# Attachment 16: Faculty Annual Follow-up Survey

Public reporting burden for this collection of information is estimated to average 25 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: NIH, Project Clearance Branch, 6705 Rockledge Drive, MSC 7974, Bethesda, MD 20892-7974, ATTN: PRA (0925-0747). Do not return the completed form to this address.

As you may recall, you have been selected to be a part of the Enhance Diversity Study, a national study on faculty and student experiences and career choices. Your school is part of this study, sponsored by the Diversity Program Consortium, a major national initiative funded by the National Institutes of Health. This effort, the NIH Diversity Consortium, is seeking to understand what programs and strategies can benefit faculty and their students interested in biomedical, behavioral, clinical, and social science fields.

The University of California, Los Angeles (UCLA) serves as the coordinating center for this national effort and is in charge of collecting information about these programs in order to evaluate the extent to which these efforts are successful in improving outcomes for students and faculty. If we can show benefits, this can increase the likelihood of continuation and expansion of these efforts.

Your school has provided UCLA your name and contact information because you have previously contributed to this effort by completing the Higher Education Research Institute (HERI) Faculty Survey offered at your institution this past academic year or you previously completed one of our annual surveys or you participated in a program sponsored by the Consortium. Only with the continued participation and support of faculty such as yourself will we be able to determine the value of the various programs offered through your institution to help students succeed.

All of the information you provide will be protected and secured to the extent permitted by law.

In this survey, we will ask you about your activities during this past academic year, including teaching practices, research, scholarly productivity, and mentoring. You will have the option to upload a document that includes information about publications or grants (such as a resume or CV) instead of entering this information directly for each publication or grant in the survey.

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#### **Mentoring**

This section concerns your experiences with mentoring in a variety of contexts.

A <u>mentor</u> is someone who provides guidance, assistance, and encouragement on professional and academic issues. A mentor can be either someone who is more experienced (or senior) than you or someone who is at an educational or professional level similar to you (a "peer").

A <u>mentee</u> is someone who receives guidance or assistance from a mentor. A mentee can be someone less experienced (or junior) than you or someone who is your peer.

A mentoring relationship can be formal (with a set schedule and perhaps arranged by your institution) or informal (with unstructured meetings or independently sought).

<ol> <li>EMTRCJ Do you have anyone you currently mentor about academics, career or research? We are interested in undergraduates, post-bacs or graduate students, post-docs, or faculty, not elementary or high school students.</li> </ol>
□No □Yes
2. EGRNT During the past 12 months, have you applied for any grant funding or other financial support fo your research (either as Principal Investigator or as Co-Investigator or other paid position)? ☐No ☐Yes
3. <b>EGRNTF</b> During the past 12 months, have you <b>received</b> support from any grant funding or other financial support for your research? □No □Yes
4. <b>ERESO</b> In the past 12 months, have you conducted research that is not covered by the grants listed above?  □No □Yes
5. <b>EANYPUB</b> Have you had publications accepted, in-press, or published in the past 12 months? This may include peer-reviewed abstracts included in conference proceedings.

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6. <b>ECONF</b> Have you presented research at a scientific conference in the past 12 months (including
posters)?
∐No (skip to question 7)
□Yes
<ul> <li>a. Did this conference presentation include: (choose all that apply)</li> <li>ECONFU Undergraduate student(s)</li> <li>ECONFB Post-baccalaureate student(s)</li> <li>ECONFG Graduate student(s)</li> <li>ECONFP Post-doctoral scholar(s)</li> <li>ECONFF Faculty</li> </ul>
7. <b>ETTRAN</b> During the past 12 months, have you participated in any technology transfer activities (including being named in patents, completed licenses, and any drug, device, or diagnostic approvals)?
□No (skip to question #8)
□Yes
<ul> <li>a. Please indicate the type of technology transfer (check all that apply):</li> </ul>
☐ ETTRIP New patent issued
ETTRLI License completed
ETTRDA Drug, device, or diagnostic received regulatory approval
ETTRBR Result of basic research commercialized
ETTROT Other (specify): _ ETTACX

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# IF RETURNING RESPONDENT, COMPLETE ITEMS 8-9

We would like to	be sure our information is correct about your current position.
	cords indicate you are [position] at [institution]. Has that changed? <b>(ip to question #9)</b>
ECUR ECUR (s	current primary position? (Please indicate all that apply)  RSC In school (skip to question #9a-d)  RWK Working (including internships and significant volunteer positions)  skip to question #9e-g)  RT Retired (skip to question #10)  RUN Unemployed, looking for work (skip to question #10)  RO1 Otherwise not in labor force (skip to question #10)  RO2 Other (specify): ECUROX
	a. ECURYR Expected completion date for degree program (year):  ECURDG Expected degree:
	b. ECURSS Status:  Full Time Part Time  c. ECURSI Institution: d. ECURSM Major/Program: Go to question #10
☐ ECUR ☐ ECUR ☐ ECUR	RT Retired (skip to question #10) RUN Unemployed, looking for work (skip to question #10) RO1 Otherwise not in labor force (skip to question #10) RO2 Other (specify): ECUROX  a. ECURYR Expected completion date for degree program (year): ECURDG Expected degree:  b. ECURSS Status: Full Time

