$\qquad$

> MINIMUM DATA SET (MDS) - Version 3.0
> RESIDENT ASSESSMENT AND CARE SCREENING
> Nursing Home PPS (NP) Item Set

## Section A <br> Identification Information

## A0050. Type of Record

## Enter Code

1. Add new record $\rightarrow$ Continue to A0100, Facility Provider Numbers
2. Modify existing record $\rightarrow$ Continue to A0100, Facility Provider Numbers
3. Inactivate existing record $\rightarrow$ Skip to X0150, Type of Provider

A0100. Facility Provider Numbers
A. National Provider Identifier (NPI):

B. CMS Certification Number (CCN):

C. State Provider Number:


## A0200. Type of Provider

Enter Code

## Type of provider

1. Nursing home (SNF/NF)
2. Swing Bed

A0310. Type of Assessment


Enter Code


Enter Code

A. Federal OBRA Reason for Assessment

1. Admission assessment (required by day 14)
2. Quarterly review assessment
3. Annual assessment
4. Significant change in status assessment
5. Significant correction to prior comprehensive assessment
6. Significant correction to prior quarterly assessment
7. None of the above
B. PPS Assessment

PPS Scheduled Assessment for a Medicare Part A Stay

1. 5-day scheduled assessment

PPS Unscheduled Assessment for a Medicare Part A Stay
08. IPA - Interim Payment Assessment

Not PPS Assessment
99. None of the above
E. Is this assessment the first assessment (OBRA, Scheduled PPS, or Discharge) since the most recent admission/entry or reentry?

0 . No

1. Yes
F. Entry/discharge reporting
2. Entry tracking record
3. Discharge assessment-return not anticipated
4. Discharge assessment-return anticipated
5. Death in facility tracking record
6. None of the above

## Section A $\quad$ Identification Information

A0310. Type of Assessment - Continued

Enter Code


Enter Code
$\square$
Enter Code

G. Type of discharge - Complete only if $\mathrm{A} 0310 \mathrm{~F}=10$ or 11

1. Planned
2. Unplanned

G1. Is this a SNF Part A Interrupted Stay?
0 . No

1. Yes
H. Is this a SNF Part A PPS Discharge Assessment?

0 . No

1. Yes

A0410. Unit Certification or Licensure Designation
Enter Code 1. Unit is neither Medicare nor Medicaid certified and MDS data is not required by the State
2. Unit is neither Medicare nor Medicaid certified but MDS data is required by the State
3. Unit is Medicare and/or Medicaid certified

A0500. Legal Name of Resident
A. First name:

B. Middle initial:

C. Last name:

D. Suffix:

|  |  |  |
| :--- | :--- | :--- |

A0600. Social Security and Medicare Numbers
A. Social Security Number:

B. Medicare number:


A0700. Medicaid Number - Enter " + " if pending, " $N$ " if not a Medicaid recipient


A0800. Gender


1. Male
2. Female

## A0900. Birth Date



## Section A $\quad$ Identification Information

## A1005. Ethnicity

Are you of Hispanic, Latino/a, or Spanish origin?
Check all that apply
$\square \quad$ A. No, not of Hispanic, Latino/a, or Spanish origin
B. Yes, Mexican, Mexican American, Chicano/a
C. Yes, Puerto Rican
D. Yes, Cuban
E. Yes, another Hispanic, Latino/a, or Spanish origin
X. Resident unable to respond
Y. Resident declines to respond

A1010. Race
What is your race?
Check all that apply
A. White
B. Black or African American
C. American Indian or Alaska Native
D. Asian Indian
E. Chinese
F. Filipino
G. Japanese
H. Korean
I. Vietnamese
J. Other Asian
K. Native Hawaiian
L. Guamanian or Chamorro
M. Samoan
N. Other Pacific Islander
X. Resident unable to respond
Y. Resident declines to respond
Z. None of the above

A1110. Language


## Section A $\quad$ Identification Information

## A1200. Marital Status

| Enter Code | 1. Never married <br> $\square$ |
| :---: | :--- |
| 2. Married <br> 3. Widowed <br> 4. Separated |  |
|  | 5. Divorced |

A1250. Transportation (from NACHC©)
Has lack of transportation kept you from medical appointments, meetings, work, or from getting things needed for daily living?
Check all that apply
A. Yes, it has kept me from medical appointments or from getting my medications
B. Yes, it has kept me from non-medical meetings, appointments, work, or from getting things that I need
C. No
X. Resident unable to respond
Y. Resident declines to respond
© 2019. National Association of Community Health Centers, Inc., Association of Asian Pacific Community Health Organizations, Oregon Primary Care Association. PRAPARE and its resources are proprietary information of NACHC and its partners, intended for use by NACHC, its partners, and authorized recipients. Do not publish, copy, or distribute this information in part or whole without written consent from NACHC.

## A1300. Optional Resident Items

A. Medical record number:

B. Room number:

C. Name by which resident prefers to be addressed:

D. Lifetime occupation(s) - put "/" between two occupations:


## Section A $\quad$ Identification Information

## Most Recent Admission/Entry or Reentry into this Facility

A1600. Entry Date


## A1700. Type of Entry

Enter Code

1. Admission
2. Reentry

## A1805. Entered From

```
Enter Code 01. Home/Community (e.g., private home/apt., board/care, assisted living, group home, transitional living, other residential care arrangements)
02. Nursing Home (long-term care facility)
03. Skilled Nursing Facility (SNF, swing beds)
04. Short-Term General Hospital (acute hospital, IPPS)
05. Long-Term Care Hospital (LTCH)
06. Inpatient Rehabilitation Facility (IRF, free standing facility or unit)
07. Inpatient Psychiatric Facility (psychiatric hospital or unit)
08. Intermediate Care Facility (ID/DD facility)
09. Hospice (home/non-institutional)
10. Hospice (institutional facility)
11. Critical Access Hospital (CAH)
12. Home under care of organized home health service organization
99. Not listed
```

A1900. Admission Date (Date this episode of care in this facility began)


A2000. Discharge Date
Complete only if A0310F = 10, 11, or 12


## A2105. Discharge Status

Complete only if A0310F = 10, 11, or 12
Enter Code 01. Home/Community (e.g., private home/apt., board/care, assisted living, group home, transitional living, other residential care
 arrangements) $\rightarrow$ Skip to A2123, Provision of Current Reconciled Medication List to Resident at Discharge
02. Nursing Home (long-term care facility)
03. Skilled Nursing Facility (SNF, swing beds)
04. Short-Term General Hospital (acute hospital, IPPS)
05. Long-Term Care Hospital (LTCH)
06. Inpatient Rehabilitation Facility (IRF, free standing facility or unit)
07. Inpatient Psychiatric Facility (psychiatric hospital or unit)
08. Intermediate Care Facility (ID/DD facility)
09. Hospice (home/non-institutional)
10. Hospice (institutional facility)
11. Critical Access Hospital (CAH)
12. Home under care of organized home health service organization
13. Deceased
99. Not listed $\rightarrow$ Skip to A2123, Provision of Current Reconciled Medication List to Resident at Discharge

| Section A | Identification Information |  |  |  |
| :--- | :--- | :---: | :---: | :---: |
| A2121. Provision of Current Reconciled Medication List to Subsequent Provider at Discharge <br> Complete only if A0310H = 1 |  |  |  |  |
| Enter Code |  |  |  | At the time of discharge to another provider, did your facility provide the resident's current reconciled medication list to the subsequent <br> provider? <br> 0. No - Current reconciled medication list not provided to the subsequent provider $\rightarrow$ Skip to A2300, Assessment Reference Date <br> 1. Yes - Current reconciled medication list provided to the subsequent provider |

## A2122. Route of Current Reconciled Medication List Transmission to Subsequent Provider

Indicate the route(s) of transmission of the current reconciled medication list to the subsequent provider.
Complete only if A2121 = 1
Check all that apply

## Route of Transmission

| $\square$ <br> $\square$ | A. Electronic Health Record |
| :--- | :--- |
| $\square$ | B. Health Information Exchange |
| $\square$ | C. Verbal (e.g., in-person, telephone, video conferencing) |
| $\square$ | D. Paper-based (e.g., fax, copies, printouts) |
| $\square$ | E. Other methods (e.g., texting, email, CDs) |
| $\square$ | $\square$ |

## A2123. Provision of Current Reconciled Medication List to Resident at Discharge

Complete only if $\mathrm{A} 0310 \mathrm{H}=1$


At the time of discharge, did your facility provide the resident's current reconciled medication list to the resident, family and/or caregiver?
0 . No - Current reconciled medication list not provided to the resident, family and/or caregiver $\rightarrow$ Skip to A2300, Assessment Reference Date

1. Yes - Current reconciled medication list provided to the resident, family and/or caregiver

## A2124. Route of Current Reconciled Medication List Transmission to Resident

Indicate the route(s) of transmission of the current reconciled medication list to the resident/family/caregiver.
Complete only if A2123 = 1


## Route of Transmission

A. Electronic Health Record (e.g., electronic access to patient portal)

## B. Health Information Exchange

C. Verbal (e.g., in-person, telephone, video conferencing)
D. Paper-based (e.g., fax, copies, printouts)
E. Other methods (e.g., texting, email, CDs)

