Information Collection Request Supporting Statement Part A

United States Environmental Protection Agency Chromium Finishing Industry Data Collection

FEBRUARY 2023

TABLE OF CONTENTS

			Page	
PART A	A OF TI	HE SUPPORTING STATEMENT	1	
	1.	Circumstances That Make the Collection of Information Necessary and		
		Legal Requirements That Necessitate the Collection		
	2.	How, by Whom, and for What Purpose the Information is to be Used		
		2(a) What Information Will Be Collected, Reported, or Recorded?		
		2(b) From Whom Will the Information Be Collected?		
		2(c) What Will the Information Be Used For?	18	
		2(d) How Will the Information Be Collected? Does the Respondent have Multiple Options for Providing the Information? What Are They?		
		2(e) How Frequently Will the Information Be Collected?		
		2(f) Will the Information Be Shared with Any Other Organizations Inside or Outside EPA or the Government?		
		2(g) If This Is an Ongoing Collection, How Have the Collection Requirements Changed Over Time?	21	
	3.	To What Extent Does the Collection of Information Involve the Use of Automated, Electronic, Mechanical, or Other Technology Collection Techniques or Other Forms of Information Technology	22	
	4.	Efforts to Identify Duplication and Why Similar Information Already Available Cannot be Used or Modified for Use for the Purposes Describ in Item 2	ed	
	5.	Collection of Information Impacts to Small Businesses or Other Small Entities and Methods to Minimize the Burden	26	
	6.	Consequence to Federal Program or Policy Activities if the Collection is not Conducted or is Conducted Less Frequently and Any Technical or Legal Obstacles to Reducing Burden	27	
	7.	Special Circumstances	28	
	8.	Publication of the Federal Register Notice and Public Response	28	
		8(a) Federal Register Notice Publication	28	
		8(b) Consultations	30	
	9.	Payment or Gift to Respondents	31	
	10.	Confidential Business information claims		
	11.	Questions of a Sensitive Nature	32	
	12.	Estimates of Respondent Burden for the Information Collection		
		12(a) Estimate of Respondent Hour Burden		

LIST OF TABLES (Continued)

	r age			
	12(b) Estimate of Respondent Labor Costs35			
13.	Total Annual Cost Burden to Respondents or Recordkeepers Resulting			
	from the Collection of Information39			
	13(a) Estimating Capital/Start-up Operating and Maintenance Costs39			
	13(b) Annualizing Capital Costs40			
14.	Annualized Cost to the Federal Government41			
15.	Reason for Any Program Changes or Adjustments in Burden Estimates From the Previous Approved ICR45			
16.	Collection of Information Whose Results Will be Published			
	16(a) Technical Analyses Supported by the Questionnaire45			
	16(b) Collection Schedule48			
	16(c) Publication of Results48			
17.	Display of the Expiration Date for OMB Approval of the Information			
	Collection49			
18.	Certification for Reduction Act Submissions49			
Appendix A:	DRAFT QUESTIONNAIRE Page			
	rage			
Table 2-1. Que	estionnaire Questions and Their Purpose6			
Table 4.1 Evic	ting Data Sources23			
Table 4-1. Exis	uiig Data Sources23			
	timated Questionnaire Response Burden by Activity, Labor Category, and			
Type o	f Response34			
Table 12-2. Es	timated Burden for Wastewater Sampling Program by Activity and Labor			
Catego	ry35			
Table 12-3. 20	21 Mean Hourly Rates by Labor Category36			
Table 12-4. Total Estimated Respondent Labor Burden for the Questionnaire per				
	ndent36			
Table 12-5 Fo	timated Questionnaire Respondents by Response Category and Total			
	ted Burden38			
Table 12-6. To	tal Estimated Labor Burden for Wastewater Sampling per Facility39			
Table 12-7. To	tal Estimated Respondent Labor Burden for Data Collection Activities39			

LIST OF TABLES (Continued)

Pa	age
Table 13-1. Total Other Direct Costs for Respondents to the Questionnaire	.40
Table 13-2. Total Other Direct Costs for Facilities Selected for Wastewater Sampling	.40
able 13-3. Total Estimated Respondent Burden and Cost Summary	.41
able 14-1. Estimated Agency Burden and Labor Costs for the Questionnaire	.43
Table 14-2. Estimated Other Direct Costs for the Agency to Administer the Questionnaire	.44
Fable 14-3. Estimated Agency Burden and Labor Costs for Wastewater Sampling	.44
able 14-4. Estimated Other Direct Costs for the Agency for Wastewater Sampling	.45
able 14-5. Total Estimated Agency Burden and Cost Summary	.45
Table 16-1. Collection Schedule	.48

PART A OF THE SUPPORTING STATEMENT

United States Environmental Protection Agency **Chromium Finishing Industry Data Collection** EPA ICR No. 2723.01 OMB Control No. 2040-NEW Office: EPA Office of Water

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1. CIRCUMSTANCES THAT MAKE THE COLLECTION OF INFORMATION NECESSARY AND LEGAL REQUIREMENTS THAT NECESSITATE THE COLLECTION

The Clean Water Act directs the United States Environmental Protection Agency (EPA) to develop national regulations known as Effluent Limitations Guidelines and Standards (ELGs) to place limits on the pollutants that are discharged by categories of industry to surface waters and publicly owned treatment works (POTWs). For many decades, industrial facilities have used and discharged per- and polyfluoroalkyl substances (PFAS) to the nation's waters. PFAS are a class of synthetic chemicals of concern to EPA because of their widespread use, potential to accumulate in the environment, and adverse human health effects. EPA has not established national technology-based numeric standards for PFAS in wastewater discharges for any industrial point source categories and few states have developed water quality standards for PFAS. Therefore, few industrial facilities have PFAS monitoring requirements, effluent limitations, or pretreatment standards for wastewater discharges.

As announced in EPA's Preliminary Effluent Guidelines Program Plan 15, published in September 2021, EPA plans to revise the Metal Finishing Point Source Category ELGs (codified at 40 CFR Part 433) and Electroplating Point Source Category ELGs (codified at 40 CFR Part 413) to address PFAS and other pollutants in wastewater discharges from chromium finishing facilities. EPA most recently amended the Metal Finishing Point Source Category ELGs in 1986 and the Electroplating Point Source Category ELGs in 1983. Metal finishing is the process of changing the surface of an object for the purpose of improving its appearance and/or durability. Electroplating is the production of a thin surface coating of a metal upon another by electrodeposition. Together, the Metal Finishing Point Source Category ELGs and Electroplating Point Source Category ELGs establish wastewater discharge requirements for thousands of facilities which perform one or more of the following metal finishing or electroplating operations and discharge process wastewater directly to surface waters or indirectly to surface waters through POTWs:

Electroplating.

¹ A POTW is defined under 40 CFR §403.3(q) as "a treatment works as defined by section 212 of the Act, which is owned by a State or municipality (as defined by section 502(4) of the Act). This definition includes any devices and systems used in the storage, treatment, recycling and reclamation of municipal sewage or industrial wastes of a liquid nature. It also includes sewers, pipes, and other conveyances only if they convey wastewater to a POTW Treatment Plant."

- Electroless plating.
- Anodizing.
- Coating (phosphating, chromating, and coloring).
- Chemical etching and milling.
- Printed circuit board manufacture.

Based on information and data collected during the Multi-Industry PFAS Study, EPA determined PFAS-containing chemical fume suppressants are used by some metal finishing and electroplating facilities to control hexavalent chromium emissions, a known human carcinogen and inhalation hazard. EPA determined facilities performing certain chromium operations (hereafter referred to as "chromium finishing facilities"), including chromium plating, chromium anodizing, chromic acid etching, and chromate conversion coating operations, are the predominant sources of PFAS discharges by the Metal Finishing and Electroplating Point Source Categories.

EPA, through this Information Collection Request (ICR) package, requests that the Office of Management and Budget (OMB) review and approve the ICR for the Chromium Finishing Industry Data Collection. Through this collection, EPA will obtain data essential to update the Metal Finishing Point Source Category ELGs and Electroplating Point Source Category ELGs and establish regulations for PFAS in wastewater discharges from chromium finishing facilities. This collection effort is necessary because there are limited national data on PFAS use and discharge, PFAS removal has been limited to a handful of case studies, and there is no currently available data set from which a full population of chromium finishing facilities can be derived.

Chromium finishing facilities report under the same North American Industrial Classification System (NAICS) codes and Standard Industrial Classification (SIC) codes as nonchromium metal finishing and electroplating facilities. Therefore, NAICS and SIC codes cannot be used to distinguish chromium finishing facilities from other nonchromium metal finishing and electroplating facilities. EPA downloaded and reviewed information and data on metal finishing and electroplating facilities that potentially conduct one or more chromium finishing operations available in national EPA data sets, including the Chromium Electroplating and Anodizing National Emissions Standards for Hazardous Air Pollutants (NESHAP) codified at 40 CFR Part 63 Subpart N, 2017 National Emissions Inventory (NEI), Compliance and Emissions Data Reporting Interface (CEDRI), Environmental Compliance History Online (ECHO), and Integrated Compliance Information System (ICIS), as well as data collected from several state environmental agencies. However, none of these data sources define a complete population of chromium finishing facilities in the United States nor do they provide detailed information on specific facility operations (including use of hexavalent chromium or PFAS); generation and management of wastewater; or wastewater characteristics - factors essential to EPA's review and development of ELGs to address PFAS discharges. Section 4. further discusses data sources reviewed by EPA.

A questionnaire and wastewater sampling program for the Metal Finishing and Electroplating Point Source Categories is an essential portion of the ELGs rulemaking process, necessary for EPA to determine if the current regulations remain appropriate and, if warranted, develop new regulations. The data collection activities described in this ICR will provide a robust data set that characterizes PFAS use and wastewater generation, treatment, and discharge from chromium finishing facilities in the United States.

The chromium finishing industry will devote time and resources to respond to this ICR. EPA estimates that the total burden to the approximately 2,035 chromium finishing facilities for responding to the questionnaire and conducting wastewater sampling will be approximately 46,219 hours, or \$2.22 million, including labor and other direct costs. EPA estimates that the total burden to the Agency for the questionnaire and wastewater sampling will be approximately 7,306 hours, or \$0.9 million, including labor costs and other direct costs. The collection design represents EPA's efforts to gather sufficient data to perform the analyses required to accurately review and revise the ELGs for chromium finishing operations, yet at the same time, administer an ICR that limits the burden placed on respondents.

2. HOW, BY WHOM, AND FOR WHAT PURPOSE THE INFORMATION IS TO BE USED

2(a) What Information Will Be Collected, Reported, or Recorded?

EPA's Office of Water plans to administer the data collection, including a one-time questionnaire and wastewater sampling program, under the authority of Section 308 of the Federal Water Pollution Control Act, 33 USC Section 1318 (Clean Water Act). EPA first plans to administer a questionnaire as a census to all active facilities that currently or historically conducted chromium finishing operations in the United States, a subset of the metal finishing and electroplating industry regulated at 40 CFR Part 433 or 40 CFR Part 413. Based on the data sources discussed in Section 4., EPA has identified and compiled mailing addresses for approximately 2,035 chromium finishing facilities in the United States. All active metal finishing and electroplating facilities that conduct or have conducted one or more of the specified chromium finishing operations will be required to complete the questionnaire regardless of size, geography, production, and whether the facility discharges wastewater directly to surface waters, indirectly to surface waters through POTWs, or does not discharge wastewater at all. Because no single existing data source includes information for all facilities engaging in one or more of the specified chromium operations, the exact number of chromium finishing facilities is unclear. EPA will continue to refine the list of facilities engaging in one or more chromium operations by identifying additional or duplicate facilities and collaborating with the National Association for Surface Finishing (NASF), state regulatory authorities, and other industry stakeholders before administering the questionnaire. For the purposes of this ICR, EPA estimates the population of chromium finishing facilities that will receive and be required to complete the questionnaire as 2,035 facilities.

