# SUPPORTING STATEMENT

**U.S. Department of Commerce**

**National Oceanic & Atmospheric Administration**

**Alaska Region Logbook and Activity Family of Forms**

**OMB Control No. 0648-0213**

# Abstract

This is a resubmission, with the final rule, of a request by the National Marine Fisheries Service (NMFS), Alaska Regional Office, for revision of this currently approved information collection that consists of paper logbooks and reports used for management of the groundfish fisheries in the Bering Sea and Aleutian Islands Management Area (BSAI) and the Gulf of Alaska (GOA); for management of the Individual Fishing Quota (IFQ) halibut and sablefish fisheries; and for management of the BSAI Crab Rationalization Program crab fisheries.

Collecting information from fishery participants is necessary to promote successful management of groundfish, crab, Pacific halibut, and salmon resources. A comprehensive information system that identifies the participants and monitors their fishing activity is necessary to enforce the management measures and prevent overfishing. An information system is also needed to measure the consequences of management controls. This collection supports an effective monitoring and enforcement system with information that includes identification of the participating vessels, operators, dealers, and processors; location of the fishing activity; timeframes when fishing and processing is occurring; and shipment and transfer of fishing products. The information collected promotes the goals and objectives of the fishery management plans, the Magnuson-Stevens Act, and other applicable laws.

This information collection is revised due to the final rule (RIN 0648-BL08) to implement Amendment 122 to the Fishery Management Plan for Groundfish of the Bering Sea and Aleutian Islands Management Area, which establishes a new limited access privilege program, the Pacific Cod Trawl Cooperative Program (PCTC Program). This rule also affects information collection requirements approved under OMB Control Numbers 0648-0213, -0318, -0334, -0515, -0678, and -0711, and adds a new information collection for the PCTC Program under a new OMB control number, 0648-0811. NMFS is submitting separate requests for these collections.

Due to this rule, this collection is revised to add the requirements for catcher vessels participating in the PCTC Program to maintain a trawl gear daily fishing logbook and for catcher/processors and shoreside processors authorized as processors in the PCTC Program to submit a product transfer report. The daily fishing logbook requirement increases the total respondents, responses, and burden for this collection. The product transfer report requirement does not change the respondents, responses, or burden for this collection because all processors expected to be part of this program currently submit this report.

# Justification

1. **Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.**

National Marine Fisheries Service (NMFS), Alaska Region manages the groundfish and crab fisheries in the exclusive economic zone (EEZ) of the Bering Sea and Aleutian Islands Management Area (BSAI) and the groundfish fisheries of the Gulf of Alaska (GOA) under fishery management plans (FMPs) for the respective areas. The North Pacific Fishery Management Council prepared, and NMFS approved, the FMPs under the authority of the [Magnuson-Stevens Fishery Conservation and Management Act,](https://www.fisheries.noaa.gov/resource/document/magnuson-stevens-fishery-conservation-and-management-act) 16 U.S.C. 1801 *et seq*. (Magnuson-Stevens Act). The FMPs are implemented by regulations at 50 CFR parts [679](https://www.ecfr.gov/cgi-bin/text-idx?SID=19bedf3f71563b4caedca511456c92f0&mc=true&tpl=/ecfrbrowse/Title50/50cfr679_main_02.tpl) and [680](https://www.ecfr.gov/cgi-bin/text-idx?SID=1113376d89fed9005c4a043a75fd331d&mc=true&tpl=/ecfrbrowse/Title50/50cfr680_main_02.tpl). Regulations for the logbooks and reports in this information collection are at [50 CFR 679.5](https://www.ecfr.gov/cgi-bin/text-idx?SID=fd0b37a9d1117a8380d46df073731e90&mc=true&node=se50.13.679_15&rgn=div8).

NMFS must have the best available biological and socioeconomic information with which to carry out its responsibilities for conserving and managing groundfish resources, as well as other fish resources, such as crab, halibut, and salmon, that are incidentally caught in the groundfish fishery.

This information collection consists of paper logbooks and reports used for management of the groundfish fisheries in the Bering Sea and Aleutian Islands Management Area (BSAI) and the Gulf of Alaska (GOA); for management of the Individual Fishing Quota (IFQ) halibut and sablefish fisheries; and for management of the BSAI Crab Rationalization Program crab fisheries. The information collected through the paper logbooks and reports promotes the goals and objectives of the fishery management plans, the Magnuson-Stevens Act, and other applicable laws.

The collection of reliable data is essential to the effective conservation, management, and scientific understanding of the fishery resources. NMFS requires vessels and processors participating in federally managed groundfish and crab fisheries off Alaska to provide information necessary for conservation and management of the fisheries. NMFS must have the best available biological and socioeconomic information with which to carry out its responsibilities for conserving and managing groundfish resources, as well as other fish resources, such as crab, halibut, and salmon, that are incidentally caught in the groundfish fishery.

Collecting information from fishery participants is necessary in order to promote successful management of groundfish, crab, Pacific halibut, and salmon resources. A comprehensive information system that identifies the participants and monitors their fishing activity is necessary to enforce the management measures and prevent overfishing. An information system is also needed to measure the consequences of management controls. This collection supports an effective monitoring and enforcement system with information that includes identification of the participating vessels, operators, dealers, and processors; location of the fishing activity; timeframes when fishing and processing is occurring; and shipment and transfer of fishing products.

**Reasons for Revisions Due to the Rule (RIN 0648-BL08)**

This information collection is revised due to the final rule (RIN 0648-BL08) to implement Amendment 122 to the Fishery Management Plan for Groundfish of the Bering Sea and Aleutian Islands Management Area. Amendment 122 establishes a new limited access privilege program, the Pacific Cod Trawl Cooperative Program (PCTC Program), for the harvest of Pacific cod in the BSAI trawl catcher vessel sector. The PCTC Program allocates Pacific cod harvest quota to qualifying groundfish License Limitation Program license holders and qualifying processors. This action is necessary to increase the value of the fishery, minimize bycatch to the extent practicable, provide for the sustained participation of fishery-dependent communities, ensure the sustainability and viability of the resource, and promote safety in the harvesting and processing sectors.

This collection is revised to add the requirements for catcher vessels participating in the PCTC Program to maintain a trawl gear daily fishing logbook and for catcher/processors and shoreside processors authorized as PCTC Program processors to submit a product transfer report. This is part of a suite of monitoring and enforcement provisions for the PCTC Program necessary to track quota, harvest, and use caps. Required information will be recorded within the specified reporting time limit and will support observer data collection.

This rule requires that all vessels submit logbooks when fishing in the PCTC Program. This does not change regulations for catcher vessels greater than or equal to 60 ft LOA, since they already are required to maintain logbooks, as required at [50 CFR 679.5(c)(4)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(c)(4)). Instead of a daily fishing logbook (approved under this collection, -0213), a catcher vessel trawl operator may voluntarily use a combination of eLandings and NMFS-approved electronic logbook, which are approved under OMB Control Number 0648-0515.