## Section A $\quad$ Identification Information

## A2300. Assessment Reference Date

Observation end date:


A2400. Medicare Stay
Enter Code
A. Has the resident had a Medicare-covered stay since the most recent entry?
0. No $\rightarrow$ Skip to B0100, Comatose

1. Yes $\rightarrow$ Continue to A2400B, Start date of most recent Medicare stay
B. Start date of most recent Medicare stay:

C. End date of most recent Medicare stay - Enter dashes if stay is ongoing:


## Look back period for all items is $\mathbf{7}$ days unless another time frame is indicated

## Section B

Hearing, Speech, and Vision
B0100. Comatose
Enter Code $\quad$ Persistent vegetative state/no discernible consciousness
0 . No $\rightarrow$ Continue to B0200, Hearing

1. Yes $\rightarrow$ Skip to GG0100, Prior Functioning: Everyday Activities

## B0200. Hearing



Ability to hear (with hearing aid or hearing appliances if normally used)
0 . Adequate - no difficulty in normal conversation, social interaction, listening to TV

1. Minimal difficulty - difficulty in some environments (e.g., when person speaks softly or setting is noisy)
2. Moderate difficulty - speaker has to increase volume and speak distinctly
3. Highly impaired - absence of useful hearing

## B0300. Hearing Aid

Enter Code Hearing aid or other hearing appliance used in completing B0200, Hearing
0. No

1. Yes

## B0600. Speech Clarity



Select best description of speech pattern
0 . Clear speech - distinct intelligible words

1. Unclear speech - slurred or mumbled words
2. No speech - absence of spoken words

## Section B $\quad$ Hearing, Speech, and Vision

## B0700. Makes Self Understood

## Enter Code

Ability to express ideas and wants, consider both verbal and non-verbal expression
0 . Understood

1. Usually understood - difficulty communicating some words or finishing thoughts but is able if prompted or given time
2. Sometimes understood - ability is limited to making concrete requests
3. Rarely/never understood

## B0800. Ability To Understand Others



Understanding verbal content, however able (with hearing aid or device if used)
0 . Understands - clear comprehension

1. Usually understands - misses some part/intent of message but comprehends most conversation
2. Sometimes understands - responds adequately to simple, direct communication only
3. Rarely/never understands

## B1000. Vision



Ability to see in adequate light (with glasses or other visual appliances)
0 . Adequate - sees fine detail, such as regular print in newspapers/books

1. Impaired - sees large print, but not regular print in newspapers/books
2. Moderately impaired - limited vision; not able to see newspaper headlines but can identify objects
3. Highly impaired - object identification in question, but eyes appear to follow objects
4. Severely impaired - no vision or sees only light, colors or shapes; eyes do not appear to follow objects

## B1200. Corrective Lenses

| Enter Code | Corrective lenses (contacts, glasses, or magnifying glass) used in completing B1000, Vision <br> 0 . No |
| :--- | :--- |

0 . No

1. Yes

## B1300. Health Literacy

Complete only if $\mathrm{A} 0310 \mathrm{~B}=01$ or $\mathrm{A} 0310 \mathrm{G}=1$ and $\mathrm{A} 0310 \mathrm{H}=1$
How often do you need to have someone help you when you read instructions, pamphlets, or other written material from
Enter Code your doctor or pharmacy?
0. Never

1. Rarely
2. Sometimes
3. Often
4. Always
5. Resident declines to respond
6. Resident unable to respond

The Single Item Literacy Screener is licensed under a Creative Commons Attribution-NonCommercial 4.0 International License.

## Section C <br> Cognitive Patterns

## C0100. Should Brief Interview for Mental Status (C0200-C0500) be Conducted?

Attempt to conduct interview with all residents
Enter Code 0 . No (resident is rarely/never understood) $\rightarrow$ Skip to and complete C0700-C1000, Staff Assessment for Mental Status

1. Yes $\rightarrow$ Continue to C0200, Repetition of Three Words

## Brief Interview for Mental Status (BIMS)

## C0200. Repetition of Three Words

Ask resident: "I am going to say three words for you to remember. Please repeat the words after I have said all three.
The words are: sock, blue, and bed. Now tell me the three words."
Number of words repeated after first attempt
0 . None

1. One
2. Two
3. Three

After the resident's first attempt, repeat the words using cues ("sock, something to wear; blue, a color; bed, a piece of furniture"). You may repeat the words up to two more times.
C0300. Temporal Orientation (orientation to year, month, and day)

## Enter Code

Ask resident: "Please tell me what year it is right now."
A. Able to report correct year

0 . Missed by $>\mathbf{5}$ years or no answer

1. Missed by 2-5 years
2. Missed by 1 year
3. Correct

Ask resident: "What month are we in right now?"
Enter Code
B. Able to report correct month

0 . Missed by > $\mathbf{1}$ month or no answer

1. Missed by $\mathbf{6}$ days to $\mathbf{1}$ month
2. Accurate within $\mathbf{5}$ days

Ask resident: "What day of the week is today?"
Enter Code
C. Able to report correct day of the week

0 . Incorrect or no answer

1. Correct

C0400. Recall


Ask resident: "Let's go back to an earlier question. What were those three words that I asked you to repeat?" If unable to remember a word, give cue (something to wear; a color; a piece of furniture) for that word.
A. Able to recall "sock"

0 . No - could not recall

1. Yes, after cueing ("something to wear")
2. Yes, no cue required

B. Able to recall "blue"

0 . No - could not recall

1. Yes, after cueing ("a color")
2. Yes, no cue required

Enter Code

C. Able to recall "bed"

0 . No - could not recall

1. Yes, after cueing ("a piece of furniture")
2. Yes, no cue required

## C0500. BIMS Summary Score

Enter Score
Add scores for questions C0200-C0400 and fill in total score (00-15)
Enter 99 if the resident was unable to complete the interview

## Section C

## Cognitive Patterns

## C0600. Should the Staff Assessment for Mental Status (C0700-C1000) be Conducted?

Enter Code $\quad 0$. No (resident was able to complete Brief Interview for Mental Status) $\rightarrow$ Skip to C1310, Signs and Symptoms of Delirium

1. Yes (resident was unable to complete Brief Interview for Mental Status) $\rightarrow$ Continue to C0700, Short-term Memory OK

## Staff Assessment for Mental Status

Do not conduct if Brief Interview for Mental Status (C0200-C0500) was completed

## C0700. Short-term Memory OK

Enter Code Seems or appears to recall after 5 minutes
0. Memory OK

1. Memory problem

C0800. Long-term Memory OK

| Enter Code $\square$ | Seems or appears to recall long past <br> 0. Memory OK <br> 1. Memory problem |
| :---: | :---: |
| C0900. Memory/Recall Ability |  |
| $\downarrow$ Check all that the resident was normally able to recall |  |
| $\square$ | A. Current season |
| $\square$ | B. Location of own room |
| $\square$ | C. Staff names and faces |
| $\square$ | D. That they are in a nursing home/hospital swing bed |
| $\square$ | Z. None of the above were recalled |
| C1000. Cognitive Skills for Daily Decision Making |  |
| Enter Code $\square$ | Made decisions regarding tasks of daily life <br> 0 . Independent - decisions consistent/reasonable <br> 1. Modified independence - some difficulty in new situations only <br> 2. Moderately impaired - decisions poor; cues/supervision required <br> 3. Severely impaired - never/rarely made decisions |

## Delirium

## C1310. Signs and Symptoms of Delirium (from CAM®)

Code after completing Brief Interview for Mental Status or Staff Assessment, and reviewing medical record
A. Acute Onset Mental Status Change

| Enter Code | Is there evidence of an acute change in mental status from the resident's baseline? <br> 0. No <br> 1. |
| :--- | :--- |
| Yes |  |

## Enter Codes in Boxes

## Coding:



0 . Behavior not present

1. Behavior continuously present, does not fluctuate
2. Behavior present, fluctuates (comes and goes, changes in severity)
B. Inattention - Did the resident have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said?
C. Disorganized Thinking - Was the resident's thinking disorganized or incoherent (rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject)?
D. Altered Level of Consciousness - Did the resident have altered level of consciousness, as indicated by any of the following criteria?

- vigilant - startled easily to any sound or touch
- lethargic - repeatedly dozed off when being asked questions, but responded to voice or touch
$\square$ stuporous - very difficult to arouse and keep aroused for the interview
$\square$ comatose - could not be aroused

[^0] permission.

## Section D Mood

D0100. Should Resident Mood Interview be Conducted? - Attempt to conduct interview with all residents

| Enter Code |
| :---: | :--- |$\quad$| 0. No (resident is rarely/never understood) $\rightarrow$ Skip to and complete D0500-D0600, Staff Assessment of Resident Mood <br> (PHQ-9-OV) |
| :--- |
| 1. Yes $\rightarrow$ Continue to D0150, Resident Mood Interview (PHQ-2 to 90) |

## D0150. Resident Mood Interview (PHQ-2 to 9®)

Say to resident: "Over the last 2 weeks, have you been bothered by any of the following problems?"
If symptom is present, enter 1 (yes) in column 1, Symptom Presence.
If yes in column 1, then ask the resident: "About how often have you been bothered by this?"
Read and show the resident a card with the symptom frequency choices. Indicate response in column 2, Symptom Frequency.