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	e. Paid Employment (check all that apply):
	☐ ECURFT Full Time
	☐ <b>ECURPT</b> Part Time
	— ☐ ECURIN Internship
	☐ <b>ECURSN</b> Seasonal (full-time or part-time)
	☐ <b>ECURNP</b> Volunteer, unpaid internship, or other unpaid position ( <b>If this is only item</b>
	checked, skip to question #9g)
	, , ,
	Briefly describe your paid position(s):
	ECURPP Position:
	ECURPC Institution or Company:
	f. ECURPR Is this paid position related to research?
	□No
	□Yes
	g. <b>ECURPM</b> Is this new job/position considered a promotion or advancement? ☐No ☐Yes
	us to follow your professional accomplishments, please provide any of the following identifiers
that you may	/ have:
INCLUDE O	NLY IF ID TYPE NOT PROVIDED BEFORE (REFER TO INVITATION LIST FLAG)
a. l	EORCID ORCID ID
	ENOORC I do not have one
b.	EERAID NIH eRA Commons ID
	□ ENOERA I do not have one
c	ELNKID LinkedIn ID
_	ENOLNK I do not have one
	PBURL My NCBI (PubMed or SciEnv) Publications list (URL):  BNOURL I do not have or use
_	ny other public listing with your updated publications
	name (e.g. ResearchGate, Academia.edu, etc.) <b>EPBSITE</b>
ID	<b>EPBID</b>
	ENOPBS I do not have or use one

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of your teaching, research, or other professional activity?
☐No <b>(skip to question #12)</b> ☐Yes
<ul> <li>a. For what were you recognized? (Choose all that apply.)</li> <li>EAWART Teaching</li> <li>EAWARR Research</li> <li>EAWARO Other professional activity</li> </ul>
11. <b>EORGOF</b> During the past 12 months, were you a member of any professional or scientific organization?  No (skip to question #12)  Yes
<b>EORGOX</b> If yes, please list any offices you held in professional or scientific organizations during this time Please note if you would like us to refer to your CV.
12. <b>ESRVFD</b> Did you provide service to any federal agencies (e.g. reviewer for NIH/NSF/CDC or similar proposals, member of federal agency advisory council, etc.) during the past 12 months?  No (skip to question #13)  Yes
<b>ESRVFX</b> If yes, please list any service to federal agencies you have performed during this time (e.g. reviewer for NIH/NSF/CDC or similar proposals, member of federal agency advisory council, etc.): Please note if you would like us to refer to your CV.
13. <b>ESRVOT</b> Did you provide service to any non-federal agencies such as state agencies or foundations during the past 12 months?  No (skip to first module question)  Yes
ESRVOX If yes, please list any service to non-federal agencies you have performed during this time:

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#### If #1 = YES, COMPLETE ITEMS 14-19:

Earlier, y	ou told us	that you r	egularly	mentor p	eople.	We have a	few more of	questions	about that.
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	How many d itegory.)	ifferent peop	ole do you re	egularly mento	r currently?	(Enter 0 if y	ou do not mer	ntor anyone in
	b. EMT c. EMT d. EMT e. EMT		paccalaureat late Student locs: y:	udents: e Students: _ s:				
15.	□EN public □EN □EN □EN □EN □EN □EN	ITRRG Resolution) ITRGR Resolution ITRGR Resolution ITRED Educational oppo ITRCR Care ITRPS Pers	earch in gen cialized rese earch-relate cational choi rtunities) eer planning onal suppor	our mentees wateral (e.g., gen arch issues (ed grant writing ces and strate (e.g., giving a t (e.g., listening	eral advice .g., statistic and fundin egies (e.g., e dvice, helpi g to person	/supervision cs or instrum g guidance in ing network, al concerns,	in a lab or on entation, writing selecting courting to oppose	ng for a sework, other ortunities)
16.	EMRQUA H	ow would yo	u rate the o	verall quality o	f the mento	oring you are	providing to y	our mentees?
	Very Low			Average			1 , , ,	l choose not o answer
	1	2	3	4	5	6	7	8
17.	EMRRST O	/erall, how <u>s</u>	atisfied are y	you with your r	mentoring r	elationships <sup>6</sup>	?	
	Not at all			Moderately			Completely	I choose not to answer
	1	2	3	4	5	6	7	8
18.	EMREXP To	what exten	t do you thin	k you are mee	ting your m	nentee's exp	ectations?	
	Not at all			Moderately			Completely	I choose not to answer
	1	2	3	4	5	6	7	8

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#### **AVAILABLE ONLY IF SUM OF 14A-E > 0:**

19. Please indicate how frequently each of the following has occurred in your research mentoring relationships during the past 12 months, including any current research mentoring relationships.

	Never	Rarely	Sometimes	Frequently	All of the time	I choose not to answer
a. <b>ECRROP</b> I created opportunities for my mentees to bring up issues of race/ethnicity as they arose.	1	2	3	4	5	9
b. <b>ECRREX</b> I encouraged mentees to think about how the research relates to their own lived experience.	1	2	3	4	5	9
c. ECRRUN I was willing to discuss race and ethnicity with my mentees, even if it made me uncomfortable.	1	2	3	4	5	9
d. <b>ECRRDF</b> I reflected upon how the research experience might differ for mentees from different racial/ethnic groups.	1	2	3	4	5	9
e. <b>ECRRRE</b> I raised the topic of race/ethnicity in my research mentoring relationships when it was relevant.	1	2	3	4	5	9
f. ECRRST I implemented specific strategies to address racial/ethnic diversity in my research mentoring relationships.	1	2	3	4	5	9
g. <b>ECRRRM</b> I approached the topic of race/ethnicity with my mentee(s) in a respectful manner.	1	2	3	4	5	9

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#### If #2 OR #3 = YES, COMPLETE ITEMS 20-21:

You told us that you are supported by grant funding or have applied for grant funding. The next set of items ask about these. If you have a document that lists these (such as a CV), you can upload that document and skip some of the items below. Otherwise, we will ask you to provide information about each grant.

