and skip	to Address.	
Address*	eck here if same as pr	evious, a	and skip to	Section	III.	City*			
State, Province or T	erritory*	Country*					ZIP or Postal Code*		
	SECTION III - (Complete if S								
Note: If you are rep submission for each	orting ingredient inform manufacturer.	nation for	products	from mul	tiple m	anufacturers	s, please sub	omit a separate	
Company Name*									
Company Headquarters D&B D-U-N-S [®] Number					-	dquarters FD Identifier (FE	_	Facility	
Address*						City*			
State, Province or Territory* Coun			Country*				ZIP or Postal Code*		
U.S	S. Agent (For foreign fi	rm where	e Authorize	d Repres	entativ	re does not re	eside in the U	J.S.)	
Prefix (e.g., Mr., Ms.	., Dr.):								
First/Given Name		M.I.	Last Nan	пе				Generational Suffix (e.g., Jr., III)	
Professional Suffix (e.g., MD, Ph.D.)	Position Title	Email A				Address			
Telephone (Include	Country Code if applic	able)		FAX					
Company Name*	Check here if sa	me as co	ompany pr	eviously	identifi	ied as manuf	acturer, and	skip to Address.	
Address* 🗌 Ch	eck here if same as pr	evious, a	and skip to	Section	IV.	City*			
State, Province or T	erritory*	Country	,*				ZIP or Posta	al Code*	

SECTION IV - TOBACCO PR	CODUCT IDENTIFICATION	
Tobacco Product Brand/Sub-brand Name or Other Commerce Reconstituted Tobacco #202)	cial Name* (e.g., Acme Lights 100's or Acme)
2. FDA-Assigned Tracking Number		
 If this product is under review or has been authorized under number (STN) of the application (e.g., SE1234567) 	a marketing pathway, enter the submission t	tracking
4. Product Identification Number (At least one product identification identify the product.)	ation number must be provided if needed to	uniquely
Type of Product Identification Number	Product Identification Number	
☐ Item/Catalog Number		
SKU Number (Stock Keeping Unit)		
UPC Number (Universal Product Code)		
EAN (International Article Number)		
GTIN (Global Trade Item Number)		
Other (Specify below)		
5. Use of Product (Check one)*		
Consumer Use Further Manufacturing Use	Consumer Use and Further Manufac	turing Use
6. Is this tobacco product a co-package?*		

Yes

☐ No

. Product Category and Subcategory, or Category and Com	ponent*
☐ Cigarettes	☐ Smokeless Tobacco Products
Combusted, Filtered	Loose Moist Snuff
Combusted, Non-Filtered	Portioned Moist Snuff
Non-Combusted	Loose Snus
Other (Specify below)	Portioned Snus
	Loose Dry Snuff
Cigarette Component	Dissolvable
☐ Cigars	Loose Chewing Tobacco
Filtered, Sheet-Wrapped Cigar	Portioned Chewing Tobacco
Unfiltered, Sheet-Wrapped Cigar	Smokeless Tobacco Product Component
Leaf-Wrapped Cigar	Matarnina Tahasaa Bradusta
☐ Cigar Component	Waterpipe Tobacco Products
	☐ Waterpipe
☐ Electronic Nicotine Delivery Systems (ENDS)	Waterpipe Tobacco Filler
Closed E-Cigarette	Waterpipe Heat Source
Open E-Cigarette	Waterpipe Component
Open E-Liquid	Other Tobacco Products (Specify below)
Closed E-Liquid	
ENDS Component	
☐ Pipe Tobacco Products	
Pipe	
Pipe Tobacco Filler	
Pipe Component	
Roll-Your-Own Tobacco Products	
Roll-Your-Own Tobacco Filler	
Rolling Paper	
☐ Filtered Cigarette Tube	
☐ Non-Filtered Cigarette Tube	
Filter	
Paper Tip	
Roll-Your-Own Component	