1. Symptom Presence
0 . No (enter 0 in column 2)
2. Yes (enter 0-3 in column 2)
3. No response (leave column 2 blank)
4. Symptom Frequency
5. Never or 1 day
6. 2-6 days (several days)
7. 7-11 days (half or more of the days)
8. 12-14 days (nearly every day)

| 1. <br> Symptom <br> Presence | 2. <br> Symptom <br> Frequency |
| :---: | :---: |
| Enter Scores in Boxes $\downarrow$ |  |
| $\square$ | $\square$ |
| $\square$ | $\square$ |

If either D0150A2 or D0150B2 is coded 2 or 3, CONTINUE asking the questions below. If not, END the PHQ interview.

| C. Trouble falling or staying asleep, or sleeping too much |
| :--- |
| D. Feeling tired or having little energy |
| E. Poor appetite or overeating |
| F. Feeling bad about yourself - or that you are a failure or have let yourself or your family |
| down |

## Section D Mood

| D0500. Staff Assessment of Resident Mood (PHQ-9-OV*) |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Do not conduct if Resident Mood Interview (D0150-D0160) was completed |  |  |  |  |  |
| Over the last $\mathbf{2}$ weeks, did the resident have any of the following problems or behaviors? |  |  |  |  |  |
| If symptom is present, enter 1 (yes) in column 1, Symptom Presence. Then move to column 2, Symptom Frequency, and indicate symptom frequency. |  |  |  |  |  |
| 1. Symptom Presence <br> 0 . No (enter 0 in column 2) <br> 1. Yes (enter 0-3 in column 2) <br> 2. Symptom Frequency <br> 0. Never or 1 day <br> 1. 2-6 days (several days) <br> 2. 7-11 days (half or more of the days) <br> 3. 12-14 days (nearly every day) |  |  |  | 1. Symptom Presence | 2. <br> Symptom <br> Frequency |
|  |  |  |  | $\downarrow$ Enter Scores in Boxes $\downarrow$ |  |
| A. Little interest or pleasure in doing things |  |  |  |  |  |
| B. Feeling or appearing down, depressed, or hopeless |  |  |  |  |  |
| C. Trouble falling or staying asleep, or sleeping too much |  |  |  |  |  |
| D. Feeling tired or having little energy |  |  |  |  |  |
| E. Poor appetite or overeating |  |  |  |  |  |
| F. Indicating that they feel bad about self, are a failure, or have let self or family down |  |  |  |  |  |
| G. Trouble concentrating on things, such as reading the newspaper or watching television |  |  |  |  |  |
| H. Moving or speaking so slowly that other people have noticed. Or the opposite - being so fidgety or restless that they have been moving around a lot more than usual |  |  |  |  |  |
| I. States that life isn't worth living, wishes for death, or attempts to harm self |  |  |  |  |  |
| J. Being short-tempered, easily annoyed |  |  |  | $\square$ |  |
| D0600. Total Severity Score |  |  |  |  |  |
| Enter Score | Add scores for all frequency responses in Column 2, Symptom Frequency. Total score must be between 00 and 30. |  |  |  |  |

## D0700. Social Isolation

| Enter Code | How often do you feel lonely or isolated from those around you? <br> 0. Never <br> 1. Rarely <br> 2. Sometimes <br> 3. Often <br> 4. Always <br> 7. Resident declines to respond <br> 8. Resident unable to respond |
| :---: | :---: |



## Section GG $\quad$ Functional Abilities and Goals

GG0100. Prior Functioning: Everyday Activities. Indicate the resident's usual ability with everyday activities prior to the current illness, exacerbation, or injury
Complete only if $\mathrm{A} 0310 \mathrm{~B}=01$

|  | $\downarrow$ Enter Codes in Boxes |  |
| :---: | :---: | :---: |
| Coding: <br> 3. Independent - Resident completed all the activities by themself, with or without an |  | A. Self-Care: Code the resident's need for assistance with bathing, dressing, using the toilet, or eating prior to the current illness, exacerbation, or injury. |
| helper. <br> 2. Needed Some Help - Resident needed partial assistance from another person to complete any |  | B. Indoor Mobility (Ambulation): Code the resident's need for assistance with walking from room to room (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury. |
| activities. <br> 1. Dependent - A helper completed all the activities for the resident. <br> 8. Unknown. |  | C. Stairs: Code the resident's need for assistance with internal or external stairs (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury. |
| 9. Not Applicable. |  | D. Functional Cognition: Code the resident's need for assistance with planning regular tasks, such as shopping or remembering to take medication prior to the current illness, exacerbation, or injury. |

GG0110. Prior Device Use. Indicate devices and aids used by the resident prior to the current illness, exacerbation, or injury Complete only if A0310B = 01

Check all that apply

A. Manual wheelchair
B. Motorized wheelchair and/or scooter
C. Mechanical lift
D. Walker
E. Orthotics/Prosthetics
Z. None of the above

## Section GG $\quad$ Functional Abilities and Goals-Admission

GG0130. Self-Care (Assessment period is the first 3 days of the stay)
Complete if $A 0310 A=01$ or $A 0310 B=01$. If $A 0310 B=01$, the stay begins on $A 2400 B$ and both columns are required. If $A 0310 B=99$, the stay begins on A1600 and only column 1 is required.
Code the resident's usual performance at the start of the stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

## Coding:

Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.
Activities may be completed with or without assistive devices.
06. Independent - Resident completes the activity by themself with no assistance from a helper.
05. Setup or clean-up assistance - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
04. Supervision or touching assistance - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
03. Partial/moderate assistance - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
02. Substantial/maximal assistance - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.

1. Dependent - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.
If activity was not attempted, code reason:
2. Resident refused
3. Not applicable - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
4. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
5. Not attempted due to medical condition or safety concerns

| 1. <br> Admission <br> Performance2. <br> Discharge <br> Goal |
| :---: | :---: |
| $\downarrow$ Enter Codes in Boxes $\downarrow$ |


| A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| once the meal is placed before the resident. |

## Section GG $\quad$ Functional Abilities and Goals - Admission

GG0170. Mobility (Assessment period is the first 3 days of the stay)
Complete if $A 0310 A=01$ or $A 0310 B=01$. If $A 0310 B=01$, the stay begins on $A 2400 B$ and both columns are required. If $A 0310 B=99$, the stay begins on A1600 and only column 1 is required.

Code the resident's usual performance at the start of the stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes $\mathbf{0 7}, \mathbf{0 9}, \mathbf{1 0}$, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).
Coding:
Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.
Activities may be completed with or without assistive devices.
06. Independent - Resident completes the activity by themself with no assistance from a helper.
05. Setup or clean-up assistance - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
04. Supervision or touching assistance - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
03. Partial/moderate assistance - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
02. Substantial/maximal assistance - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.

1. Dependent - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.
If activity was not attempted, code reason:
2. Resident refused
3. Not applicable - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
4. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
5. Not attempted due to medical condition or safety concerns

A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed.
B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed.
C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed and with no back support.
D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.
E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair).
F. Toilet transfer: The ability to get on and off a toilet or commode.
G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt.
I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space.

If admission performance is coded $07,09,10$, or $88 \rightarrow$ Skip to GG0170M, 1 step (curb)
J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns.
K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space.

## Section GG $\quad$ Functional Abilities and Goals-Admission

GG0170. Mobility (Assessment period is the first 3 days of the stay)
Complete if $A 0310 A=01$ or $A 0310 B=01$. If $A 0310 B=01$, the stay begins on $A 2400 B$ and both columns are required. If $A 0310 B=99$, the stay begins on A1600 and only column 1 is required.

Code the resident's usual performance at the start of the stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes $\mathbf{0 7}, \mathbf{0 9}, 10$, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).

## Coding:

Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.
Activities may be completed with or without assistive devices.
06. Independent - Resident completes the activity by themself with no assistance from a helper.
05. Setup or clean-up assistance - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
04. Supervision or touching assistance - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
03. Partial/moderate assistance - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
02. Substantial/maximal assistance - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.

1. Dependent - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.
If activity was not attempted, code reason:
2. Resident refused
3. Not applicable - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
4. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
5. Not attempted due to medical condition or safety concerns

| 1. <br> Admission <br> Performance | 2. <br> Discharge <br> Goal |
| :---: | :---: |
| $\downarrow$ Enter Codes in Boxes $\downarrow$ |  |


P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor.

Q1. Does the resident use a wheelchair and/or scooter?
0 . No $\rightarrow$ Skip to GG0130, Self Care (Discharge)

1. Yes $\rightarrow$ Continue to GG0170R, Wheel 50 feet with two turns
R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.


RR1. Indicate the type of wheelchair or scooter used.

1. Manual
2. Motorized
S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.


SS1. Indicate the type of wheelchair or scooter used.