Upload no	W		I	d	0	n	of	t v	٧a	ın	ıt	to	u	plo	oa	d r	าด	W				l	C	0	no	ot	ha	V	9 8	a (	lo	CL	ın	ne	nt	to	u	olc	a	ţ
20. Please con a. EGRA Fur NIH Other feder Nonfederal Nonprofit (emproperation of the Control of	al ( go	in( (e )V(	e. /e fc	g. err	Ng N nm no	ei NS ne da	nc SF en	cy (a) ta or	T I ns	_y s)	pθ	e:							ead	h	gr	ar	nt	or	p	ro	oc	S	al:											
b. <b>EGRX [If I</b> Agency/Found											_												_		_															
c. EGRR Rol Principal In Co-PI Investigator Other (spec	ves							_					_																											
d. <b>EGRS</b> Sul  Re  No	om -su t fu	niti ub un	tte or no l	ec ni de E(	tte d GF	fii ec RI	rst d v (s IN	t t wit sk N	in th (i) II f	ne p '	e f ev tc In	foi vis o 2 np	sic 21 oa ot	ons ) ct <b>NI</b> I	s (9 sc(	sk ore	ip e (	to (if		or pli	f)	ab	le	):					-											
e. IF FUN □Res □Trai □Othe	ea nin	rc ng	cł J	า ( (T	(R	c or	or K	U	e	se rie	ri es	es s)	s)	: V	Vh	at	w	as	th	e t	уŗ	е	O	ff	un	di	ng	n	те	cł	na	ni	sr	n?	•					
f. <b>EGRF</b> I   Re   Tra   You   Ott	sea iini ur (	ar in(	rc ng ai	ch o re	of o	ot r c	the de	er	s el	(e op	e.ę	g. ne	, s en	stu t	de						•	·					he	e f	ur	nd	inę	g?	)							

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#### IF ITEM #4=YES, COMPLETE ITEM #21

Earlier you told us you did research not funded by grants in the past 12 months. Please tell us more about that:

21a. What type of research was this? (select all that apply)
☐ <b>ERESTR</b> Literature review, synthesis of existing knowledge, and/or conceptual
☐ ERESTS Analysis of existing (secondary) data
☐ ERESTP Analysis of data you collected (primary analysis)
b. What was your role? (select all that apply)
☐ ERESRL PI /Co-PI or Project Lead
☐ ERESRI Investigator
ERESRO Other (Specify): ERESRX

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# IF #4=YES, COMPLETE ITEMS 22-24: 22. The next set of items ask about publications. If you have a list of these and did not upload already, you can upload it now. Upload now I do not want to upload now I do not have a document to upload 23. **EPBPRV** Do you have any peer-reviewed publications accepted, in-press, or published in the past 12 months? This may include peer-reviewed abstracts included in conference proceedings. No (skip to question #24) Yes (skip to question #23a) If yes, please answer the following questions: a. **EPMID** PMID # if available: \_\_\_\_\_(if provided, skip to question #24) b. **EPBAU** List all Authors (Last FM, comma separated): \_\_\_\_\_, \_\_\_\_, \_\_\_\_, c. **EPBTI** Title: d. **EPBJN** Journal Name: e. **EPBYR** Year Published (or indicate "in press" or "epub ahead"): f. **EPBVL** Volume: \_\_\_\_\_ g. **EPBIS** Issue: h. **EPBPG** Page Numbers: i. EPBDO DOI or URL for epubs: 24. EPBOT Do you have other research or scientific publications to add to this list? (e.g. book chapters, books, reports, non-peer reviewed journal articles, working papers, other) No (skip to question #25) Yes a. **EPOTY** Publication Type: Chapter Book ☐Other, specify: \_ **EPOTYX** b. **EPOAU** List all Authors (Last FM, comma separated): \_\_\_\_\_, \_\_\_\_, \_\_\_\_, Complete the applicable information below depending on publication type: c. **EPOBT [if Book or Chapter]** Book/Anthology Title: d. **EPOCT [if Chapter]** Chapter Title : \_\_\_\_\_ e. EPOER [If Chapter] Editors: f. **EPOYR** Year Published: g. **EPOED** [If Book or Chapter] Edition: \_\_\_\_\_

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h. **EPOPB** [If Book or Chapter] Publisher:

k. EPOPG [If Book or Chapter] Page Numbers: \_\_\_\_\_I. EPODO DOI or URL for epubs: \_\_\_\_\_

i. EPOCY City: \_\_\_\_\_

j. **EPOST** State:

#### **FOR ALL RESPONDENTS:**

24. We would like to know how confident you are <u>today</u> that you can successfully perform the tasks listed below. Using a 0-10 scale, indicate your level between No Confidence (0) and Total Confidence (10) in your current abilities in these general areas of research and grant writing. Use NA when a task statement does not seem appropriate for your training.

