

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL	
OMB Number:	3235-0133
Expires:	Feb 28, 2024
Estimated average burden hours per response	0.25

FORM X-17A-19

INFORMATION REQUIRED OF NATIONAL SECURITIES EXCHANGES AND  
REGISTERED NATIONAL SECURITIES ASSOCIATIONS PURSUANT TO SECTIONS 17  
AND 19 OF THE SECURITIES EXCHANGE ACT OF 1934 AND RULE 17a-19 THEREUNDER  
REPORT OF CHANGE IN MEMBERSHIP STATUS

1. Identify the self-regulatory organization filing this report.

AMEX  BSE  CBOE  CSE  CHX  ISE  NASD  NYSE  PCX  PHLX

2. The change in membership with the reporting self-regulatory organization is:

(a)  New Membership (b)  Termination of Membership (c)  Suspension of Membership  
(d)  Reinstatement from Suspension (e)  Change of Address (f)  Other Change in Membership Status

If (b), (c), (d) or (f) give a brief description of the circumstances \_\_\_\_\_  
\_\_\_\_\_

3. Provide the following information concerning the subject.

Present Status: (a) thru (d)

(a) Name \_\_\_\_\_

(b)  Corporation  Partnership  Sole Proprietor  
 LLC  - Other \_\_\_\_\_

(c) SEC File No. \_\_\_\_\_

(d) Principal Place of Business:

\_\_\_\_\_  
(Number and Street)

\_\_\_\_\_  
(City) (State) (Zip Code)

To be changed to (a) thru (d)

(a) Name \_\_\_\_\_

(b)  Corporation  Partnership  Sole Proprietor  
 LLC  - Other \_\_\_\_\_

(c) SEC File No. \_\_\_\_\_

(d) Principal Place of Business:

\_\_\_\_\_  
(Number and Street)

\_\_\_\_\_  
(City) (State) (Zip Code)

4. The subject is also a member of the:

AMEX  BSE  CBOE  CSE  CHX  ISE  NASD  NYSE  PCX  PHLX

5. The examining authority and SIPC collection agent prior to this change in membership status is:

AMEX  BSE  CBOE  CSE  CHX  ISE  NASD  NYSE  PCX  PHLX

6. Name of person completing report \_\_\_\_\_ Title \_\_\_\_\_

Telephone No \_\_\_\_\_ Signature \_\_\_\_\_ Effective Date  
of Change \_\_\_\_\_

(ADVISE BROKER-DEALER OF ITS OBLIGATION TO AMEND ITS FORM BD OR FILE FORM BDW)

RESERVED FOR SEC ACTION

Examining Authority Not Changed  Examining Authority Changed From \_\_\_\_\_ to \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

INSTRUCTIONS ON BACK