1. Manual
2. Motorized

## Section GG $\quad$ Functional Abilities and Goals - Discharge

GG0130. Self-Care (Assessment period is the last 3 days of the stay)
Complete only if $\mathrm{A} 0310 \mathrm{~F}=10$ or 11 or $\mathrm{A} 0310 \mathrm{H}=1$. If A 0310 G is not $=2$ and $\mathrm{A} 0310 \mathrm{H}=1$ and A 2400 C minus A 2400 B is greater than 2 and A2105 is not $=04$, the stay ends on A2400C. For all other Discharge assessments, the stay ends on A2000.

Code the resident's usual performance at the end of the stay for each activity using the 6-point scale. If an activity was not attempted at the end of the stay, code the reason.

## Coding:

Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.
Activities may be completed with or without assistive devices.
06. Independent - Resident completes the activity by themself with no assistance from a helper.
05. Setup or clean-up assistance - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
04. Supervision or touching assistance - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
03. Partial/moderate assistance - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
02. Substantial/maximal assistance - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.

1. Dependent - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.
If activity was not attempted, code reason:
2. Resident refused
3. Not applicable - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
4. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
5. Not attempted due to medical condition or safety concerns

| 3. |
| :---: |
| Discharge |
| Performance |
| Enter Codes in Boxes |
| $\downarrow$ |


A. Eating: The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident.

B. Oral hygiene: The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment.

C. Toileting hygiene: The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.

E. Shower/bathe self: The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower.

G. Lower body dressing: The ability to dress and undress below the waist, including fasteners; does not include footwear.
H. Putting on/taking off footwear: The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable.

## Section GG $\quad$ Functional Abilities and Goals - Discharge

GG0170. Mobility (Assessment period is the last 3 days of the stay)
Complete only if $\mathrm{A} 0310 \mathrm{~F}=10$ or 11 or $\mathrm{A} 0310 \mathrm{H}=1$. If A 0310 G is not $=2$ and $\mathrm{A} 0310 \mathrm{H}=1$ and A 2400 C minus A 2400 B is greater than 2 and A2105 is not $=04$, the stay ends on A2400C. For all other Discharge assessments, the stay ends on A2000.

Code the resident's usual performance at the end of the stay for each activity using the 6-point scale. If an activity was not attempted at the end of the stay, code the reason.

## Coding:

Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.
Activities may be completed with or without assistive devices.
06. Independent - Resident completes the activity by themself with no assistance from a helper.
05. Setup or clean-up assistance - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
04. Supervision or touching assistance - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
03. Partial/moderate assistance - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
02. Substantial/maximal assistance - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.

1. Dependent - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.
If activity was not attempted, code reason:
2. Resident refused
3. Not applicable - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
4. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
5. Not attempted due to medical condition or safety concerns

| 3. <br> Discharge Performance <br> Enter Codes in Boxes |  |
| :---: | :---: |
|  | A. Roll left and right: The ability to roll from lying on back to left and right side, and return to lying on back on the bed. |
|  | B. Sit to lying: The ability to move from sitting on side of bed to lying flat on the bed. |
|  | C. Lying to sitting on side of bed: The ability to move from lying on the back to sitting on the side of the bed and with no back support. |
|  | D. Sit to stand: The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed. |
|  | E. Chair/bed-to-chair transfer: The ability to transfer to and from a bed to a chair (or wheelchair). |
|  | F. Toilet transfer: The ability to get on and off a toilet or commode. |
|  | G. Car transfer: The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/ close door or fasten seat belt. |
|  | I. Walk 10 feet: Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space. If discharge performance is coded $07,09,10$, or $88 \rightarrow$ Skip to GG0170M, 1 step (curb) |
|  | J. Walk 50 feet with two turns: Once standing, the ability to walk at least 50 feet and make two turns. If discharge performance is coded $07,09,10$, or $88 \rightarrow$ Skip to GG0170M, 1 step (curb) |
| $\square$ | K. Walk 150 feet: Once standing, the ability to walk at least 150 feet in a corridor or similar space. |

## Section GG $\quad$ Functional Abilities and Goals - Discharge

GG0170. Mobility (Assessment period is the last 3 days of the stay)
Complete only if $\mathrm{A} 0310 \mathrm{~F}=10$ or 11 or $\mathrm{A} 0310 \mathrm{H}=1$. If A 0310 G is not $=2$ and $\mathrm{A} 0310 \mathrm{H}=1$ and A 2400 C minus A 2400 B is greater than 2 and A2105 is not $=04$, the stay ends on A2400C. For all other Discharge assessments, the stay ends on A2000.

Code the resident's usual performance at the end of the stay for each activity using the 6-point scale. If an activity was not attempted at the end of the stay, code the reason.

## Coding:

Safety and Quality of Performance - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.
Activities may be completed with or without assistive devices.
06. Independent - Resident completes the activity by themself with no assistance from a helper.
05. Setup or clean-up assistance - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
04. Supervision or touching assistance - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
03. Partial/moderate assistance - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
02. Substantial/maximal assistance - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.

1. Dependent - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.
If activity was not attempted, code reason:
2. Resident refused
3. Not applicable - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
4. Not attempted due to environmental limitations (e.g., lack of equipment, weather constraints)
5. Not attempted due to medical condition or safety concerns

| 3. Discharge Performance |  |
| :---: | :---: |
| Enter Codes in Boxes |  |
| L. Walking 10 feet on uneven surfaces: The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel. |  |
|  | M. 1 step (curb): The ability to go up and down a curb and/or up and down one step. If discharge performance is coded $07,09,10$, or $88 \rightarrow$ Skip to GG0170P, Picking up object |
|  | N. 4 steps: The ability to go up and down four steps with or without a rail. If discharge performance is coded $07,09,10$, or $88 \rightarrow$ Skip to GG0170P, Picking up object |
|  | O. 12 steps: The ability to go up and down 12 steps with or without a rail. |
|  | P. Picking up object: The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor. |
|  | Q3. Does the resident use a wheelchair and/or scooter? <br> 0 . No $\rightarrow$ Skip to H0100, Appliances <br> 1. Yes $\rightarrow$ Continue to GG0170R, Wheel 50 feet with two turns |
|  | R. Wheel 50 feet with two turns: Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns. |
|  | RR3. Indicate the type of wheelchair or scooter used. <br> 1. Manual <br> 2. Motorized |
|  | S. Wheel 150 feet: Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space. |
|  | SS3. Indicate the type of wheelchair or scooter used. <br> 1. Manual <br> 2. Motorized |

## Section H $\quad$ Bladder and Bowel

H0100. Appliances

## Check all that apply


A. Indwelling catheter (including suprapubic catheter and nephrostomy tube)
B. External catheter
C. Ostomy (including urostomy, ileostomy, and colostomy)
D. Intermittent catheterization
Z. None of the above

## H0200. Urinary Toileting Program


A. Has a trial of a toileting program (e.g., scheduled toileting, prompted voiding, or bladder training) been attempted on admission/entry or reentry or since urinary incontinence was noted in this facility?
0 . No $\rightarrow$ Skip to H0300, Urinary Continence

1. Yes $\rightarrow$ Continue to H0200C, Current toileting program or trial
2. Unable to determine $\rightarrow$ Continue to H0200C, Current toileting program or trial
C. Current toileting program or trial - Is a toileting program (e.g., scheduled toileting, prompted voiding, or bladder training) currently being used to manage the resident's urinary continence?
0 . No
3. Yes

## H0300. Urinary Continence



Urinary continence - Select the one category that best describes the resident
0 . Always continent

1. Occasionally incontinent (less than 7 episodes of incontinence)
2. Frequently incontinent (7 or more episodes of urinary incontinence, but at least one episode of continent voiding)
3. Always incontinent (no episodes of continent voiding)
4. Not rated, resident had a catheter (indwelling, condom), urinary ostomy, or no urine output for the entire 7 days

## H0400. Bowel Continence

Enter Code Bowel continence - Select the one category that best describes the resident


0 . Always continent

1. Occasionally incontinent (one episode of bowel incontinence)
2. Frequently incontinent (2 or more episodes of bowel incontinence, but at least one continent bowel movement)
3. Always incontinent (no episodes of continent bowel movements)
4. Not rated, resident had an ostomy or did not have a bowel movement for the entire 7 days

## H0500. Bowel Toileting Program



Is a toileting program currently being used to manage the resident's bowel continence?
0 . No

1. Yes

## Section I Active Diagnoses

10020. Indicate the resident's primary medical condition category

Complete only if $\mathrm{A} 0310 \mathrm{~B}=01$ or 08

Enter Code


Indicate the resident's primary medical condition category that best describes the primary reason for admission

1. Stroke
2. Non-Traumatic Brain Dysfunction
3. Traumatic Brain Dysfunction
4. Non-Traumatic Spinal Cord Dysfunction
5. Traumatic Spinal Cord Dysfunction
6. Progressive Neurological Conditions
7. Other Neurological Conditions
8. Amputation
9. Hip and Knee Replacement
10. Fractures and Other Multiple Trauma
11. Other Orthopedic Conditions
12. Debility, Cardiorespiratory Conditions
13. Medically Complex Conditions

I0020B. ICD Code


## Section I <br> Active Diagnoses

## Active Diagnoses in the last 7 days - Check all that apply

Diagnoses listed in parentheses are provided as examples and should not be considered as all-inclusive lists