C	No onfide										Total nfider	nce
a. <b>ESETOP</b> Select a suitable topic area for study.	0	1	2	3	4	5	6	7	8	9	10	N/A
b. <b>ESEPRB</b> Refine a problem so that it can be investigated.	0	1	2	3	4	5	6	7	8	9	10	N/A
c. <b>ESELOG</b> Develop a logical rationale for a particular research idea.	0	1	2	3	4	5	6	7	8	9	10	N/A
<ul> <li>d. ESEWRT Organize your proposed research ideas in writing.</li> </ul>	0	1	2	3	4	5	6	7	8	9	10	N/A
e. <b>ESEART</b> Articulate a clear purpose for the research.	0	1	2	3	4	5	6	7	8	9	10	N/A
f. <b>ESEPLC</b> Place your study in the context of existing research and justify how it contributes to important questions in the area.	0	1	2	3	4	5	6	7	8	9	10	N/A
g. <b>ESETHY</b> Relate your specific questions of interest to underlying theory.	0	1	2	3	4	5	6	7	8	9	10	N/A
h. <b>ESEGRV</b> Convince grant reviewers your proposed study is worth funding.	0	1	2	3	4	5	6	7	8	9	10	N/A
i. <b>ESEHYP</b> Choose an appropriate research design that will answer a set of research questions and/or test a set of hypothesis.	0	1	2	3	4	5	6	7	8	9	10	N/A
<ul><li>j. ESEDGN State the purpose, strengths, and limitations of each study design.</li></ul>	0	1	2	3	4	5	6	7	8	9	10	N/A
k. <b>ESESMP</b> Determine the universe, population, and appropriate sample for a given study.	0	1	2	3	4	5	6	7	8	9	10	N/A
I. <b>ESENUM</b> Determine an adequate number of subjects for your research project.	0	1	2	3	4	5	6	7	8	9	10	N/A
m. <b>ESEMTH</b> Select methods of data collection appropriate	0	1	2	3	4	5	6	7	8	9	10	N/A

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		1				1		ı	1	ı	ı	
to the study population and												
variable(s) of interest.												
n. <b>ESEVAR</b> Determine how			_	_	_	_	_		_			
each variable will be	0	1	2	3	4	5	6	7	8	9	10	N/A
measured.												
o. <b>ESESTR</b> Design the best		,	0			_		_			40	N1/0
data analysis strategy for your	0	1	2	3	4	5	6	7	8	9	10	N/A
study.												
p. ESEFSC Identify												
appropriate funding sources	0	1	2	3	4	5	6	7	8	9	10	N/A
(local, state, national) to												
support a study.												
q. ESEFAG Speak with a												
person at the funding agency regarding your project or	0	1	2	3	4	5	6	7	8	9	10	N/A
project ideas.												
r. <b>ESEFRV</b> Describe a major												
funding agency's (e.g., NIH,												
foundation) proposal review	0	1	2	3	4	5	6	7	8	9	10	N/A
and award process.												
s. <b>ESEGAP</b> Write a												
competitive grant application.	0	1	2	3	4	5	6	7	8	9	10	N/A
t. <b>ESEWRF</b> Write-up research												
findings for publication in a	0	1	2	3	4	5	6	7	8	9	10	N/A
peer-reviewed journal.												
u. ESESTA Conduct the												
appropriate statistical analyses	0	,	0	0	4	_	0	_			40	N1/A
to answer your research	U	1	2	3	4	5	6	7	8	9	10	N/A
questions.												
v. <b>ESERPT</b> Summarize												
research findings in a	0	1	2	3	4	5	6	7	8	9	10	N/A
traditional research report.												
w. <b>ESEHRF</b> Summarize and												
highlight research findings for	0	1	2	3	4	5	6	7	8	9	10	N/A
publication in a peer-reviewed			_	Ü			Ü	,			10	1471
journal.												
x. <b>ESEKEY</b> Communicate key												
research findings to a wide	0	1	2	3	4	5	6	7	8	9	10	N/A
audience of stakeholders.												
y. <b>ESESUB</b> Submit paper												
and/or poster presentations to	0	1	2	3	4	5	6	7	8	9	10	N/A
conferences related to your												
topic area.  z. <b>ESEPST</b> Present research												
findings at conferences related	0	1	2	3	4	5	6	7	8	9	10	N/A
to your topic area.												

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#### [REMAINING ITEMS FOR FIRST-TIME SURVEY RESPONDERS]

- 25. **EACADRANK** What is your present academic rank?
  - (1) Professor
  - (2) Associate Professor
  - (3) Assistant Professor
  - (4) Lecturer
  - (5) Instructor
  - (6) Graduate Student/Teaching Assistant
- 26. **ETENURE** What is your tenure status at this institution?
  - (1) Tenured
  - (2) On tenure track, but not tenured
  - (3) Not on tenure track, but institution has tenure system
  - (4) Institution has no tenure system
- 27. **EPRINACT** What is your principal activity in your current position at this institution?
  - (1) Administration
  - (2) Teaching
  - (3) Research
  - (4) Services to clients and patients
  - (5) Other
- 28. Personally, how important to you is:

	Very Essential	Important	Somewhat	Not Important
			Important	
EIMPTRTS1 Research	4	3	2	1
EIMPTRTS2 Teaching	4	3	2	1
EIMPTRTS3 Service	4	3	2	1

29. During the past 3 years, have you:

	Yes	No
EACT10 Written research grants	1	2
Received funding for your work from:	1	2
EACT11 Foundations		
EACT12 State or federal government	1	2
EACT13 Business or industry	1	2

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- 30. **EUGEXP** How would you rate the overall experience of working with undergraduates on research projects?
  - 4 Excellent
  - 3 Good
  - 2 Fair
  - 1 Poor
  - 9 I have not worked with undergraduates on research projects
- 31. **EUGADVISE** How many undergraduates do you currently advise?
  - (0) 0
  - (1) 1
  - (2) 2
  - (3) 3
  - (4) 4
  - (5) 5
  - (6) 6
  - (7) 7
  - (8) 8
  - (9) 9
  - (10) 10
  - (11) 11-20
  - (12) 21-30
  - (13) 31-40
  - (14) 41-50
  - (15) 51-60
  - (16) 61-70
  - (17) 71-80
  - (18) 81-90
  - (19) 91-100
  - (20) 101 or more
- 32. During the past year, how often have you done each of the following with your undergraduate advisees?