Tobacco Product Brand/Sub-brand Name or Other Commercial Name* (e.g., Acme Lights 100's or Acme Reconstituted Tobacco #202	Tobacco Product Tracking Number¹ (TP########)	Submission tracking number for this product ² (e.g., SE1234567)	Product Identification Number ³	Type of Product Identification Number (se list below)				
If you have a	additional products t	o submit, you may a	ttach additional pa	ges.				
	Type of Produ	ct Identification Nu	ımber					
2. SKU Number (Stock	1. Item/Catalog Number 2. SKU Number (Stock Keeping Unit) 3. UPC Number (Universal Product Code) 4. EAN (International Article Number) 5. GTIN (Global Trade Item Number) 6. Other (Specify)							

8. Tobacco Product Identification Information – In the table below, you may record the identification information

¹ EDA Assigned Tobacco Product Tracking Number.

² If this product is under review or has been authorized under a marketing pathway, enter the submission tracking number of the application.

³ If no FDA Assigned Tobacco Product Tracking Number is provided, at least one product identification number must be provided if needed to uniquely identify the product.

SECTION V – COMPONENT IDENTIFICATION	
as multiple components, please submit a separate copy of Section V for each cor	np

Product Name (As recorded in Sect	Product Categ	ory (As recorded in Section IV)*
Component Type (Select the compo	nent type based on the product categor	y.)*
Cigarette Component Types Tobacco Filler Tobacco Filler Additive Adhesive Filter Ink (Rod Print) Pack Inner Foil Cigarette Paper Tipping Paper Plug Wrap Other (Specify below) Cigar Component Types Tobacco Filler Tobacco Filler Tobacco Filler Tipping Paper Filter Tip Tipping Paper Plug Wrap Wrapper/Binder Other (Specify below) ENDS Component Types Atomizer Coil/Coil Heads E-Liquid Mouthpiece Tank/Cartridge Wick Other (Specify below)	Pipe Component Types Tobacco Filler Tobacco Filler Additive Bowl Mouthpiece Shank (without bowl) Other (Specify below) Roll-Your-Own Component Typ Tobacco Filler Adhesive Filter Ink (Rod Print) Cigarette Paper Tipping Paper Plug Wrap Other (Specify below) Smokeless Tobacco Product Component Types Tobacco Filler Tobacco Filler Other (Specify below) Other (Specify below)	Waterpipe Component Types Tobacco Filler Tobacco Filler Additive Heat Source Base Bowl Diffuser Foil/Screen Hose Mouthpiece Seal Stem Valve Other (Specify below) Other Tobacco Products (Specify component type below)

Enter the manufacturer's name and the u you obtain this component from multiple s continuation pages as necessary.		•	•				
Manufacturer Name*		Manufacturer's Uniquely Identifying Component Name and/or Number*					
		Compon	ent ivame and/or ivumber				
		IGREDIENT LISTING					
Use a separate copy of Section VI for e		<u>-</u>	Name (As recorded in Section V. or				
Product Name (As recorded in Section I		record "NA" if not appli	Name (As recorded in Section V; or icable)*				
Ingredient Name*		Ingredient Number	(IN#)*				
1. If submission type d or type e is check	ed in Section I, inc	dicate the type of additi	ve change <i>(Check only one)</i> *				
Quantity of additive was increase	ed* Date of cha	nge <i>(mm/dd/yyyy)</i> :					
Quantity of additive was decreas	ed* Date of cha	nge <i>(mm/dd/yyyy)</i> :					
Additive was eliminated* Date of change (mm/dd/yyyy):							
☐ Additive was added*	Date of cha	nge <i>(mm/dd/yyyy)</i> :					
PART 1: INGREDIENT IDENTIFICATION	(Complete only	A, B, or C, as appropris	ate)				
A. Single Chemical Substance							
1a. Unique Scientific Name							
1b. Type of Name <i>(Select one)</i>							
☐ IUPAC Name ☐ Other (S	Specify):						
2a. Registry Code							
2a. Type of Code							
FDA UNII Code CAS Nu	mber 🗌 Ot	ther (Specify):					
3. Is this Ingredient a Reaction Product?							
If Yes, FDA requests that you list the IN#	`	e immediately below) known or intended to fo	☐ No (Skip to Part 2) orm this product.				
	IN#		IN#				
	IN#		IN#				
			I				