This is a new requirement for catcher vessels less than 60 ft LOA. Some catcher vessels that may participate in the Aleutian Islands Pacific cod fishery are less than 60 ft LOA and may already file logbooks when fishing for Pacific cod. Many already complete logbooks based on their participation in other programs. However, a small number of catcher vessels less than 60 ft LOA that do not currently submit a logbook will likely need to begin submitting a daily fishing logbook if they choose to participate in the PCTC Program. Adding this logbook requirement will increase the total respondents, responses, and burden for this collection. Catcher vessels participating in the PCTC Program will have the option of using either the paper daily fishing logbook approved under this collection or the electronic logbook, which is approved under OMB Control Number 0648-0515.

This rule requires catcher/processors and shoreside processors authorized as processors in the PCTC Program to submit a product transfer report. All catcher/processors and shoreside processor expected to be authorized as PCTC processors currently submit this report to NMFS. Therefore, no additional respondents or responses are expected for this report.

This rule also affects information collection requirements approved under OMB Control Numbers -0318 (North Pacific Observer Program); -0334 (Alaska License Limitation Program for Groundfish, Crab, and Scallops); -0515 (Alaska Interagency Electronic Reporting System); -0678 (North Pacific Fishery Management Council Cooperative Annual Reports); -0711 (Alaska Cost Recovery and Fee Programs); and adds a new information collection for the PCTC Program under a new OMB control number, 0648-0811. Concurrent with this request to revise 0648-0213, NMFS is submitting separate requests for these collections.

# Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

All vessels of the United States harvesting EEZ fish and shoreside processors, stationary floating processors (SFPs), and motherships receiving EEZ-caught fish are required to hold a Federal permit and thus comply with reporting requirements per 50 CFR 679.5. The data collected are used for making in-season and inter-season management decisions that affect the groundfish resources and the fishing industry that uses them.

NOAA will retain control over the information and safeguard it from improper access, modification, and destruction, consistent with NOAA standards for confidentiality, privacy, and electronic information. See response to Question 10 of this Supporting Statement for more information on confidentiality and privacy. The information collection is designed to yield data that meet all applicable information quality guidelines. Prior to dissemination, the information will be subjected to quality control measures and a pre-dissemination review consistent with [NOAA's information quality guidelines](https://www.noaa.gov/organization/information-technology/policy-oversight/information-quality/information-quality-guidelines).

This information collection contains four components: paper logbooks, vessel activity reports, check-in/check-out reports, and product transfer reports. In some cases there are several forms within a collection; for example, there are two types of check-in/check-out reports—shoreside processor and mothership. Table 1 and the following sections provide more detail on each of the components and the associated forms.

**Table 1.** The components in this information collection.

| **Collection Title** | **Form Name** | **Regulations** **(50 CFR)** | **Who Submits** | **When Submitted** | **How Submitted** |
| --- | --- | --- | --- | --- | --- |
| Paper Logbooks | Catcher vessel trawl gear Daily Fishing Logbook (DFL) | [§§ 679.5(c)(4)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(c)(4)) and 679.134(f)(1)(i) **[Added new regulation]** | Operator of a Catcher Vessel | See Table 2 | Mail or by hand |
| Catcher vessel longline/pot gear Daily Fishing Logbook (DFL) | [§ 679.5(c)(3)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(c)(3))  | Operator of a Catcher Vessel | See Table 2 | Mail or by hand |
| Catcher/processor longline/pot gear Daily Cumulative Production Logbook (DCPL) | [§ 679.5(c)(3)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(c)(3)) | Operator of a Catcher/Processor | See Table 2 | Mail or by hand |
| Vessel Activity Report  | U.S. Vessel Activity Report (VAR) | [§ 679.5(k)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(k)) | Operator of a Catcher Vessel, C/P, or Mothership | Before crossing seaward boundary of EEZ or before crossing US Canadian International boundary | Fax or email  |
| Check-in / Check-out Report | Shoreside Processor Check-in / Check-out Report  | [§ 679.5(h)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(h)) | Manager of a shoreside processor or Stationary Floating Processor | Check-in report prior to becoming active and a check-out report for every check-in report submitted  | Fax or email |
| Mothership Check-in / Check-out Report | [§ 679.5(h)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(h)) | Operator of a catcher/processor or mothership | Check-in report prior to becoming active and a check-out report for every check-in report submitted  | Fax or email |
| Product Transfer Report | Product Transfer Report | [§§ 679.5(g)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(g)) and 679.134(f)(4) **[Added new regulation]** | * Operator of a mothership or catcher/ processor
* Manager of a shoreside processor or stationary floating processor
* Registered Buyer
* Registered Crab Receiver
 | By 1200 Alaska local time of the Tuesday following the week of transfer | Fax or email  |

1. **Paper Logbooks [Revised #i. Catcher Vessel Trawl Gear Daily Fishing Logbook]**

Daily logbooks are required in the groundfish, crab, and halibut fisheries and information collected on a logbook is necessary to provide data about the location and timing of fishing effort, as well as record discard information of prohibited species. NMFS Office for Law Enforcement (OLE) and the United States Coast Guard (USCG) use logbook information during vessel boardings and site visits to ensure conservation of groundfish, compliance with regulations, and reporting accuracy by the fishing industry. The logbooks are also an important source of information for NMFS to determine where (vessel position coordinate) and when fishing activity occurs and the number of sets and hauls.

There are three paper logbook forms:

* Catcher Vessel Trawl Gear Daily Fishing Logbook (DFL);
* Catcher Vessel Longline/pot Gear DFL;
* Catcher/processor Longline/pot Gear Daily Cumulative Production Logbook (DCPL).

The logbooks are designed to provide a convenient method to enter information that serves both the business needs of the fishing industry and the data collection requirements of NMFS. Copies of the logbook forms and instructions are available at <https://www.fisheries.noaa.gov/alaska/resources-fishing/alaska-recordkeeping-and-reporting-logbook-logsheets>. NMFS also provides electronic logbooks (approved under OMB Control Number 0648-0515) for use by the fishing industry.

Each paper logbook page has multiple self-copy logsheets that the operator must distribute as described in the Table 2.

**Table 2.** Disposition of the five copies of the logbook pages, where they are submitted, and the time limits for submission.

|  |  |  |  |
| --- | --- | --- | --- |
| **Logsheet Color** | **Paper Logbook Type** | **Submit To** | **Time Limit** |
| **Catcher Vessel Trawl DFL** | **Catcher Vessel Longline/ Pot DFL** | **Catcher /Processor Longline/Pot DCPL** |
| **White** | X | X | X | Permanent copy stays in logbook |
| **Goldenrod** | X | X | X | Observer | After signature of operator and prior to departure of observer from the vessel. |
| **Yellow** | X | X |  | NMFS Office for Law Enforcement\* | Quarter 1: May 1Quarter 2: August 1Quarter 3: November 1 Quarter 4: Feb 1 of following year |
| **Blue** | X | X |  | Processor that receives the harvest | Within 2 hours after completion of catch delivery |
| **Green** | X |  | X | International Pacific Halibut Commission | After catch is off-loaded |

\* NMFS Office for Law Enforcement Alaska Region Logbook Program

 P.O. Box 21767

 Juneau, AK 99802-1767

*i. Catcher Vessel Trawl Gear Daily Fishing Logbook (DFL)* *[REVISED: Added requirement for catcher vessels participating in the PCTC Program to maintain a trawl gear daily fishing logbook]*

**Revision:** The rule adds a new regulation at 50 CFR 679.134(f)(1)(i) that requires operators of catcher vessels participating in the PCTC Program fishery to maintain a DFL for trawl gear as described in [§ 679.5(c)(4)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(c)(4)). This does not change regulations for vessels greater than or equal to 60 ft LOA because they currently are required to maintain logbooks. This is a new requirement for catcher vessels less than 60 ft LOA. NMFS estimates up to seven catcher vessels less than 60 ft LOA will likely need to begin submitting a catcher vessel trawl gear DFL if they choose to participate in the PCTC Program. These seven catcher vessels are added as new respondents to this collection. The rule does not change the data collected by this logbook. See the section “Reasons for Revisions Due to the Rule (RIN 0648-BL08)” under Question #1 above for more information on adding this requirement.