The objectives of the questionnaire will be to confirm the population of facilities that engage or have engaged in chromium finishing operations, as well as gather facility-specific information

and data relevant to PFAS use and generation, management, and discharge of wastewater by the industry, including:

- Facility name, location, contact information, EPA identification numbers, industrial classification, and operating status.
- Information on applicable ELGs and wastewater discharge permits.
- Details on chromium finishing operations, including the type(s) of chromium used and types of processes performed.
- Current and historical chemical fume suppressant and PFAS use, including type and quantity of chemical fume suppressants and PFAS used, rationale for use, and whether these operations generate wastewater.
- Annual production of chromium finishing services or products and annual quantity of hexavalent chromium consumed.
- Quantities and characteristics of wastewater generated on site or transferred to the facility (including PFAS and other pollutant concentrations and flow rate).
- Wastewater treatment and management practices, including current wastewater treatment technologies in place and the quantity and characteristics of wastewater discharged.
- Environmental impact data associated with wastewater management and discharges.
- Financial, ownership, and employment data for individual facilities and their respective ultimate parent companies.

The questionnaire consists of 74 questions. A copy of the draft questionnaire is included in Appendix A. EPA believes that all the information and data requested in the questionnaire is readily available to facilities; EPA does not anticipate facilities will need to generate new information or data to complete the questionnaire. The data items requested by the questionnaire and the purpose for requesting the information are listed in able 2-1.

EPA prepared the questionnaire to be applicable to a variety of facilities; therefore, not all questions will apply to every company or facility. Facilities that receive the questionnaire but have not conducted chromium finishing operations since 1995 or have permanently discontinued all metal finishing and electroplating operations by 2023 are instructed not to complete the questionnaire. Most facilities will not be required to complete every question in the questionnaire. For example, facilities that did not generate wastewater, operate wastewater treatment, or discharge wastewater in 2022 will be instructed to skip entire sections or sets of questions in the questionnaire.

EPA plans to conduct the questionnaire via a web-based platform, Qualtrics Survey Software (Qualtrics). The questionnaire will primarily collect data for calendar year 2022, which represents the most recent year for which complete technical and economic data will be available as EPA expects the survey will be administered in 2023. The questionnaire will also

collect limited data for time periods prior to 2022. These data will be used by EPA to determine if facilities that historically used hexavalent chromium, PFAS, or PFAS-containing chemical fume suppressants; assess temporal variability of wastewater discharges (in terms of flow rate and PFAS concentration); and evaluate whether pollution control technologies are affordable based on recent industry financial data.

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
1 – General Facility Information	1 - 3	Provide the facility name, physical address, and contact information (i.e., name, phone number, email, mailing address) for technical and financial information reported in the questionnaire.	Confirm and correct errors in the facility list including facility name and address. EPA will use contact information reported for the facility to conduct follow up, as necessary.
	4	Identify whether the facility is owned, controlled, or managed by an ultimate parent company. If applicable, provide the name, title, phone number, email, and mailing address for a primary point of contact for the ultimate parent company. Facilities that do not have an ultimate parent company will not be required to complete Questions 68 - 74 of the questionnaire.	Ownership information for ultimate parent companies will be used to evaluate the financial structure of the industry. EPA will use contact information reported for the ultimate parent company to conduct follow up, as necessary.
	5	Provide all six-digit NAICS code(s) applicable to the facility.	Identify small businesses per the Small Business Association (SBA) definitions (based on NAICS), confirm the facility information in the facility list, and confirm the NAICS codes impacted by the Metal Finishing and Electroplating Point Source Category ELGs.
	6	Provide the 12-digit Facility Registry Service (FRS) identification number (also known as EPA Registry ID) associated with the facility.	Confirm the facility information in the facility list, identify any duplicate entries in the industry profile, and pull additional information for these facilities from existing EPA data sets (e.g., EPA ECHO).
	7	Identify whether the facility has engaged in metal finishing or electroplating operations at any time since the facility began operation. If so, requests an overview of the types of metal products finished or electroplated at the facility. Facilities that respond "no" to this question will not be required to complete the remainder of the questionnaire.	Identify facilities that should complete the questionnaire; facilities that have never engaged in metal finishing or electroplating processes operations are exempted from the remainder of the questionnaire because they are not subject to 40 CFR Part 433 or 40 CFR Part 413.
	8	Identify whether the facility has engaged in one or more chromium finishing operations at any time since 1995. Facilities that respond no to this question will not be required to complete the remainder of the questionnaire.	Identify facilities that should complete the questionnaire; facilities that have not recently engaged in chromium finishing operations are exempted from the remainder of the questionnaire because they are outside the population of interest (nonchromium finishing facilities are not suspected sources of PFAS discharges).

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
	9	Specify the year the facility began conducting chromium finishing operations.	Determine the approximate age and duration of operations of facilities, if chromium finishing operations were performed during periods that PFAS-based chemical fume suppressants were used, and whether operations, wastewater flow or characterization, or production levels vary by age or duration of operation.
	10	Identify industries which are primary customers or ultimate users of chromium finishing services and related products produced by the facility.	Identify industries that are consumers of the chromium finishing services and products and identify trends in PFAS use and discharge for specific product categories.
	11	Identify whether the facility permanently closed or permanently discontinued all metal finishing and electroplating operations as of January 1, 2023. Facilities that respond "yes" to this question will not be required to complete the remainder of the questionnaire.	Determine whether the facility should be included in the population evaluated for the rulemaking. Facilities that have permanently closed or have permanently discontinued all metal finishing and electroplating operations are exempted from the remainder of the questionnaire because they are not subject to 40 CFR Part 433 or 40 CFR Part 413.
	12	Identify whether the facility will permanently close or permanently discontinue all metal finishing and electroplating operations by December 31, 2028.	Determine whether the facility should be included in the population evaluated and expected to incur compliance costs for the rulemaking. Facilities that will permanently close or permanently discontinue all metal finishing and electroplating operations will likely not likely incur any compliance costs for the rulemaking because they will not be subject to 40 CFR Part 433 or 40 CFR Part 413 by the time the final rulemaking is fully implemented.
	13	Collects information relevant to existing water discharge requirements (NPDES permits, pretreatment and centralized waste treatment agreements, stormwater permits, underground injection control permits) and local ordinances such as permit/ordinance number, type of requirement, regulatory authority, expiration date, and type of wastewater covered by requirement. Requests facilities to submit relevant wastewater discharge permit documents.	Understand how regulatory authorities are implementing wastewater discharge requirements and types of wastewater being discharged. Collects permit materials that may be used for future permit review.

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
	14	Identify the ELGs that apply to the operations conducted at the facility in 2022.	Identify how chromium finishing facilities are being permitted for the ELGs and understand potential overlap between metal-related ELGs. Information collected may be used to identify inconsistencies or improper permitting of facilities.
2 – Facility Operations and PFAS Use	15	Identify the chromium finishing operations historically performed at the facility since 1995, including form of chromium used in these operations and year operation was most recently performed. ^a	Identify facilities that previously conducted chromium finishing operations and used hexavalent chromium. These facilities may discharge PFAS and may incur compliance costs to install and operate PFAS control technologies. Due to the persistent nature of some PFAS, some chromium finishing facilities have observed PFAS in their wastewater discharges years after eliminating PFAS use.
	16	Identify the chromium finishing operations performed at the facility in 2022 and report the form of chromium used in these operations, the number of days performed in 2022, and whether wastewater was generated from the operation.	Identify facilities that conducted chromium finishing operations and used hexavalent chromium, and determine whether wastewater was generated from such operations. These facilities are those most likely to use and discharge PFAS and may incur compliance costs to install and operate PFAS control technologies.
	17	Collects information on the use of chemical fume suppressants since 1995, including product and manufacturer name, target pollutant and control level, whether the product contains PFAS, years product was used, and annual volume used in 2022, and number of days used in 2022. ^a	Determine which facilities are using PFAS-based chemical fume suppressants and, thus, most likely to discharge PFAS in their wastewater. Many chemical fume suppressants currently used by the chromium finishing industry contain PFAS. Annual volume and frequency of use may be used to assess quantity of PFAS added to system or chemical dosage rate for compliance costs and pollutant loads analyses. May also be used to identify nonfluorinated alternatives.
	18	Collects information on the use of air emission controls other than chemical fume suppressants in 2022, including system type, description, target pollutant(s), whether the air emission control receives emissions from chromium finishing operations, and whether wastewater was generated by the system.	Determine current air emission controls used by chromium finishing facilities. Evaluate wastewater contributions from air emission controls and assess availability for alternatives to PFAS-based chemical fume suppressants for control of hexavalent chromium fumes.

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
	19	Identify whether facility has intentionally used, blended, integrated, or applied PFAS for any other purpose in metal finishing operations, electroplating operations, or air emission controls not previously reported since 1995. If yes, collects information on the process name and description, purpose for intentional use of PFAS, manufacturer and product name for PFAS-containing product, years product was used, whether wastewater was generated from the process, annual volume of PFAS-containing product used in 2022, and number of days PFAS-containing product was used in 2022.	Determine whether PFAS are being used for other purposes other than in chemical fume suppressants and assess whether there are other pathways by which PFAS may end up in wastewater discharges.
	20	Provide the total annual production of metal finishing and electroplating services or products for 2022 and total annual production of metal finishing and electroplating services or products associated with intentional use, blending, or application of PFAS for 2022.	Estimate the PFAS-related metal finishing and electroplating production at each facility relative to total metal finishing and electroplating production.
	21	Provide the total annual production of chromium finishing services or products for 2022 and total annual production of chromium finishing services or products associated with intentional use, blending, or application of PFAS for 2022.	Estimate the PFAS-related chromium finishing production at each facility relative to total chromium finishing production.
	22	Provide the quantity of hexavalent chromium used or consumed by chromium finishing operations between 2018 and 2022.	Estimate the relationship between the quantity of hexavalent chromium used and PFAS or chemical fume suppressant use. Compare and rank facility size based on a readily quantifiable metric.
	23 - 24	Identify plans to modify operations in a manner that will substantively change intentional use of hexavalent chromium or PFAS by December 31, 2028.	Determine whether planned changes at the facility will impact PFAS discharges and evaluate industry trends in use of hexavalent chromium.

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
3 – Wastewater Generation	25	Identify whether the facility generated wastewater on site or received wastewater from off site at any time in 2022. Facilities that respond "no" to this question will not be required to complete Sections 3 - 6 of the questionnaire.	Identify facilities that generate or receive wastewater; facilities that did not generate or receive wastewater are exempted from Sections 3 – 6 because they do not apply.
	26	Provide the following information for each wastewater generated on site or transferred to the facility during 2022: wastewater name, wastewater type, source, total annual flow rate, onsite wastewater treatment, and final destination.	Understand the quantity, type, and current management practices of wastewater(s) generated on site or transferred to the facility.
	27	Identify plans to modify operations in a manner that will substantively change the quantity, type, or characteristics of wastewater generated on site or transferred to the facility by December 31, 2028.	Determine whether planned changes at the facility will impact the quantity or characteristics of wastewater potentially discharged and evaluate industry trends in wastewater generation.
4 – Wastewater Flow Diagram	28	Provide wastewater flow diagram(s) depicting the sources and treatment/management practices of each wastewater generated on site or transferred to the facility in 2022. The diagram should include the source of each wastewater generated on site or transferred to the facility, each wastewater treatment unit operated on site, and all interim and final destinations of each wastewater.	Understand the flow of wastewater from process operations to wastewater treatment to final destinations. Understand the configuration of existing wastewater treatment units and operations that generate wastewater. Inform selection of facilities for site visits or future wastewater sampling.
5 – Wastewater Management and Treatment	29	Identify whether the facility discharged or transferred off site any wastewater at any time during 2022. Facilities that respond "no" to this question will not be required to complete Questions 30 - 33 of the questionnaire.	Identify facilities that discharge or transfer off site relevant wastewaters; facilities that did not generate or receive wastewater are exempted from Questions 30 – 33 because they do not apply.
	30	Report the total annual flow rate of wastewater sent to each type of wastewater destination (e.g., surface water, POTW, underground injection) in 2022.	Determine the total quantity of wastewater discharged or transferred off site by type of destination. Estimate pollutant loads associated with wastewater discharges from each facility.

