

# SBIR.gov Company Registry Fields

Field	Requirement	Field Definition	Example	Description
<b>UEI</b>				
<b>UEI</b>	Mandatory	12 Alpha-numeric	F847A1795XXX	The Unique Entity Identifier (SAM) for the entity. The firm must be registered with SAM to obtain this number. This number is used to run SAM.gov verification.
<b>Company Information</b>				
<b>Company Name</b>	Mandatory		ABC Firm	The Name of the Firm or Company registering.
<b>Address 1</b>	Mandatory	1-80 characters	123 Main Street	The Address of the Firm registering
<b>Address 2</b>	Optional	0-80 characters		
<b>City</b>	Mandatory	1-40 characters	Sterling	City of the registering firm.
<b>State</b>	Mandatory	2 characters and in the US Postal Service's list of valid state codes	VA	State of the registering firm
<b>Zip Code</b>	Mandatory	5 numeric digits, or 9 numeric digits with hyphen after 5 digits	21673	Zip code of the registering firm.
<b>Company Website</b>	Optional	0-255 characters	www.abcfirm.com	The website of the registering firm
<b>Company Profile</b>	Optional	0-255 characters		Description of the registering firm, Firms can provide details that they want the public to know about them (a firm's bio.)
<b>Year Company Founded</b>	Optional	4 numeric digits	2023	Year the company was founded
<b>Ownership Information</b>				
<b>Is the SBC majority-owned by multiple venture capital operating companies, hedge funds or private equity firms?</b>	Mandatory	"YES" or "NO" radio button	YES	If "YES" is selected an additional question will display What percentage (%) of the SBC is majority-owned by multiple venture capital operating companies, hedge funds or private equity firms?
<b>Investor Name</b>	Optional	0-50 characters	Investor A	Name of investor(s)
<b>Investor Ownership Percentage</b>	Optional	0-3 characters	20%	Percentage owned by investor (s)
<b>Is the Investor a U.S. citizen or</b>	Optional	"YES" or "NO" radio button	YES	

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<b>permanent resident alien?</b>				
<b>Is the investor an entity organized under the laws of a U.S. State or the United States?</b>	Optional	"YES" or "NO" radio button	YES	
<b>Number of Employees including all affiliates:</b>	Mandatory	Text Field	50	Firms having more than 500 employees including all affiliates are not eligible to apply for a new SBIR/STTR award.
<b>Women-owned small business</b>	Mandatory	"YES" or "NO" or "Unavailable" radio button	YES	To qualify as a women-owned small business (WOSB), a business must meet the following requirements identified in Policy Directive definition section (ss): Women-Owned SBC (WOSB) An SBC that is at least 51% owned by one or more women, or in the case of any publicly owned business, at least 51% of the stock is owned by women, and women control the management and daily business operations.
<b>Socially/Economically Disadvantaged small business</b>	Mandatory	"YES" or "NO" or "Unavailable" radio button	YES	Part of the SBA "8(a) Business Development Program." A firm can register as Disadvantaged under SAM.gov using the following criteria:  The firm must be 51% or more owned and control by one or more disadvantaged persons. The disadvantaged person or persons must be socially disadvantaged and economically disadvantaged. The firm must be small, according to SBA's size standards
<b>HUBZone-Owned small business</b>	Mandatory	"YES" or "NO" or "Unavailable" radio button	YES	HUBZone is a United States Small Business Administration (SBA) program for small companies that operate and employ people in Historically Underutilized Business Zones (HUBZone). Firms will need to reside within an area that has been determined to be a HUBZone, meet eligibility requirements and register with the SBA
<b>Electronic Consent</b>				
<b>Do you allow for your contact information to be released to Economic</b>	Mandatory	"YES" or "NO" radio button.		

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Field	Requirement	Field Definition	Example	Description
<b>Development Organizations?</b>				
<b>Business Points of Contact</b>				
<b>Title</b>	Mandatory	Drop Down selection		Title/ position of firm Point of Contact
<b>First Name</b>	Mandatory	1 - 50 Characters		The first name of the Point of Contact as wanting to display on firm associated portal and documentation.
<b>Middle Initial</b>	Optional	0 - 1 Character		The last name of the Point of Contact as wanting to display on firm associated portal and documentation.
<b>Last Name</b>	Mandatory	1 - 50 Characters		The middle initial of the Point of Contact as wanting to display on firm associated portal and documentation.
<b>Primary Business Phone</b>	Mandatory	10 numerical characters		Format should be: "999-999-9999", "(999) 999-9999", 9999999999
<b>Business Email</b>	Mandatory	1-50 characters		email address of point of contact