	Frequently	Occasionally	Not at all
<b>EADVACT01</b> Informed them of academic support options (e.g., study skills advising, financial aid advising, Writing Center, Disability Resource Center)	3	2	1
<b>EADVACT02</b> Helped them to plan their course of study	3	2	1
<b>EADVACT03</b> Discussed their academic performance	3	2	1
<b>EADVACT04</b> Provided information on other academic opportunities (e.g., study abroad, internships, undergraduate research)	3	2	1
<b>EADVACT05</b> Discussed career and post-graduation goals	3	2	1

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33. How many of the following have you published?

	None	1-2	3-4	5-10	11-20	21-50	51+
EPUBLISH01 Articles in academic or professional	1	2	3	4	5	6	7
journals							
EPUBLISH02 Chapter in edited volumes	1	2	3	4	5	6	7
<b>EPUBLISH03</b> Books, manuals, or monographs	1	2	3	4	5	6	7
EPUBLISH04 Other (e.g., patents, computer	1	2	3	4	5	6	7
software products							

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- 36. **ESALARY** Please select your base institutional salary.
  - (1) Less than \$10,000
  - (2) \$10,000-19,999
  - (3) \$20,000-29,999
  - (4) \$30,000-39,999
  - (5) \$40,000-49,999
  - (6) \$50,000-59,999
  - (7) \$60,000-69,999
  - (8) \$70,000-79,999
  - (9) \$80,000-89,999
  - (10) \$90,000-99,999
  - (11) \$100,000-124,999
  - (12) \$125,000-149,999
  - (13) \$150,000-199,999
  - (14) \$200,000-249,999
  - (15) \$250,000-499,999
  - (16) \$500,000 or higher
- 37. **ESALARYBASE** Your base institutional salary reported above is based on:
  - (1) Less than 9 months
  - (2) 9/10 months
  - (3) 11/12 months
- 38. **EDEGEARN** Please indicate the highest degree you have earned:
  - (1) Bachelor's (B.A., B.S., etc.)
  - (2) Master's (M.A., M.S.)
  - (3) Terminal Master's (M.F.A., M.B.A.)
  - (4) J.D.
  - (5) M.D., D.D.S., D.V.M., etc. (Medical)
  - (6) Ph.D.
  - (7) Professional Doctorate (Ed.D., Psy.D., etc.)
  - (8) Other

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39. Which categories describe you? Select all boxes that apply. Note, you may report more than one group.?
<ul> <li>□ DWHITE White – For example, German, Irish, English, Italian, Polish, French, etc.</li> <li>□ DHISP Hispanic, Latino/a, or Spanish Origin – For example, Mexican or Mexican American, Puerto Rican, Dominican, Salvadoran, Peruvian, Mestizo, Chicano, etc.</li> <li>□ DBLACK Black or African American – For example, Jamaican, Haitian, Nigerian, Ethiopian, Somali, Creole, Caribbean, etc.</li> <li>□ DAMRIN American Indian or Alaska Native – For example, Navajo Nation, Blackfeet Tribe, Mayan, Aztec, Quechua, Native Village of Barrow Inupiat Traditional Government Nome Eskimo Community, etc.</li> </ul>
DASNIN Asian – For example, Chinese, Filipino, Asian Indian, Vietnamese, Korean, Japanese, etc.  □ DNHAWA Native Hawaiian or Other Pacific Islander – For example, Native Hawaiian Samoan, Guamanian or Chamorro, Tongan, Fijian, Marshallese, etc.  □ DMENA Middle Eastern or North African – For example, Lebanese, Iranian, Egyptian, Syrian, Moroccan, Algerian, Armenian, etc.  □ DROTH Some other race, ethnicity, or origin (Specify: DROTX
40. DGEND What is your current gender identity?  Man Woman Trans man Trans woman Gender queer/Gender non-conforming Different identity I choose not to answer

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### 42. **EMARITAL** Are you currently:

- (1) Single
- (2) In a civil union
- (3) In a domestic partnership
- (4) Married
- (5) Unmarried, living with partner
- (6) Separated
- (7) Divorced
- (8) Widowed

43. How many children do you have in the following age ranges?	0	1	2	3	4+
ENCHILD01 Under 18 years old	0	1	2	3	4
ENCHILD02 18 years or older	0	1	2	3	4

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1. During the 2016-17 academic year or the summer of 2017, have you taken advantage of any of the following professional development opportunities at this institution?