## Cancer

10100. Cancer (with or without metastasis)
## Heart/Circulation

10200. Anemia (e.g., aplastic, iron deficiency, pernicious, and sickle cell)
10201. Coronary Artery Disease (CAD) (e.g., angina, myocardial infarction, and atherosclerotic heart disease (ASHD))
10202. Heart Failure (e.g., congestive heart failure (CHF) and pulmonary edema)
10203. Hypertension
10204. Orthostatic Hypotension
10205. Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD)

Gastrointestinal
11300. Ulcerative Colitis, Crohn's Disease, or Inflammatory Bowel Disease

Genitourinary
I1500. Renal Insufficiency, Renal Failure, or End-Stage Renal Disease (ESRD)
11550. Neurogenic Bladder
11650. Obstructive Uropathy

Infections
I1700. Multidrug-Resistant Organism (MDRO)
12000. Pneumonia
12100. Septicemia
12200. Tuberculosis
12300. Urinary Tract Infection (UTI) (LAST 30 DAYS)
12400. Viral Hepatitis (e.g., Hepatitis A, B, C, D, and E)
12500. Wound Infection (other than foot)

Metabolic
12900. Diabetes Mellitus (DM) (e.g., diabetic retinopathy, nephropathy, and neuropathy)
13100. Hyponatremia
13200. Hyperkalemia
13300. Hyperlipidemia (e.g., hypercholesterolemia)

Musculoskeletal
13900. Hip Fracture - any hip fracture that has a relationship to current status, treatments, monitoring (e.g., sub-capital fractures, and fractures of the trochanter and femoral neck)
14000. Other Fracture

Neurological
14200. Alzheimer's Disease
14300. Aphasia
14400. Cerebral Palsy
14500. Cerebrovascular Accident (CVA), Transient Ischemic Attack (TIA), or Stroke
14800. Non-Alzheimer's Dementia (e.g. Lewy body dementia, vascular or multi-infarct dementia; mixed dementia; frontotemporal dementia such as Pick's disease; and dementia related to stroke, Parkinson's or Creutzfeldt-Jakob diseases)
14900. Hemiplegia or Hemiparesis
15000. Paraplegia
15100. Quadriplegia
15200. Multiple Sclerosis (MS)
15250. Huntington's Disease
15300. Parkinson's Disease
15350. Tourette's Syndrome
15400. Seizure Disorder or Epilepsy
15500. Traumatic Brain Injury (TBI)

## Section I <br> Active Diagnoses

## Active Diagnoses in the last 7 days - Check all that apply

Diagnoses listed in parentheses are provided as examples and should not be considered as all-inclusive lists


## Section J Health Conditions

J0100. Pain Management - Complete for all residents, regardless of current pain level
At any time in the last $\mathbf{5}$ days, has the resident:
Enter Code A. Received scheduled pain medication regimen?
0 . No

1. Yes

Enter Code


Enter Code
B. Received
0. No

1. Yes
C. Received non-medication intervention for pain?

0 . No

1. Yes

## J0200. Should Pain Assessment Interview be Conducted?

Attempt to conduct interview with all residents. If resident is comatose, skip to J1100, Shortness of Breath (dyspnea)
Enter Code
0 . No (resident is rarely/never understood) $\rightarrow$ Skip to and complete J0800, Indicators of Pain or Possible Pain

1. Yes $\rightarrow$ Continue to J0300, Pain Presence

## Pain Assessment Interview

## J0300. Pain Presence



Ask resident: "Have you had pain or hurting at any time in the last 5 days?"
0 . No $\rightarrow$ Skip to J1100, Shortness of Breath

1. Yes $\rightarrow$ Continue to J0410, Pain Frequency
2. Unable to answer $\rightarrow$ Skip to J0800, Indicators of Pain or Possible Pain

## J0410. Pain Frequency



Ask resident: "How much of the time have you experienced pain or hurting over the last 5 days?"

1. Rarely or not at all
2. Occasionally
3. Frequently
4. Almost constantly
5. Unable to answer

## J0510. Pain Effect on Sleep

Ask resident: "Over the past 5 days, how much of the time has pain made it hard for you to sleep at night?"

1. Rarely or not at all
2. Occasionally
3. Frequently
4. Almost constantly
5. Unable to answer

## J0520. Pain Interference with Therapy Activities

Enter Code
Ask resident: "Over the past 5 days, how often have you limited your participation in rehabilitation therapy sessions due to pain?"

0 . Does not apply - I have not received rehabilitation therapy in the past $\mathbf{5}$ days

1. Rarely or not at all
2. Occasionally
3. Frequently
4. Almost constantly
5. Unable to answer

## Section J Health Conditions

## Pain Assessment Interview - Continued

## J0530. Pain Interference with Day-to-Day Activities

Ask resident: "Over the past 5 days, how often have you limited your day-to-day activities (excluding rehabilitation therapy sessions) because of pain?"

1. Rarely or not at all
2. Occasionally
3. Frequently
4. Almost constantly
5. Unable to answer

J0600. Pain Intensity - Administer ONLY ONE of the following pain intensity questions (A or B)

A. Numeric Rating Scale (00-10)

Ask resident: "Please rate your worst pain over the last 5 days on a zero to ten scale, with zero being no pain and ten as the worst pain you can imagine." (Show resident 00-10 pain scale)
Enter two-digit response. Enter 99 if unable to answer.
B. Verbal Descriptor Scale

Enter Code
Ask resident: "Please rate the intensity of your worst pain over the last 5 days." (Show resident verbal scale)

1. Mild
2. Moderate
3. Severe
4. Very severe, horrible
5. Unable to answer

## J0700. Should the Staff Assessment for Pain be Conducted?

Enter Code
0. No (J0410 = 1 thru 4) $\rightarrow$ Skip to J1100, Shortness of Breath (dyspnea)

1. Yes $(\mathrm{J} 0410=9) \rightarrow$ Continue to J0800, Indicators of Pain or Possible Pain

## Staff Assessment for Pain

J0800. Indicators of Pain or Possible Pain in the last 5 days

## Check all that apply

A. Non-verbal sounds (e.g., crying, whining, gasping, moaning, or groaning)
B. Vocal complaints of pain (e.g., that hurts, ouch, stop)
C. Facial expressions (e.g., grimaces, winces, wrinkled forehead, furrowed brow, clenched teeth or jaw)
D. Protective body movements or postures (e.g., bracing, guarding, rubbing or massaging a body part/area, clutching or holding a body part during movement)
Z. None of these signs observed or documented $\rightarrow$ If checked, skip to J1100, Shortness of Breath (dyspnea)

J0850. Frequency of Indicator of Pain or Possible Pain in the last 5 days


Frequency with which resident complains or shows evidence of pain or possible pain

1. Indicators of pain or possible pain observed $\mathbf{1}$ to $\mathbf{2}$ days
2. Indicators of pain or possible pain observed $\mathbf{3}$ to $\mathbf{4}$ days
3. Indicators of pain or possible pain observed daily

## Section J $\quad$ Health Conditions

## Other Health Conditions

## J1100. Shortness of Breath (dyspnea)

## Check all that apply

## $\square \quad$ A. Shortness of breath or trouble breathing with exertion (e.g., walking, bathing, transferring)

B. Shortness of breath or trouble breathing when sitting at rest
C. Shortness of breath or trouble breathing when lying flat

## Z. None of the above

## J1400. Prognosis

| Enter Code | Does the resident have a condition or chronic disease that may result in a life expectancy of less than 6 months? (Requires physician <br> documentation) <br> 0. No <br> 1. Yes |
| :---: | :--- |

## J1550. Problem Conditions

## Check all that apply


A. Fever
B. Vomiting
C. Dehydrated
D. Internal bleeding
Z. None of the above

J1700. Fall History on Admission/Entry or Reentry
Complete only if A0310A = 01 or $\mathrm{A} 0310 \mathrm{E}=1$

A. Did the resident have a fall any time in the last month prior to admission/entry or reentry?

0 . No

1. Yes
2. Unable to determine
B. Did the resident have a fall any time in the last 2-6 months prior to admission/entry or reentry?

0 . No

1. Yes
2. Unable to determine
C. Did the resident have any fracture related to a fall in the $\mathbf{6}$ months prior to admission/entry or reentry?