Currently, the operator of a catcher vessel 60 ft or greater length overall (LOA), that is required to have a Federal Fishing Permit (FFP) under § 679.4(b), and that is using trawl gear to harvest groundfish is required to submit fisheries information to NMFS through use of a paper DFL. The final rule (RIN 0648-BL08) will require operators of catcher vessels participating in the PCTC Program fishery, regardless of LOA, to maintain a DFL for trawl gear as described in § 679.5.

The operator must account for each day of the fishing year, January 1 through December 31, in the trawl gear DFL and indicate whether the vessel was inactive or active during the time period. Table 3 lists all the information that must be recorded on one logbook page in the catcher vessel trawl gear DFL during inactive periods. Table 4 describes all of the information that the operator must enter into the catcher vessel trawl gear DFL on each active day.

A trawl gear catcher vessel electronic logbook (approved under OMB 0648-0515) is available for optional use instead of the DFL ([§ 679.5(f)(1)(iii)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(f)(1)(iii))).

*ii. Catcher vessel longline/pot gear Daily Fishing Logbook (DFL)*

The requirement to complete and submit a catcher vessel longline/pot DFL depends on the vessel length, area fished, and fishing year. The operator of a catcher vessel is required to maintain a DFL when operating a catcher vessel that is—

* 60 ft or greater LOA and is required to have an FFP under § 679.4(b) and is using longline or pot gear to harvest groundfish;
* 60 ft or greater LOA and fishing in the GOA using longline gear or pot gear to harvest IFQ sablefish and when using gear composed of lines with hooks attached, setline gear (IPHC), or pot gear to harvest IFQ halibut;
* less than 60 ft LOA and using longline pot gear in the GOA;
* less than 60 ft LOA and using pot gear to harvest IFQ or CDQ halibut or IFQ or CDQ sablefish in the BSAI;
* 60 ft or greater LOA and fishing in the BSAI using hook-and-line gear or pot gear to harvest IFQ sablefish, and when using gear composed of lines with hooks attached or setline gear (IPHC) to harvest IFQ halibut or CDQ halibut; 60 ft or greater LOA, and using pot gear to harvest CR crab from the BSAI.

The operator must account for each day of the fishing year, January 1 through December 31, in the DFL and indicate whether the vessel was inactive or active during the time period. Table 3 lists all the information that must recorded on one logbook page in the catcher vessel longline/pot DFL during inactive periods. Table 4 describes all of the information that the operator must enter into the catcher vessel longline/pot DFL on each active day.

A longline and pot catcher vessel electronic logbook (see OMB Control No. 0648-0515) is available for optional use instead of the DFL ([§ 679.5(f)(1)(i)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(f)(1)(i))).

*iii. Catcher/processor Longline/pot Gear Daily Cumulative Production Logbook (DCPL)*

The operator of a catcher/processor that is required to have an FFP under § 679.4(b) and that uses longline or pot gear to harvest groundfish or to harvest IFQ sablefish or IFQ halibut from the GOA, uses pot gear to harvest IFQ or CDQ halibut or IFQ and CDQ sablefish in the BSAI, uses pot gear to harvest CR crab from the BSAI, or uses longline pot gear to fish IFQ sablefish and IFQ halibut in the GOA must use the catcher/processor longline and pot gear DCPL to record and report daily processor identification and catch-by-set information.

The operator must account for each day of the fishing year, January 1 through December 31, in the DCPL and indicate whether the processor was inactive or active during the time period. Table 3 lists all the information that must recorded on one logbook page in the DCPL during inactive periods. Table 4 describes all of the information that the operator must enter into the DCPL on each active day.

A longline and pot catcher/processor electronic logbook (approved under OMB Control No. 648-0515) is required for all but four catcher/processors. The electronic logbook is also available for voluntary use by other catcher/processors.

**Table 3.** Data fields completed on catcher vessel and catcher/processor paper logbooks on each inactive fishing day. If the inactive time period extends across two or more successive quarters, the operator must complete a logbook page for each inactive quarter. The logbook page created for an inactive quarter must indicate the first and last day of the respective inactive quarter. An X indicates that the field is submitted by the user on the form.

| **Data Field** | **Paper Logbook Type** |
| --- | --- |
| **Catcher Vessel Trawl DFL** | **Catcher Vessel Longline/Pot DFL** | **Catcher/Processor Longline/Pot DCPL** |
| Page Number | X | X | X |
| Operator Printed Name and Signature | X | X | X |
| Vessel Name | X | X | X |
| FFP or Federal Crab Vessel Permit Number | X | X | X |
| ADF&G Vessel Registration Number | X | X |  |
| ADF&G Processor Code |  |  | X |
| Inactive (check-box) | X | X | X |
| Date of First Day When Inactive | X | X | X |
| Brief Explanation Why Inactive | X | X | X |
| Date of Last Day when Inactive | X | X | X |

**Table 4.** Data fields completed on catcher vessel and catcher/processor paper logbooks on each active fishing day. An X indicates that the field is submitted by the user on the form.