B. Leaf Tobacco								
1. Type (e.g., Burley, Bright, Oriental)*	1. Type (e.g., Burley, Bright, Oriental)*			2. Variety*				
3. Cure Method (Select only one)*] Air 📗 Steam	Fire	4. Heat Source (e.g., pro	ppane, wood)*				
Sun Flue Other (Spec	ify):							
5. Describe any DNA recombinant techr	nology used to engine	eer the tobac	co (If none, enter "none")*	r				
C. Complex Purchased Ingredients (e adhesives, charcoal)	e.g., flavor extracts, to	obacco leaf b	lends, reconstituted tobac	cco, spices, fruit juice,				
Enter the manufacturer's name and the	unique identifying ite	m name and	 or number used by the m	 anufacturer. If				
you obtain this ingredient from multiple s continuation pages as necessary	sources, enter all ide	ntifying inforr	nation for each source be	low. You may use				
1a. Manufacturer Name*	1b. Unique	Identifying Item Name and	d/or Number*					
2. Is this ingredient made to your specifi	cations?*	 	diately below) N	o (Skip to Part 2)				
If Yes, enter each specified ingredient by attach specifications for this ingredient (y IN#.* You may use	continuation	· · ·					
IN#	IN#		IN#					
IN#	IN#		IN#					
PART 2: INGREDIENT DETAILS (Applied for "Leaf Tobacco". You may also skip F the quantity of the ingredient as you have	Part 2 if you are elimin	nating the ing	redient or reporting an inc					
Quality Unit of Measure and Value (Control of Measure and Value)			,					
Ash Content (%):		Degrees Brix (° Bx):						
Assayed Contents (%):		Density (g/cm³):						
Solids Dry Basis (%):	Dextrose Equivalent:							
Solids Wet Basis (%):								
Moisture (%):	Proof:							
CORESTA Unit (cm3 min-1 cm-2	CORESTA Unit (cm3 min-1 cm-2 at 1 kPa):		Specific Gravity (unitless):					
		☐ Specif	ic Rotation (degrees):					
Quality Conforms to a Published Citation for Standard (e.g., '21 Ci 'FCC 9 Acesulfame Potassium'):		Other (Specify units):, Value:						
-								