0 . No

1. Yes
2. Unable to determine

J1800. Any Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent recent?
0. No $\rightarrow$ Skip to J2000, Prior Surgery

1. Yes $\rightarrow$ Continue to J1900, Number of Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS)

## Section J $\quad$ Health Conditions

J1900. Number of Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent

| $\downarrow$ Ente |  |  |
| :--- | :---: | :---: |
|  |  |  |
|  | $\square$ |  |

0. None
1. One
2. Two or more
B. Injury (except major) - skin tears, abrasions, lacerations, superficial bruises, hematomas and sprains; or any fall-related injury that causes the resident to complain of pain
C. Major injury - bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma

J2000. Prior Surgery - Complete only if $A 0310 B=01$

| Enter Code | Did the resident have major surgery during the $\mathbf{1 0 0}$ days prior to admission? <br> 0. No <br> 1. Yes <br> 8. Unknown |
| :--- | :--- |

J2100. Recent Surgery Requiring Active SNF Care - Complete only if A0310B = 01 or 08


Did the resident have a major surgical procedure during the prior inpatient hospital stay that requires active care during the SNF stay?
0 . No

1. Yes
2. Unknown

## Section J $\quad$ Health Conditions

| Surgical Procedures - Complete only if $\mathrm{J} 2100=1$ |  |
| :---: | :---: |
| $\downarrow$ | Check all that apply |
| - Major Joint Replacement |  |
| $\square$ | J2300. Knee Replacement - partial or total |
|  | J2310. Hip Replacement - partial or total |
|  | J2320. Ankle Replacement - partial or total |
|  | J2330. Shoulder Replacement - partial or total |
|  | Spinal Surgery |
|  | J2400. Involving the spinal cord or major spinal nerves |
|  | J2410. Involving fusion of spinal bones |
|  | J2420. Involving lamina, discs, or facets |
|  | J2499. Other major spinal surgery |
|  | Other Orthopedic Surgery |
| $\square$ | J2500. Repair fractures of the shoulder (including clavicle and scapula) or arm (but not hand) |
|  | J2510. Repair fractures of the pelvis, hip, leg, knee, or ankle (not foot) |
|  | J2520. Repair but not replace joints |
|  | J2530. Repair other bones (such as hand, foot, jaw) |
|  | J2599. Other major orthopedic surgery |
|  | Neurological Surgery |
|  | J2600. Involving the brain, surrounding tissue or blood vessels (excludes skull and skin but includes cranial nerves) |
|  | J2610. Involving the peripheral or autonomic nervous system - open or percutaneous |
|  | J2620. Insertion or removal of spinal or brain neurostimulators, electrodes, catheters, or CSF drainage devices |
|  | J2699. Other major neurological surgery |
|  | Cardiopulmonary Surgery |
| $\square$ | J2700. Involving the heart or major blood vessels - open or percutaneous procedures |
|  | J2710. Involving the respiratory system, including lungs, bronchi, trachea, larynx, or vocal cords - open or endoscopic |
|  | J2799. Other major cardiopulmonary surgery |
|  | Genitourinary Surgery |
| $\square$ | J2800. Involving genital systems (such as prostate, testes, ovaries, uterus, vagina, external genitalia) <br> J2810. Involving the kidneys, ureters, adrenal glands, or bladder - open or laparoscopic (includes creation or removal of nephrostomies or urostomies) |
| $\square$ |  |
|  | J2899. Other major genitourinary surgery |
|  | Other Major Surgery |
| $\square$ | J2900. Involving tendons, ligaments, or muscles |
| $\square$ | J2910. Involving the gastrointestinal tract or abdominal contents from the esophagus to the anus, the biliary tree, gall bladder, liver, pancreas, or spleen - open or laparoscopic (including creation or removal of ostomies or percutaneous feeding tubes, or hernia repair) |
|  | J2920. Involving the endocrine organs (such as thyroid, parathyroid), neck, lymph nodes, or thymus - open |
|  | J2930. Involving the breast |
| $\square$ | J2940. Repair of deep ulcers, internal brachytherapy, bone marrow or stem cell harvest or transplant |
| $\square$ | J5000. Other major surgery not listed above |

## Section K Swallowing/Nutritional Status

## K0100. Swallowing Disorder

Signs and symptoms of possible swallowing disorder

## Check all that apply

A. Loss of liquids/solids from mouth when eating or drinking
B. Holding food in mouth/cheeks or residual food in mouth after meals
C. Coughing or choking during meals or when swallowing medications
D. Complaints of difficulty or pain with swallowing
Z. None of the above

K0200. Height and Weight - While measuring, if the number is X. $1-X .4$ round down; X. 5 or greater round up

A. Height (in inches). Record most recent height measure since the most recent admission/entry or reentry
B. Weight (in pounds). Base weight on most recent measure in last 30 days; measure weight consistently, according to standard facility practice (e.g., in a.m. after voiding, before meal, with shoes off, etc.)

## K0300. Weight Loss



Loss of 5\% or more in the last month or loss of 10\% or more in last 6 months
0 . No or unknown

1. Yes, on physician-prescribed weight-loss regimen
2. Yes, not on physician-prescribed weight-loss regimen

## K0310. Weight Gain

Enter Code
$\square$

Gain of 5\% or more in the last month or gain of 10\% or more in last 6 months
0 . No or unknown

1. Yes, on physician-prescribed weight-gain regimen
2. Yes, not on physician-prescribed weight-gain regimen

## K0520. Nutritional Approaches

Check all of the following nutritional approaches that apply

1. On Admission

Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B
2. While Not a Resident

Performed while NOT a resident of this facility and within the last 7 days. Only check column 2 if resident entered (admission or reentry) IN THE LAST 7 DAYS. If resident last entered 7 or more days ago, leave column 2 blank.
3. While a Resident

Performed while a resident of this facility and within the last 7 days
4. At Discharge

Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C
A. Parenteral/IV feeding
B. Feeding tube (e.g., nasogastric or abdominal (PEG))
C. Mechanically altered diet - require change in texture of food or liquids (e.g., pureed food, thickened liquids)
D. Therapeutic diet (e.g., low salt, diabetic, low cholesterol)
Z. None of the above


## Section K Swallowing/Nutritional Status

K0710. Percent Intake by Artificial Route - Complete K0710 only if Column 2 and/or Column 3 are checked for K0520A and/or K0520B


## Section L Oral/Dental Status

L0200. Dental
Check all that apply
A. Broken or loosely fitting full or partial denture (chipped, cracked, uncleanable, or loose)
F. Mouth or facial pain, discomfort or difficulty with chewing

## Section M Skin Conditions

## Report based on highest stage of existing ulcers/injuries at their worst; do not "reverse" stage

## M0100. Determination of Pressure Ulcer/Injury Risk

## Check all that apply

A. Resident has a pressure ulcer/injury, a scar over bony prominence, or a non-removable dressing/device
B. Formal assessment instrument/tool (e.g., Braden, Norton, or other)
C. Clinical assessment
Z. None of the above

M0150. Risk of Pressure Ulcers/Injuries Enter Code

Is this resident at risk of developing pressure ulcers/injuries?
0 . No

1. Yes

M0210. Unhealed Pressure Ulcers/Injuries


Does this resident have one or more unhealed pressure ulcers/injuries?
0 . No $\rightarrow$ Skip to M1030, Number of Venous and Arterial Ulcers

1. Yes $\rightarrow$ Continue to M0300, Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage

## Section M Skin Conditions

M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage

A. Stage 1: Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues

1. Number of Stage 1 pressure injuries
B. Stage 2: Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister
2. Number of Stage 2 pressure ulcers - If $0 \rightarrow$ Skip to M0300C, Stage 3
3. Number of these Stage 2 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry
C. Stage 3: Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling
4. Number of Stage $\mathbf{3}$ pressure ulcers - If $0 \rightarrow$ Skip to M0300D, Stage 4
5. Number of these Stage 3 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry
D. Stage 4: Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling
6. Number of Stage 4 pressure ulcers - If $0 \rightarrow$ Skip to M0300E, Unstageable - Non-removable dressing/device
7. Number of these Stage 4 pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry
E. Unstageable - Non-removable dressing/device: Known but not stageable due to non-removable dressing/device
8. Number of unstageable pressure ulcers/injuries due to non-removable dressing/device-If $0 \rightarrow$ Skip to M0300F, Unstageable - Slough and/or eschar
9. Number of these unstageable pressure ulcers/injuries that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry
F. Unstageable - Slough and/or eschar: Known but not stageable due to coverage of wound bed by slough and/or eschar
10. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar - If $0 \rightarrow$ Skip to M0300G, Unstageable - Deep tissue injury
11. Number of these unstageable pressure ulcers that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry
G. Unstageable - Deep tissue injury:
12. Number of unstageable pressure injuries presenting as deep tissue injury - If $0 \rightarrow$ Skip to M1030,

Number of Venous and Arterial Ulcers
2. Number of these unstageable pressure injuries that were present upon admission/entry or reentry - enter how many were noted at the time of admission/entry or reentry

## Section M $\quad$ Skin Conditions

M1030. Number of Venous and Arterial Ulcers

| Enter Number | Enter the total number of venous and arterial ulcers present |
| :---: | :---: |
| M1040. Other Ulcers, Wounds and Skin Problems |  |
| $\downarrow$ Check all that apply |  |
| - Foot Problems |  |
| $\square$ | A. Infection of the foot (e.g., cellulitis, purulent drainage) |
| $\square$ | B. Diabetic foot ulcer(s) |
| $\square$ | C. Other open lesion(s) on the foot |
|  | Other Problems |
| $\square$ | D. Open lesion(s) other than ulcers, rashes, cuts (e.g., cancer lesion) |
| $\square$ | E. Surgical wound(s) |
| $\square$ | F. Burn(s) (second or third degree) |
| $\square$ | G. Skin tear(s) |
| $\square$ | H. Moisture Associated Skin Damage (MASD) (e.g., incontinence-associated dermatitis [IAD], perspiration, drainage) |
|  | None of the Above |
| $\square$ | Z. None of the above were present |
| M1200. Skin and Ulcer/Injury Treatments |  |
| $\downarrow$ Check all that apply |  |
| $\square$ | A. Pressure reducing device for chair |
| $\square$ | B. Pressure reducing device for bed |
| $\square$ | C. Turning/repositioning program |
| $\square$ | D. Nutrition or hydration intervention to manage skin problems |
| $\square$ | E. Pressure ulcer/injury care |
| $\square$ | F. Surgical wound care |
| $\square$ | G. Application of nonsurgical dressings (with or without topical medications) other than to feet |
| $\square$ | H. Applications of ointments/medications other than to feet |
| $\square$ | I. Application of dressings to feet (with or without topical medications) |
| $\square$ | Z. None of the above were provided |