| **Data Field** | **Paper Logbook Type** |
| --- | --- |
| **Catcher Vessel Trawl DFL** | **Catcher Vessel Longline/Pot DFL** | **Catcher/Processor Longline/Pot DCPL** |
| Page Number | X | X | X |
| Operator Printed Name and Signature | X | X | X |
| Name of Vessel | X | X | X |
| FFP or Federal Crab Vessel permit number | X | X | X |
| ADF&G Vessel registration number | X | X |  |
| ADF&G Processor Code |  |  | X |
| IFQ permit number of the operator |  | X | X |
| CDQ permit number and group number |  | X | X |
| Crew Size | X | X | X |
| Number of observers onboard | X | X | X |
| Name and cruise number of observer | X | X | X |
| Management Program | X | X | X |
| Management program ID (if applicable) | X | X | X |
| Federal Reporting Area of catch | X | X | X |
| Whether harvest occurred in COBLZ or RKCSA (if applicable) | X |  |  |
| Gear Type | X | X | X |
| Gear Details (including skate length, book size, hook spacing) |  | X | X |
| Set or Haul Number | X | X | X |
| Date and Time of Gear Set/Deployed | X | X | X |
| Date and Time of Gear Hauled/Retrieved | X | X | X |
| Gear Begin Position | X | X | X |
| Gear End Position | X | X | X |
| Begin and End buoy or bag numbers |  | X | X |
| Begin and End Gear Depths |  | X | X |
| Average Gear and Sea Depth | X |  |  |
| Number of skates or pots set and lost |  | X | X |
| Target Species Code | X | X | X |
| Estimated Total Hail Weight | X | X | X |
| IR/IU Species Codes | X | X | X |
| CDQ/IFQ halibut & IFQ sablefish weight in pounds |  | X | X |
| CR Crab |  | X | X |
| Check mark if moved to avoid Chinook salmon bycatch (If applicable) | X |  |  |
| Bird Avoidance Gear |  | X | X |
| Number marine mammals sighted |  | X | X |
| Number of fish and hooks damaged  |  | X | X |
| Discard or Disposition Date | X | X |  |
| Weight of each species – Daily Total | X | X |  |
| Weight of each Prohibited species – Daily Total | X | X |  |
| Weekly cumulative Total | X | X |  |
| Date delivery completed | X | X |  |
| ADF&G Fish Ticket | X | X |  |
| Name of Recipient, RCR, or Registered Buyer | X | X |  |
| Name of Port or Port Code | X | X |  |

1. **Vessel Activity Report**

A Vessel Activity Report (VAR) provides information about fish or fish product on board a vessel when it crosses the boundary of the Exclusive Economic Zone (EEZ) off Alaska or crosses the U.S.–Canada international boundary between Alaska and British Columbia. NOAA OLE and USCG boarding officers use this information to audit and separate product inventory when boarding a vessel. If a vessel does not file a VAR and has fish or fish product on board when it enters the EEZ off Alaska, NMFS assumes the fish were harvested in U.S. waters. Without the requirement to submit a VAR prior to crossing, vessel operators may be more inclined to illegally fish in Federal waters and claim retained product was harvested from foreign or international waters.

Except as noted below, the operator of a catcher vessel greater than 60 ft length overall (LOA), a catcher/processor, or a mothership required to hold a Federal fisheries permit (FFP) issued under part 679 and carrying fish or fish product onboard must complete and submit a VAR before the vessel crosses the seaward boundary of the EEZ off Alaska or crosses the U.S.–Canada international boundary between Alaska and British Columbia. If fish or fish products are landed at a port other than the one specified on the VAR, the operator must submit a revised VAR showing the actual port of landing before any fish are offloaded.

If a vessel is carrying non-IFQ groundfish and IFQ halibut, Western Alaska Community Development Quota (CDQ) halibut, IFQ sablefish or CR Program crab, the operator must submit a VAR in addition to an IFQ Departure Report required by § 679.5(l)(4) (see OMB Control No. 0648-0272). A VAR is not required if a vessel is carrying only IFQ halibut, CDQ halibut, IFQ sablefish, or CR Program crab on board and the operator has submitted an IFQ Departure Report required by § 679.5(l)(4).

The VAR is available online at <https://www.fisheries.noaa.gov/alaska/resources-fishing/alaska-recordkeeping-and-reporting-forms>. The operator must enter the following information on a VAR:

* Whether it is an original or revised report
* Vessel name and FFP number or RCR permit number
* Vessel type
* Representative name, telephone number, fax number, and COMSAT number (if available)
* If a “return report” where the vessels is intending to land in Alaska:
	+ Port of landing
	+ Date and time (Greenwich Mean Time) vessel will cross boundary
	+ Latitude and longitude where vessel will cross
* If a “depart report” where the vessel is leaving Alaska:
	+ Intended U.S. port of landing or country other than the United States
	+ Date and time (Greenwich Mean Time) vessel will cross boundary
	+ Latitude and longitude where vessel will cross
* Russian Zone -- whether vessel is returning from or departing to fish in the Russian zone
* Fish or fish product (including non-groundfish) onboard the vessel when crossing:
	+ Harvest zone code where groundfish were harvested
	+ Species code, Product code, and Total product weight of fish product onboard in pounds or nearest 0.001 metric ton.
1. **Check-in / Check-out Report**

Check-in/check-out reports provide information on participation by processors and motherships in the groundfish fisheries. The check-in/check-out information is used by NMFS in-season managers to monitor the fishing capacity and effort in fishery allocations and quotas. Additionally, NOAA OLE agents use this information to track commercial business activity and ensure accurate accountability and proper reporting is being performed. A minor change has been made to the check-in/check-out report forms with this extension. The email address for the new Alaska Region Sustainable Fisheries monitoring branch was added to these forms and instructions noting that the form may be submitted by email to this address.

*i. Shoreside Processor Check-in / Check-out Report*

The manager of a shoreside processor or SFP is required to submit a check-in report via fax ((907) 586-7131) or email (nmfs.akr.monitoring@noaa.gov) prior to participation in a groundfish fishery and to submit a check-out report upon completion of that participation. If a processor is continually active through the end of a fishing year (and a check-out report was not previously submitted during the fishing year), then the processor must submit a check-out report on December 31, and they must submit a check-in report to start the new year on January 1.

The shoreside processor check-in and check-out report is available at <https://www.fisheries.noaa.gov/alaska/resources-fishing/alaska-recordkeeping-and-reporting-forms>. The manager must enter the following information check-in/check-out report:

* Processor name and ADF&G processor code
* Federal processor permit (FPP) number
* Representative name, business telephone number, and business fax number
* If check-in report:
	+ Indicate that it is a check-in report
	+ Whether checking in: a) for the first time this fishing year; or b) to restart receipt and processing of groundfish after filing a check-out report
	+ Whether an original or revised report
	+ Date and time receipt of groundfish will begin
	+ If SFP, give latitude and longitude of position where receiving groundfish
* If check-out report:
	+ Indicate that it is a check-out report
	+ Whether an original or revised report
	+ Date and time when the last receipt or processing of groundfish was completed
	+ Weight (and units) of all fish or fish products (including non-groundfish) remaining at the facility (other than public cold storage) by species codes and product code.

*ii. Mothership Check-in / Check-out*

The operator of a mothership must submit via fax ((907) 586-7131) or email (nmfs.akr.monitoring@noaa.gov) to NMFS a check-in report (BEGIN message) prior to becoming active and a check-out report (CEASE message) for every check-in report submitted.

A mothership that is carrying on board an operational vessel monitoring system (VMS) (See OMB Control No. 0648-0445) is exempt from submitting a check-in/check-out report to NMFS. The information collected only through the check-in/check-out report may be obtained through the combination of VMS and the Interagency Electronic Reporting System (see OMB Control No. 0648-0515).