			Not	Not
	Yes	No	Eligible	Available
<b>EPOTCH</b> Funded workshops focused on teaching				
<b>EPOMTR</b> Funded workshops focused on mentoring				
<b>EPORES</b> Funded workshops focused on conducting				
research				
<b>EPOWNF</b> Funded workshops focused on NIH funding				
<b>EPOWOF</b> Funded workshops focused on other funding				
EPOWCD Funded workshops on other career				
development topics (e.g., publishing, gaining tenure)				
EPOSAB Paid sabbatical leave				
<b>EPOTFI</b> Travel funds paid by the institution				
<b>EPOTFG</b> Travel funds paid by a grant (e.g. BUILD)				
EPOING Internal grants for research				
<b>EPOTAL</b> Training for administrative leadership				
<b>EPODNC</b> Incentives to develop new courses				
<b>EPOINT</b> Incentives to integrate new technology into				
your classroom				
<b>EPOICC</b> Incentives to integrate culturally competent				
practices into your classroom				

2. During the 2016-17 academic year or the summer of 2017, have you engaged in any of the following activities? (CHOOSE ALL THAT APPLY)

<b>EACEXS</b> Participated in the development of curriculum (enhancing an existing course or	
creating a new course)	
EACTNC Taught a newly developed course	
EACPSU Participated in the development of supplementary cultural curriculum for studer	nts
or faculty (e.g. stereotype threat, cultural assets, cultural competency, etc.)	
<b>EACTSU</b> Taught a newly developed supplemental cultural course for students or faculty	

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# 3. How confident are you that you can do the following:

		Not at all Confident	Slightly Confident	Somewhat Confident	Very Confident	Extremely Confident
a.	EGOAL Setting learning goals	1	2	3	4	5
b.	EREAD Selecting reading materials	1	2	3	4	5
C.	<b>EDSGN</b> Designing assignments	1	2	3	4	5
d.	<b>EPLAN</b> Planning class activities	1	2	3	4	5
e.	<b>ESTRT</b> Using various teaching strategies	1	2	3	4	5
f.	<b>EENG</b> Engaging students in learning	1	2	3	4	5
g.	<b>EPOPP</b> Providing students opportunities to practice skills	1	2	3	4	5
h.	<b>EPROM</b> Promoting student collaboration	1	2	3	4	5
i.	<b>EASK</b> Encouraging students to ask questions	1	2	3	4	5
j.	<b>EEXPR</b> Encouraging students to express ideas	1	2	3	4	5
k.	EMINR Encouraging participation from women and minorities	1	2	3	4	5
I.	EKNOW Accurately assessing students' knowledge	1	2	3	4	5
m.	EGRAD Grading assignments using criteria	1	2	3	4	5
n.	ESUGG Providing students constructive suggestions	1	2	3	4	5
Ο.	EFDBK Providing students with prompt feedback	1	2	3	4	5
p.	ETHNK Fostering students' independent thinking	1	2	3	4	5
q.	EWAY Addressing sensitive issues in ways that help students to deal with them maturely	1	2	3	4	5
r.	<b>ELRN</b> Fostering students' confidence in ability to learn	1	2	3	4	5
S.	EDISCP Providing students an overview of discipline	1	2	3	4	5
t.	EPSSN Demonstrating passion for teaching	1	2	3	4	5
u.	ESTAY Staying current in subject knowledge	1	2	3	4	5
٧.	EHELP Helping students understand the relevance of learning	1	2	3	4	5
W.	EENRIC Enriching teaching with research	1	2	3	4	5

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10. Please rate how skilled you feel you are in each of the following mentoring areas:

10. Please rate how skilled you fe						<u>nentorin</u>		
	Not at all Moderately E. Skilled Skilled							emely illed
a. <b>ESLSTN</b> Active listening	1	2	3	4	5	6	7	N/A
b. <b>ESFDBK</b> Providing constructive feedback	1	2	3	4	5	6	7	N/A
c. <b>ESTRST</b> Establishing a relationship based on trust	1	2	3	4	5	6	7	N/A
d. ESSTYL Identifying and accommodating different communication styles	1	2	3	4	5	6	7	N/A
e. <b>ESIMPR</b> Employing strategies to improve communication with mentees	1	2	3	4	5	6	7	N/A
f. <b>ESOMTR</b> Coordinating effectively with your mentees' other mentor	1	2	3	4	5	6	7	N/A
g. <b>ESSEXP</b> Working with mentees to set clear expectations of the mentoring relationship	1	2	3	4	5	6	7	N/A
h. <b>ESAEXP</b> Aligning your expectations with your mentees'	1	2	3	4	5	6	7	N/A
<ul> <li>i. ESIEXP Considering how personal and professional differences may impact expectations</li> </ul>	1	2	3	4	5	6	7	N/A
j. <b>ESSGOA</b> Working with mentees to set research goals	1	2	3	4	5	6	7	N/A
k. <b>ESDGOA</b> Helping mentees to develop strategies to meet goals	1	2	3	4	5	6	7	N/A
I. <b>ESELVL</b> Accurately estimating your mentees' level of scientific knowledge	1	2	3	4	5	6	7	N/A
m. <b>ESEABI</b> Accurately estimating your mentees' ability to conduct research	1	2	3	4	5	6	7	N/A
n. <b>ESENHN</b> Employing strategies to enhance your mentees' knowledge and abilities	1	2	3	4	5	6	7	N/A
o. <b>ESMOTV</b> Motivating your mentees	1	2	3	4	5	6	7	N/A
p. <b>ESBULD</b> Building mentees' confidence	1	2	3	4	5	6	7	N/A
q. <b>ESSTMU</b> Stimulating your mentees' creativity	1	2	3	4	5	6	7	N/A
r. <b>ESACKN</b> Acknowledging your mentees' professional contributions	1	2	3	4	5	6	7	N/A

