

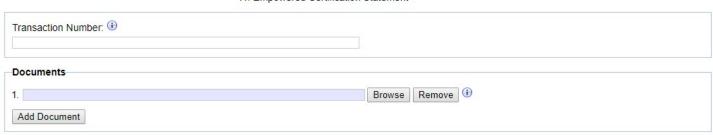
Electronic Form Version Number: 1.0

OMB APPROVAL NO. 1405-0142 EXPIRATION DATE: 01/31/2019 *ESTIMATED BURDEN: 2 HOURS

* PAPERWORK REDUCTION ACT STATEMENT: Public reporting burden for this collection of information is estimated to average 2 hours per response, including time required for searching existing data sources, gathering the necessary data, providing the information required, and reviewing the final collection. Send comments on the accuracy of this estimate of the burden and recommendations for reducing it to: Department of State (PM/DDTC), Washington, D.C. 20037.

Brokering License - ITAR Part 129.6

- 1. Date Prepared
- 2. Applicant Information
- 3. Certification Statement
- 4. Describe Brokering Activity
- 5. Persons who may participate in brokering activity
- 6. Commodity Information
- 7. Total Value
- 8. End User
- 9. End Use
- 10. Related to direct commercial sale or FMS program
- 11. Empowered Certification Statement



DECCS: DS-4294 Page **1** of **6**



DECCS: DS-4294 Page **2** of **6**



DS-4294, Page 2 of 7

3. Certification Statement

- a. The applicant or the chief executive officer, president, vice presidents, secretary, partner, member, other senior officers or officials (e.g., comptroller, treasurer, general counsel), or any member of the board of directors, or any other person involved in the brokering activities enumerated in the request for approval as defined in §129.2 is **NOT** the subject of an indictment or has been otherwise charged (e.g., charged by criminal information in lieu of indictment) for or has **NOT** been convicted of violating any of the U.S. criminal statutes enumerated in §120.27 of this subchapter, and is **NOT** ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from, any agency of the U.S. Government.
- b. The applicant or the chief executive officer, president, vice presidents, secretary, partner, member, other senior officers or officials (e.g., comptroller, treasurer, general counsel), or any member of the board of directors is the subject of an indictment or has been otherwise charged (e.g., by criminal information in lieu of indictment) for, or has been convicted of, violating any of the U.S. criminal statutes enumerated in §120.27 of this subchapter;
- c. The applicant or the chief executive officer, president, vice presidents, secretary, partner, member, other senior officers or officials (e.g., comptroller, treasurer, general counsel), or any member of the board of directors is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from, any agency of the U.S. Government;
- d. To the best of the applicant's knowledge, any other person involved in the brokering activities enumerated in the request for approval as defined in §129.2 is the subject of an indictment or has been otherwise charged (e.g., charged by criminal information in lieu of indictment) for or has been convicted of violating any of the U.S. criminal statutes enumerated in §120.27 of this subchapter, or is ineligible to contract with, or to receive a license or other approval to import defense articles or defense services from, or to receive an export license or other approval from, any agency of the U.S. Government.



DS-4294, Page 3 of 7

*4. Describe Brokering Activity

Action to be taken by the applicant to facilitate the manufacture, export, import, or transfer of a defense article or defense service (which may be referred to as a "defense article or defense service transaction")

DECCS: DS-4294 Page **3** of **6**



DS-4294, Page 4 of 7 5. Persons who may participate in brokering activity 🛈 Brokering Participant #1: * Name * Address * City State/Province Zip/Postal Code * Country * Nationality Place of Business Information: * Name * Address * City State/Province Zip/Postal Code * Country Remove Add

DECCS: DS-4294 Page **4** of **6**



DS-4294, Page 5 of 7 Description of Defense Article or Defense Services that may be involved 🕕 6. Commodity Information Commodity Information #1 * USML Category * USML Sub Category Is defense article significant military equipment (SME)? * Name or Military Nomenclature * Estimated Quantity * Estimated U.S. dollar value Line Item Total 0 * Security Classification Remove Add 7. Total Value \$0 8. End User End User #1 * Name * Address * City * Country Remove Add 9. End Use

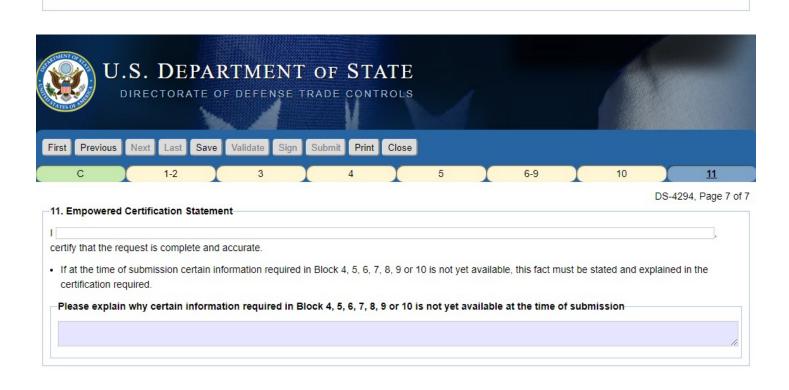
DECCS: DS-4294 Page **5** of **6**



DS-4294, Page 6 of 7

* 10. Related to direct commercial sale or FMS program

Statement whether brokering activities are related to a sale through direct commercial sale or under the U.S. Foreign Military Sales program or other activity in support of the U.S. Government



Page 6 of 6 DECCS: DS-4294