## Section N $\quad$ Medications

## N0300. Injections

Enter Days
Record the number of days that injections of any type were received during the last 7 days or since admission/entry or reentry if less than 7 days. If $0 \rightarrow$ Skip to N0415, High-Risk Drug Classes: Use and Indication

## N0350. Insulin

## Enter Days



Enter Days

A. Insulin injections - Record the number of days that insulin injections were received during the last 7 days or since admission/entry or reentry if less than 7 days
B. Orders for insulin - Record the number of days the physician (or authorized assistant or practitioner) changed the resident's insulin orders during the last 7 days or since admission/entry or reentry if less than 7 days

## N0415. High-Risk Drug Classes: Use and Indication



## Section N $\quad$ Medications

N2001. Drug Regimen Review - Complete only if $A 0310 B=01$
Enter Code Did a complete drug regimen review identify potential clinically significant medication issues?
0. No - No issues found during review

1. Yes-Issues found during review
2. NA - Resident is not taking any medications

N2003. Medication Follow-up - Complete only if N2001 =1


Did the facility contact a physician (or physician-designee) by midnight of the next calendar day and complete prescribed/ recommended actions in response to the identified potential clinically significant medication issues?
0. No

1. Yes

N2005. Medication Intervention - Complete only if A0310H = 1
Enter Code Did the facility contact and complete physician (or physician-designee) prescribed/recommended actions by midnight of the next calendar day each time potential clinically significant medication issues were identified since the admission?

0 . No

1. Yes
2. NA - There were no potential clinically significant medication issues identified since admission or resident is not taking any medications

## Section 0 Special Treatments, Procedures, and Programs

O0110. Special Treatments, Procedures, and Programs
Check all of the following treatments, procedures, and programs that were performed
a. On Admission

Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B
b. While a Resident

Performed while a resident of this facility and within the last 14 days
c. At Discharge

Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C

## Cancer Treatments

A1. Chemotherapy
A2. IV

A3. Oral

A10. Other
B1. Radiation

| a. <br> On Admission | b. <br> While a Resident | c. <br> At Discharge |
| :---: | :---: | :---: |
| $\downarrow$ | Check all that apply <br> $\downarrow$ | $\downarrow$ |
| $\square$ | $\square$ | $\square$ |
| $\square$ | $\square$ |  |
| $\square$ | $\square$ | $\square$ |
| $\square$ | $\square$ | $\square$ |
| $\square$ | $\square$ | $\square$ |

## Respiratory Treatments



Other
H1. IV Medications
H2. Vasoactive medications
H3. Antibiotics
H4. Anticoagulant
H10. Other
11. Transfusions


00110 continued on next page

## Section 0 <br> Special Treatments, Procedures, and Programs

110. Special Treatments, Procedures, and Programs - Continued

Check all of the following treatments, procedures, and programs that were performed

| a. On Admission <br> Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B <br> b. While a Resident <br> Performed while a resident of this facility and within the last 14 days | a. On Admission | b. While a Resident | c. At Discharge |
| :---: | :---: | :---: | :---: |
| c. At Discharge Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C | $\downarrow$ | Check all that apply | $\downarrow$ |
| J1. Dialysis | $\square$ | $\square$ | $\square$ |
| J2. Hemodialysis | $\square$ |  | $\square$ |
| J3. Peritoneal dialysis | $\square$ |  | $\square$ |
| K1. Hospice care |  | $\square$ |  |
| M1. Isolation or quarantine for active infectious disease (does not include standard body/fluid precautions) |  | $\square$ |  |
| 01. IV Access | $\square$ | $\square$ | $\square$ |
| O2. Peripheral | $\square$ |  | $\square$ |
| 03. Midline | $\square$ |  | $\square$ |
| O4. Central (e.g., PICC, tunneled, port) | $\square$ |  | $\square$ |

## None of the Above

## Z1. None of the above

250. Influenza Vaccine - Refer to current version of RAI manual for current influenza vaccination season and reporting period

Enter Code

A. Did the resident receive the influenza vaccine in this facility for this year's influenza vaccination season?

0 . No $\rightarrow$ Skip to O0250C, If influenza vaccine not received, state reason

1. Yes $\rightarrow$ Continue to O0250B, Date influenza vaccine received
B. Date influenza vaccine received $\rightarrow$ Complete date and skip to 00300A, Is the resident's Pneumococcal vaccination up to date?

C. If influenza vaccine not received, state reason:
. Resident not in this facility during this year's influenza vaccination season
2. Received outside of this facility
. Not eligible-medical contraindication
3. Offered and declined
4. Not offered
5. Inability to obtain influenza vaccine due to a declared shortage
6. None of the above

## 00300. Pneumococcal Vaccine

Enter Code

A. Is the resident's Pneumococcal vaccination up to date?

0 . No $\rightarrow$ Continue to O0300B, If Pneumococcal vaccine not received, state reason

1. Yes $\rightarrow$ Skip to O0400, Therapies
B. If Pneumococcal vaccine not received, state reason:

Not eligible - medical contraindication
Offered and declined
3. Not offered

## Section 0 Special Treatments, Procedures, and Programs

400. Therapies


Enter Number of Days


Enter Number of Minutes


Enter Number of Minutes


Enter Number of Days

A. Speech-Language Pathology and Audiology Services

1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days
2. Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days
3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days
If the sum of individual, concurrent, and group minutes is zero, $\rightarrow$ skip to O0400A5, Therapy start date
3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions in the last 7 days
4. Days - record the number of days this therapy was administered for at least $\mathbf{1 5}$ minutes a day in the last 7 days
5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started

6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing


## B. Occupational Therapy

1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days
2. Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days
3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days
If the sum of individual, concurrent, and group minutes is zero, $\rightarrow$ skip to O0400B5, Therapy start date
3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions in the last 7 days
4. Days - record the number of days this therapy was administered for at least $\mathbf{1 5}$ minutes a day in the last $\mathbf{7}$ days
5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started

6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing


## 00400 continued on next page

## Section 0 Special Treatments, Procedures, and Programs

## O0400. Therapies - Continued


C. Physical Therapy

Enter Number of Days


Enter Number of Days

Enter Number of Days


1. Individual minutes - record the total number of minutes this therapy was administered to the resident individually in the last 7 days
2. Concurrent minutes - record the total number of minutes this therapy was administered to the resident concurrently with one other resident in the last 7 days
3. Group minutes - record the total number of minutes this therapy was administered to the resident as part of a group of residents in the last 7 days
If the sum of individual, concurrent, and group minutes is zero, $\rightarrow$ skip to O0400C5, Therapy start date
3A. Co-treatment minutes - record the total number of minutes this therapy was administered to the resident in co-treatment sessions in the last 7 days
4. Days - record the number of days this therapy was administered for at least $\mathbf{1 5}$ minutes a day in the last $\mathbf{7}$ days
5. Therapy start date - record the date the most recent therapy regimen (since the most recent entry) started

6. Therapy end date - record the date the most recent therapy regimen (since the most recent entry) ended - enter dashes if therapy is ongoing

D. Respiratory Therapy
7. Days - record the number of days this therapy was administered for at least $\mathbf{1 5}$ minutes a day in the last $\mathbf{7}$ days
E. Psychological Therapy (by any licensed mental health professional)
8. Days - record the number of days this therapy was administered for at least $\mathbf{1 5}$ minutes a day in the last $\mathbf{7}$ days

Record the number of calendar days that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least $\mathbf{1 5}$ minutes in the past $\mathbf{7}$ days.