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
	31 - 32	Collects information on the number of wastewater outfalls present at the facility in 2022 and information on each wastewater outfall, including the outfall name/number, outfall coordinates, total annual flow rate for 2022, types of wastewaters discharged, and type of receiving water (e.g., river, lake, estuary).	Identify discharge points of wastewater which would be subject to the ELGs and profile facilities by type of discharge. Use the outfall coordinates and types of receiving water in the environmental assessment analysis.
	33	Identify the POTWs and centralized waste treatment facilities which the facility transferred wastewater to in 2022. Report the receiving facility name, mailing address, and NPDES permit number.	Identify discharge points of wastewater which would be subject to the ELGs and identify POTWs and centralized waste treatment facilities which receive applicable wastewaters.
	34	Identify whether the facility operated any wastewater treatment units on site at any time during 2022. Facilities that respond "no" to this question will not be required to complete Questions 35 - 36 of the questionnaire.	Identify facilities that operate onsite wastewater treatment units to reduce pollutants in wastewaters; facilities that did not operate any wastewater treatment units are exempted from Questions 35 – 36 because they do not apply.
	35	Collects the following information for each onsite wastewater treatment unit used to treat any wastewater generated on site or transferred to the facility during 2022: treatment unit name and type, total annual influent flow rate in 2022, technology vendor name, treatment media replacement frequency, and cost information for any treatment units install since 2018.	Determine existing treatment-in-place for each facility so they may be accounted for in EPA's assessment of compliance costs and pollutant removals associated with regulatory options. Identify potential treatment technologies and best management practices demonstrated in the industry. Select facilities for site visits or future wastewater sampling. Recent cost data for treatment unit installation will be used to validate cost data for similar treatments across the industry and from other sources (e.g., vendors).
	36	Provide the total annual average flow rate for influent to and effluent from the wastewater treatment system for 2018 to 2022.	Assess the total capacity of the wastewater treatment system and inform costing of wastewater treatment system modifications.
	37	Identify plans to modify operations in a manner that will substantively change the treatment, management, or discharge of wastewater at the facility by December 31, 2028.	Determine whether planned changes at the facility will impact the quantity or characteristics of wastewater discharged and evaluate industry trends in wastewater management.

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
6 – Permit Requirements and Monitoring Data	38	Collects information on PFAS monitoring requirements, PFAS effluent limitations, and PFAS pretreatment standards for the facility, including parameter names and CAS registry numbers, requirement type, monitoring frequency, numeric limitation, and applicable outfalls or sample collection locations.	Identify facilities with existing PFAS requirements and the bases for these requirements.
	39 - 40	Collects PFAS and aggregated fluorine monitoring data for wastewater samples collected at in-plant and final outfalls sampling points since January 1, 2018. For each PFAS sampling result, report the parameter name and CAS registry number, date of sample collection, sample analysis result, reporting limit, analytical method used, and sample collection location.	Characterize wastewater at chromium finishing operations; assess PFAS removal effectiveness of treatment-in-place; and estimate PFAS loads associated with wastewater discharges
	41	Collects information on non-PFAS pollutant monitoring requirements, effluent limitations, and pretreatment standards for the facility, including parameter names and CAS registry numbers, requirement type, monitoring frequency, numeric limitation, and applicable outfalls or sample collection locations. Non-PFAS pollutants that are currently regulated by the Metal Finishing and Electroplating ELGs do not need to be reported.	Identify facilities with existing non-PFAS pollutant requirements beyond the current Metal Finishing and Electroplating ELGs and the bases for these requirements.
	42 - 43	Collects non-PFAS pollutant monitoring data for wastewater samples collected at in-plant process wastewater and final outfalls sampling points in 2022. For each sampling result, report the parameter name and CAS registry number, date of sample collection, sample analysis result, reporting limit, analytical method used, and sample collection location. Non-PFAS pollutants that are currently regulated by the Metal Finishing and Electroplating ELGs do not need to be reported.	Characterize wastewater at chromium finishing operations; assess non-PFAS pollutant removal effectiveness of treatment-in-place; and estimate non-PFAS pollutant loads associated with wastewater discharges

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
7 - Environmental and Other Data	44	Provide the facility's latitude and longitude coordinates for the facility's geographic location.	Confirm and correct errors in the facility location for use in geospatial analyses supporting the environmental assessment and environmental justice analyses (e.g., proximity of facilities to drinking water resources or disadvantaged communities).
	45	Collects the following information on the generation and management of solid waste, sludge, and concentrated wastestreams generated by metal finishing operations, electroplating operations, air emission controls, and wastewater treatment in 2022: waste stream name, waste source, total annual generation rate for 2022, final destination, and total cost to dispose or manage this waste in 2022.	Determine how facilities are handling solid waste, sludge, and concentrated wastestreams generated on site, including practices/end uses. Consider potential impacts of existing waste management practices as part of a cross-media analysis during the ELG rulemaking process. Estimate potential relative impact on solid waste disposal/management costs associated with evaluated technology options.
	46	Provide the applicable Resource Conservation and Recovery Act (RCRA) site identification number associated with the facility. Wastewater treatment sludge from electroplating processes is considered hazardous waste under RCRA and reported as waste code F006.	Link to existing RCRA program data sets and evaluate management of PFAS-containing solid wastes (including those which may be generated by wastewater treatment technologies considered as part of rulemaking analyses).
	47	Requests facility or parent company studies assessing the human health or environmental effects of wastewater or stormwater discharges.	Evaluate how chromium finishing discharges are impacting receiving waters and assess non-water quality environmental impacts.
	48	Requests facility or parent company studies assessing any technologies or methods for disposal, treatment, or destruction of PFAS-containing wastewater and waste.	Identify current and new PFAS treatment technologies and best management practices for use in developing technology options and determining potential PFAS reductions and treatment costs.
	49 - 50	Requests facility or parent company data associated with groundwater quality monitoring for PFAS in 2022. If PFAS groundwater monitoring was performed in 2022, collected information on number of groundwater monitoring wells, monitoring frequency, and rationale for monitoring for PFAS.	Assess non-surface water environmental impacts and the potential for PFAS contamination of groundwater.

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
	51	Collects information on facility or parent company outreach to public, community, and other groups to discuss facility operations and potential environmental effects associated with PFAS use or wastewater discharge.	Assess outreach to groups that may be impacted by facility operations and potential pollutant releases, including those considered in the environmental justice analysis.
8 – Financial Information	52	Identify the corporation type that best described the facility in 2022.	Determine the facility's tax status and assess the availability of public data for EPA's economic analyses. EPA collects available data from secondary sources on multi-site, publicly reporting companies to reduce burden on recipients.
	53	Identify whether the facility was publicly or privately held in 2022.	Determine the facility's tax status and assess the availability of public data for EPA's economic analyses. EPA collects available data from secondary sources on multi-site, publicly reporting companies to reduce burden on recipients.
	54	Identify the race, ethnic, and gender classifications the best describe ownership of the facility in 2022 (e.g., woman owned business, African American owned business).	Analyze the potential impacts of regulatory options on minority- owned facilities and ability of these facilities to secure funding to comply funding with the requirements of the rule. May also be used for the environmental justice analysis.
	55 - 56	Report the number of full-time equivalent employees for the facility in 2022.	Classify facilities by their relative employment and determine if the rule will have disproportionate impact on substantial number of facilities as the disaggregated level.
	57 - 58	Identify how the facility primarily funded its operations in 2022 and which forms of financing, if any, the facility used in 2022.	Determine what types of loans used in the metal finishing and electroplating sector. Determine how facilities finance their businesses so EPA can determine if the minority-owned facilities would be able to secure sufficient funding to continue operations, in view of the regulatory requirements.
	59	Report the average percent of expenditure financed using line of credit/home equity, financed using sale of account receivable/merchant cash advances, for new investment financed using personal savings, and on leasing financed by using personal savings for 2017, 2018, and 2022.	Assess available facility resources to finance initial capital cost of technology options.

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description Purpose	
	61 - 62	Requests information on the interest rate, mix of debt to equity, and repayment term type the facility would use to borrow money to finance capital improvements.	EPA's economic analysis will use these data to annualize the costs required to comply with regulatory requirements. Data will be used to analyze the financial needs of facilities to comply with regulatory requirements and conduct a closure analysis using information on current assets and estimated cost for financial capital improvements.
	63	Provide the annual capital improvement expenditure incurred for the chromium finishing operations for 2018 to 2022.	Analyze the financial status of the facility and ability to incur costs required to comply with potential regulatory options.
	64	Provide the total value for loans received for the chromium finishing operations for 2018 to 2022.	Analyze the financial status of the facility and ability to incur costs required to comply with potential regulatory options.
	65	Specify the minimum rate of return on capital (i.e., the discount rate) required to compensate equity owners for bearing risk. Identify whether the rate is pre-tax or post-tax and whether the rate is real or nominal.	EPA's economic analysis will use these data to annualize the costs required to comply with potential regulatory options. Data will be used to analyze the financial needs of facilities to comply with regulatory requirements and conduct a closure analysis using information on current assets and estimated cost for financial capital improvements.
	66	Report the revenues, costs, and expenses for the facility and the ultimate parent company for 2018 to 2022. Requested income statement data includes net sales from metal finishing and electroplating products; other income; total revenues; costs of goods sold; selling, general, administrative, depreciation, and amortization expenses; earnings before interest and tax; interest expense; taxes; and net income.	Use this information to predict future income and revenue. Multiple years re requested so EPA can identify unusually good or difficult years and can use forecasting techniques to predict variations in site cash flow.
	67	Specify the facility's relationship to the ultimate parent company (branch or subsidiary).	Because financing decisions are commonly made at company-level rather than the site-level, EPA will use this information to assess economic impacts at the company- level. If a company is owned by an ultimate parent company, it effects the ability of the company to access capital and finance capital improvements.

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description Purpose	
	68	Specify the state or territory the ultimate parent company is organized as a legal entity.	Determine the ultimate parent company's tax status and assess the availability of public data for EPA's economic analyses. EPA collects available data from secondary sources on multi-site, publicly reporting companies to reduce burden on recipients.
	69	Specify if the facility's ultimate parent company is a small business as defined by the Small Business Administration.	It is also necessary to accurately identify the number of companies that are small businesses, which is necessary under the Small Business Regulatory Enforcement Fairness Act (SBREFA).
	70	Identify the race, ethnic, and gender classifications the best describe ownership of the ultimate parent company in 2022 (e.g., woman owned business, African American owned business).	Analyze the rules potential impacts minority-owned ultimate parent companies and ability of these ultimate parent companies to secure funding to comply funding with the requirements of the rule. May also be used for the environmental justice analysis.
	71 - 72	Report the number of full-time equivalent employees for the ultimate parent company in 2022.	Classify ultimate parent companies by their relative employment and determine if the rule will have disproportionate impact on substantial number of ultimate parent companies as the disaggregated level.
	73	List any facilities in the United States that are operated by the ultimate parent company. For each facility, requests the facility name, description, NAICS, city, state, whether it was constructed or acquired, whether it conducts metal finishing or electroplating operations, and percent employment in metal finishing or electroplating activities.	EPA will use this information to aggregate from the facility level to the company level, which is needed to estimate impacts at the company level.
	74	Report the facility's ultimate multinational parent company total annual revenue for 2018 to 2022.	Because financing decisions are commonly made at the company level rather than the site-level, EPA intends to assess economic impacts at the company level also. If a company is owned by a parent company, it effects the ability of the company to access capital and finance capital improvements. It is also necessary for accurately identifying the number of companies that are small businesses, which is necessary under the Small Business Regulatory Enforcement Fairness Act (SBREFA).

Table 2-1. Questionnaire Questions and Their Purpose

Section	Question Number(s)	Question Description	Purpose
9 - Comments	NA	Space for facility to provide additional comments	Adjust responses as needed or consider any additional information
		or elaborate on any questions throughout the	as part of evaluating national level estimates based on facility-
		questionnaire.	specific information.

a – EPA selected 1995 as a reasonable threshold because it reflects the year which EPA promulgated the National Emission Standards for Chromium Emissions from Hard and Decorative Chromium Electroplating and Chromium Anodizing Tanks (i.e., established emission limitations for new and existing chromium electroplating and chromium anodizing operations based on the use of PFAS-containing chemical fume suppressants).

Following receipt of the completed questionnaires and review of the questionnaire responses, EPA will conduct a wastewater sampling program which will consist of requesting no more than 20 chromium finishing facilities to collect one-time grab samples of chromium finishing wastewater and final effluent. The wastewater sampling program will generate information and data critical to characterizing wastewaters generated and discharged by chromium finishing facilities and assessing capability of existing wastewater treatment units to reduce or eliminate PFAS.

EPA will provide sampling supplies to each facility selected for the wastewater sampling program and contract laboratories to analyze samples collected. EPA will use information and data collected via the questionnaire to identify chromium finishing facilities with characteristics of interest (e.g., treatment technologies that may represent Best Available Technology Economically Achievable [BAT]) and select participants in the wastewater sampling program. In selecting facilities to participate in the wastewater sampling program, EPA will target a mix of facility types, sizes, and current practices/technologies such that the data generated reflect wastewater from all types of chromium finishing operations.