The mothership check-in and check-out report is available at <https://www.fisheries.noaa.gov/alaska/resources-fishing/alaska-recordkeeping-and-reporting-forms>. The operator must enter the following information:

* Indicate whether it is an Original or Revised Report.
* Vessel Name
* Vessel ADF&G Processor Code
* Vessel Federal Fisheries Permit Number
* Name, telephone number, and fax number of vessel representative
* Satellite communications contact (COMSAT) number
* Management Program
* Gear Type
* If check-in report (BEGIN message):
	+ Date and Time
	+ Latitude and longitude where groundfish receipt begins.
	+ Federal Reporting Area.
	+ COBLZ or RKCSA
	+ Primary and Secondary Target Species Codes. Record the two main species expected to receive in the following week
* If check-out report (CEASE message):
* Date and Time
* Latitude and longitude where the last receipt of groundfish was completed.
* Reporting area code where last receipt of groundfish was completed
1. **Product Transfer Report [REVISED: Added requirement for catcher/processors and shoreside processors authorized as processors in the PCTC Program to submit a product transfer report]**

**Revision:** The rule adds a new regulation at 50 CFR 679.134(f)(4) that requires operators of catcher/processors and managers of shoreside processors that are authorized as processors in the PCTC Program to submit a product transfer report as described in [§ 679.5(g)](https://www.ecfr.gov/current/title-50/chapter-VI/part-679/subpart-A/section-679.5#p-679.5(g)). The rule does not change the data collected by this report. All catcher/processors and shoreside processors expected to be authorized as processors currently submit this report. Therefore, no changes are made to the respondents, responses, or burden for this report. See the section “Reasons for Revisions Due to the Rule (RIN 0648-BL08)” under Question #1 above for more information on adding this requirement.

Product Transfer Reports (PTR) provide information on the volume of groundfish disposed of by persons buying it from the harvesters. The PTR is an important enforcement document and provides an important check on buyer purchase reports. Information collected on PTRs is used by NOAA OLE to verify the accuracy of reported shipments through physical inspections. NOAA OLE uses the PTR to monitor movement of product in and out of the processor on a timely basis.

With exceptions (see below), regulations at § 679.5(g) require the following:

* Operators of motherships, catcher/processors, or managers of shoreside processors or stationary floating processors must complete and submit a separate PTR for each shipment of groundfish and donated prohibited species caught in groundfish fisheries.
* Registered Buyers must submit a separate PTR for each shipment of halibut or sablefish, other than those conducting dockside sales, for which the Registered Buyer was required to submit an IFQ landing report under § 679.5(e)(7).
* Registered Crab Receivers (RCR) must submit a separate PTR for each shipment of crab for which the RCR was required to submit a Registered Crab Landing Report under § 679.5(e)(8).

The exceptions to these submittal requirements are as follows:

* Bait sales (non-IFQ groundfish only). During one calendar day, the operator or manager may aggregate and record on one PTR the individual sales or shipments of non-IFQ groundfish to vessels for bait purposes during the day recording the amount of such bait product shipped from a vessel or facility that day.
* Retail sales, IFQ halibut, IFQ sablefish, CDQ halibut, and non-IFQ groundfish. During one calendar day, the operator, manager, or Registered Buyer may aggregate and record on one PTR the amount of transferred retail product of IFQ halibut, IFQ sablefish, CDQ halibut, and non-IFQ groundfish if each sale weighs less than 10 lb or 4.5 kg.
* Retail sales, CR Program crab. During one calendar day, the RCR may aggregate and record on one PTR the amount of transferred retail product of CR crab if each sale weighs less than 100 lb or 45 kg.
* Wholesale sales (non-IFQ groundfish only). The operator or manager may aggregate and record on one PTR, wholesale sales of non-IFQ groundfish by species when recording the amount of such wholesale species leaving a vessel or facility in one calendar day, if invoices detailing destinations for the entire product are available for inspection by an authorized officer.

The final rule (RIN 0648-BL08) adds a new regulation at § 679.134(f)(4) requiring operators of catcher/processors and managers of shoreside processors that are authorized as processors in the PCTC Program to submit a PTR as described in § 679.5(g).

The time limits for completing and submitting PTRs are as follows:

* All product transfer information must be recorded on a PTR within 2 hours of the completion of the shipment.
* The PTR must be submitted (either by fax or by email) by 1200 hours, Alaska local time (A.l.t.), on the Tuesday following the end of the applicable weekly reporting period in which the shipment occurred.
* If any information on the original PTR changes prior to the first destination of the shipment, a revised PTR must be submitted by 1200 hours, A.l.t., on the Tuesday following the end of the applicable weekly reporting period in which the change occurred.

The PTR form is available on at <https://www.fisheries.noaa.gov/alaska/resources-fishing/alaska-recordkeeping-and-reporting-forms>. The following information is required to be completed on the PTR:

* Indicate whether an original or revised report
* Representative name, telephone number and fax number of the shipper, where the shipper depends on what is being shipped:
	+ If shipping non-IFQ groundfish: Processor’s name and FPP number.
	+ If shipping IFQ halibut, CDQ halibut or IFQ sablefish: Registered Buyer name and permit number.
	+ If shipping CR crab: RCR name and permit number.
	+ If shipping non-IFQ groundfish, IFQ halibut, CDQ halibut or IFQ sablefish, and CR crab on the same PTR: Processor name and FFP or FPP number, Registered Buyer name and permit number, RCR name and permit number
* Start date, start time, finish date, and finish time of product transfer
* Transfer Information as outlined in Table 5.
* Products shipped:
	+ Species and product code
	+ Species weight (use only if recording 2 or more species with 2 or more product types contained within the same production unit)
	+ Number of units
	+ Unit weight (lb or kg)
	+ Total weight (lb or kg)
* Total or partial offload information (mothership or catcher/processor only):
	+ Indicate whether transfer is a total or partial offload
	+ If a mothership or catcher/processor, indicate whether fish or fish products are left onboard the vessel (partial offload) after the shipment is complete.
	+ If a partial offload, for the products remaining on board after the transfer, enter for each product:
		- Species code
		- Product code
		- Total product weight (lb or MT)

**Table 5.** Information for entering the receiver, date, time, and location of transfer and mode of transportation on a Product Transfer Report (PTR).