## Section 0

## Special Treatments, Procedures, and Programs

## O0425. Part A Therapies <br> Complete only if $\mathrm{A} 0310 \mathrm{H}=1$



Enter Number of Minutes


Enter Number of Days


Enter Number of Minutes


Enter Number of Minutes


Enter Number of Minutes


Enter Number of Minutes


Enter Number of Days


Enter Number of Minutes


Enter Number of Minutes


Enter Number of Days
00430. Distinct Calendar Days of Part A Therapy

Complete only if A0310H = 1


Record the number of calendar days that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least 15 minutes since the start date of the resident's most recent Medicare Part A stay (A2400B)

## Section 0 Special Treatments, Procedures, and Programs

## 00500. Restorative Nursing Programs

Record the number of days each of the following restorative programs was performed (for at least 15 minutes a day) in the last 7 calendar days (enter 0 if none or less than 15 minutes daily)

| Number <br> of Days | Technique |
| :---: | :--- |
| $\square$ | A. Range of motion (passive) |

B. Range of motion (active)
C. Splint or brace assistance

Training and Skill Practice In:
D. Bed mobility
E. Transfer
F. Walking
G. Dressing and/or grooming
H. Eating and/or swallowing
I. Amputation/prostheses care
J. Communication

\section*{| Section P | Restraints and Alarms |
| :--- | :--- |}

## P0100. Physical Restraints

Physical restraints are any manual method or physical or mechanical device, material or equipment attached or adjacent to the resident's body that the individual cannot remove easily which restricts freedom of movement or normal access to one's body

|  |  | ter Codes in Boxes |
| :---: | :---: | :---: |
|  |  | Used in Bed |
|  |  | A. Bed rail |
|  |  | B. Trunk restraint |
|  |  | C. Limb restraint |
| 0. Not used |  | D. Other |
| 2. Used daily |  | Used in Chair or Out of Bed |
|  |  | E. Trunk restraint |
|  |  | F. Limb restraint |
|  |  | G. Chair prevents rising |
|  |  | H. Other |

## Section Q <br> Participation in Assessment and Goal Setting

Q0110. Participation in Assessment and Goal Setting
Identify all active participants in the assessment process
Check all that apply
$\square \quad$ A. Resident
B. Family
C. Significant other
D. Legal guardian
E. Other legally authorized representative
Z. None of the above

## Section Q $\quad$ Participation in Assessment and Goal Setting

## Q0310. Resident's Overall Goal

Complete only if A0310E = 1

| Enter Code | A. Resident's overall goal for discharge established during the assessment process <br> 1. Discharge to the community <br> 2. Remain in this facility <br> 3. Discharge to another facility/institution <br> 9. Unknown or uncertain |
| :--- | :--- |
|  | B. Indicate information source for Q0310A <br> 1. Resident <br> 2. Family <br> 3. Significant other <br> 4. Legal guardian <br> 5. Other legally authorized representative <br> 9. None of the above |
|  |  |

Q0490. Resident's Documented Preference to Avoid Being Asked Question Q0500B
Complete only if A0310A $=02,06$, or 99


Does resident's clinical record document a request that this question (Q0500B) be asked only on a comprehensive assessment?
0. No

1. Yes $\rightarrow$ Skip to Q0610, Referral

Q0500. Return to Community

## Enter Code


B. Ask the resident (or family or significant other or guardian or legally authorized representative only if resident is unable to understand or respond): "Do you want to talk to someone about the possibility of leaving this facility and returning to live and receive services in the community?"
0 . No

1. Yes
2. Unknown or uncertain

Enter Code

C. Indicate information source for Q0500B

1. Resident
2. Family
3. Significant other
4. Legal guardian
5. Other legally authorized representative
6. None of the above

## Q0550. Resident's Preference to Avoid Being Asked Question Q0500B

Enter Code


Enter Code
A. Does resident (or family or significant other or guardian or legally authorized representative only if resident is unable to understand or respond) want to be asked about returning to the community on all assessments? (Rather than on comprehensive assessments alone)
0. No - then document in resident's clinical record and ask again only on the next comprehensive assessment

1. Yes
2. Information not available
C. Indicate information source for Q0550A
3. Resident
4. Family
5. Significant other
6. Legal guardian
7. Other legally authorized representative
8. None of the above

## Section Q $\quad$ Participation in Assessment and Goal Setting

## Q0610. Referral

Enter Code A. Has a referral been made to the Local Contact Agency (LCA)?
0 . No

1. Yes

Q0620. Reason Referral to Local Contact Agency (LCA) Not Made
Complete only if Q0610 = 0

| Enter Code |
| :---: | :---: |$\quad$| Indicate reason why referral to LCA was not made |
| :--- |
| 1. LCA unknown |
| 2. Referral previously made |
| 3. Referral not wanted |
| 4. Discharge date 3 or fewer months away |
| 5. Discharge date more than 3 months away |

## Section X <br> Correction Request

## Complete Section X only if A0050 = 2 or 3

Identification of Record to be Modified/Inactivated - The following items identify the existing assessment record that is in error. In this section, reproduce the information EXACTLY as it appeared on the existing erroneous record, even if the information is incorrect. This information is necessary to locate the existing record in the National MDS Database.
X0150. Type of Provider (A0200 on existing record to be modified/inactivated)

| Enter Code | Type of provider <br> $\square$ |
| :---: | :---: |
| 1. Nursing home (SNF/NF) <br> 2. Swing Bed |  |

X0200. Name of Resident (A0500 on existing record to be modified/inactivated)
A. First name:

C. Last name:


X0300. Gender (A0800 on existing record to be modified/inactivated)


1. Male
2. Female

X0400. Birth Date (A0900 on existing record to be modified/inactivated)


X0500. Social Security Number (A0600A on existing record to be modified/inactivated)


X0600. Type of Assessment (A0310 on existing record to be modified/inactivated)

## Enter Code


A. Federal OBRA Reason for Assessment

1. Admission assessment (required by day 14)
2. Quarterly review assessment
3. Annual assessment
4. Significant change in status assessment
5. Significant correction to prior comprehensive assessment
6. Significant correction to prior quarterly assessment
7. None of the above
B. PPS Assessment

PPS Scheduled Assessment for a Medicare Part A Stay

1. 5-day scheduled assessment

PPS Unscheduled Assessment for a Medicare Part A Stay
08. IPA - Interim Payment Assessment

Not PPS Assessment
99. None of the above

Enter Code

F. Entry/discharge reporting

1. Entry tracking record
2. Discharge assessment-return not anticipated
3. Discharge assessment-return anticipated
4. Death in facility tracking record
5. None of the above

Enter Code

H. Is this a SNF Part A PPS Discharge Assessment?

0 . No

1. Yes

## Section X <br> Correction Request

X0700. Date on existing record to be modified/inactivated - Complete one only
A. Assessment Reference Date (A2300 on existing record to be modified/inactivated) - Complete only if X0600F = 99

B. Discharge Date (A2000 on existing record to be modified/inactivated) - Complete only if $\mathrm{X} 060 \mathrm{~F}=10,11$, or 12

C. Entry Date (A1600 on existing record to be modified/inactivated) - Complete only if X0600F $=01$


Correction Attestation Section - Complete this section to explain and attest to the modification/inactivation request

## X0800. Correction Number



Enter the number of correction requests to modify/inactivate the existing record, including the present one

X0900. Reasons for Modification - Complete only if Type of Record is to modify a record in error (A0050 = 2)
Check all that apply
A. Transcription error
B. Data entry error
C. Software product error
D. Item coding error
Z. Other error requiring modification

If "Other" checked, please specify:
X1050. Reasons for Inactivation - Complete only if Type of Record is to inactivate a record in error ( $\mathrm{A} 0050=3$ )
Check all that apply
A. Event did not occur
Z. Other error requiring inactivation

If "Other" checked, please specify:
X1100. RN Assessment Coordinator Attestation of Completion
A. Attesting individual's first name:

B. Attesting individual's last name:

C. Attesting individual's title:
D. Signature

## E. Attestation date



## Section Z <br> Assessment Administration

Z0100. Medicare Part A Billing
A. Medicare Part A HIPPS code:

B. Version code:


Z0200. State Medicaid Billing (if required by the state)
A. Case Mix group:

B. Version code:


Z0250. Alternate State Medicaid Billing (if required by the state)
A. Case Mix group:

B. Version code:


Z0300. Insurance Billing
A. Billing code:

B. Billing version:


\section*{| Section Z | Assessment Administration |
| :--- | :--- |}

Z0400. Signature of Persons Completing the Assessment or Entry/Death Reporting
I certify that the accompanying information accurately reflects resident assessment information for this resident and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for ensuring that residents receive appropriate and quality care, and as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that I may be personally subject to or may subject my organization to substantial criminal, civil, and/or administrative penalties for submitting false information. I also certify that I am authorized to submit this information by this facility on its behalf.

| Signature | Title | Sate Sections <br> Completed |  |
| :--- | :--- | :--- | :--- |
| A. |  |  |  |
| B. |  |  |  |
| C. |  |  |  |
| D. |  |  |  |
| E. |  |  |  |
| F. |  |  |  |
| G. |  |  |  |
| H. |  |  |  |
| I. |  |  |  |
| J. |  |  |  |
| K. |  |  |  |
| L. |  |  |  |

## A. Signature:

B. Date RN Assessment Coordinator signed
assessment as complete:


Legal Notice Regarding MDS 3.0 - Copyright 2011 United States of America and interRAI. This work may be freely used and distributed solely within the United States. Portions of the MDS 3.0 are under separate copyright protections; Pfizer Inc. holds the copyright for the PHQ-9; Confusion Assessment Method. © 1988, 2003, Hospital Elder Life Program. All rights reserved. Adapted from: Inouye SK et al. Ann Intern Med. 1990; 113:941-8. Both Pfizer Inc. and the Hospital Elder Life Program, LLC have granted permission to use these instruments in association with the MDS 3.0.


[^0]:    Adapted from: Inouye SK, et al. Ann Intern Med. 1990; 113: 941-948. Confusion Assessment Method. Copyright 2003, Hospital Elder Life Program, LLC. Not to be reproduced without