2(b) From Whom Will the Information Be Collected?

The questionnaire will collect information from an estimated 2,035 chromium finishing facilities located in the United States. The subsequent wastewater sampling program will require a subset of no more than 20 chromium finishing facilities that completed the questionnaire to also collect wastewater samples and submit them to an EPA-contracted laboratory. The respondents affected by this ICR are primarily classified under the following NAICS codes:

- 332812 Metal Coating, Engraving (except Jewelry and Silverware), and Allied Services to Manufacturers.
- 332813 Electroplating, Plating, Polishing, Anodizing, and Coloring.

As previously stated, chromium finishing facilities are a subset of the Metal Finishing and Electroplating Point Source Categories and often report under the same NAICS codes as nonchromium metal finishing and electroplating operations. Therefore, not all facilities reporting the above NAICS codes will receive the questionnaire.

2(c) What Will the Information Be Used For?

EPA will use the questionnaire data to refine the national profile of chromium finishing facilities from which additional data collection, including site visits and wastewater sampling, may be based. EPA will also use the questionnaire data to evaluate the current technology-based ELGs and determine if revised requirements are warranted to address PFAS and other pollutants (as the EPA Administrator deems appropriate) in wastewater discharges. EPA will collect and analyze information pertaining to wastewater characteristics (e.g., pollutants discharged, wastewater flows), pollution control practices and technologies (e.g., pollution prevention techniques, wastewater treatment units), and the economic impacts of installing and operating pollution control technologies. Specifically, EPA will use responses to characterize the type and

quantity of PFAS discharged from chromium finishing facilities and to determine if PFAS discharges can be controlled using demonstrated, economically achievable pollution control practices and technologies.

Based on current information and data available for chromium finishing facilities, EPA believes less than 5 percent are direct dischargers to surface waters and the remaining are either indirect dischargers (discharge to a POTW) or do not discharge process wastewaters. Direct dischargers report monitoring data as part of their wastewater permit requirements and the data are publicly available through EPA systems, such as Integrated Compliance Information System – National Pollutant Discharge Elimination System (ICIS-NPDES). Data from indirect dischargers are not publicly available in a national, centralized system but instead are maintained at the state or pretreatment authority. Further, most chromium finishing facilities are not required to sample or report for PFAS in their wastewater regardless of whether they are direct or indirect dischargers. EPA will use data collected through the questionnaire and wastewater sampling program to characterize operations, wastewater generation, wastewater characteristics, wastewater management, and wastewater discharges across all chromium finishing facilities in the United States regardless of size, geography, production, type of discharge, and current management practices.

2(d) How Will the Information Be Collected? Does the Respondent have Multiple Options for Providing the Information? What Are They?

Each chromium finishing facility will receive a questionnaire notification letter which provides instructions, a URL to an EPA webpage, and a facility-specific EPA Questionnaire ID and access code. Facilities will access the URL, be directed via a button link on the EPA webpage to the login webpage, and log in using the EPA Questionnaire ID and access code in the notification letter. The web-based survey will allow for electronic review and completion of the questionnaire. The questionnaire notification letter will also include instructions for respondents unable to access the online version. This letter will be sent via the United States Postal Service or other delivery service to each facility to ensure that a facility point of contact receives and signs for it. Each facility selected for the questionnaire will be allowed at least 60 calendar days from the time of receipt to submit the completed questionnaire.

EPA will include a helpline email address and phone number in the instructions that respondents can use to request assistance in completing the questionnaire. Using these assistance methods enables respondents to receive a timely response to any inquiries they may have. Email and phone communication will also reduce any misinterpretations of the questionnaire and the burden of follow-up phone calls and letters to respondents.

The questionnaire will include information relevant to the purpose and authority under which EPA is conducting the survey; instructions for accessing, completing, and submitting the questionnaire; information on confidential business information (CBI) claims; and a glossary with all pertinent definitions, references, and acronyms to understand and complete the questionnaire. On the EPA website, downloadable PDF copies of the questionnaire will be

available for respondents to print out and use as a working copy, helping them gather and organize response data before beginning data entry.

Facilities that are unable to access the online version will be directed to contact EPA. Upon contacting EPA, staff will mail a package via the United States Postal Service or other trackable delivery service, containing a hardcopy questionnaire. Respondents may also request a PDF version of the questionnaire be delivered via email that they can print on site. Hardcopy questionnaires can be filled out by hand and returned to EPA by mail. EPA and its contractors will enter the hardcopy questionnaire responses into Qualtrics so all responses can be reviewed and analyzed in a consistent format.

Once the questionnaire response period is complete, EPA and its contractors will export all responses from Qualtrics and review the questionnaire responses for completeness and CBI claims. Responses will also be reviewed for consistency and reasonableness and follow-up calls will be conducted as needed to clarify inconsistencies found in the responses. Questionnaire responses will be imported into a Microsoft Access-based questionnaire database which will be used by EPA to perform data analysis for the purpose of reviewing and revising the Metal Finishing and Electroplating ELGs.

In addition to technical and financial data provided by facilities in the questionnaire, EPA may need to collect and analyze wastewater samples from a subset of respondents to characterize types and quantities of PFAS and other pollutants in chromium finishing wastewater and evaluate performance of available pollution control practices and technologies. In this case, each chromium finishing facility selected to conduct sampling and analysis of analytical data will be contacted by EPA directly with instructions on how to participate in wastewater sampling activities. EPA will coordinate with each facility to develop detailed facility-specific sampling plans and determine when sampling should occur.

EPA has conducted, is conducting, or will conduct the following activities to administer the questionnaire:

- Develop the technical and financial questions for the questionnaire.
- Estimate the population of facilities conducting one or more chromium finishing operations in the United States by evaluating data sources listed in Section 4..
- Conduct stakeholder meetings with trade associations, industry representatives, public interest groups, state regulating agencies, EPA workgroup, OMB, and other stakeholders to refine questionnaire content (e.g., technical and financial questions, instructions, terminology, and glossary) and the population of chromium finishing facilities.
- Develop the ICR Supporting Statement.
- Revise the questionnaire based on comments from trade associations, industry representatives, public interest groups, state regulating agencies, EPA workgroup members, OMB, and other stakeholders.

- Finalize the facility list by making any updates based on comments from trade associations, industry representatives, and public interest groups.
- Develop the web-based questionnaire platform in Qualtrics.
- Develop mailing labels.
- Develop and distribute the cover letters and instructions to notify facilities of the ICR.
- Develop a tracking system for the questionnaire cover letter mail-out and offline questionnaire return activities.
- Test the final questionnaire in Qualtrics prior to launch.
- Develop a questionnaire database to house and analyze responses.
- Prepare and distribute questionnaire packages to all recipients.
- Develop and maintain helplines (phone and email) for respondents who require assistance in completing their questionnaire.
- Receive and review responses, including data entry and review of hardcopy responses into Qualtrics.
- Follow up with facilities on responses as needed.
- Summarize and analyze responses.
- Conduct technical analyses, summarize results, and select facilities to participate in the wastewater sampling program.

2(e) How Frequently Will the Information Be Collected?

The information covered by this ICR is a one-time information collection.

2(f) Will the Information Be Shared with Any Other Organizations Inside or Outside EPA or the Government?

EPA may share all information not claimed as CBI and collected through this ICR within EPA and with other Government agencies, the industry, trade associations, and the public, as necessary. Further, EPA may share information claimed as CBI in accordance with its regulations under 40 CFR Part 2 Subpart B.

2(g) If This Is an Ongoing Collection, How Have the Collection Requirements Changed Over Time?

This ICR request is not an ongoing data collection.

3. TO WHAT EXTENT DOES THE COLLECTION OF INFORMATION INVOLVE THE USE OF AUTOMATED, ELECTRONIC, MECHANICAL, OR OTHER TECHNOLOGY COLLECTION TECHNIQUES OR OTHER FORMS OF INFORMATION TECHNOLOGY

EPA plans to develop the questionnaire in Qualtrics, which allows respondents to fill out and submit the questionnaire online. The Qualtrics questionnaire will be developed to meet the 1998 Government Paperwork Elimination Act (GPEA). EPA anticipates that most respondents will be familiar and comfortable with online submission forms and has received verbal feedback from industry representatives indicating this. Additionally, the Qualtrics questionnaire will include automatic validation checks to minimize data entry errors and allow for automatic export of a response data set, reducing the potential for errors introduced by key-entry of data. EPA's email and phone helpline will also be available during the response period to assist facilities as needed with submitting responses.

EPA designed the questionnaire to include burden-reducing features. For example, the questionnaire also contains "screening" questions that direct respondents that do not qualify as the population of interest for a particular subset of questions to indicate their status and then bypass this subset of questions to continue their response. The questionnaire is also designed with drop down menus to simplify and standardize responses, minimizing the number of narrative text responses.

EPA will provide a mechanism for facilities to respond with a hardcopy mailed response if the facility cannot access the internet. EPA anticipates this situation to affect less than 2 percent of the total population that receives the questionnaire.

4. EFFORTS TO IDENTIFY DUPLICATION AND WHY SIMILAR INFORMATION ALREADY AVAILABLE CANNOT BE USED OR MODIFIED FOR USE FOR THE PURPOSES DESCRIBED IN ITEM 2

EPA identified several existing data sources that may contain data useful for identifying the population of chromium finishing facilities, as well as information useful for evaluating facility and/or wastewater characteristics. able 4-2 lists sources of existing data that EPA has collected and reviewed for the study.

Table 4-2. Existing Data Sources

Data Source Name	Date of Data Collection	Population Included	Data Available	Considerations
		Data Sources Used to	o Identify Chromium Finishing Facilities	
2012 NESHAP Part 63 Subpart N Supporting Profile Memo (EPA-HQ-OAR- 2010-0600-0672)	2010 - 2012	NESHAP Part 63 Subpart N regulates hexavalent chromium emissions and applies to facilities in the United States which perform hard chromium electroplating, decorative chromium electroplating, or chromium anodizing (40 CFR Part 63 Subpart N). 1,343 records.	 Facility Name Address Chromium Process Type Number of Employees Air Emission Controls 	Profile data compiled in more than 10 years ago and may not represent current industry. Does not capture chromate conversion coating or chromic acid etching facilities. Does not include information on PFAS use, wastewater generation or management, or PFAS discharge.
2017 NEI	2017	Facilities reporting to the NEI with chromium emissions greater than 0 pounds-peryear and NAICS codes 332812 or 332813. 434 records.	 Facility Name Address Latitude/Longitude NAICS Code Emissions Inventory System (EIS) ID Toxics Release Inventory (TRI) ID Pollutant Emissions (pounds-per-year) 	NEI data includes facility location and air emissions data but does not identify the specific chromium processes occurring at the facility. Does not include information on PFAS use, wastewater generation or management, or PFAS discharge. EPA assumed that facilities with nonzero chromium emissions and NAICS codes 332812 or 332813 were likely chromium finishing facilities.

Table 4-2. Existing Data Sources

Data Source Name	Date of Data Collection	Population Included	Data Available	Considerations
ICIS-Air Database (facilities reporting data for NESHAP Part 63 Subpart N)	Downloaded December 2021	Facilities that are regulated under NESHAP Part 63 Subpart N from EPA's ICIS Air database. 927 records.	 Facility Name FRS ID Small Business Flag Air Source Description Chromium Process Type Metal Type Maximum Available Control Technology (MACT) Code ICIS-Air ID Environmental Justice Metrics 	The NESHAP regulation does not apply to facilities that conduct chromate conversion coating or chromic acid etching processes and these facilities would not be included in the NESHAP Part 63 Subpart N facility list. Does not include information on PFAS use, wastewater generation or management, or PFAS discharge.
State Agencies	Varies	Facilities identified as chromium finishing facilities based on information and outreach to state environmental agencies: Alabama: 16 records. California: 196 records. Georgia: 3 records. Michigan: 88 records. Minnesota: 22 records. New Hampshire: 4 records. Wisconsin: 7 records.	 Facility Name Address SIC Codes Chromium Process Type Chromium Species Processed PFAS Chemical Fume Suppressant Used Pretreatment Agreement ID NPDES Permit ID Discharge Type Average Flow Design Flow POTW Information Facility Operating Status 	Not all state data includes the same facility-level details. EPA identified likely chromium finishers using company names and websites where state lists did not differentiate chromium finishing facilities from other metal finishing processes. Does not include information on PFAS use, wastewater generation or management, or PFAS discharge.