# Commercialization Database Web Form Guide

COMPANY COMMERCIALIZATION INFORMATION		
Short Title	Long Title / Question	Description
<b>Commercialization Information</b>		
<b>Company Name</b>		
<b>Company Information</b>		
Address	Address 1	<b>Company Information is auto populated from company registry data.</b>
	Address 2	
	City	
	State	
	Zip	
SBC Control ID		
Company URL	Company Website	
<b>Company POC</b>		
Title	Title	<b>Point of Contact Information is auto populated based on user profile.</b>
Full Name	First Name	
	Middle Name	
	Last Name	
Business Phone	Phone	
Business Phone Ext	Phone Ext	
Business Email	Email	
<b>Additional Company Information</b>		
Year first Phase I award:	Year first Phase I Award was received.	<b>Award information is auto populated from SBIR.gov award data.</b>
Year first Phase II award:	Year first Phase II Award was received.	
Phase I Awards:	Total number of Phase I Awards	
Phase II Awards:	Total number of Phase II Awards	
# Employees Currently:	Current Number of Employees (including all affiliates):	<b>Information auto-populated from company registry data.</b>
Employees at first Phase II award:	Number of firm employees (including all affiliates):	Text Field
Total revenue for last fiscal year:	Your firm's total revenue for the last fiscal year:	Dropdown
Mergers and Acquisition within past 2 years:	Did your SBC merge with, acquire, or become acquired by another company, within the past two years?	Yes/No
Year Founded:	When was your company founded?	<b>Information auto-populated from company registry data.</b>
Spin-offs resulting from SBIR/STTR:	Has your SBC produced any spin-off companies as a result of the work conducted under SBIR and/or STTR programs?	Yes/No
Socially and Economically Disadvantaged:	Socially and Economically Disadvantaged?	<b>Information auto-populated from company registry data.</b>
IPO resulting from SBIR/STTR   Year of IPO:	Has your company successfully completed an Initial Public Offering ("IPO") of stock since receiving its first Phase II award that was the result, in part, of technology your company developed under the Federal SBIR and/or STTR programs?   Year of IPO:	Yes/No   Years must be in the correct format which is "YYYY"
Patents	Number of Patents resulting from SBIR or STTR Awards	<b>Information auto-summed based on individual award reporting.</b>
% Revenue for last fiscal year from SBIR/STTR funding:	What percentage of your company's revenue during the last fiscal year was Federal SBIR and/or STTR funding (Phase I and/or Phase II)? *	
SBC majority-owned by multiple VCOC, HF, PE firms   By what percent (%):	Is your company majority-owned by multiple venture capital operating companies, hedge funds, or private equity firms?	<b>Information auto-populated from company registry data.</b>

Commercialization Database Fields - Web form Guide

	What percentage (%) of the company is majority-owned by multiple venture capital operating companies, hedge funds, or private equity?	
Woman-Owned:	Women-Owned?	<b>Information auto-populated from company registry data.</b>
HUBZone-Certified:	HUBZone Certified?	<b>Information auto-populated from company registry data.</b>
Additional Investment	Additional Investment Amount (\$)	If you have additional investment results from your firm's SBIR/STTR work that is not accounted for above and you do not have an associated Phase II award number, please indicate so here and we will work with you to address the issue.
	Additional Investment Description	Additional Investment Description is required when Additional Investment Amount is greater than zero
Additional Sales		If you have sales results from your firm's SBIR/STTR work that is not accounted for above and you do not have an associated Phase II award number, please indicate so here and we will work with you to address the issue.
	Additional Sales Description	
<b>Additional Investment from:</b>	<p>"Additional Investment" includes investment by any source other than SBIR/STTR Phase I or Phase II awards in activities that further the development and/or commercialization of the specific technology developed under this Phase II project. Examples of such activities include:</p> <ul style="list-style-type: none"> <li>• Additional R&amp;D on the Phase II technology;</li> <li>• Manufacturing/production start-up;</li> <li>• Purchase of plant and equipment for manufacturing/production;</li> <li>• Protection of intellectual property;</li> <li>• Obtaining certifications;</li> <li>• Marketing start-up and marketing; and</li> <li>• Training of workforce to manufacture or sell new products embodying the Phase II technology.</li> </ul> <p>These may be activities funded and conducted by other entities or by your firm itself.</p>	
DoD Contracts or DoD Subcontracts	DoD Contracts or DoD Subcontracts	DOD New field
Angel Investors \$:	Angel Investors \$:	
Venture Capital \$:	Venture Capital \$:	
Self-Funded \$:	Self-Funded \$:	
Private Sector \$:	Private Sector \$:	
Other Federal Contracts/grants \$	Other Federal Contracts/grants \$	
Other Sources \$:	Other Sources \$:	
	If Other Sources, please provide description:	
Additional Investment	Additional Investment Amount (\$)	
	Additional Investment Description	
Total Investment		
<b>Phase III Sales to:</b>	<p>"SALES" is broadly defined to include all cash revenue from the sale of new products or non-R&amp;D services embodying the specific technology developed under this Phase II project. It also includes any revenues from the sale or licensing of technology, technological know-how, or intellectual property developed under the Phase II.</p> <p>Count only such revenue accruing to your firm and not to other entities. If your firm sold or licensed the technological know-how developed under a Phase II award to another entity, enter the cash revenue accruing to the other entity from its sale of new products or non-R&amp;D services embodying the Phase II technology in the "3rd Party Revenue" field, not with your firm's sales figures.</p>	