PART 3: QUANTITY (You may skip Part 3 if you are eliminating the ingredient, and Question 1 is checked '1c. Additive was eliminated'.) 1. Unit of Measure* 1a. Unit (Check one)* g g g mg mcg g g Unit of Use Gram of Product 2. Quantity (Check only one and complete the associated field(s).)* Special Note: For each numeric field, enter a single value. Do not enter a value range (ex: 5.0-10.0, <1). Amount Calculated Singular Quantity: Amount Tested Mean Quantity: Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit Other (Specify type): Amount to Achieve An Outcome Target Outcome Type (Check only one):
1. Unit of Measure* 1b. Reported per (Check one)* 1b. Reported per (Check one)* 1c. Quantity (Check only one and complete the associated field(s).)* Special Note: For each numeric field, enter a single value. Do not enter a value range (ex: 5.0-10.0, <1). Amount Calculated Singular Quantity: Amount Tested Mean Quantity: Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit , (Value): Amount to Achieve An Outcome
1. Unit of Measure* 1b. Reported per (Check one)* 1b. Reported per (Check one)* 1c. Quantity (Check only one and complete the associated field(s).)* Special Note: For each numeric field, enter a single value. Do not enter a value range (ex: 5.0-10.0, <1). Amount Calculated Singular Quantity: Amount Tested Mean Quantity: Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit , (Value): Amount to Achieve An Outcome
1. Unit of Measure* 1b. Reported per (Check one)* 1b. Reported per (Check one)* 1c. Quantity (Check only one and complete the associated field(s).)* Special Note: For each numeric field, enter a single value. Do not enter a value range (ex: 5.0-10.0, <1). Amount Calculated Singular Quantity: Amount Tested Mean Quantity: Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit , (Value): Amount to Achieve An Outcome
1a. Unit (Check one)* g mg mcg ng pg Unit of Use Gram of Product 2. Quantity (Check only one and complete the associated field(s).)* Special Note: For each numeric field, enter a single value. Do not enter a value range (ex: 5.0-10.0, <1). Amount Calculated Singular Quantity: Mean Quantity: Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit, lower limit, (Value):
g mg mcg ng pg Unit of Use Gram of Product 2. Quantity (Check only one and complete the associated field(s).)* Special Note: For each numeric field, enter a single value. Do not enter a value range (ex: 5.0-10.0, <1). Amount Calculated Singular Quantity: Mean Quantity: Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit Other (Specify type): Amount to Achieve An Outcome
2. Quantity (Check only one and complete the associated field(s).)* Special Note: For each numeric field, enter a single value. Do not enter a value range (ex: 5.0-10.0, <1). Amount Calculated Singular Quantity: Amount Tested Mean Quantity: Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit Other (Specify type): Amount to Achieve An Outcome
Special Note: For each numeric field, enter a single value. Do not enter a value range (ex: 5.0-10.0, <1). Amount Calculated Singular Quantity: Amount Tested Mean Quantity: Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit, lower limit Other (Specify type):, (Value):
Singular Quantity: Amount Tested Mean Quantity: Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit, lower limit Other (Specify type):, (Value):
□ Amount Tested Mean Quantity: Variability (Check only one then enter values): □ Standard Error: □ 95% Confidence Interval: upper limit, lower limit □ Other (Specify type):, (Value): Amount to Achieve An Outcome
Variability (Check only one then enter values): Standard Error: 95% Confidence Interval: upper limit Other (Specify type): Amount to Achieve An Outcome
Standard Error: 95% Confidence Interval: upper limit, lower limit Other (Specify type):, (Value):
95% Confidence Interval: upper limit, lower limit Other (Specify type):, (Value):
Other (Specify type):, (Value):
Amount to Achieve An Outcome
Target Outcome Type (Check Only One).
Color
☐ pH
☐ Total Sugars
☐ Moisture
☐ Other (Specify):
Target Outcome Units and Value(s) (Check only one then enter values):
CIE L*a*b*: L*:, a*:, b*:
☐ pH Units:
Grams of Total Sugars per Unit of Use:
Grams of Total Sugars per Gram of Product:
Other (Specify Unit):, (Value):
Typical Quantity:, or Minimum Quantity:, and Maximum Quantity:
Residual Amount Residual Quantity:, Limit of Detection:

PART 4: ADDITIONAL COMMENTS
Please provide any additional information or comments about this ingredient, including any internal identifying numbers. If you are adding, deleting, or changing the quantity of an ingredient, please explain why the change was made. If changing the quantity of an ingredient, you are also required to include the quantity prior to the change.

SECTION VII – CONFIRMATION STATEMENT							
The data and information in this submission have been reviewed and, to the best of my knowledge, are certified to be true and accurate. I agree to report changes to this information as required under section 904(c) of the act.							☐ Agree
WARNING: A willfully false statement is a crimina	al offens	e, U.S. Co	de, Title	18, S	ection 1001.		
Signature of Authorized Representative or	U.S. Ag	ent				Date	е
Check here if same as the submitter p Company Name.	oint of co	ontact info	rmation i	in Sect	ion II. If so, y	ou may skip	o to
Prefix (e.g., Mr., Ms., Dr.):							
First/Given Name	M.I.	Last Nar	ne				Generational Suffix (e.g., Jr., III)
Professional Position Title Suffix (e.g., MD, Ph.D.)				Email	Address		
Telephone (Include Country Code if applic	able)		FAX				
Company Name*	as subm	nitter, and s	skip to Ad	dress.			
Address*	er compan	y's, and skip	o address	items.	City*		
State, Province or Territory*	Country	1				ZIP or Post	tal Code*