Table 4-2. Existing Data Sources

Data Source Name	Date of Data Collection	Population Included	Data Available	Considerations
EPA's Compliance and Emissions Data Reporting Interface (CEDRI)	Downloaded December 2021	New facilities subject to NESHAP Part 63 Subpart N regulations that must submit initial performance test reports. 72 records.	 Facility Name Address Chromium Species Reported NAICS Code 	EPA's WebFIRE search tool does not contain all information submitted to CEDRI, such as periodic compliance reports. Does not include information on PFAS use, wastewater generation or management, or PFAS discharge. Not all facilities subject to this NESHAP submit initial performance test reports.
EPA's Environmental Compliance History Online (ECHO)	Downloaded February 2022	Facilities subject to EPA Clean Air Act regulations and report under NAICS codes 332812 or 332813. 1,647 records.	 Facility Name Address Latitude/Longitude FRS ID NAICS Code SIC Code AIR ID NPDES Permit ID MACT Code RCRA Handler ID TRI ID Receiving Water Information EIS ID 	ECHO generally contains less information on indirect discharge or zero discharge facilities than direct discharge facilities. NAICS codes 332813 and 332812 are not exclusive to chromium finishing facilities. Does not include information on PFAS use, wastewater generation or management, or PFAS discharge.
ICIS-NPDES	Downloaded December 2021	Chromium finishing facilities with NPDES permit IDs identified through ECHO, ICIS- AIR, or state data. 190 records.	 Facility Name NPDES Permit ID Permit Issue/Expiration Dates Discharge Type Average or Design Flow Rate Receiving Water Information 	ICIS-NPDES data is only available for NPDES permitted facilities. Does not include information on PFAS use or discharge.

Table 4-2. Existing Data Sources

Data Source Name	Date of Data Collection	Population Included	Data Available	Considerations
RCRAInfo	Downloaded December 2021	Facilities regulated under the Resource Conservation and Recovery Act (RCRA) waste code F006, report under NAICS codes 332812 or 332813, and have a RCRA Handler ID provided in ECHO, ICIS-AIR, or state data. 231 records.	 RCRA Handler ID RCRA 2019 Biennial Report Contact Name Generator Status (e.g., Large Quantity Generator, Small Quantity Generator) 	Facilities reporting under the F006 waste code may or may not conduct chromium finishing operations. NAICS codes 332813 and 332812 are not exclusive to chromium finishing facilities. Does not include information on PFAS use, wastewater generation or management, or PFAS discharge.

As demonstrated in able 4-2, none of the existing data sources provide a complete listing of all chromium finishing facilities in the United States nor do they include information on PFAS use, wastewater generation or management, and PFAS discharge. EPA extracted and aggregated information from these data sources to develop a best available listing of chromium finishing facilities. However, facility names and addresses are often inconsistent and may change over time as ownership changes or addresses of record change. Based on the data evaluated to date, EPA estimates the population of chromium finishing facilities is approximately 2,035 facilities. While EPA has attempted to identify duplicate records based on similar facility name, city/state address, and other unique identifiers, some duplicate records may still exist. Additionally, the varying ages of the data sets may not capture facility closures, moves, or consolidations. EPA is aware of a general decreasing trend in the size of the Metal Finishing and Electroplating industry since 2012, supported most recently by a 2022 NASF Surface Finishing Economic Impact Report. EPA continues to coordinate with industry trade associations on identifying additional duplicate records and facilities included on the facility list that may not perform chromium finishing or may no longer be operating.

Although the consulted sources have provided valuable industry information, and EPA has and will continue to use this information to understand current industry practices, these sources do not provide the Agency with complete and up-to-date site-specific technical and economic data that covers the entire chromium finishing industry and are crucial to the review of the Metal Finishing and Electroplating ELGs.

5. COLLECTION OF INFORMATION IMPACTS TO SMALL BUSINESSES OR OTHER SMALL ENTITIES AND METHODS TO MINIMIZE THE BURDEN

In accordance with requirements of the Regulatory Flexibility Act (RFA), EPA must assess whether actions would have "a significant impact on a substantial number of small entities" (SISNOSE). Small entities include small businesses, small organizations, and small governmental jurisdictions.

EPA has taken steps to ensure that the respondent burden is minimized for small entities, while collecting sufficient data to evaluate regulatory flexibility for small entities. EPA will identify the size of the business entity according to Small Business Administration definitions from questionnaire information through sales revenues and company employment. For entities reporting under NAICS codes 332812 and 332813, the Small Business Administration defines small entities as those with fewer than 500 employees. Based on available information, EPA believes most chromium finishing facilities and parent companies would meet this Small Business Administration definition. The financial and economic information collected in the questionnaire is necessary to perform the economic analysis of any proposed revision to the Metal Finishing and Electroplating ELGs in order to meet the requirements of the Small Business Regulatory Enforcement Fairness Act (SBREFA).

To minimize the burden of responding to the questionnaire, EPA has written a series of questions that will preclude facilities from completing the entire questionnaire if they are identified as not conducting chromium finishing operations. Additionally, the questions are

phrased with commonly used terminology and the tables are organized in formats familiar to financial officers in the respondent industry.

6. CONSEQUENCE TO FEDERAL PROGRAM OR POLICY ACTIVITIES IF THE COLLECTION IS NOT CONDUCTED OR IS CONDUCTED LESS FREQUENTLY AND ANY TECHNICAL OR LEGAL OBSTACLES TO REDUCING BURDEN

The questionnaire and wastewater sampling program are to be administered one time only. If the data collection is not conducted, EPA will not be able to fulfill its statutory requirement to consider revising the Metal Finishing and Electroplating ELGs. The currently available data do not include wastewater quantity and quality characteristics information, particularly for PFAS. Information on pollution control practices and technologies is available in some permits and/or permit applications, but this information requires manual review of permit and permit application documents, permit applications may not be publicly available, and information would not be available for all chromium finishing facilities. In addition, if the national population of all chromium finishing facilities is not identified, it will not be possible to confirm whether population estimates are accurate. Without the data sought in the questionnaire, EPA will be required to rely on the publicly available data listed in Section 4.. In general, these data sets are incomplete, inconsistent, and difficult to combine. The publicly available data are not sufficient to assess the current industry population, evaluate subcategories in the current ELG or future ELGs, assess use and discharge of PFAS, determine characteristics of wastewater and wastewater treatment currently occurring at chromium finishing facilities, or evaluate new pollution control practices and technologies that are being used, especially for indirect discharging facilities which comprise the majority of the sector. Also, data collected by any trade association's voluntary efforts will likely be incomplete as trade associations do not represent all chromium finishing facilities.

The questionnaire will collect data from all chromium finishing facilities on production processes, PFAS use and discharge, air emission controls, wastewater and solid waste generated, pollution prevention, wastewater management and treatment, and economics (see Section 2(a) for more specific detail). Production data from all facilities will help EPA assess extent of PFAS use by chromium finishing facilities and relationships to production type and size, type of wastewater discharge, and other aspects of facility operation. Data on wastewater generation and management will allow EPA to establish an accurate characterization of type and quantity of PFAS in wastewater and develop a current profile of the chromium finishing industry to estimate the pollutant mass loads discharged. Pollution prevention and wastewater treatment details will provide insight into the type and design of current treatment technologies employed and treatment system capabilities to reduce or eliminate PFAS discharge. Economics data will be evaluated to determine the economic health of the industry and ability to afford available pollution control technologies and practices. Overall, information on PFAS use and discharge, wastewater generation and management, and financial data are limited and only available publicly for a small subset of the industry.

If this questionnaire is not conducted, EPA would need to estimate or interpolate PFAS use, control, and discharge data for those the vast majority facilities where data is not available. EPA will also not be able to evaluate current operations or wastewater treatment capabilities, identify the extent to which PFAS and other pollutant discharges could be reduced or eliminated within the industry, or evaluate the potential economic impact that new or revised ELGs would impose on chromium finishing facilities. Without these analyses, developing new or revising existing ELGs would not be possible.

Wastewater sampling data collected through this ICR are critical for characterizing the wastewater generated by chromium finishing facilities and the wastewater discharged by chromium finishing facilities, as well as evaluating the effectiveness of pollution control practices and technologies to reduce or eliminate PFAS in discharges. These characterization data will be used to estimate current pollutant mass loads and achievable load reductions for available technologies for the industry and to potentially establish new ELG requirements. The only current publicly available PFAS concentration data are from a handful of state studies on a small subset of the chromium finishing industry. For PFAS in particular, few chromium finishing facilities are required to sample for and report PFAS in wastewater discharges. PFAS characterization data that is publicly available may use inconsistent analytical data methods and may not provide a robust or representative wastewater characterization and loads analysis. Data on the wastewater generated or discharged from indirect facilities are typically not publicly available through national data sets. EPA will not be able to calculate PFAS removal efficiencies for pollution control practices and wastewater treatment technologies without wastewater sampling.

7. SPECIAL CIRCUMSTANCES

There are no special circumstances. The collection of information is conducted in a manner consistent with the guidelines in 5 CFR §1320.5(d)(2).

8. PUBLICATION OF THE FEDERAL REGISTER NOTICE AND PUBLIC RESPONSE

8(a) Federal Register Notice Publication

EPA published a notice in the *Federal Register* on November 16, 2022 announcing the Agency's intent to submit a request for a new ICR and to collect comments on the draft initial questionnaire and the draft list of chromium finishing facilities in the United States. The notice included a description of the entities to be affected by the proposed questionnaire, a brief explanation of the need for the questionnaire, identification of the authority under which the questionnaire will be issued, and an estimate of burden to be incurred by questionnaire respondents. The Agency requested comments and suggestions regarding the questionnaire and draft facility list and the reduction of data collection burden.

Pursuant to Paperwork Reduction Act (PRA) §3506(c)(2)(A), EPA solicited comments and information to enable it to:

- Evaluate whether the proposed collection of information is necessary for the proper performance of the functions of the Agency, including whether the information will have practical utility.
- Evaluate the accuracy of the Agency's estimate of burden of the proposed collection of information, including the validity of the methodology and assumptions used.
- Enhance the quality, unity, and clarity of the information to be collected.
- Minimize the burden of the collection of information on those who are to respond.

EPA received one comment letter signed by the NASF, a surface finishing industry trade association, in response to the *Federal Register* notice. Overall, NASF requested EPA to clarify the relevance of certain data requests to EPA's rulemaking analyses and to streamline or remove questions from the questionnaire to minimize burden on respondents. Specific comments submitted by NASF and EPA's responses are summarized below.

- NASF asserted that EPA's estimated burden to the industry to complete the
 questionnaire is low and presented an alternative burden estimate developed by
 NASF which is more than three times higher. EPA revised the questionnaire to
 reduce the total number of questions (approximately 10 questions were removed)
 and reduced the scope of questions that remain in the questionnaire. EPA also
 revised the estimated burden for facilities to complete the questionnaire (i.e., a 15
 percent labor increase per facility despite the reduced number of questions).
- NASF requested a 120-day period (i.e., an additional 60 days) for facilities to complete and submit their responses to the questionnaire. Due to the reduced number of questions, EPA did not adjust the response period for the questionnaire. Respondents may submit to EPA a written request for a questionnaire response period extension.
- NASF requested additional questionnaire "off ramps" for facilities that did not engage in chromium finishing operations, did not use PFAS, and do not discharge. EPA prepared the questionnaire to be applicable to a variety of facilities; therefore, not all questions will apply to every company or facility. The questionnaire includes instruction to note when facilities do not need to complete a part or question. For example, facilities which have not conducted specific chromium finishing operations since 1995 or have permanently discontinued all metal finishing and electroplating operations as of 2023 are instructed to skip all remaining questions in the questionnaire. Additionally, facilities that did not generate, discharge, treat, or transfer off site certain wastewaters will be instructed to skip entire sections or sets of questions in the questionnaire.
- NASF stated chromate conversion coating operations should not be included in the
 definition of chromium finishing because these operations do not use an electric
 current, have low chromium emissions associated with them, and are not subject to
 the requirements of EPA's Chromium Electroplating NESHAP. EPA did not remove
 chromate conversion coating operations from the chromium finishing definition

because PFAS sampling data collected by Michigan indicates that chromate conversion coating facilities are sources of PFAS discharges. While EPA's NESHAP regulations do not apply to these operations, they involve use of hexavalent chromium and are subject to Occupational Safety and Health Administration hexavalent chromium exposure limitations. Chromate conversion coating facilities may use PFAS-based chemical fume suppressants to meet these requirements.