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s. <b>ESINDP</b> Negotiating a path to professional independence with your mentees	1	2	3	4	5	6	7	N/A
t. <b>ESBIAS</b> Taking into account the biases and prejudices you bring to your mentor/mentee relationship	1	2	3	4	5	6	7	N/A
u. ESDIFF Working effectively with mentees whose personal background is different from your own (age, race, gender, class, region, culture, religion, family composition etc.)	1	2	3	4	5	6	7	N/A
v. <b>ESNTWK</b> Helping your mentees network effectively	1	2	3	4	5	6	7	N/A
w. <b>ESCGOA</b> Helping your mentees set career goals	1	2	3	4	5	6	7	N/A
x. <b>ESBLNC</b> Helping your mentees balance work with their personal life	1	2	3	4	5	6	7	N/A
y. <b>ESROLE</b> Understanding your impact as a role model	1	2	3	4	5	6	7	N/A
z. <b>ESRESC</b> Helping your mentees acquire resources (e.g. grants, etc.)	1	2	3	4	5	6	7	N/A

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15. Please indicate your agreement with the following statements:

	Strongly Disagree	Disagree	Neutral	Agree	Strongly Agree	NA
a. <b>ECRCRE</b> It is important to consider the mentee's and the mentor's race/ethnicity in mentoring relationships						
b. ECRSIM It is important to me to have others in my research work environment that have a similar racial/ethnic background to mine.						
c. <b>ECRASM</b> I am aware of the assumptions that I have about race/ethnicity						

16. Please indicate how frequently you do the following:

	Never	Rarely	Sometimes	Frequently	All of the Time	NA
a. <b>ECRRRE</b> I raised the topic of race/ethnicity in my research mentoring relationship when it was relevant.						
b. <b>ECRHOW</b> I asked my mentees how they were doing in general						

17. How confident are you in your ability to:

	Not at all Confident	2	3	4	Completely confident	NA
ECRCNF address the role of race and ethnicity in the mentoring relationship						

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#### **RECEIVING MENTORING**

Now we would like to ask you about any mentoring that you receive. This first set of items asks about mentoring you may do from those who you are SENIOR to you.

16. <b>EMTECS</b> Do y you <b>who you curr</b> ☐ No <b>(skip to qu</b>	ently consi			one else who	is more sen	ior than
∐ Yes						
17. <b>EMTEN</b> How r	many differer	nt mentors	do you regu	larly work with	?	
18. Which of the fo	ollowing does	s your prim	ary mentor h	nelp you with?	(choose all	that
apply)		1.7		,		
	kesearch in (	generai (e.	g., general a	dvice/supervis	sion in a lab	or on a
project) □ <b>EMTERS</b> S	Specialized re	esearch iss	ues (e a st	atistics or instr	rumentation	writing
for publicat	=	55001011150	des (e.g., st		amontation	, writing
•	,	ated grant v	vriting and fu	ınding		
			• ,	e.g., guidance	in selecting	3
	k, other educ			helping netwo	ork linking to	0
opportuniti	-	ing (c.g., g	iving advice,	nciping netwo	ork, illiking t	O
	,	port (e.g., l	istening to p	ersonal conce	rns, work-lif	e ·
balance)	•	. ( )				
	Other (specif	y): <b>EM</b>	TEHX			
40						
19. <b>EMTELK</b> How	•	this mento	or?			
☐ They sough	•	entor inden	endently			
	•	-	rtment or car	mpus		
☐ Through NF	•	, ,		'		
		h some oth	ner formal pr	ogram		
Other (spec	cify): I	EMTECX _		<u></u>		
<ol><li>Please tell us l of these approach</li></ol>		tly you com	nmunicate w	ith your primar	ry mentor wi	ith each
or those approach	Weekly or	Monthly	Every 2-3	A few times	Annually	Never
	more		months	a year	or less	
EMTEON III III III III	often					
EMTECI In-person EMTECP Phone/						
Skype						
EMTECE Email/						
Text						
<b>EMTECY</b> Other						

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21. <b>EMEQUA</b> How would	you rate the	overall quality of th	e mentoring you are	receiving?
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Very Low			Average			Very High
1	2	3	4	5	6	7

#### 22. **EMEEXP** To what extent are your mentors meeting your expectations?

Not at all			Moderately			Completely
1	2	3	4	5	6	7

# 23. During the past year, have you engaged in any of the following activities? (Choose all that apply)

		Yes	No
a.	<b>ETMRP</b> Participated in a <u>mentor</u> training program, as an attendee (preparation to mentor)		
b.	<b>ETMEP</b> Participated in a <u>mentee</u> training program, as an attendee (preparation to be mentored)		
C.	ETMRD Participated in the development of mentoring program curriculum for students or faculty		
d.	ETMRT Led/Taught a mentor training program		
e.	ETMET Led/Taught a mentee training program		
f.	<b>ETAMN</b> Accessed the National Research Mentoring Network (NRMN)		

**EAWARX** If yes, please list any honors or awards you received during this time in recognition of your teaching, research, or other professional activity:

	a. <b>EGRN</b> [ <b>If NIH or Other Federal</b> ] Full grant number if available
g.	EGRE Your percent effort:% or EGRM calendar months a. EGRT Project Title:
	b. <b>EGRC</b> Annual direct cost (average across all years):  Less than \$50,000  \$50,000-\$99,999  \$100,000-\$249,999  \$250,000-\$499,999

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□\$500,000 or more

- c. **EGRSD** Start Date (e.g., 07/2015)
- d. **EGRED** End Date (e.g., 07/2016)

e.