REFERENCES

Reference for the Tobacco Control Act:

http://www.fda.gov/TobaccoProducts/Labeling/RulesRegulationsGuidance/ucm281147.htm

Reference for Guidance on Listing of Ingredients in Tobacco Products:

http://www.fda.gov/TobaccoProducts/Labeling/RulesRegulationsGuidance/ucm281147.htm

Reference for SRS UNII:

http://www.fda.gov/ForIndustry/DataStandards/SubstanceRegistrationSystem-UniqueIngredientIdentifierUNII/default.htm

For regulatory questions regarding sections 904 and 905 of the act, email TobaccoIndustryQuestions@fda.hhs.gov.

Regulatory Submissions can be mailed to:

Food and Drug Administration Center for Tobacco Products Document Control Center Building 71, Room G335 10903 New Hampshire Avenue Silver Spring, MD 20993-0002

This section applies only to requirements of the Paperwork Reduction Act of 1995.

DO NOT SEND YOUR COMPLETED FORM TO THE PRA STAFF EMAIL ADDRESS BELOW.

The burden time for this collection of information is estimated to average 3 hours per response, including the time to review instructions, search existing data sources, gather and maintain the data needed and complete and review the collection of information. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden, to:

Department of Health and Human Services Food and Drug Administration Office of Operations Paperwork Reduction Act (PRA) Staff PRAStaff@fda.hhs.gov

"An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB number."

APPENDIX A - INGREDIENT FUNCTION LIST

Addictiveness enhancer (including nicotine addictiveness enhancer such as an agent that affects the dosing,	25. Fuel for heat source
	26. Heat conductor
perception or action of nicotine)	27. Heat insulator
2. Adhesive	28. Humectant
3. Aerosol forming agent	29. lnk
4. Anti-foaming agent	30. Lip release agent
5. Anti-plasticizer	31. Menthol delivery
6. Anti-sticking agent	32. Moisture barrier
7. Antioxidant	33. Moisturizer
8. Binder	34. Nicotine source
9. Biocide	35. Oxygen barrier
10. Carrier	36. pH adjuster
11. Casing	37. pH buffer
12. Chemo-sensory agent that affects	38. Plasticizer
perception of mainstream or sidestream smoke including smoke color modifiers,	39. Porosity control agent
smoke odor modifiers and smoke enhancers)	40. Preservative
13. Coating agent	41. Processing aid
14. Color	42. Reduced ignition propensity
15. Combustion modifier	43. Sizing agent
16. Dispersant	44. Solvent
17. Drying agent	45. Surfactant
18. Emulsifier	46. Sweetener
19. Fermentation agent	47. Texture control agent
20. Fiber	48. Whitener
21. Filler	49. Wrapper
21. Film-forming agent	50. Other (Specify below):
22. Filli-ioming agent	

24. Flavor

DEFINITIONS

FDA intends to use the following definitions in implementing the ingredient listing requirements of section 904 of the act.