| **If you are the shipper and …** | **Then enter ...** |
| --- | --- |
| **Receiver** | **Date & time of product transfer** | **Location of product transfer** | **Mode of transportation & intended route** |
| Receiver is on land and transfer involves one van, truck, or vehicle. | Receiver name and Federal fisheries, Federal processor, or Federal crab vessel permit number (if any). | Date/time when shipment leaves the plant. | Port or city of product transfer. | Name of the shipping company; destination city and state or foreign country. |
| Receiver is on land and transfer involves multiple vans, trucks or vehicles. | Receiver name and Federal fisheries, Federal processor, or Federal crab vessel permit number (if any). | Date/time when loading of vans or trucks is completed each day. | Port or city of product transfer. | Name of the shipping company; destination city and state or foreign country |
| Receiver is on land and transfer involves one airline flight. | Receiver name and Federal fisheries, Federal processor, or Federal crab vessel permit number (if any). | Date/time when shipment leaves the plant. | Port or city of product transfer. | Name of the airline company; destination airport city and state. |
| Receiver is on land and transfer involves multiple airline flights. | Receiver name and Federal fisheries, Federal processor, or Federal crab vessel permit number (if any). | Date/time of shipment when the last airline flight of the day leaves. | Port or city of product transfer. | Name of the airline company(s); destination airport(s) city and state. |
| Receiver is a vessel and transfer occurs at sea. | Vessel name and call sign | Start/finish dates and times of transfer. | Transfer position coordinates in latitude and longitude, in degrees and minutes. | The first destination of the vessel. |
| Receiver is a vessel and transfer takes place in port. | Vessel name and call sign | Start/finish dates and times of transfer. | Port or position of product transfer. | The first destination of the vessel. |
| Receiver is an agent (buyer, distributor, shipping agent) and transfer is in a containerized van(s). | Agent name and location (city, state). | Transfer start/finish dates and times. | Port, city, or position of product transfer. | Name (if available) of the vessel transporting the van; destination port. |
| You are aggregating individual retail sales for human consumption. (see paragraph (g)(2) of this section). | “RETAIL SALES” | Date of transfer. | Port or city of product transfer. | N/A. |
| You are aggregating individual bait sales during a day onto one PTR (non-IFQ groundfish only). | “RETAIL SALES” | Date of transfer. | Port or city of product transfer. | N/A. |
| Non-IFQ Groundfish only. You are aggregating wholesale non-IFQ ground-fish product sales by species during a single day onto one PTR and maintaining invoices detailing destinations for all of the product for inspection by an authorized officer. | “WHOLESALE SALES” | Time of the first sale of the day; time of the last sale of the day. | Port or city of product transfer. | N/A. |

1. **Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g. permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also, describe any consideration of using information technology to reduce burden.**

All of the information collected may be submitted electronically. Although this collection contains the paper logbooks, which cannot be submitted electronically, electronic logbooks are available and approved under OMB Control No. 0648-0515 (Alaska Interagency Electronic Reporting System). Operators who complete electronic logbooks are not required to complete the paper logbooks in this collection. All forms are available on the NMFS Alaska Region web site at <https://www.fisheries.noaa.gov/alaska/resources-fishing/recordkeeping-and-reporting-federal-fisheries-alaska>. The VAR, PTR, and Check-in/Check-out forms are fillable electronically on a computer, and may be printed and submitted to NMFS by email attachments or fax.

1. **Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Question 2**

The information collected as part of this collection does not duplicate other collections. Where there is overlap, the public is not required to complete duplicate reports. Under the Interagency Electronic Reporting System collection (see OMB Control No. 0648-0515), NMFS offers optional use of the electronic logbook; if the operator completes an electronic logbook, then they are not required to complete the paper logbooks in this collection. A mothership that is carrying on board an operational vessel monitoring system (VMS) is exempt from submitting a check-in/check-out report to NMFS (see OMB Control No. 0648-0445). This reduces redundant reporting because the information collected through the check-in/check-out report may be obtained through the combination of VMS and the Interagency Electronic Reporting System.

In general, Alaska Region information collections are prepared and reviewed by staff familiar with all of the information collection requirements for the region. Staff work together to develop information collection requirements for new programs. In addition, NMFS staff work closely with the staff of the Alaska Department of Fish and Game and the International Pacific Halibut Commission to reduce duplication in information collection requirements to the extent possible given overlapping jurisdictions and complex fisheries. Senior staff at the Alaska Region, NMFS headquarters, and the Department of Commerce General Counsel review all new and revised information collection requirements that are associated with rulemakings. This process minimizes the potential for duplication of information collection requirements for participants in the Federal fisheries off Alaska.

1. **If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.**

NMFS attempts to minimize the burden of this collection on all respondents, including small entities, in several ways:

* Catcher vessels that are less than 60 ft LOA are not required to submit logbooks except for when using longline pot gear in the GOA; using pot gear to harvest IFQ or CDQ halibut or IFQ or CDQ sablefish in the BSAI; or, once the final rule to establish the PCTC Program (RIN 0648-BL08) is implemented, when participating in the PCTC Program fishery. This exemption from recordkeeping and reporting requirements specifically reduces burden for the operators of small vessels.
* NMFS staff are available to answer questions via email and telephone. Step-by-step instructions for completing each form in this collection are available online at—
	+ <https://www.fisheries.noaa.gov/alaska/resources-fishing/alaska-recordkeeping-and-reporting-logbook-logsheets>
	+ <https://www.fisheries.noaa.gov/alaska/resources-fishing/alaska-recordkeeping-and-reporting-forms>
* In addition to agency support staff, a Help Desk phone number and centralized email is manned from 6 a.m. to midnight every day and provides targeted assistance for logbooks, Product Transfer Reports, and Vessel Activity Reports, which are the more complicated forms in this collection.
1. **Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.**

Landings and processing data are collected on a trip basis as required for all harvest and processing in Federal fisheries off Alaska. This data collection is necessary for NMFS to continue efficient monitoring and effective management practices for the fisheries of the EEZ off Alaska. If this collection were not conducted, the quality of NMFS fishery data would be greatly reduced and negatively impact NMFS management of fisheries, resulting in adverse impacts on the long-term biological stability and economic yield of the groundfish resource, the efficiency and economic viability of the domestic groundfish industry, and the credibility of the fishery management process itself.

1. **Explain any special circumstances that would cause an information collection to be conducted in a manner inconsistent with OMB guidelines.**

Some collections may require a respondent to report information to the agency more often than quarterly. Check-in / Check-out Reports and printed reports must be recorded within the required time while the vessel is at sea, or if the processor closes operations and re-opens at a later date, which could result in information being submitted more often than quarterly. NMFS uses this information to verify and maintain accurate records of catch, and to ensure compliance with quotas in order to monitor and manage the fisheries.

1. **If applicable, provide a copy and identify the date and page number of publications in the Federal Register of the agency's notice, required by 5 CFR 1320.8 (d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.**

A proposed rule ([88 FR 8592](https://www.federalregister.gov/documents/2023/02/09/2023-01333/fisheries-of-the-exclusive-economic-zone-off-alaska-amendment-122-to-the-fishery-management-plan-for)) soliciting public comments published on February 9, 2023. The comment period ended on March 13, 2023. No comments were received on this information collection.

1. **Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.**

No payment or gift is provided under this program.

1. **Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy. If the collection requires a systems of records notice (SORN) or privacy impact assessment (PIA), those should be cited and described here.**

All information collections by NMFS Alaska Region are protected under confidentiality provisions of section 402(b) of the Magnuson-Stevens Act as amended in 2006 (16 U.S.C. 1801, *et seq*.) and under [NOAA Administrative Order 216-100](https://www.noaa.gov/organization/administration/nao-216-100-protection-of-confidential-fisheries-statistics), which sets forth procedures to protect confidentiality of fishery statistics.

Disclosure of this information is permitted under the Privacy Act of 1974 (5 U.S.C. Section 552a), to be shared within NMFS offices, in order to coordinate monitoring and management of sustainability of fisheries and protected resources, as well as with the applicable State or Regional Marine Fisheries Commissions and International Organizations. The System of Records Notice (SORN) that covers this information collection is [COMMERCE/NOAA-6, Fishermen's Statistical Data](https://www.osec.doc.gov/opog/privacyact/sorns/noaa-6.html).