- NASF stated requests on environmental media beyond wastewater discharges should be eliminated from the questionnaire, including requests for information and data on air emissions, solid waste generation, stormwater, groundwater, and energy consumption. EPA removed requests for information on air emissions and energy consumption. EPA reduced the data requested for solid waste, stormwater, and groundwater to only collect information necessary to evaluate stormwater discharges, groundwater discharges, and relative changes in non-water quality environmental impacts associated (including solid waste generation) for the ELGs.
- NASF asserted some of the requests for financial information could be burdensome, need to be clarified, and tailor to surface finishing operations. EPA reviewed specific NASF comments on financial questions and revised the questionnaire accordingly.
- NASF suggested additional minor changes to questions to reduce burden and improve clarity. EPA reviewed these comments and revised the questionnaire accordingly.

The data collected through this ICR will allow EPA to profile the chromium finishing industry, assess current wastewater discharges from the industry, identify pollution control technologies utilized by the industry, assess whether technology-based requirements are economically achievable, and thoroughly assess the topics raised by commenters. EPA is continuing to evaluate the Metal Finishing and Electroplating ELGs and pursue the Chromium Finishing Industry Data Collection.

8(b) Consultations

The Engineering and Analysis Division (EAD) of EPA's Office of Water has consulted with individuals in EPA Offices, Regions, and States. EAD has also engaged with local permitting authorities and industry trade associations and stakeholders.

Consultations with the seven state environmental agencies, listed in Table 8-3, provided information on the number, location, operations, and wastewater characteristics of metal finishing and electroplating facilities in these states. Additionally, state agencies provided important perspectives on PFAS use and trends in chromium finishing facilities. However, EPA was not able to conduct outreach to every state agency, nor did every state have the same types of data or level of detail available for chromium finishing facilities.

Table 8-3. State Agency Consultations

State Environmental Agency
Alabama Department of Environmental Management
California Water Boards
Georgia Department of Natural Resources
Michigan Department of Environment, Great Lakes, and Energy (EGLE)
Minnesota Pollution Control Agency
New Hampshire Department of Environmental Services
Wisconsin Department of Natural Resources

EPA is conducting ongoing discussions and collaboration with Michigan EGLE and EPA Region 5 to understand and characterize PFAS use within the chromium finishing industry. Michigan EGLE has conducted screening-level studies of PFAS presence in chemical fume suppressants used by chromium finishing facilities and PFAS presence in industrial wastewater discharges. Michigan ELGE identified chromium plating and chromate conversion coating as a substantial PFAS source to POTWs in their 2020 Industrial Pretreatment Program Report and confirmed PFAS presence in chromium plating wastewater in their 2020 Chrome Plater Fume Suppressant Study. EPA has coordinated with Michigan EGLE to obtain detailed facility information for chromium finishing facilities in Michigan, including the chromium finishing processes, type of chromium used, and if the facility uses or has historically used PFAS-based chemical fume suppressants.

EPA first met with the NASF in February 2020. NASF has provided insight on the scope of the chromium finishing industry, the use of PFAS-based chemical fume suppressants, and general industry trends in production, including hexavalent chromium use and control methods. Since then, NASF has reviewed the directory of facilities and provided comments on the operating status applicability of facilities in the recipient list. NASF has reported similar challenges in identifying chromium finishing facilities separate from other types of metal finishing facilities.

EPA distributed draft copies of the ICR facility mailing list and the questionnaire to NASF for review and comment on August 2, 2022 and September 29, 2022, respectively. EPA then met with NASF on October 26, 2022 to discuss the timeline for the ICR, the mechanism of questionnaire delivery, and the types of information solicited in the questionnaire. As described in Section 8(a), EPA reviewed public comments submitted by NASF and revised the ICR accordingly. EPA plans to continue coordinating with NASF regarding the ICR throughout the development and execution of the questionnaire and wastewater sampling program.

9. PAYMENT OR GIFT TO RESPONDENTS

No payments or gifts are provided to respondents.

10. CONFIDENTIAL BUSINESS INFORMATION CLAIMS

In accordance with 40 CFR Part 2 Subpart B, the questionnaire informs respondents of their right to claim information as CBI. The questionnaire provides instructions for asserting CBI claims and informs respondents of the terms and rules governing the protection of CBI under the Clean Water Act and 40 CFR §2.203(b). For each question which requests information that may potentially be claimed as CBI, responses will have a corresponding CBI checkbox. Respondents will be requested to check all CBI boxes which correspond to responses they claim as CBI.

If no business confidentiality claim accompanies the information when it is received by EPA, EPA may make the information available to the public without further notice. 40 CFR §2.203.

EPA and its contractors will follow EAD's existing procedures to protect information claimed as CBI. These procedures include the following:

- Ensure secure handling of submitted and exported questionnaire data to preclude access by unauthorized personnel.
- Store exported questionnaire data and databases in secured areas of offices and system networks and restrict access to authorized EPA and contractor personnel only.
- Restrict any publication or dissemination of confidential results or findings to aggregate statistics and coded listings. Individual respondents will not be identified in summary reports.

EPA has ensured that Qualtrics meets EPA's regulations and policies for handling information claimed as CBI. EPA will design the Qualtrics questionnaire to require authentication and verification of the respondents to allow access to the questionnaire, allow users to mark information claimed as CBI, provide secure storage and limit access to EPA and EAD's contractors, and require users to certify the submitted questionnaire.

Each EPA contractor that collects, processes, or stores information claimed as CBI is responsible for the proper handling of that information. Each contractor shall safeguard such information as described in 40 CFR §2.211(d) and is obligated to use or disclose information only as permitted by the contract under which the information is furnished.

11. QUESTIONS OF A SENSITIVE NATURE

No sensitive questions pertaining to private or personal information, such as sexual behavior or religious beliefs, will be asked in the questionnaire or as part of the wastewater sampling program.

12. ESTIMATES OF RESPONDENT BURDEN FOR THE INFORMATION COLLECTION

12(a) Estimate of Respondent Hour Burden

The Chromium Finishing Industry Data Collection effort will require recipient facilities to devote time and resources to produce acceptable responses to a questionnaire and, for a subset of facilities, also collect samples to characterize the types and quantity of pollutants in chromium finishing wastewater. EPA expects that wastewater treatment plant operators, engineers, operations managers, finance specialists, and technical staff at the facilities will devote time toward gathering requested information and data, preparing and submitting the final responses to the questionnaire, coordinating and planning sampling with EPA staff, and collecting wastewater samples. The costs to the respondents' facilities associated with these time commitments can be estimated by multiplying the time spent in each labor category by an appropriately loaded hourly labor rate.

To develop the burden estimates, EPA estimated the number of hours required to complete all parts of the questionnaire, including reviewing instructions, gathering data, entering the information requested, reviewing responses, and submitting the questionnaire. Table 12-4 breaks down the burden (in hours) per anticipated respondent activity and per labor category presumed necessary to complete the questionnaire. EPA expects that water and wastewater treatment plant operators (operators), engineers, operations managers, and finance specialists will all be involved in responding to the questionnaire. EPA has differentiated the hours that will be spent by three different types of responses for the questionnaire: 1) recipients that complete the full questionnaire, 2) recipients that will only complete Section 1 (General Facility Information), and 3) recipients that do not submit response to the questionnaire. EPA expects that approximately 15 percent of the respondent population does not perform one or more chromium finishing operations of interest or will permanently discontinue all metal finishing and electroplating operations by the time the questionnaire is administered, and therefore does not fall within the population of interest for the current rulemaking effort. These facilities will not be required to complete the full questionnaire and will be directed to the end of the questionnaire via specific screening questions in the first questionnaire section to determine applicability. As a result, these facilities will not be required to complete large portions of the questionnaire, resulting in less burden. Throughout the remainder of this supporting statement these will be referred to as "not applicable" questionnaire facilities. Although this ICR will be mandatory, the typical no response rate for effluent guidelines questionnaires is 10 percent.

EPA expects that questionnaire response will be led by the operator as most questions are specific to wastewater generation and treatment. EPA has included hours for engineering staff to support collecting data and entering details related to production as well as finance specialists to support details related to financial information requested in the questionnaire. EPA has also included hours for the operations manager to review the questionnaire response and coordinate submission.

Table 12-4. Estimated Questionnaire Response Burden by Activity, Labor Category, and Type of Response

		Labor Categ	gory and Burd	den (hours)	
Activity	Operator	Engineer	Operations Manager	Finance Specialist	Total Burden per Activity
Not Applicable (nonchromium finishing facilities that c	omplete Sec	tion 1 only)			
Review Instructions & Access Qualtrics Questionnaire	0.50	0.50	0.50	0.50	2.00
Complete Questionnaire Section 1	1.00				1.00
Review & Submission			1.00		1.00
Total	1.50	0.50	1.50	0.50	4.00
Full Response (chromium finishing facilities completing	Sections 1 t	hrough 8)	I		
Review Instructions & Access Qualtrics Questionnaire	0.50	0.50	0.50	0.50	2.00
Complete Questionnaire Section 1	1.00				1.00
Complete Questionnaire Sections 2 – 8	11.00	4.00		4.00	19.00
Review & Submission			7.00		7.00
Contact Helpline	1.00				1.00
Total	13.50	4.50	7.50	4.50	30.00

Note: EPA assumes that questionnaire recipients that do not respond to the questionnaire will incur zero burden.

In addition to completing the questionnaire, EPA will require a subset of no more than 20 chromium finishing facilities to collect wastewater samples and submit them to an EPA-contracted laboratory. EPA will request no more than 20 chromium finishing facilities to collect one-time grab samples of chromium finishing process wastewater and final effluent from the facility. Each facility selected for sampling will be asked to engage with EPA to a develop site-specific sampling and analysis plan to standardize sampling across all facilities. EPA will provide each facility with a sampling kit that includes all sampling supplies included. Facilities will be responsible for executing the sampling plan by collecting samples, preserving samples, and shipping wastewater samples to specific laboratories identified by EPA. EPA will contract with accredited analytical laboratories for each analytical method included in the sampling plan.

Each facility will ship wastewater samples according to instructions provided by EPA. By EPA contracting directly with laboratories, this ensures that all wastewater samples will be analyzed to the same precision and using the same method for each analyte.

EPA estimates that each of the 20 facilities will collect grab samples during one-day sampling episodes from up to two locations, such as the untreated chromium finishing process wastewater and effluent from the wastewater treatment system. The exact sample locations may vary by facility based on the treatment system configuration and/or type of operations. EPA also accounts for each facility to collect and submit one sample for quality assurance/quality control purposes. For the purposes of the ICR estimate, EPA estimates that each facility participating in the wastewater sampling program will collect three samples per day (two wastewater samples plus one QC sample). Table 12 -5 presents estimated burden (in hours) for the sampling episodes on a per facility basis by labor category. EPA expects that operators and operations managers will be involved in planning and implementing the wastewater treatment protocols.

Table 12-5. Estimated Burden for Wastewater Sampling Program by Activity and Labor Category

	Labor Category and Burden (hours)				
Activity	Operator	Operations Manager	Total Burden per Activity		
Pre-Sampling Episode Planning (e.g., pre-sampling coordination with EPA, input on site-specific sampling plan)	8.00	4.00	12.00		
Sampling Preparation (e.g., reviewing site-specific sampling and analysis plan)	4.00	2.00	6.00		
Sample Collection (e.g., collecting three samples)	3.00		3.00		
Sample Preservation/Shipment (e.g., preserving and cooling samples, packing and preparing coolers for shipment)	3.00		3.00		
Sampling Oversight		4.00	4.00		
Total Per Facility	18.00	10.00	28.00		

12(b) Estimate of Respondent Labor Costs

EPA obtained mean labor rates from the May 2021, United States Department of Labor, Bureau of Labor Statistics website for NAICS code 332800 (Coating, Engraving Heat Treating, and Allied Activities). Table 12-6 presents the labor data for 2021 (the latest year for which data are available) for the labor categories representing an operator, engineer, operations manager, and finance specialist. To account for additional costs to overhead and benefits, EPA calculated a 30 percent increase in the mean hourly earnings rate for each labor category. EPA used these calculated labor rates for the burden estimates.