- 1. **Additive:** The term "additive" means "any substance the intended use of which results or may reasonably be expected to result, directly or indirectly, in its becoming a component or otherwise affecting the characteristic of any tobacco product (including any substances intended for use as a flavoring or coloring or in producing, manufacturing, packing, processing, preparing, treating, packaging, transporting, or holding), except that such term does not include tobacco or a pesticide chemical residue in or on raw tobacco or a pesticide chemical" (section 900(1) of the act (21 U.S.C. 387(1)).
- 2. **Co-package:** A co-package is a tobacco product that is offered for sale containing multiple distinct tobacco products (e.g., a can of RYO tobacco that includes a booklet of rolling paper), as opposed to containing a quantity of the same tobacco product (e.g., a pack of 20 cigarettes).
- 3. Component or Part: Component or part means any software or assembly of materials intended or reasonably expected: 1) to alter or affect the tobacco product's performance, composition, constituents or characteristics; or 2) to be used with or for the human consumption of a tobacco product. The term excludes anything that is an accessory of a tobacco product.
- 4. **Importer:** The term "importer" means any person who imports any tobacco product that is intended for sale or distribution to consumers in the United States.
- 5. **Manufacturer:** The term manufacturer means any person, including any repacker and/or relabeler, who manufactures, fabricates, assembles, processes, or labels a finished tobacco product.
- 6. **Pouch:** The term "pouch" means a permeable material, intended to be filled with pre-portioned tobacco product and placed in the oral cavity with the tobacco product.
- 7. **Tobacco Product:** The term "tobacco product" means "any product made or derived from tobacco that is intended for human consumption, including any component, part, or accessory of a tobacco product (except for raw materials other than tobacco used in manufacturing a component, part, or accessory of a tobacco product)" (section 201(rr) of the act (21 U.S.C. 321(rr))). This term does not include an article that is a drug, a device, or a combination product as defined in the act (section 201(rr) of the act (21 U.S.C. 321(rr))). Thus, the term is not limited to products containing tobacco, but also includes components, parts, and accessories of tobacco products, whether they are sold for further manufacturing or for consumer use. For example, tobacco, papers and filters are tobacco products, whether they are sold to consumers for use with roll-your-own tobacco or are sold for further manufacturing into a product sold to a consumer, such as a cigarette.

INSTRUCTIONS

NOTE: Required fields in this form are designated by asterisks (*).

NOTE: Sections I, II, III, IV and VII only need to be completed once for each unique tobacco product or tobacco product co-package.

For additional details and instructions or specific questions, please refer to the FDA Guidance for Industry: Listing of Ingredients in Tobacco Products

Section I - Submission Type

Check one Submission Type as appropriate. Please refer to definitions on page 1 and the special notes on the bottom of Section I.

Section II - Submission Identification

Identify whether the submitter is the manufacturer or the importer. Under section 904(a)(1), submission of ingredient information for imported products may be submitted by either the manufacturer or the importer. Submission of ingredient information under 904(c)(1) of the act must be submitted by the manufacturer.

If you are reporting as an importer, and you are also a domestic tobacco product manufacturer, then you are also to submit the ingredient information for the products you manufacture. In this situation, you would submit twice -- once as an importer and once as a tobacco product manufacturer.

You must provide the submitting party's name and address. If you are submitting on behalf of the manufacturer or importer as an agent, report information for the manufacturer or importer, not your own information.

Section III – Manufacturer of Imported Products

Complete all contact fields as indicated. If you are reporting ingredient information for products from multiple manufacturers, please submit a separate submission for each manufacturer.

Section IV - Tobacco Product Identification

If you have previously submitted registration and listing information under section 905 of the act, you should have received an acknowledgement containing FDA-assigned tracking numbers (TP#######) for each of your products. If you choose to enter this tracking number, you may skip item 4. If you do not have an FDA-assigned tracking number for your product, complete all required identifying information in Section IV. Complete this section for each brand and sub-brand for which ingredient information is being submitted.

Report in item 5 if the product is to be sold to consumers for their use, for further manufacture, or both sale for consumer use and also further manufacture.

Report in item 7 the Category and Subcategory or Category and Component for all tobacco products.

For example: if you were reporting on a finished cigarette you might check category: "Cigarettes", subcategory: "Combusted, Filtered" and then move to Section V to provide each component and its ingredients. Alternatively, if you were reporting on a cigarette filter sold for further manufacture you might check category cigarette and component and then move to Section to fill out component type.

For reporting of a co-packaged product, consisting of multiple product categories and/or subcategories, check the Yes box at item 6 and all relevant boxes in item 7.

For example: if you were reporting on a Roll-Your-Own Tobacco Filler with Rolling Papers included, you would check category: "Roll-Your-Own Tobacco Products", subcategory: "Roll-Your-Own Tobacco Filler",

and subcategory: "Rolling Paper". You would then move to Section V to provide each component and its ingredients.