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41. Do you have any of the following disabilities or medical conditions?

		Yes	No
a.	EMLRN Learning disability (dyslexia, etc.)		
b.	EMADHD Attention deficit hyperactivity disorder (ADHD)		
C.	EMAUTI Autism spectrum/Asperger's syndrome		
d.	<b>EMPHY</b> Physical disability (speech, sight, mobility, hearing, etc.)		
e.	EMCHRN Chronic illness (cancer, diabetes, autoimmune		
	disorders, etc.)		
f.	EMPSY Psychological disorder (depression, etc.)		

Other (please specify): <b>EMOTX</b>	Other (please specif	/): EMOTX
--------------------------------------	----------------------	-----------

42. Please indicate "Yes" or "No" for each of the following:

	Yes	No
a. EMHEAR Are you deaf or do you have serious difficulty hearing?		
b. <b>EMSEE</b> Are you blind or do you have serious difficulty seeing, even when wearing glasses?		
c. <b>EMREM</b> Because of a physical, mental, or emotional condition, do you have serious difficulty concentrating, remembering, or making decisions?		
d. <b>EMWALK</b> Do you have serious difficulty walking or climbing stairs?		
e. EMBATH Do you have difficulty dressing or bathing?		
f. <b>EMALON</b> Because of a physical, mental, or emotional condition, do you have difficulty doing errands alone such as visiting a doctor's office or shopping?		

#### 45. **ESEX** Your sex:

- (1) Male(2) Female

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34. Below are some statements about your college or university. Indicate the extent to which you

agree or disagree with each of the following: (Mark one for each item)

g <u>ree or disagree with each of the following:(Mark <u>one</u></u>			T	T = .
	Strongly	Somewhat	Disagree	Disagree
	Agree	Agree	Somewhat	Strongly
EINSTOPN01 This institution has effective hiring	4	3	2	1
practices that increase faculty diversity				
EINSTOPN02 Student Affairs staff have the	4	3	2	1
support and respect of faculty				
EINSTOPN03 There is a lot of campus racial	4	3	2	1
conflict here				
EINSTOPN04 My research is valued by faculty in	4	3	2	1
my department				
EINSTOPN05 My teaching is valued by faculty in				
my department				
EINSTOPN06 My service is valued by faculty in	4	3	2	1
my department				
EINSTOPN07 Faculty are sufficiently involved in	4	3	2	1
campus decision making				
EINSTOPN08 The faculty are typically at odds	4	3	2	1
with campus administration			_	
EINSTOPN09 Faculty here respect each other	4	3	2	1
EINSTOPN10 Administrators consider faculty	4	3	2	1
concerns with making policy			_	
EINSTOPN11 The institution takes responsibility	4	3	2	1
for educating underprepared students			_	
EINSTOPN12 The criteria for advancement and	4	3	2	1
promotion decisions are clear	,		_	
EINSTOPN13 Most of the students I teach lack	4	3	2	1
the basic skills for college level work	7			'
EINSTOPN14 There is adequate support for	4	3	2	1
faculty development	7			'
EINSTOPN15 Faculty are not prepared to deal	4	3	2	1
with conflict over diversity issues in the	7	3		'
classroom				
EINSTOPN16 The institution takes mentoring	4	3	2	1
	4	3	_	'
into consideration in the promotion process	4	2	2	1
EINSTOPN17 Faculty of color are treated fairly	4	3	2	1
here	A	2	2	4
EINSTOPN18 Women faculty are treated fairly	4	3	2	1
here	4			4
EINSTOPN19 LGBTQ faculty are treated fairly	4	3	2	1
here				

35. Indicate how important you believe each priority listed below is at your college or university:

o. Indicate new important year believe each priority listed below is at your conlege of aniversity.						
	Highest	High	Medium	Low		
	Priority	Priority	Priority	Priority		
<b>EINSTPRIORITY01</b> Increase or maintain institutional affordability	4	3	2	1		
EINSTPRIORITY02 Develop a sense of community	4	3	2	1		
among students and faculty						

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EINSTPRIORITY03 Facilitate student involvement in community service	4	3	2	1
EINSTPRIORITY04 Help students learn how to bring about change in society	4	3	2	1
EINSTPRIORITY05 Increase or maintain institutional prestige	4	3	2	1
EINSTPRIORITY06 Hire faculty "stars"	4	3	2	1
EINSTPRIORITY07 Recruit more traditionally underrepresented students	4	3	2	1
<b>EINSTPRIORITY08</b> Increase the selectivity of the student body through more competitive admissions criteria	4	3	2	1
<b>EINSTPRIORITY09</b> Promote gender diversity in the faculty and administration	4	3	2	1
<b>EINSTPRIORITY10</b> Promote racial and ethnic diversity in the faculty and administration	4	3	2	1
EINSTPRIORITY11 Provide resources for faculty to engage in community- based teaching or research	4	3	2	1
EINSTPRIORITY12 Create and sustain partnerships with surrounding communities	4	3	2	1
EINSTPRIORITY13 Pursue extramural funding	4	3	2	1
EINSTPRIORITY14 Strengthen links with the for-profit, corporate sector	4	3	2	1
EINSTPRIORITY15 Develop leadership ability among students	4	3	2	1
EINSTPRIORITY16 Develop an appreciation for multiculturalism.	4	3	2	1
EINSTPRIORITY17 Prepare students for the workplace	4	3	2	1

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