Table 12-6. 2021 Mean Hourly Rates by Labor Category

Labor Category	Operator ^a	Engineer ^b	Operations Manager ^c	Finance Specialist d
Mean Hourly Rates (\$/hour)	27.30	50.66	75.62	54.65

Source: 2021 National Occupational Employment and Wage Estimates for NAICS Code 332800 Water and Wastewater Treatment Plant Operator (occupation code 51-8031), Engineers (occupation code 17-2000), General and Operations Managers (occupation code 11-1021), and Financial Specialist (13-2000). https://www.bls.gov/oes/current/naics4 332800.htm#00-0000

- a Operator unloaded mean hourly wage of \$21.00/hour times 1.3 loading (overhead/benefits) = \$27.30/hour.
- b Engineer unloaded labor rate of \$38.97/hour times 1.3 loading (overhead/benefits) = \$50.66/hour.
- c Operations manager unloaded labor rates of \$58.17/hour times 1.3 loading (overhead/benefits) = \$75.62/hour.
- d Finance specialist unloaded labor rate of \$42.04/hour times 1.3 loading (overhead/benefits) = \$54.65/hour.

The direct labor cost to respondents to complete the questionnaire equals the time required to read and understand all of the instructions, gather relevant information and data, transfer it to the questionnaire response, review responses, and certify and submit the completed questionnaire. EPA calculated the estimated respondent burden for completion of the questionnaire using the estimated total response time per activity shown in Table 12 -4 as well as the labor rates shown in Table 12 -6 to calculate a total labor cost shown in Table 12 -7. Table 12 -7 includes estimates for the following types of respondents: not applicable (nonchromium finishing facilities that complete Section 1 only) and full response (chromium finishing facilities completing Sections 1 through 8).

Table 12-7. Total Estimated Respondent Labor Burden for the Questionnaire per Respondent

Response Category	Operator Total Labor Costs	Engineer Total Labor Costs	Operations Manager Total Labor Costs	Finance Specialist Total Labor Costs	Total Labor Burden Cost
Not Applicable	\$40.95	\$25.33	\$113.43	\$27.33	\$207.04
Full Response	\$368.55	\$227.97	\$567.16	\$245.93	\$1,409.62

Note: EPA assumes that questionnaire recipients that do not respond to the questionnaire will incur zero burden.

The total burden for the questionnaire equals the estimated burden per facility for all facilities EPA expects will respond. As noted previously in this supporting statement, for the purposes of estimating burden to the industry, EPA estimates the population of chromium finishing facilities at approximately 2,035. EPA expects that some number of facilities will not respond to the questionnaire. Although this ICR will be mandatory, the typical no response rate for effluent guidelines questionnaires is 10 percent. EPA also expects that approximately 15 percent of the questionnaire population will not be required to complete the full questionnaire because the facility does not perform chromium finishing operations or will permanently discontinue all metal finishing and electroplating operations by the time the questionnaire is administered.

able 12-8 includes the number of respondents in each category (not applicable, full response, and no response), total burden, and total cost for the industry to respond to the questionnaire. The values presented in able 12-8 also include hours for a portion of the respondents to consult with EPA's helpline. EPA estimates that 10 percent of the questionnaire respondents, both not applicable responses and full responses, will spend 1 hour coordinating with the helpline. All values presented in able 12-8 are rounded to the nearest whole hour or dollar.

Table 12-8. Estimated Questionnaire Respondents by Response Category and Total Estimated Burden

Response Category	Number of Responses	Number of Respondents Contacting Helpline	Total Operator Labor (hours)	Total Engineer Labor (hours)	Total Operations Manager Labor (hours)	Total Finance Specialist Labor (hours)	Total Labor (hours)	Total Operator Labor Cost (\$)	Total Engineer Labor Cost (\$)	Total Operations Manager Labor Cost (\$)	Total Finance Specialist Labor Cost (\$)	Total Labor Cost (\$)
Not Applicable	305	31	488	153	458	153	1,252	\$13,322	\$7,751	\$34,634	\$8,362	\$64,070
Full Response	1,526	153	19,228	6,867	11,445	6,867	44,407	\$524,925	\$347,889	\$865,483	\$375,295	\$2,113,591
No Response	204	0	0	0	0	0	0	\$-	\$-	\$-	\$-	\$-
Total	2,035	184	19,716	7,020	11,903	7,020	45,659	\$538,247	\$355,640	\$900,117	\$383,657	\$2,177,661

For labor costs associated with sampling, EPA assumed that all sampling activities described in Section 12(a) will be completed by a combination of operators and the operations manager as shown in Table 12 -5. To estimate the labor cost, EPA combined the hours presented in Table 12 -5 with the labor rates shown in Table 12 -6. The total labor cost for sampling per facility is shown in Table 12 -9.

Table 12-9. Total Estimated Labor Burden for Wastewater Sampling per Facility

Operator Total Labor Cost (\$)	Operations Manager Total Labor Cost (\$)	Total Labor Burden (\$)
\$491.40	\$756.21	\$1,247.61

Using the total industry labor cost for the questionnaire shown in able 12-8 and the total labor cost for sampling per facility shown in Table 12-9 combined with the number of facilities participating in sampling, EPA estimates the total labor cost associated with activities described in this ICR. The total labor associated with the questionnaire and wastewater sampling program is \$2.22 million, as shown in Table 12-10.

Table 12-10. Total Estimated Respondent Labor Burden for Data Collection Activities

Activity	Number of Facilities Participating	Total Labor Burden (Dollars)
Questionnaire	2,035	\$2,177,660.82
Wastewater Sampling	20	\$24,952.20
	Total	\$2,202,613.02

13. TOTAL ANNUAL COST BURDEN TO RESPONDENTS OR RECORDKEEPERS RESULTING FROM THE COLLECTION OF INFORMATION

13(a) Estimating Capital/Start-up Operating and Maintenance Costs

EPA estimates there will be minimal other direct costs associated with responding to the questionnaire. All information requested in the questionnaire should be available from existing facility records and/or monitoring. Facilities are not required to generate any new data to respond to the questionnaire.

Other costs for completing the questionnaire include printing/duplication of working copies and, for a select few facilities, shipping for those respondents that are unable to respond to the online platform. EPA has assumed that 2 percent of questionnaire respondents will respond with mailed hardcopies as opposed to online submittals. Most respondents will submit electronic questionnaire responses, which will reduce burden and ensure efficient transfer of data. EPA assumes all respondents will incur a printing rate of \$0.10 per page for a paper copy for use as a working copy or a hardcopy file. EPA also assumes that any facility submitting a paper response will return the completed questionnaire via Federal Express or other trackable delivery service that requires a signature to acknowledge receipt. EPA also included cost for

long distance phone charges. Although, most facilities have access to cell phones or other internet-based phone mechanisms that do not charge for long distance calls, EPA has included these costs at \$0.05 per minute for calls into the helpline to cover facilities in rural areas.

Table 13 -11 presents the estimated other direct costs for respondents related to the questionnaire.

Table 13-11. Total Other Direct Costs for Respondents to the Questionnaire

Activity	Number of Respondents	Total Printer/ Photocopying Cost a	Total Shipping Cost ^b	Total Phone/ Calling Costs ^c	Total
Questionnaire	2,035	\$12,817.00	\$325.92	\$552.00	\$13,694.92

- a Assumes printing of 70 pages for the questionnaire; \$0.10/page print cost. Assumes all facilities will print the questionnaire once as a working copy.
- b Assumes 2 percent of questionnaire respondents will send in a paper questionnaire via Federal Express (or another shipper with tracking). Assumes \$8.90 shipping fee/package.
- c Assumes 10 percent of questionnaire respondents will contact the helpline for 60 minutes at a rate of \$0.05/minute. EPA expects this to be an overestimate of the long-distance costs associated with the questionnaire.

As described in Section 12., a subset of chromium finishing facilities (no more than 20 facilities) will be required to have facility staff collect wastewater samples and transfer them to an EPA-contracted laboratory for analysis. This burden estimate assumes that EPA will contract directly with laboratories, provide each facility with a set of sampling supplies, and pre-pay the costs to ship coolers to the facility and to the laboratory. The only sampling supplies not provided by EPA would be ice required to cool wastewater samples immediately after collection and/or during preservation. Sampled facilities will be responsible for any long-distance phone charges associated with planning and obtaining ice. In addition to ice needed during sample collection, EPA estimates that each sampled facility will need to provide ice for filling coolers and keeping samples at the proper temperature during shipping. EPA estimates these other direct costs associated with wastewater sampling include those elements shown in Table 13 -12.

Table 13-12. Total Other Direct Costs for Facilities Selected for Wastewater Sampling

	Units			
Activity	Cost	Units	Number	Direct Cost (\$)
Planning Calls (phone charges)	\$3.00	\$ per hour	2 hours	\$6.00
Sample Supplies Not Provided by EPA (e.g., ice)	\$10.00	\$ per wastewater sample	3 samples	\$30.00
	Total Cost per Facility	\$36.00		
	\$720.00			

13(b) Annualizing Capital Costs

EPA estimates that there will be no recuring capital costs associated with responding to the questionnaire or wastewater sampling. The one-time burden to respondents includes labor

costs described in Section 12. and other direct costs described in Section 13(a). Table 13-13 presents the total burden to the industry for the questionnaire and wastewater sampling.

Table 13-13. Total Estimated Respondent Burden and Cost Summary

Information Collection Activity	Number of Participating Facilities	Total Burden (Hours)	Total Labor Cost (\$)	Total Other Direct Cost (\$)	Total Cost (\$)
Questionnaire	2,035	45,659	\$2,177,660.82	\$13,694.92	\$2,191,355.74
Wastewater Sampling	20	560	\$24,952.20	\$720.00	\$25,672.20
	Total	46,219	\$2,202,613.02	\$14,414.92	\$2,217,027.94

EPA estimates that the total burden to the industry for responding to the questionnaire and wastewater sampling will be approximately 46,219 hours, or \$2.22 million, including labor and other direct costs.

14. ANNUALIZED COST TO THE FEDERAL GOVERNMENT

able 14-14 presents an estimate of the burden and labor costs that EPA will incur to administer the questionnaire. The table identifies the collection administration tasks to be performed by EPA employees and contractors, with the associated hours required for each grouping of related tasks. EPA determined Agency labor costs by multiplying Agency burden figures by an average hourly Agency labor rate (\$48.41/hour) for technical and managerial support using the Salary Table 2023-GS from the United States Office of Personal Management. This table can be found at the website

https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/23Tables/html/GS_h.aspx. The government employee labor rates are \$40.51 per hour for technical (GS-13, Step 1) and \$56.31 per hour for managerial (GS-15, Step 1). EPA determined contractor labor costs by multiplying contractor burden figures by an average contract labor rate of \$122.99 per hour. This rate is consistent with current Agency contracts.

Table 14-15 presents the other direct costs associated with administering the questionnaire that will be incurred by EPA. For EPA and contractor other direct costs, EPA assumed mailing a cover letter announcing the questionnaire effort to all facilities and mailing hardcopy questionnaires to 2 percent of all respondents as described in Section 13(a).

Table 14-16 presents a list of the tasks EPA and its contractors will perform associated with the wastewater sampling program. These tasks include the following:

- Selecting facilities for wastewater sampling.
- Developing site-specific sampling plans and coordinating with facilities.
- Ordering sampling supplies and preparing sampling kits for each sampled facility.
- Performing laboratory analysis and corresponding quality review for each collected sample.

 Reviewing and analyzing sampling results and documenting results of each sampling episode.

Table 14-16 includes an estimate of the burden and labor costs for each task and the total labor cost. Other direct costs associated with wastewater sampling include costs associated with planning calls, sample collection supplies, shipping sample coolers to facilities, shipping coolers from facilities to analytical laboratories, and sample analysis costs. Table 14-17 shows the other direct costs incurred by EPA per sampled facility and the total cost for all 20 sampled facilities.

Table 13-13 and Table 14-18 summarize the total costs that the industry and the Agency will incur as a result of the ICR, respectively.