Commercialization Database Fields - Web form Guide

	<p>Count only the sales directly related to the Phase II work. If the new product/service embodying the Phase II technology is a component of a larger product/service (e.g., an improved coating on an existing optical lens product), count only the sales attributable to the component rather than the larger product/service.</p> <p>Do not count SBIR/STTR contracts or grants (Phase I or II), or revenue from any other R&amp;D activities, including follow-on R&amp;D contracts or grants. "R&amp;D activities" include any activities directed toward reducing the technical risk of the technology.</p> <p>Do not include revenue from your firm's sales to an affiliate of your firm. (13CFR121.103)</p>	
DoD or DoD prime contractors	DoD or DoD prime contractors	DOD new fields
Private Sector \$:	Private Sector \$:	If you reported sales to a prime contractor above under Federal agencies or Prime Contractor, do not report it again here
Export Markets \$:	Export Markets \$:	
Other Federal Agencies \$:	Other Federal Agencies \$:	If you include sales to a prime contractor here, do not report those sales again below under Private Industry
Additional Comm. By 3rd Party \$:	Additional Comm. By 3rd Party \$:	Enter cash revenues received by an entity other than this SBIR/STTR firm from the sale of new products or non-R&D services derived from the specific technology developed under this Phase II project, for example through a license agreement or spin-off. As with the other categories, only count the portion of such revenues that accounts for the SBIR/STTR technology's share of the total product or service. Example: If a new coating for helicopter blades developed under a SBIR/STTR Phase II project is used by a 3rd Party Manufacturer, count only the 3rd Party revenues attributed to the blade coating, not the entire helicopter.
Other Customers \$:	Other Customers \$:	Revenue from other sources other than above
	If Other Customer, please provide description:	
Additional Sales	Additional Sales Amount (\$)	
	Additional Sales Description	
Total Sales		
Are any of the reported Phase III sales considered a covered sale?	Covered sales apply to small business concerns subject to increased minimum performance standards, please see help text for further guidance on requirements of 15 U.S.C. § 638 (qq)(3).	Yes/No
<b>Commercialization Narrative</b>		
Commercialization Narrative	Commercialization Narrative	
<b>Commercialized Awards</b>		
Agency/Branch:	Agency/Branch:	
Program/Phase/Year:	Program/Phase/Year Awarded	
Topic #	Topic #	
Contract/Grant #:	Contract #	
Achieved a cost saving or cost avoidance?	Has the technology developed under this project achieved a cost saving or cost avoidance for the government or end user? If yes	Yes/No
If "Yes"		