The Privacy Impact Assessment that covers this information collection is [NOAA NMFS Alaska Region Local Area Network (NOAA4700)](https://www.osec.doc.gov/opog/privacy/noaa%20pias/noaa4700_pia_saop_approved.pdf).

1. **Provide additional justification for any questions of a sensitive nature, such as sexual behavior or attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.**

This information collection does not involve information of a sensitive nature.

1. **Provide estimates of the hour burden of the collection of information.**

The rule (RIN 0648-BL08) adds requirements for catcher vessels in the PCTC Program fishery to maintain a trawl gear DFL and for operators of catcher/processors and managers of shoreside processors authorized as processors in the PCTC Program to submit a product transfer report. As all catcher vessels greater than or equal to 60 ft LOA already submit logbooks, this revision adds only the catcher vessels less than 60 ft LOA as new respondents to the catcher vessel trawl gear DFL, which increases the respondents from 102 to 109. As these 7 catcher vessels may use the electronic logbook approved under OMB Control Number 0648-0515 instead of this paper logbook, they are also being added to OMB Control Number 0648-0515. Future supporting statements for these collections will reflect the actual number of these vessels that choose to use each type of logbook after this rule is implemented. The requirement for authorized processors in the PCTC Program to submit a product transfer report does not change the respondents, responses, or burden because all the processors expected to be part of this program already submit this report.

The hourly wage rate has been updated to use the most current rate available (May 2022) from the U. S. Bureau of Labor Statistics (BLS) and to improve consistency in the rates used for the same respondent types in NMFS AKR information collections. The wage rate estimate of $22.52 is the Alaska mean hourly wage for Occupation Code 45-0000 (Farming, Fishing, and Forestry Occupations; <https://www.bls.gov/oes/current/oes_ak.htm>). Burden and wage rate estimates are updated and revised when new rates are available and when public comment supports doing so. Slight differences in the total annual burden hours and total annual wage burden costs may occur due to rounding.

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Information Collection** | **Form Name** | **Type of Respondent (e.g., Occupational Title)** | **# of Respondents/****year(a)** | **Annual # of Responses / Respondent(b)** |  **Total # of Annual Responses(c) = (a) x (b)** | **Burden Hrs / Response(d)** | **Total Annual Burden Hrs(e) = (c) x (d)** | **Hourly Wage Rate (for Type of Respondent)(f)** | **Total Annual Wage Burden Costs(g) = (e) x (f)** |
|  Paper Logbook | Catcher Vessel Trawl DFL  | Operator of a Catcher Vessel | 109 | 90 | 9,810 | 18 min. | 2,943 hours | $22.52 | $66,276  |
| Catcher Vessel Longline/ Pot DFL | Operator of a Catcher Vessel | 109 | 75 | 8,175 | 35 min. | 4,769 hours | $22.52 | $107,398  |
| Catcher/ Processor Longline/ Pot DCPL  | Operator of a Catcher/Processor | 4 | 20 | 80 | 50 min. | 67 hours | $22.52 | $1,509  |
| Check-In/Out (CICO) Report  | Shoreside Processor CICO Report | Manager of a shoreside processor or Stationary Floating Processor | 65 | 4 | 260 | 5 min. | 22 hours | $22.52 | $495 |
| Mothership CICO Report | Operator of a catcher/processor or mothership | 2 | 6 | 12 | 7 min. | 1 hour | $22.52 | $23 |
| Product Transfer Report | Product Transfer Report | • Operator of a mothership or catcher/ processor; • Manager of a shoreside processor or stationary floating processor;• Registered Buyer;• Registered Crab Receiver  | 173 | 102 | 17,646 | 20 min. | 5,882 hours | $22.52 | $132,463 |
| Vessel Activity Report | Vessel Activity Report | Operator of a Catcher Vessel, C/P, or Mothership | 47 | 2 | 94 | 14 min. | 22 hours | $22.52 | $495 |
| **Totals** |  |  |  |  | **36,077** |  | **13,706** |  | **$308,659** |

Note: For Paper Logbooks, Product Transfer Reports, and Vessel Activity Reports, the number of respondents was based on average per year using data from 2019–2020. The average number of respondents for Check-In and Check-Out Reports for Shoreside Processors and Motherships was based on the average number of forms received in 2019 and 2020.

1. **Provide an estimate for the total annual cost burden to respondents or record keepers resulting from the collection of information. (Do not include the cost of any hour burden already reflected on the burden worksheet).**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Information Collection** | **Form Name** | **# of Respondents/****year(a)** | **Annual # of Responses / Respondent(b)** |  **Total # of Annual Responses(c) = (a) x (b)** | **Cost Burden / Respondent(h) 1** | **Total Annual Cost Burden(i) = (a) x (h)** |
|  Paper Logbook  | Catcher vessel Trawl DFL  | 109 | 90 | 9,810 | Mail logsheets $5 x 4 qtrs = $20 | $2,180 |
| Catcher vessel Longline/ Pot DFL | 109 | 75 | 8,175 | Mail logsheets $5 x 4 qtrs = $20 | $2,180 |
| Catcher/ Processor Longline/ Pot DCPL  | 4 | 20 | 80 | Mail logsheets $5 x 4 qtrs = $20 | $80 |
|  Check-In/Out (CICO) Report  | Shoreside Processor CICO Report | 65 | 4 | 260 | Operating costs - $5 | 325 |
| Mothership CICO Report | 2 | 6 | 12 | Operating costs - $5 | $10 |
| Product Transfer Report  | Product Transfer Report | 173 | 102 | 17,646 | Operating costs - $5 | $865 |
| Vessel Activity Report | Vessel Activity Report | 47 | 2 | 94 | Operating costs -$5 | $235 |
| **TOTALS** |  | **289 (unique) 2** |  | **36,077**  |  | **$5,875**  |

1 Operating costs account for the typical inclusive general office services packages that include expenses such as email, fax, copying, mailing, printing, and internet.

2 Some respondents submit more than one instrument in this information collection review (ICR). Therefore, the number of unique respondents is used to show the estimated annual number of separate participants who are expected to submit information during the 3-year renewal period for this ICR. The number of unique respondents equals the total number of respondents for the logbooks and check-in/check-out reports.