Table 14-14. Estimated Agency Burden and Labor Costs for the Questionnaire

		Burden (hours)			Labor Cost	
Activity	Agency	Contractor	Total Hours	Agency (\$48.41/hour)	Contractor (\$122.99/hour)	Total Cost
Develop questionnaire instrument	160	800	960	\$7,745.60	\$98,392.00	\$106,137.60
Meet with trade association representatives	100	300	400	\$4,841.00	\$36,897.00	\$41,738.00
Publish notice of anticipated ICR in Federal Register						
Respond to all comments received						
Revise questionnaire instrument based on reviewers' comments						
Design distribution approach	150	600	750	\$7,261.50	\$73,794.00	\$81,055.50
Develop a mailing list database						
Develop a system to track mailing and receipt activities to improve mailing list						
Develop notification letters						
Mail questionnaire notification letters						
Develop and maintain email and phone helplines	60	366	426	\$2,904.60	\$45,014.34	\$47,918.94
Maintain helpline database and develop documentation						
Track survey responses	100	2,197	2,297	\$4,841.00	\$270,209.03	\$275,050.03
Review responses and assess potential for bias due to missing data						
Engineering follow-up to clarify responses						
Develop questionnaire database	40	400	440	\$1,936.40	\$49,196.00	\$51,132.40
Upload and verify data						
Enter hardcopy survey responses	40	293	333	\$1,936.40	\$36,036.07	\$37,972.47
Total	650	4,956	5,606	\$31,466.50	\$609,538.44	\$641,004.94

Table 14-15. Estimated Other Direct Costs for the Agency to Administer the Questionnaire

Activity	Unit Costs ^a		Number of Units ^b		Total Cost (\$)
Questionnaire Notification Mailout	\$0.58	per letter	2,035	letters	\$1,180.30
Hardcopy Questionnaires	\$8.90	per package	41	packages	\$362.23
	Total	\$1,542.53			

a – Questionnaire notifications will be sent out via United States Postal Service with a letter. Hardcopy questionnaires will be sent via Federal Express (or another shipper with tracking) at \$8.90 shipping fee/package.

Table 14-16. Estimated Agency Burden and Labor Costs for Wastewater Sampling

	Burden (hours)			Labor Cost		
Activity	Agency	Contractor	Total Hours	Agency (\$48.41/hour)	Contractor (\$122.99/hour)	Total Cost
Select facilities	40	80	120	\$1,936.40	\$9,839.20	\$11,775.60
Develop site-specific sampling plans (e.g., pre-sampling calls with facilities, developing site-specific sampling and analysis plans)	80	280	360	\$3,872.80	\$34,437.20	\$38,310.00
Prepare sample collection kits	-	100	100	\$-	\$12,299.00	\$12,299.00
Laboratory analysis, data review, develop SOWs	80	500	580	\$3,872.80	\$61,495.00	\$65,367.80
Process sampling data results, enter data into database, analyze data, document results for the record in sampling episode reports	120	420	540	\$5,809.20	\$51,655.80	\$57,465.00
Total for All Facilities	320	1,380	1,700	\$15,491.20	\$169,726.20	\$185,217.40

b - Assumes 2 percent of questionnaire respondents will not have access to the internet and request a hardcopy questionnaire.

Table 14-17. Estimated Other Direct Costs for the Agency for Wastewater Sampling

Activity	Unit Costs		Number of Units		Total Cost (\$)	
Planning Calls (phone charges)	\$3.00	per hour	2	hours per facility	\$6.00	
Sample Collection Supplies (bottles, labels, preservation supplies, sampling equipment)	\$230.00	per set of supplies	1	set of supplies per facility	\$230.00	
Sample Analysis	\$940.00	per sample	3	samples per facility	\$2,820.00	
Shipping Sample Kits/Coolers to Facilities	\$110.00	per cooler	3	coolers per facility	\$330.00	
Total Cost per Facility						
Total Cost for All Facilities						

Table 14-18. Total Estimated Agency Burden and Cost Summary

Total Burden (hours)	Total Labor Cost (\$)	Total Other Direct Cost (\$)	Total Cost (\$)
7,306	\$826,222.34	\$69,262.53	\$895,484.87

EPA estimates that the total burden to the Agency for the questionnaire and wastewater sampling will be approximately 7,306 hours, or \$0.9 million, including labor costs and other direct costs. EPA estimates that there will be no start-up or capital costs associated with completing the questionnaire.

15. REASON FOR ANY PROGRAM CHANGES OR ADJUSTMENTS IN BURDEN ESTIMATES FROM THE PREVIOUS APPROVED ICR

Since this is a one-time information collection, there are no changes to the information collection since the last OMB approval.

16. COLLECTION OF INFORMATION WHOSE RESULTS WILL BE PUBLISHED

16(a) Technical Analyses Supported by the Questionnaire

Current ELGs do not contain requirements for PFAS; however, PFAS has been found in wastewater discharges from facilities in Metal Finishing and Electroplating Point Source Categories, particularly in those that perform or historically performed chromium finishing operations. EPA will use the data collected through the questionnaire and wastewater sampling program to determine if revisions to the Metal Finishing ELGs or the Electroplating ELGs are warranted. If EPA determines revisions are warranted, EPA anticipates also using data in support of future rulemaking efforts. EPA will use the data collected through the questionnaire and wastewater sampling program to support the following types of analyses:

• **Subcategorization.** EPA will survey all chromium finishing facilities to fully capture the range of metal finishing and electroplating processes, PFAS use, wastewater

types, and pollution control practices and technologies for the sector. Data from the respondents will help EPA determine whether the existing subcategorization of the industry is appropriate or additional/revised subcategorization is necessary for the Metal Finishing and Electroplating ELGs. Under such a regime, EPA will develop estimates of pollutant mass loads, and estimates of compliance costs associated with any proposed regulatory options for each subcategory. It is important that EPA fully understand these differences to construct subcategories that are meaningful and ELGs that incorporate differences within the industry.

- Evaluation of Chromium Finishing Processes and Wastewaters. EPA will use data collected to analyze chromium finishing industry manufacturing processes; PFAS use and potential transfer to wastewater; wastewater generation and characteristics (including PFAS concentrations and flow rates); and available and demonstrate pollution control technologies and practices. EPA will also analyze facility-wide pollution prevention practices and wastewater treatment systems to determine the wastewaters that contain PFAS, the treatment technologies that are applicable to those wastewaters, the effectiveness of these treatment units, and the final discharge characteristics from chromium finishing facilities.
- Technical Feasibility Analysis. EPA will evaluate technically feasible technology
 options, including control technologies and pollution prevention and recycle
 practices, for the spectrum of chromium finishing operations and facility
 characteristics. EPA will assess the technical feasibility of each technology option by
 determining its availability within the industry as well as the degree to which it
 effectively eliminates the generation of pollutants and/or removes or destroys PFAS.
- Assessment of Technology Costs. EPA will use data collected to estimate the
 industry-specific direct capital costs, operating and maintenance costs, and recurring
 costs (e.g., waste disposal) of the pollution control technologies and practices, with a
 focus of identifying technologies that can effectively reduce or eliminate PFAS as
 potential technology basis options for ELGs. EPA will develop methodologies for
 estimating facility-specific and industry compliance costs associated with technology
 options considered based on variables such as wastewater flow rate and
 performance criteria.
- Estimation of Effluent Limitations and Pretreatment Standards. EPA may develop effluent limitations guidelines and pretreatment standards for PFAS. EPA will base these limitations and standards upon a detailed statistical analysis of wastewater discharge data from chromium finishing facilities which have implemented the pollution control technology options and PFAS management practices considered by EPA. EPA may develop effluent limitations for maximum daily and average monthly discharge levels.

- Environmental Assessment and Environmental Justice. EPA will perform an environmental assessment to determine the potential impacts of chromium finishing discharges on aquatic life and human health, as well as on the proper operation of POTWs and other treatment works. EPA will also evaluate the potential impact of chromium finishing discharges of small, disadvantaged, or minority communities. These assessments will characterize the potential risk posed by the discharges and will assist EPA in projecting the environmental and economic benefits of potential revisions to the regulation.
- Estimation of Economic Impacts on Facilities. EPA will evaluate the economic impact of possible technology options on individual facilities. The analysis will combine facility-specific compliance costs with facility financial data and to estimate the total costs and impacts of the possible regulation. A goal of the analysis will be to identify facilities that might close due to PFAS control requirements. A standard financial decision model would predict closure if the net present value of future income is negative. The forecasted income for the facility is a major determinant of the net present value of continued operations.
- **Estimation of Economic Impacts on Companies.** The costs for all chromium finishing facilities that a given company owns will be estimated and aggregated. The combined cost to the company will be analyzed in the context of the company's financial status to evaluate the overall impact. The company-level impact analysis allows EPA to assess the effect of ELG revisions at a different level of business organization. Companies that own multiple facilities may not be able to afford the total cost of upgrading all facilities, even if it makes economic sense for each individual facility. Because such financing decisions are commonly made at company-level rather than the facility-level, EPA needs to assess economic impacts at the company-level in addition to the facility-level. In the case of singleestablishment firms, this component of the analysis is unnecessary because facilitylevel and company-level impacts will coincide. Whenever possible, EPA will collect data needed to assess company-level impacts from secondary sources. This reduces the burden on questionnaire recipients. Secondary sources provide data for multisite, publicly reporting companies but are inadequate for single-facility companies or multi-site, non-publicly reporting companies.
- Estimation of Secondary Impacts. EPA will assess the secondary impacts of
 projected facility closures on other segments of the economy. For example,
 employment losses and reductions in derived demand for input goods/services
 could potentially erode the economic condition of households and firms in
 communities around closing chromium finishing facilities. Estimation of these
 community impacts depends upon employment and labor income data from the
 questionnaire effort, macroeconomic multipliers, general economic data, and

economic data from secondary sources. EPA also plans to consider the secondary impacts felt by small businesses and foreign trade. EPA will utilize secondary sources whenever possible during these analyses to minimize the burden placed upon questionnaire recipients. Data from secondary sources will include detailed industry trade statistics, labor cost and commodity price indices, labor and commodity input requirement coefficients, regional income multipliers, regional employment, small business statistics, and other relevant secondary source information.

16(b) Collection Schedule

The specific dates for distribution, response receipt, and data collection activities for the questionnaire have not yet been established but will include the activities in Table 16-19. EPA's intention is to ensure that facilities have at least 60 days to prepare and submit their response to the questionnaire.

Activity Estimate of Schedule EPA notification to questionnaire recipients Within 30 days after OMB Approval Facilities submit responses At least 60 days following notification EPA reviews responses and evaluates need for follow-up 3 months following questionnaire completion EPA conducts follow-up to collect all missing or incomplete information 2 months EPA completes questionnaire database 4 weeks 3 months following questionnaire EPA selects and notifies facilities for wastewater sampling completion Wastewater sampling data collection occurs 2 months following notification Wastewater sampling data reviewed and analytical database populated 4 months

Table 16-19. Collection Schedule

16(c) Publication of Results

All responses containing or consisting of information claimed as CBI will be so identified in the questionnaire database. EPA regulations governing CBI appear at 40 CFR Part 2 Subpart B.

Information that has not been claimed as CBI may be shared with any interested parties. Nonexempt information is not protected from disclosure under the Freedom of Information Act (FOIA). Results of EPA's analyses become publicly available most often in three ways: (1) within materials placed in the public docket supporting the rulemaking, (2) within development and supporting documents otherwise published in support of the rulemaking, and (3) within any proposed and final rules published in the *Federal Register* if the data is to be used in any rulemaking effort. These documents are available through EPA's website and on regulations.gov.

17. DISPLAY OF THE EXPIRATION DATE FOR OMB APPROVAL OF THE INFORMATION COLLECTION

The Agency plans to display the expiration date for OMB approval of the information collection on all instruments.

18. CERTIFICATION FOR REDUCTION ACT SUBMISSIONS

EPA can comply with all provisions of the Certification for Paperwork Reduction Act Submissions.

Burden means the total time, effort, and financial resources expended by persons to generate, maintain, retain, and disclose or provide information to or for a federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems to collect, validate, and verify information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for EPA's regulations are listed in 40 CFR Part 9 and 48 CFR Part 15.

To comment on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including the use of automated collection techniques, EPA has established a public docket for this ICR under Docket ID No. EPA-HQ-OW-2022-0869, which is available for public viewing at the Water Docket in the EPA Docket Center (EPA/DC), EPA West, Room 3334, 1301 Constitution Ave., NW, Washington, DC. An electronic version of the public docket is available through the Federal Data Management System (FDMS) at http://www.regulations.gov. Use the FDMS to view and submit public comments, access the index listing of the contents of the public docket, and to access those documents in the public docket that are available electronically. Once in the system, select "Advanced Search" then key in the Docket ID number identified above. Also, you can send comments to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street, NW, Washington, DC. 20503, Attention: Desk Officer for EPA. Please include the EPA Docket ID No. (EPA-HQ-OW-2022-0869) in any correspondence.