Commercialization Database Fields - Web form Guide

a. Agency/End user:	Indicate agency/end user realizing the savings	
b. System/Program:	Enter the system or program realizing the savings:	
c. Cost Savings:	Enter the cost savings:	
d. Cost Savings Type:	Cost Savings Type	
e. Explanation:	Explain how cost savings was calculated	
Manufacturing related	Is the technology developed under this project related to manufacturing? If yes  Select type of manufacturing	Yes/No
Subsidiaries	Names of Companies established for Product/Commercialization:	
Subsidiary 1:	Subsidiary 1:	
Subsidiary 2:	Subsidiary 2:	
Subsidiary 3:	Subsidiary 3:	
Subsidiary 4:	Subsidiary 4:	
Subsidiary 5:	Subsidiary 5:	
Other contributing SBIR/STTR awards	List other SBIR or STTR awards that can also be contributed to this commercialization success. (List as semicolon delimited)	
Used in Federal or acquisitions program?	Has the technology developed under this project been used in a fielded Federal system or acquisition program?	Yes/No
If "Yes"		
a. Primary Agency:	(a) indicated the primary agency using it	
b. System/Program:	(b) Specify the system or program	
c. Phase III Contract #:	(c) If funded by a federal agency, provide the Phase III contract number	
Patents	Do you have any U.S. patents resulting from this award?	Yes/No
If "Yes"		
U.S. Patent number	Patent Number	
U.S. Patent title	Patent Title	
<b>Phase III Sales to:</b>		
DoD or DoD prime contractors	DoD or DoD prime contractors	
Private Sector \$:	Private Sector \$:	
Export Markets \$:	Export Markets \$:	
Other Federal Agencies \$:	Other Federal Agencies \$:	
Additional Comm. By 3rd Party \$:	Additional Comm. By 3rd Party \$:	
Other Customers \$:	Other Customers \$:	
If Other Customer, please provide description:	If Other Customer, please provide description:	
Are any of the reported Phase III sales considered a covered sale?	Covered sales apply to small business concerns subject to increased minimum performance standards, please see help text for further guidance on requirements of 15 U.S.C. § 638 (qq)(3).	Yes/No
<b>Additional Investment from:</b>		
DoD Contracts or DoD Subcontracts	DoD Contracts or DoD Subcontracts	
Angel Investors \$:	Angel Investors \$:	
Venture Capital \$:	Venture Capital \$:	
Self-Funded \$:	Self-Funded \$:	
Private Sector \$:	Private Sector \$:	
Other Federal Contracts/grants \$	Other Federal Contracts/grants \$	
Other Sources \$:	Other Sources \$:	
If Other Sources, please provide description:	If Other Sources, please provide description:	

Commercialization Database Fields - Web form Guide

Are any of the reported Additional Investment considered a covered sale?	Covered sales apply to small business concerns subject to increased minimum performance standards, please see help text for further guidance on requirements of 15 U.S.C. § 638 (qq)(3).	Yes/No
<b>If the project is a STTR Award</b>		
Who initiated the collaboration?	Research Institution or Small Business Concern	Radio Button
Who initiated the technology?	Research Institution or Small Business Concern	Radio Button
Number of months to negotiate the Allocation of Rights agreement:	Text Field	
Percentage of proceeds going to the small business:	Percentage	
Percentage of proceeds going to the research institution:	Percentage	
<b>Phase III Awards</b>		
Funding Agreement/Contract #	Funding Agreement/Contract#	
Agency	Agency (Please select one or more agencies below)	
Project Title	Project Title	
Award Year	Year of Award	Years must be in the correct format which is "YYYY"
Funding Amount	Funding amount obligated (to-date)	
Awarding Agency		
Awarding Agency Branch		
<b>Covered Sales Documentation</b>		
If Yes to any Covered Sales questions, upload documentation in support of any reported covered sales.		
<b>Submit Commercialization Report</b>		
<b>Certify/Submit</b>		
I have read and agree to the statement above		Checkbox
No phase I or II SBIR or STTR funds have been counted as "sales" or "investment."		Checkbox
Your reported "sales" includes only cash revenue from the sale of new products or non-R&D services embodying the specific technology developed under the SBIR or STTR award.		Checkbox
If your new product/service embodying the SBIR or STTR award technology is a component of a larger product/service.		Checkbox
Your reported "additional investment" includes only investment in activities that further the development and/or commercialization of the specific technology developed under the SBIR or STTR award.		Checkbox
Your commercialization report apportions sales and investment among contributing SBIR or STTR projects without double-counting.		Checkbox
For sales to or investment by the government, only the amount of government funding that has been obligated to date, and not the total award amount, is counted as "sales" or "investment."		Checkbox
Your reported "sales" includes only the sales accruing to your firm or another entity to which your firm sold or licensed the technological know-how.		Checkbox
Your reported "sales" does not include revenue from the sale or license of technological know-how, does not include revenue from any R&D activities, and does not include sales to an affiliate of your firm.		Checkbox
<b>Certify/Submit Commercialization</b>		Button