1. **Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information.**

Annual costs include printing and mailing logbooks and personnel costs for review, data entry, and filing. As printing costs vary, an average cost of $14.62 per logbook was used based on recent printing costs for the logbooks. As costs to mail the logbooks also vary, the total mailing cost is based on the cost of mailing logbooks in 2019 and 2020.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Cost Descriptions** | **Grade/Step** | **Loaded Salary /Cost** | **% of Effort** | **Fringe (if Applicable)** | **Total Cost to Government** |
| **Federal Oversight** | — | — | — |  | $0 |
| Other Federal Positions |  |  |  |  |  |
| **Contractor Cost** |  | — | — | — | $26,000 |
| **Travel** |  |  |  |  | $0 |
| **Other Costs:** Printing and mailing costs |  |  |  |  | $10,527 |
| **TOTAL** |  |  |  |  | $36,527 |

| **Title** | **Form** | **Description** | **Costs** |
| --- | --- | --- | --- |
| Paper Logbooks | Catcher vessel Trawl DFL | Printing costs: 1200 x $14.26 (2 booklets per respondent) | $17,112  |
| Review, data entry, filing quarterly = 10 min. (102 x 4 x 10 min. = 68 hours annually) |  |
| Catcher vessel Longline/ Pot DFL | Printing costs: 800 x $14.26 (2 booklets per respondent) |  $11,408  |
| Review, data entry, filing quarterly = 10 min. (109 x 4 x 10 min. = 73 hours annually) |  |
| Catcher/ Processor Longline/ Pot DCPL | Printing costs: 100 x $14.26 (1 booklet per respondent) |  $1,426  |
| Review, data entry, filing quarterly = 10 min. (4 x 4 x 10 min = 3 hours annually) |  |
| Total costs to mail logbooks to each respondent  | $1,636 |
| Check-In/Out (CICO) Report  | Shoreside Processor CICO Report | Review, data entry, filing = 10 min. (260 x 10 min = 43 hours annually) |  |
| Mothership CICO Report | Review, data entry, filing = 5 min. (2 x 5 min = 10 min annually) |  |
| Product Transfer Report | Product Transfer Report | Review, data entry, filing = 2 min. (17,646 x 2 min = 588 hours annually) |  |
| Vessel Activity Report | Vessel Activity Report | Time per response = 3 min. (94 x 3 min = 5 hours annually) |  |
| **Total for Collection** |  | **$31,582 ($10,527 annualized)** |

1. **Explain the reasons for any program changes or adjustments reported in ROCIS.**

Due to the final rule (0648-BL08), this collection has been revised to add requirements for catcher vessels in the new PCTC Program fishery to maintain a trawl gear DFL and for authorized processors in the PCTC Program to submit a product transfer report. The new logbook requirement increases the total respondents, responses, and burden for this collection because catcher vessels less than 60 ft LOA that choose to participate in the program will need to submit a DFL. The new product transfer report requirement does not change the respondents, responses, or burden for this collection because all processors expected to be part of the PCTC Program already submit this report.

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Information Collection** | **Form Name** | **Respondents** | **Responses** | **Burden Hours** | **Reason for change or adjustment** |
| Current Renewal / Revision | Previous Renewal / Revision | Current Renewal / Revision | Previous Renewal / Revision | Current Renewal / Revision | Previous Renewal / Revision |
|  Paper Logbook | Catcher vessel Trawl DFL  | 109 | 102 | 9,810 |  9,180 | 2,943 | 2,754 | Program Change: The requirement for catcher vessels participating in the PCTC Program fishery to submit this DFL adds up to 7 catcher vessels less than 60 ft LOA as new respondents for this logbook, which increases the total annual responses and burden hours. |
| Catcher vessel Longline/ Pot DFL | 109 | 109 |  8,175 |  8,175 | 4,769 | 4,769 | No change |
| Catcher/ Processor Longline/ Pot DCPL  | 4 | 4 | 80 | 80 | 67 | 67 | No change |
|  Check-In/Out (CICO) Report | Shoreside Processor CICO Report | 65 | 65 | 260 | 260 | 22 | 22 | No change |
| Mothership CICO Report | 2 | 2 | 12 | 12 | 1 | 1 | No change |
| Product Transfer Report (PTR)  | PTR | 173 | 173 | 17,646 | 17,646 | 5,882 | 5,882 | No change |
| Vessel Activity Report (VAR) | VAR | 47 | 47 | 94 | 94 | 22 | 22 | No change |
| **Total for Collection** | **289\*** | **282\*** | **36,077** | **35,447** | **13,706** | **13,517** |  |
| **Difference** | **7****(Program Change)** | **630****(Program Change)** | **189****(Program Change)** |   |

\* Total respondents includes unique respondents only. Some respondents submit more than one instrument in this collection; therefore, the number of unique respondents is used to show the estimated annual number of separate participants who are expected to submit information during the 3-year renewal period for this information collection.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Information Collection** | **Form Name** | **Labor Costs** | **Miscellaneous Costs** | **Reason for change or adjustment** |
| Current | Previous | Current | Previous |
|  Paper Logbooks | Catcher vessel Trawl DFL  | $66,276  | $101,898  | $2,180 | $2,040 | Labor Costs: (Program Change) The new requirement for vessels to submit this logbook increases the respondents, which adds $158 in labor costs to this collection. (Adjustment) The hourly wage rate was updated to use the most current BLS wage rates available and to improve consistency in the rates used for the same respondent types in NMFS AKR information collections. |
| Catcher vessel Longline/ Pot DFL | $107,398  |  $176,453 | $2,180 | $2,180 | Labor costs (Adjusted): Updated to use most current BLS wage rate available and to improve consistency in the rates used for the same respondent types in NMFS AKR information collections. |
| Catcher/ Processor Longline/ Pot DCPL  | $1,509  |  $2,479 | $80 | $80 | Labor costs (Adjusted): Updated to use most current BLS wage rate available and to improve consistency in the rates used for the same respondent types in NMFS AKR information collections. |
|  Check-In/Out (CICO) Report | Shoreside Processor CICO Report | $495 | $814  | $325 | $325 | Labor costs (Adjusted): Updated to use most current BLS wage rate available and to improve consistency in the rates used for the same respondent types in NMFS AKR information collections. |
| Mothership CICO Report | $23 | $37 | $10 | $10 | Labor costs (Adjusted): Updated to use most current BLS wage rate available and to improve consistency in the rates used for the same respondent types in NMFS AKR information collections. |
| Product Transfer Report (PTR)  | PTR | $132,463 | $217,634 | $865 | $865 | Labor costs (Adjusted): Updated to use most current BLS wage rate available and to improve consistency in the rates used for the same respondent types in NMFS AKR information collections. |
| Vessel Activity Report (VAR) | VAR | $495 | $814 | $235 | $235 | Labor costs (Adjusted): Updated to use most current BLS wage rate available and to improve consistency in the rates used for the same respondent types in NMFS AKR information collections. |
| **Total for Collection** | **$308,659** | **$500,129** | **$5,875** | **$5,735** |  |
| **Difference** | **− $191,470****(Program Change $158; Adjustment − $191,628 )** | **$140** **(Program Change)** |   |

1. **For collections of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.**

The information collected will not be published.

1. **If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.**

The agency plans to display the expiration date for OMB approval of the information collection on all instruments.

1. **Explain each exception to the certification statement identified in “Certification for Paperwork Reduction Act Submissions."**

The agency certifies compliance with [5 CFR 1320.9](http://www.gpo.gov/fdsys/pkg/CFR-2014-title5-vol3/pdf/CFR-2014-title5-vol3-sec1320-9.pdf) and the related provisions of [5 CFR](http://www.gpo.gov/fdsys/pkg/CFR-2014-title5-vol3/pdf/CFR-2014-title5-vol3-sec1320-8.pdf) [1320.8(b)(3)](http://www.gpo.gov/fdsys/pkg/CFR-2014-title5-vol3/pdf/CFR-2014-title5-vol3-sec1320-8.pdf).