Section V – Component Identification

Complete all fields as indicated. If this tobacco product has multiple components, list each component and its ingredients separately. Complete a separate copy of Section V for each component for which ingredient information is being submitted.

For Component Type, enter only a single component type and the specific component name here each time. If the reported product is a co-packaged product consisting of components of more than one product category (e.g., Cigarette and RYO), ensure to identify the product categories and the component names (e.g., Cigarette Filter; RYO Filter).

For example if you are reporting on the adhesives for cigarettes including the tipping paper and the rod, you would report the component type as adhesive and the specific component name as tipping paper adhesive and then you would list the ingredients within that tipping paper adhesive; you would then fill out Section V for cigarette rod adhesive and provide the ingredients for the cigarette rod.

Section VI - Ingredient Listing

If you are submitting ingredient lists for multiple products in a single submission, enter the product name and/or tracking number on Sections IV, V and VI, such that the ingredient information can be linked to a given product. This section should be completed for each ingredient listed. Multiple copies of this section may be submitted.

You should also assign a unique ingredient number (IN#) for each ingredient. This may be done by sequential numbering or by any other system you devise. Keep records of these numbers for reporting updates to your ingredients. Ingredient numbers must be used when linking specified ingredients to complex ingredients.

Part 1: Ingredient Identification

Complete the section of Part 1.A, 1.B, or 1.C, as applicable for the type of ingredient. If you are listing a single chemical substance, for instance, you would complete only Part 1.A before moving on to Part 2.

Part 1.A: Single Chemical Substance

Item 3: If this ingredient is a reaction product, FDA requests that you identify each ingredient known or intended to form this product using their ingredient numbers (IN#). You may use continuation sheets if necessary.

Part 1.B: Leaf Tobacco

Each type of leaf tobacco is to be reported as a separate ingredient. Tobacco that has been processed with any chemical, additive, or substance other than potable water is listed in Part 1.C. Similarly, tobacco blends or reconstituted tobacco is reported in Part 1.C.

Part 1.C: Complex Ingredients

Item 1: Complex ingredients must be identified by a manufacturer's name and a uniquely identifying item name and/or number. If you obtain this ingredient from multiple sources, you must list the manufacturer's name and uniquely identifying item name and/or number for each source. You may use continuation pages as necessary.

Item 2: For a complex ingredient custom made to your specifications, each specified ingredient must be identified by its ingredient number (IN#). FDA requests that you submit any additional specifications (e.g. release specifications, acceptance criteria, certificate of analysis) by attaching separate pages to this form.

Part 2: Ingredient Details

Complete this section for single chemical substances and complex ingredients. If you are eliminating or reporting a change (increase or decrease) in the quantity of an additive, you may skip Part 3. If you are reporting a new single chemical substance or complex ingredient, complete all required fields.

Part 3: Quantity

Complete this section for all ingredients. If you are eliminating an additive, you may skip to Section VII. If you are reporting a new additive or a change in the quantity of an additive, complete all required fields.

Part 4: Additional Comments

Please attach or use this space to provide any additional information or comments about this ingredient, including any internal identifying numbers. If you are adding, eliminating or changing the quantity of an ingredient, please explain why the change was made. If changing the quantity of an ingredient, you are also required to include the quantity prior to the change.

NOTE: All ingredient information included in Section VI corresponding to a component listed in Section V, should be attached (in a paper form) immediately after the component information in Section V. For example, following the information for the e-liquid component of an ENDS tobacco product, should be separate ingredient information sheets corresponding to each of the ingredients in the e-liquid (e.g., nicotine, propylene glycol, glycerin, flavorant).

Section VII - Confirmation Statement

Please sign and date your submission. Enter all required identifying information in this section. Check your submission to ensure that all continuation pages or attachments are appropriately identified at the top of the page with the product name, FDA-assigned tracking number, ingredient name and IN#, as appropriate.